

Draft elements for SBSTA agenda item 11 (c)**Draft decision on the work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement****Informal note by the co-chairs**

Third iteration, 12 November 2017

These draft elements have been prepared by the co-chairs of the negotiations on this agenda item under their own responsibility, on the basis of the views that Parties have submitted, discussions in the round table and during this session. These elements are preliminary and should not be considered as final or exhaustive in any way; they are offered as a basis for work and do not prevent Parties from expressing their views at any time. For all draft elements, including headers, it is understood that there may be an alternative that there should be no such draft element. It is recognized that the outcome of deliberations on this item will form part of the overall outcome under the Paris Agreement work programme.

Elements of the draft decision on the work programme

1. PREAMBLE

Potential element a: List of preambular paragraphs

Possible further elements

- (i) Need to enhance and maintain ambition
- (ii) Need to secure incentives for progression
- (iii) Need to reflect mitigation objectives of participating Parties

Potential element b: No list of preambular paragraphs

2. PRINCIPLES

Potential element a: List of principles

Potential element b: List of preambular principles

Possible further elements

- (i) Objectives of the Paris Agreement referred to in its Article 2
- (ii) Integrated, holistic and balanced non-market approaches
- (iii) Allowing higher ambition in mitigation and adaptation actions
- (iv) Need to enhance and maintain ambition
- (v) Need to secure incentives for progression
- (vi) Need to reflect mitigation objectives of participating Parties
- (vii) Voluntary participation
- (viii) Enhance public and private sector participation in the implementation of nationally determined contributions
- (ix) Enabling opportunities for coordination across instruments and relevant institutional arrangement

- (x) Promotion of sustainable development and poverty eradication
- (xi) Promotion of environmental integrity
- (xii) No duplication of work under the UNFCCC and other fora
- (xiii) Not reliant on market-based approaches
- (xiv) No infringement of human rights and other rights
- (xv) Incentives for domestic mitigation actions, in the form of payments but not involving transfers of units
- (xvi) Sharing experiences and best practices
- (xvii) Preserving national prerogatives for sustainable development
- (xviii) Maintaining harmony among environmental, social and economic dimensions of sustainable development
- (xix) Ensuring manageable sustainable development transition for all Parties
- (xx) Avoid unilateral measures and employ non-discriminatory practices
- (xxi) Enhanced support to developing countries through finance and capacity-building
- (xxii) Unitary nature of Article 6 of the Paris Agreement

Potential element c: No principles

3. DEFINITIONS

4. OBJECTIVES/PURPOSE

A. Framework

Possible further elements

- (i) Contribute to the objectives of the Paris Agreement referred to in its Article 2

B. Work programme

5. SCOPE OF THE FRAMEWORK

A. NMAs under the framework

Potential element a: Strive to meet all the aims in Article 6.8 (a), (b) and (c)

Potential element b: Strive to meet at least one of the aims in Article 6.8 (a), (b) and (c)

Potential element c: No reference to the aims in Article 6.8 (a), (b) and (c)

Potential element d: Apply in the context of activities under Articles 6.2 and 6.4

Possible further elements

- (i) More than one of mitigation, adaptation, finance, technology transfer, capacity building in each NMA
- (ii) International (bilateral, regional or multilateral) cooperation between Parties
- (iii) International cooperation between Parties and non-Parties
- (iv) Encourage international cooperation between Parties
- (v) No creation/issuance of mitigation outcomes/national limitation quotient units
- (vi) No transfer of mitigation outcomes
- (vii) No duplication of work under the UNFCCC and other fora

- (viii) Promotion of sustainable development and poverty eradication
- (ix) Not reliant on market-based approaches

6. GOVERNANCE OF THE FRAMEWORK

Potential element a: SBSTA agenda item

Potential element b: Task force

Potential element c: Permanent forum held in conjunction with the meetings of the subsidiary bodies

Potential element d: Existing committees and structures (e.g. Adaptation Fund, Standing Committee on Finance) with or without expansion of their TORs

Potential element e: Committee for Future

Potential element f: Party determines its own governance structures

Potential element g: Through the work programme, jointly by SBSTA and SBI, in consultation and with existing UNFCCC bodies

Potential element h: Through arrangements for the framework

Potential element i: No organizational arrangements for the framework

7. MODALITIES OF THE WORK PROGRAMME

Potential element a: Collective approach

Possible further elements

- (i) Workshops
- (ii) Regular opportunities to meet various stakeholders
- (iii) Technical Expert Process, and the Technical Expert Meetings
- (iv) Collaboration platform (i.e. repository of submissions)
- (v) Platform for means of implementation for enhancing synergies and linkages
- (vi) Registry for matchmaking
- (vii) Registry for Adaptation Benefit Mechanism
- (viii) Registry for environmental balance index
- (ix) Technical papers and synthesis reports
- (x) Link with forum under Article 4.15 of the Paris Agreement

Potential element b: National approach

Possible further elements

- (i) Submissions to SBSTA agenda item
- (ii) Work programme voluntarily developed by the Party

Potential element c: Negative list of the modalities of the work programme

Potential element d: Decide modalities after decision on the work programme

8. GUIDANCE FOR INCORPORATING NMAs INTO COOPERATION BETWEEN PARTIES

Possible further elements

- (i) Joint mitigation and adaptation for the integral and sustainable management of forests
- (ii) Social ecological resilience
- (iii) Avoidance of emissions
- (iv) Ecosystem-based adaptation
- (v) Integrated water management
- (vi) Energy efficiency schemes
- (vii) Possible further elements (i)–(vi) above are not applicable to the guidance

9. WORK PROGRAMME ACTIVITIES

Possible further elements

- (i) Identifying thematic areas of focus
- (ii) Identification of relevant tools
- (iii) Identification of synergistic non-market approaches that have proven successful and can be leveraged to support the implementation of Parties' NDCs
- (iv) Identifying enabling environments and policy frameworks
- (v) Identifying successful cross-cutting policy approaches, regulatory approaches and initiatives
- (vi) Identifying opportunities for replication of successful initiatives
- (vii) Identifying support and assistance available from the international community; opportunities for coordination
- (viii) Identifying ways to engage and address the needs of the private sector, exposed sectors and communities
- (ix) Identifying measures related to education, training, public awareness, public participation and public access to information that can be instrumental in promoting greater mitigation and adaptation ambition
- (x) Identifying barriers and challenges faced in implementing synergistic measures, in supporting impacted populations and sectors, in achieving just transitions, and in enhancing public and private sector engagement
- (xi) Identifying cooperative approaches or financial initiatives that are available to support Parties in implementing synergistic approaches
- (xii) Sharing information/best practices/lessons learned/case studies for the development and implementation of NMAs
- (xiii) Developing guidance for NMAs in thematic areas of focus
- (xiv) Developing a UNFCCC web platform to register NMAs
- (xv) Developing arrangement for environmental balance index
- (xvi) Developing a clearing house mechanism
- (xvii) Developing a needs-based registry and matchmaking facility
- (xviii) Developing tools to address possible negative social and economic impacts of Article 6 activities
- (xix) Developing the negative list of work programme activities
- (xx) Exploring synergistic policy approaches to leverage and generate mitigation and adaptation co-benefits
- (xxi) Enhancing development of the Adaptation Benefit Mechanism
- (xxii) Work programme of the Committee for Future
- (xxiii) Organizing regular technical expert meetings with various stakeholders across government, business, civil society organizations and financial entities

- (xxiv) Making publicly available outcomes of the regular meetings with various stakeholders
- (xxv) Stepwise approach

Possible further elements of stepwise approach

- Step 1: Identifying existing NMAs that are relevant to Article 6.8
- Step 2: Identifying existing linkages, synergies, coordination and implementation already occurring between those NMAs and positive experiences
- Step 3: Identifying opportunities for the enhancement of existing linkages, creation of synergies, coordination and implementation of NMAs in a local, national and global-levels
- Step 4: Assessing the results of the previous steps and draw conclusions on how to enhance existing linkages and create synergies
- Step 5: Proceeding to the practical enhancement of linkages and creation of synergies through some relevant governance of the framework for NMAs while avoiding duplication.

10. REPORTING

Potential element a: Reporting by the organizational arrangements for the Framework to the CMA on the work programme

Potential element b: Reporting by Parties on the implementation of NMAs under transparency framework in context of Article 13.

Potential element c: Potential elements a and b

Potential element d: Decide reporting after decision on the work programme

Potential element e: Use other relevant reporting modalities under the Paris Agreement

Potential element f: Reporting is not applicable to the framework

11. REVIEW

Possible further elements

- (i) Provide recommendations to the Parties after the periodic review
- (ii) Review in 2023 in order to improve effectiveness with a view to adopting a decision on the outcome of the review