

Work of the Spin-off group on Article 4 on adaptation and Article 5 on loss and damage and related decision paragraphs

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Article 4 (ADAPTATION)

1. **Option 1:** Parties [share][hereby establish] the [global] [goal][long-term vision] of ~~[enhancing adaptive capacity,] [strengthening]–[increasing]~~ resilience and reducing vulnerability to climate change, [in accordance with the objective, principles and provisions of the Convention, including common but differentiated responsibilities and respective capabilities, with a view to enabling climate resilient sustainable development ensuring adaptation in the context of the goal of holding the increase in the global average temperature [below 2 C][below [2 or] 1.5 C] referred to in Article 2,] [Parties] recogniz[ing][e] that adaptation is a [global] challenge faced by all with local, national, regional and international dimensions, and that it is a key component of and contribution to the long-term global response to climate change to protect people, livelihoods and ecosystems[, taking into account the urgent and immediate needs of those developing countries that are particularly vulnerable].
Option 2: Parties share the goal of increasing resilience and reducing vulnerability to climate change, recognizing that adaptation is a challenge faced by all, with local, national, regional and international dimensions, and that it is a key component of and contribution to the long-term global response to climate change to protect people, livelihoods and ecosystems.
2. **Option 1:** [Parties recognize that, [adaptation will be needed regardless of the level of mitigation reached and that] [the greater their mitigation efforts, the less adaptation will be needed][less adaptation will be needed if inter alia their collective mitigation efforts are greater].]
Option 2: [Parties recognize that, the greater their mitigation efforts, [in line with the provisions/principles of the Convention] the less adaptation will be needed, and vice versa, the greater increase in adaptive capacities [the adaptation of people, livelihoods and ecosystems could reduce the risk of abrupt climate changes in the future].] and in the protection of people, livelihoods and ecosystems, the less mitigation will be needed.
- ~~2bis.~~ **Option 3:** [The [global goal][long-term vision] for adaptation shall be the basis for, inter alia:
 - (a) Assessment of the adequacy of support from developed country Parties to developing country Parties [and other Parties in a position to do so¹] and a platform to undertake such an assessment through strengthened measurement, reporting, and verification of support;
 - (b) Recognition of adaptation efforts of developing countries to respond to climate change;
 - (c) Recognition of increased adaptation needs and associated costs in light of mitigation efforts, taking into account that adaptation will be needed regardless of the level of mitigation reached, and also taking into account the relationship between aggregate mitigation ambition, associated climate change impacts, and adaptation needs and cost, as well as recognizing there are limits to adaptation.]
3. Parties ~~[acknowledge][X]~~ that adaptation action should follow a country-driven, ~~[human rights and]–gender-sensitive][responsive], participatory and fully transparent approach, [respecting [human rights][right to life][rights of people under occupation] and]~~ taking into consideration vulnerable groups, communities and ecosystems, and should be based on and guided by the best available science and[, as appropriate,] traditional ~~[local]–~~ and indigenous [and local knowledge systems][peoples knowledge] and [local] knowledge,] [taking into account relevant activity

¹ In all cases in the context of support, where it says ‘developed country Parties’, read ‘developed country Parties [and other Parties in a position to do so].’

- [on adaptation of UN specialized organizations](#) with a view to integrating adaptation into relevant social, economic and environmental policies and actions, where appropriate.
4. Parties further recognize the importance of international cooperation and support for adaptation efforts and the importance of taking into account the needs of those developing countries that are particularly vulnerable, recognizing the particular vulnerabilities of Least Developed Countries and Small Island Developing States. [Parties included in Annex II of the Convention shall enhance the support for the implementation of paragraph 7 of this Article, national adaptation plans and the other adaptation actions, in accordance with the provisions of Article 4 of the Convention, recognizing the urgent and immediate needs and special circumstances of developing country Parties, especially those that are particularly vulnerable.]
 5. Parties [shall][should][other] enhance their cooperation, including with respect to:
 - (a) Sharing information, best practices, experiences and lessons learned; [and the sharing of good practices by all Parties, including through a knowledge dimension informed by science, planning and policy aspects to implement adaptation action;]
 - (a bis) [Provision of grant-based finance, technology development and transfer, and capacity-building to support the implementation of adaptation actions;]
 - (b) Strengthening institutional arrangements to support the synthesis of relevant information and knowledge as well as the provision of technical guidance and support;
 - (b bis) [Strengthening information available to underscore the importance of systematic observation of the climate system for advancing scientific knowledge on climate change and advising informed policy-making to include] early warning and emergency response preparedness;
 - (b ter) [Assessment for the adequacy of support from developed country Parties to developing country Parties and a platform to undertake such an assessment through strengthened measurement, reporting and verification of support with a view to ensuring transparency and accountability;]
 - (b quater) [Assisting developing countries to identify adaptation needs, priorities, support provided and received for adaptation actions and efforts, challenges and gaps encouraging good practices.]
 6. Each Party [in accordance with Article 4, paragraph 1 of the Convention] [shall][should][other] engage in a national adaptation planning process[, including national adaptation plans,] and enhance its adaptation plans, policies and actions [or contributions]. Such plans, policies and actions will vary depending on each Party's national circumstances and priorities, and could include:
 - (a) Undertaking assessments of climate change impacts and vulnerability;
 - (b) Prioritizing action with respect to the people, places, ecosystems and sectors that are most vulnerable to climate impacts;
 - (c) Strengthening [governance and enabling environments for adaptation][the operational arrangements for implementation of adaptation];
 - (d) Monitoring, reporting, evaluating, and learning from, adaptation plans, policies, programmes and actions;
 - (d bis) [Building resilience of socio-economic and ecological systems, including through economic diversification and sustainable management of natural resources;]
 - (d ter) [Enhancing of implementation of plans, policies and actions in a manner that is continuous and shall have continuous and enhanced international support.]
 7. Each Party [shall][should][other][may] submit [an adaptation communication][communications or undertakings on adaptation, including through, inter alia, national adaptation plans, [intended] nationally determined contributions, and/or national communications,] that [[shall][should][other][may]]:
 - (a) Include its priorities, needs, plans and actions;
 - (a bis) [Include the level of support needed by developing country Parties in order to enhance adaptation action;]
 - (a ter) [Include actions on provision of support to developing country Parties by developed country Parties;]
 - (b) Be submitted independently or in conjunction with another communication[, in a manner that does not create an additional burden to developing country Parties];
 - (c) [Be updated [periodically] every [X][5] years [in conjunction with [other processes][the mitigation cycle]][in accordance with [the above elements][with a decision of the [CMA][CMP]]].
 8. The [adaptation communications][communication or undertakings on adaptation] referred to in paragraph 7 above shall be recorded in [a registry][another modality] made publicly available by the secretariat in accordance with modalities to be decided by the [CMA][CMP] at its first session.

9. ~~[[Developing country] Parties [\[in need\]](#), are eligible for support in the implementation of this Article][Developed country Parties shall provide developing country Parties, taking into account the need of those that are particularly vulnerable, with long-term, scaled-up, predictable, new and additional finance, technology and capacity-building, consistent with relevant provisions of the Convention, to implement urgent, short-, medium- and long-term adaptation actions, plans, programmes and projects at the local, national, subregional and regional levels, in and across different economic and social sectors and ecosystems][Developed countries [shall][should] transfer technology, in particular for early warning systems through United Nations mechanisms, in order to make it accessible for all].~~
10. **Option 1:** There shall be a [high-level session][global stocktake] on adaptation every [X] years, [with the purpose of enhancing implementation of adaptation action based on the communicated adaptation priorities, needs, plans and action referred to in Article 4, paragraph 7 above, assessing the adequacy of support, and recognizing adaptation efforts of developing countries], and guided by modalities to be adopted by the [CMA][CMP] at its first session.
- Option 2:** The CMA shall facilitate the communication of adaptation efforts for the stocktake referred to in Article 10.
11. The Adaptation Committee and the Least Developed Countries Expert Group[, the Adaptation Fund, the Green Climate Fund, the Technology Executive Committee, the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention, and other relevant bodies] shall serve this Agreement [, be enhanced and their work intensified, as appropriate, within their respective mandates].

Article 5 *[\(LOSS AND DAMAGE\)](#)*

~~**Option 1.1.** Parties acknowledge the importance of addressing loss and damage associated with climate change impacts and recognize the need for international cooperation and solidarity [, including through the institutional arrangements as defined in [\[this Agreement\]\[decision 1/CP.21\]](#)].~~

Option 1:

12. An international mechanism to address loss and damage is hereby defined under this agreement/protocol and shall be bound by the principles and provisions of the Convention, in particular common but differentiated responsibilities and respective capabilities.
23. The purpose of the mechanism shall be to promote and support the development and implementation of approaches to address loss and damage associated with the adverse effects of climate change, inter alia, extreme events and slow onset events, in developing countries that are particularly vulnerable to the adverse effects of climate change.
34. The international mechanism on loss and damage shall draw upon, further develop and elaborate on the work of the Warsaw International Mechanism on Loss and Damage pursuant to relevant COP decisions, including the development of modalities and procedures for the mechanism's operation and support. It can involve, as appropriate, existing bodies and expert groups under the Convention, as well as relevant organizations and expert bodies outside the Convention, and be informed by relevant precedents in international law.
45. The international mechanism on loss and damage shall be subject to the authority and guidance of the governing body/CMP/CMA and supported through the financial mechanism of the Convention.
56. The governing body/CMP/CMA shall, at its first session, establish a climate change displacement coordination facility to help coordinate efforts to address the displacement of people as a result of the extreme impacts of climate change.
67. The governing body/CMP/CMA shall, at its first session, initiate a process to develop approaches to address irreversible and permanent damage resulting from human-induced climate change, and shall endeavour to complete this process within four years.

Option 1.2: No reference to loss and damage (no Article 5).