

Work of the Spin-off group on Article 7 on technology development and transfer and Article 8 and 8bis on capacity building, and related decision paragraphs

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Article 8 (CAPACITY-BUILDING)

1. **Option 1.** Capacity-building under this Agreement should facilitate the enhancement of ability and capacity in all areas on climate change for developing country Parties, particularly vulnerable developing countries like Least Developed Country Parties, Small Island States and Africa, in accordance with principles and provisions of the Convention to identify, design and implement adaptation and mitigation actions; facilitate technology development and the absorption of technology; facilitate access to finance; facilitate relevant aspects of education, training and public awareness; and facilitate the transparent, timely and accurate communication of information.
Option 2. The objective of capacity building under this Agreement is to enhance the capacities of Parties, in particular vulnerable developing countries, including Least Developed Country Parties and Small Island States, to effectively implement this Agreement.
Option 3. Capacity-building under this Agreement should facilitate the enhancement of ability and capacity in all areas on climate change for developing country Parties, particularly vulnerable developing countries like Least Developed Country Parties, Small Island States and Africa, and other Parties in need of support, including countries with economies in transition, in accordance with principles and provisions of the Convention to identify, design and implement adaptation and mitigation actions; facilitate technology development and the absorption of technology; facilitate access to finance; facilitate relevant aspects of education, training and public awareness; and facilitate the transparent, timely and accurate communication of information.
Option 4. The objective of capacity building under this Agreement is to enhance the capacities of Parties, in particular Parties in need, to effectively implement this Agreement.
2. **Option 1.** Capacity-building should be based on and respond to national needs and foster country ownership of Parties, in particular for developing country Parties, including at the national, subnational and local levels. Capacity-building should be guided by lessons learned and should be an effective, iterative process that is participatory, country-driven, cross-cutting and gender-responsive.
Option 2. Capacity-building should be country-driven, based on and responsive to national needs and foster country ownership by developing country Parties, including at the national, subnational and local levels. Capacity-building should be guided by lessons learned, including under the Convention, and should be an effective, iterative process that is participatory, cross-cutting and gender-responsive.
3. **Option 1.** Developed country Parties shall scale up support to enhance the capacity of developing country Parties to implement this Agreement, mainly through the financial mechanism of the Convention.
Option 2. All Parties should cooperate to enhance the capacity of developing country Parties in need of support to implement this Agreement.
- 3.bis. **Option 1.** Developed country Parties shall regularly prepare, communicate and implement plans, policies, actions and measures on capacity-building support to developing country Parties, in order to progressively scale up such support and cooperation to enhance the capacity of developing country Parties to implement this Agreement, including through regional, bilateral and multilateral approaches.

Option 2. All Parties should regularly prepare and communicate actions or measures on capacity-building, in order to enhance the capacity of developing country Parties in need of support to implement this Agreement, including through regional, bilateral and multilateral approaches. Developing country Parties shall regularly communicate progress made on implementing any capacity-building plans, policies actions or measures, including the impact and estimated results of support received for capacity-building.

Option 3. No text (issue to be dealt with in the Article on transparency)

4. **Option 1:** The provision of capacity-building, including under this Agreement, shall be enhanced through, inter alia, effective institutional arrangements. Existing institutional arrangements shall therefore be enhanced, as appropriate, to improve the provision of capacity-building. In order to further enhance and coordinate capacity-building consistent with this Agreement, an international capacity-building mechanism is hereby established to serve the Agreement.

Option 2. Institutional arrangements related to capacity-building serving this Agreement should enhance the effectiveness of capacity building efforts.

Article 8bis

Option 1: Parties shall cooperate and take appropriate measures to develop, adopt and implement policies, strategies, regulations and/or action plans on climate change education, training, public awareness, public participation and public access to information so as to enhance actions under this Agreement.

Option 2: Parties [shall] [should] cooperate and take appropriate measures to enhance climate change education, training, public awareness, public participation and public access to information so as to enhance actions under this Agreement.

CAPACITY-BUILDING

Option 1

53. Requests the SBI to act on the outcome of the 3rd review of the Capacity Building Framework, including ensuring appropriate institutional arrangements related to the implementation of art. [8] of the [Paris Agreement], and submit a proposal for consideration by the COP at its twenty-second session;

Option 2:

53. *Decides* to launch a [\[two-year\]](#) work programme to enhance the implementation of the framework for capacity-building in developing countries established by decision 2/CP.7;

[\[53.bis: Decides that the work programme should:](#)

- [\(a\) Facilitate the effective implementation of capacity-building actions of developing country at the national and regional levels, in particular addressing their INDCs;](#)
- [\(b\) Promote coherence between existing institutions established under the Convention which deliver capacity building activities;](#)
- [\(c\) Assess the effectiveness of the delivery of capacity-building support by ensuring the following:](#)
 - [\(i\) Compilation of information from relevant sources, including from the comprehensive reviews and the outcomes of the Durban Forum on Capacity-building;](#)
 - [\(ii\) Analysis of information pertaining to capacity-building to identify, inter alia, gaps and needs and other relevant trends, communicated by Parties;](#)
 - [\(iii\) Development and dissemination of tools and methodologies for the enhanced delivery of capacity-building;](#)
 - [\(iv\) Development of tools for MRV of capacity-building;](#)
- [\(d\) Close collaboration with other multilateral and international organizations involved in capacity-building;](#)
- [\(e\) Reporting of MRV on support received for capacity-building against needs;](#)
- [\(f\) Facilitation of efforts of developing country Parties to elaborate plans and strategies for achieving climate resilience and sustainable development trajectories in accordance with their national priorities and legislation;](#)

- (g) Implement the capacity-building measures recommended by the decision on workstream II during the pre-2020 period;]
54. *Also decides* that the work programme should address, inter alia:
- (a) Gaps and needs, current and emerging, in the delivery of capacity-building [in developing countries with the support from developed countries,] [respecting human rights and with a gender responsive approach,] in particular at the national level;
 - (b) Ways and means to enhance coordination and coherence in the provision of capacity-building [of developing countries regarding to their implementation of mitigation and adaptation,] including within existing institutional arrangements;
- (c) Ways to further enhance the monitoring of the effectiveness of capacity building support in developing countries through the development of indicators.]
55. *Requests* the SBI to [develop recommendations concerning] [report annually on the progress of] the work programme referred to in paragraphs 53 [, 53.bis] and 54 above [for consideration by the COP at its twenty-third session] [to the IPC and CMA];
56. **Option 1:** *Requests* the [IPC] [SBI] to prepare a recommendation to the CMA for the enhancement and intensification of the work of the institutional arrangements on capacity-building;
- Option 2:** *Requests* the [IPC] [SBI] to develop modalities and procedures for the capacity-building mechanism established in Article 8, paragraph 4, of the Agreement;
57. [Recalls the importance of] [Encourages Parties to ensure that] education, training and public awareness reflected in Article 6 of the Convention [and in Article 8.bis of the Agreement [are adequately considered in their capacity building efforts]];
- 57bis The CMA shall adopt modalities and procedures for the delivery of training, public awareness, public participation and public access to information so as to enhance actions under this agreement, at its first session.

Textual Option on an International Mechanism for Capacity Building under the Convention and the 2015 Agreement

[International Mechanism for Capacity Building under the Convention and the 2015 Agreement]

The International Mechanism for Capacity Building is the institutional arrangement for capacity building under the Convention, the Kyoto Protocol and the 2015 Agreement and constitutes the Durban Forum, the Portal and the proposed Capacity Building Coordination Committee (proposed decision xx/CP.21). The Mechanism is established under the Capacity Building Frameworks (decisions 2/CP.7 and 3/CP.7) to address capacity building needs and gaps in developing countries and countries with economies in transition as they implement the Convention, the Kyoto Protocol and the 2015 Agreement.

In fulfilling its role in a comprehensive, integrated and coherent manner, the International Capacity Building Mechanism shall:

1. Enhance knowledge and understanding of technical and management approaches to address capacity building needs and gaps in developing countries and countries with economies in transition by facilitating and promoting (a) action to address gaps in the understanding of and expertise in approaches to address capacity building associated with implementation of the Convention, its Kyoto Protocol and the 2015 Agreement; (b) the collection, sharing, management and utilization of relevant data and information; and (c) translation into implementable actions of the synthesis of best practices, challenges, experiences and lessons learned drawn and developed by the Secretariat from the Durban Forum and any data and information from the Capacity Building Portal;
2. Strengthen dialogue, coordination, coherence and synergies among relevant stakeholders;
3. Provide leadership and coordination and, as and where appropriate, oversight on the assessment and implementation of approaches to address capacity building needs and gaps in developing countries and countries in transition associated with the implementation of the Convention, its Kyoto Protocol and the 2015 Agreement;

4. Foster dialogue, coordination, coherence and synergies among all relevant stakeholders, institutions, bodies, processes and initiatives outside the Convention, with a view to promoting cooperation and collaboration across relevant work and activities at the sub-national, national, regional and international levels;
 5. Enhance action and support, including adaptation, mitigation, finance and technology, to address capacity building under the Convention, the Kyoto Protocol and the 2015 Agreement;
 6. Provide information and recommendations on addressing capacity building implementation needs and gaps in developing countries and countries with economies in transition for consideration by the Conference of the Parties when providing relevant guidance to the financial mechanisms and their entities under the Convention, its Kyoto Protocol and the 2015 Agreement;
 7. Facilitate the mobilization and securing of expertise, and enhancement of support, including adaptation, mitigation, finance and technology, to strengthen existing approaches and, where necessary, facilitate the development and implementation of additional approaches to address capacity building under the Convention, its Kyoto Protocol and the 2015 Agreement;
 8. Strengthen, consolidate and enhance the sharing of relevant information, knowledge, experience and good practices, at the local, national, regional and international levels, taking into account, as appropriate, traditional knowledge and practices and gender disaggregated data and information;
 9. Complement, draw upon the work of and involve, as appropriate, existing bodies and expert groups under the Convention, the Kyoto Protocol and the 2015 Agreement as well as on that of relevant organizations and expert bodies outside the Convention, at the sub-national, national and international levels;
 10. Promote synergy and strengthen engagement with sub-national, national, regional and international organizations, centres and networks, in order to enhance the implementation of capacity building actions on mitigation, adaptation, technology and finance in developing countries and countries with economies in transition;
 11. Consider data and information communicated by countries and other partners on monitoring and review of capacity building at sub-national, national, regional and international levels in relation to mitigation, adaptation, technology transfer, and support provided and received, possible needs and gaps and other relevant information;
 12. Assess and provide recommends on further actions the may be required to lift constraints and fill gaps on capacity building in at sub-national, national, regional and international levels, particularly in developing countries and countries with economies in transition.]
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