

Ad Hoc Working Group on the Paris Agreement

Second part of the first session, Marrakech, 7-18 November 2016

Agenda item 6: Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:

- a) Identification of the sources of input for the global stocktake
- b) Development of the modalities of the global stocktake

Non-paper by the co-facilitators for this agenda item

Note to the reader:

This non-paper is our attempt to informally capture the views expressed by Parties on this agenda item to date (in their submissions and interventions). It is not intended to prejudge further work that Parties may want to undertake nor does it in any way prevent Parties from expressing other views they may have in future. The presentation of the views in this non-paper is not in any order of importance nor does it signify any kind of consensus amongst Parties. Also, we have not attempted to distinguish between elements already agreed in Paris and those proposed by Parties.

General expectations

- Parties identified several approaches to conduct the discussions on inputs and modalities:
 - Start by identifying possible elements for inputs and modalities sequentially, guided by the Paris Outcomes and clarify any interlinkages between sources of input, modalities and outcomes as we proceed (e.g., APA agenda items 3 (NDCs), item 4 (Adaptation communications) and item 5 (Transparency) and the FD2018)
 - Start from clarifying the outcomes of the GST which will shed the light on the modalities of the GST and the inputs needed and on the impacts on Parties
 - Start by clarifying principles and context which will clarify the inputs needed (e.g., how to assess progress in a comprehensive, holistic and balanced way, in the light of equity and best available science)
- As regards principles and the context for conducting the GST, Parties restated some of those agreed in Paris and proposed additional ones: *Party-driven, nationally determined, comprehensive, facilitative; build trust, be transparent; in light of best available science and equity and CBDR-RC; historical responsibility and carbon budget sharing; timely; balanced, tailored and holistic manner; communicate clear and accurate picture of collective progress; action-oriented; ownership; sustainable development; eradicate poverty; climate justice; GST as part of the ambition mechanism; PA Articles 2 and 3;*
- Some Parties suggested to use the questions they posed in their submissions and interventions for guiding discussions. Others raised the issue of common timeframes as a topic that can be discussed under this agenda item.

The remainder of this non-paper summarizes main ideas provided by Parties on sources of input and modalities:

- **SOURCES OF INPUT**
 - Build an initial non-exhaustive list to be completed prior to each GST by: Parties/co-facilitators of technical expert groups/secretariat, bearing in mind to maintain a manageable process;

- Balance between Mitigation, Adaptation and means of implementation inputs
- Need to identify sources of input that would allow for the assessment of progress in the light of equity (e.g. equity framework with indicators and indexes previous submitted);
- **Generic/overarching sources of input**
 - Information from enhanced transparency framework including from NatComs, BURs/BRs; NIRs; ICA reports; IAR reports, etc.
 - Synthesis report on information from the transparency framework, the technical expert review and the compliance committee
 - Submissions from Parties and non-Party stakeholders prior to each GST
 - Secretariat SYR on overall effect of NDCs: backwards and/or forward looking
 - Latest reports (ARs and SRs) of the IPCC
 - Submissions from Parties and non-Party stakeholders
 - Report of the next periodic review
 - Relevant reports from other UN agencies, multilateral dev. orgs and regional agencies
 - Info on potential solutions (reports of TEMs)
- **Specific sources of inputs**
 - Adaptation-specific*
 - Information on overall effect of NDCs (relevant part of the synthesis report on NDCs)
 - Information on state of adaptation needs and plans, efforts, support, experiences and priorities from Adaptation Communications and transparency framework reports on impacts and adaptation
 - Relevant sections of the synthesis report on information from transparency framework
 - Synthesis report on adaptation communications
 - Output of the work of Parties using the modalities of the recognizing adaptation efforts (developed by AC and LEG)
 - Report of the AC, LEG, NWP, LDCF, WIM etc
 - Mitigation-specific*
 - Relevant national information from the enhanced transparency framework and a synthesis report by the secretariat on the reporting under the enhanced transparency framework, including technical review and multilateral consideration and summaries of GHG emissions and emission trends, (aggregate impact and state of implementation of NDCs)
 - Synthesis report by the secretariat to estimate the aggregate effect/adequacy of NDCs (anticipated effect of NDCs that have been communicated for subsequent periods)
 - Information in the context of long-term low greenhouse gas emissions development strategies
 - Inputs for identifying potential and concrete options for increased climate action for achieving the level of collective mitigation effort required
 - Means of implementation and support-specific*
 - Information on mobilization and provision of support
 - Reports of operating entities of the FM, SCF, AF, AC, WIM ExCom, TEC/CTCN, PCCB, as well as biennial communications by developed countries on indicative quantitative and qualitative financial information
 - Report of the GCF on financial provisions

- Available information on efforts related to financial support provided by developed to developing countries
- Information from international financial institutions on climate proofing and climate resilience measures
- MODALITIES
 - To be a process
 - Conducted by the CMA but who else (e.g. for technical tasks – SBs/independent body)
 - Simple and practical, ensure full participation of Parties, especially of developing countries
 - Informed by existing experiences under the Convention and from the technical process for raising mitigation ambition before 2020 and lessons learned from the 2013–2015 review
 - Ensure balance between adaptation, mitigation and support (considered in context and in parallel)
 - Consider aligning IPCC and GST cycles
 - The GST should be capable of learning from previous GSTs and improving
 - Duration: Process lasting for at least one year and culminating at the relevant CMA/should depend on the timeframe of information gathering and implementation process/link to availability of IPCC ARs (maximum 2.5 years)
 - Involvement of other stakeholders (at what stage and in what capacity)
 - On the structure some Parties specifically mentioned that it was too early to discuss this issue as these could be defined after clarifying outcomes, discussing principles and context which will clarify the inputs needed and modalities for the GST. Other Parties see more than one phase:
 - **Preparatory phase:** generate ahead the necessary inputs
 - **Technical phase**
 - Aim: Identify, analyze and summarize, allow for exchange of best practices and experiences and understand the gaps,
 - Inputs: See section I above
 - Timing: sufficient for preparation and consideration of technical information
 - Format: Dialogue (structured/expert); workshops; Forum; Special events/taskforce/panel (e.g. panel under CMA); Ad-Hoc Working Group; Joint SB contact group; technical committee of chairs/co-chairs of the SBs and CBs
 - Output/outcome: SYRs by vice chairs/co-facilitators, summary of inputs and technical discussions, conclusions by the SBs
 - Characteristics of outputs/outcome:
 - contain evidence and opportunities for further action;
 - factual and non-prescriptive,
 - distil info but not filter out,
 - provide information on barriers,
 - gaps between needs and support provided and on opportunities (technologies, CB, Finance)
 - **Political/leadership phase**
 - Inputs: output of technical process; presentations by technical phase facilitators
 - Aim: generate political momentum;
 - Format: high-level event: HoS/G, Ministers, Ambassadors, contact group under CMA,
 - Characteristics of output/outcome:
 - Be presented in a manner accessible to all/publically shared

- Should recognize progress and strengthen confidence (positive signals)
- Summarizes best practices/lessons learned and experiences from implementation as well as identify the potential and barriers, benefits and costs on climate actions
- Identify opportunities on international cooperation, in particular climate finance and technology innovation
- Assist developing countries identify their needs and provide suggestions on how to improve performance of institutions and mechanisms related to support
- Be recognized and agreed by all Parties
- Facilitate enhancement of domestic climate action and international cooperation in a nationally determined manner
- Be useful internationally and domestically
- Declaration/reflections note/CMA decision etc
- ***Post GST political level engagement*** (part of ambition cycle):
 - UNSG Summit