

## Informal information note by the Co-Facilitators

### SBSTA 46 agenda item 10 (c) – Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement

17 May 2017

*This informal information note has been prepared to reflect the discussions under SBSTA agenda item 10 (c). It is a non-paper with no official standing.*

*The attachment to this informal note contains a non-exhaustive and unstructured list of elements prepared by the Co-Facilitators. The informal list represents our attempt to informally capture the views on elements expressed by Parties in their submissions and in their discussions during informal consultations at SBSTA 46. The informal list does not attempt to capture convergence or divergence. The informal list does not represent agreement; and does not represent agreed views, or agreed ideas or agreed text. The informal list does not represent a proposed structure and the location and numbering of elements does not denote any ranking and the elements raised by Parties are not in any order of priority.*

*In reflecting on the process of developing the informal list and on the elements within it, some Parties spoke of the need to ensure that the work of the group be governed by the provisions of the Paris Agreement (and decision 1/CP.21) and expressed their concern that some elements listed by Parties on the informal list were not included in the relevant provisions of the Paris Agreement. Others also identified the need to ensure that the work programme under the framework does not contradict the Paris Agreement. Other Parties wished to collect the broadest list of elements reflecting Parties' views. Many Parties expressed their strong discomfort with certain elements on the informal list.*

*We want to give all Parties assurance that the informal list is not in any way a suggested basis for developing the work programme under the framework relating to Article 6 paragraph 8 of the Paris Agreement. It is not a forecast of any future direction of work. It does not prejudge further work that Parties may want to undertake nor does it in any way limit Parties from expressing any views they may have in the future.*

## 6.8 (version 2.1.1)(Final Version)

### A. Overarching issues, principles, considerations, context, criteria

1. Voluntary participation
2. Context defined by Article 6.1
3. Context of Article 2
4. Unitary nature of Article 6 and of the Paris Agreement
5. Environmental integrity
6. Sustainable development
7. Poverty eradication
8. Preserving national prerogatives
9. Bottom-up approach
10. Manageable sustainable development transition
11. Addressing negative social and economic impacts of response measures
12. Flexible, in the context of implementation of NDCs
13. Adaptation activities with mitigation co-benefits and/or economic diversification
14. Promote higher ambition in mitigation and adaptation actions
15. No infringement of rights
16. Distinctive nature of Article 6.2, 6.4 and 6.8
17. No commoditization of emissions or environmental functions
18. Enhancement of linkages and synergies between existing mechanisms without duplication
19. Avoiding duplication of work with existing arrangements under the UNFCCC
20. ...

### B. NMAs under the framework

1. Non-tradeable, no transfer of mitigation outcomes, no commoditization
2. Applicability of any or all of Article 6.8 (a), (b), (c)
3. International (bilateral, regional or multilateral) cooperation between Parties, including, North-North, North-South and South-South cooperation, to implement NDCs
4. More than one of mitigation (including GHG reductions and avoidance of GHG emissions) adaptation, finance, technology transfer, capacity building in each NMA
5. No duplication of work under the UNFCCC and other fora
6. ...

### C. Governance of the framework

1. Institutional arrangements for the framework
2. Avoiding duplication in institutional arrangements
3. ...

**D. Functions of the framework**

1. To be available to Parties to the Paris Agreement
2. Ensuring inclusivity of access to the framework
3. Enhancing access to greater number of Parties
4. Enhancing public and private sector participation
5. Promoting mitigation and adaptation ambition
6. Establishing process for identifying gaps in, and developing instruments to enhance linkages and synergies across, existing UNFCCC instruments and institutions
7. Ensuring no international transfer of mitigation outcomes from NMAs and ensuring no double counting
8. Enabling opportunities for coordination across instruments and relevant institutional arrangements
9. Identification of best practices and case studies
10. Identifying roles of public and private sectors
11. Involving representatives from the private sector and international organizations with expertise in different areas of NMAs
12. Developing guidance
13. Providing recognition of NMAs
14. Tracking of NMAs
15. Managing timeframe for work programme
16. Monitoring, reporting and accounting for transparency of emissions reductions resulting from NMA against NDC
17. MRV that is appropriate to the NMA
18. Avoiding double counting
19. Assessment, evaluation and follow-up process, link to global stocktake, ensuring effectiveness of NMAs
20. Voluntary reporting of NMAs under existing arrangements of the UNFCCC
21. Addressing social and economic impact of operationalization of Article 6.2 and Article 6.4
22. ...

**E. Work programme activities**

1. Identification of NMAs, economic policy and technology reform
2. Identifying existing linkages, synergies, coordination and implementation already occurring between identified NMAs and positive experiences
3. Development of guidance, conceptual basis, instruments and institutional arrangements
4. Development of guidance on the development and implementation of the framework

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5. Identifying opportunities for enhancement of existing linkages, creation of synergies, coordination and implementation of NMAs
6. Enhancing linkages and create synergies, including recommendations
7. Facilitating implementation and coordination of NMAs
8. Establishment of institutional arrangements for facilitating the implementation, monitoring, evaluation and follow-up of the framework
9. Establishment of a task force to develop and lead the work programme, including preparing draft decisions on the work programme
10. Developing a needs-based registry and matchmaking facility
11. Development of a UNFCCC web platform to register NMAs
12. Developing possible linkages with the existing UNFCCC platforms
13. Establishment of an information-sharing process for the development and implementation of NMAs at the national/regional/international levels
14. Sharing by doing and sharing best practices and information
15. Profiling of national experience, research on lessons learned from other processes
16. Identification of international, regional and private sector initiatives and making recommendations to other initiatives
17. Exploring synergistic policy approaches to leverage and generate mitigation and adaptation co-benefits
18. Coordinating with the Technical Expert Process
19. Assessing impact of NMA on international trade
20. Evaluating options for using revenues gained from the application of NMA
21. Developing process for identifying initial and additional thematic areas of focus
22. Launching dialogue amongst Parties and non-Party stakeholders
23. Assessing the result of previous steps and drawing conclusions
24. ...

### **F. Modalities of work programme**

1. Discussion on the function of the framework
2. Written submissions, workshops and workshop reports, technical papers, synthesis reports, case studies, recommendations, milestones and targets
3. ...

### **G. Transparency**

1. ...

### **H. Interlinkages**

1. Links to Article 6.2 and Article 6.4
2. Links to Article 2 objectives

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3. Links to Article 3 communication of NDCs
4. Links to Article 4.7 mitigation co-benefits
5. Links to Article 4.8 information necessary for NDC clarity
6. Links to Article 4.13 account for NDC
7. Links to Article 4.15 response measures
8. Links to Article 4.16, 4.18 regional economic integration organizations
9. Links to Article 7 adaptation
10. Links to Article 9 finance
11. Links to Article 10 technology transfer
12. Links to Article 10.5
13. Links to Article 11 capacity building
14. Links to Article 12 education
15. Links to Article 13 transparency
16. Links to Article 14 global stocktake
17. Links to Article 15 compliance
18. ...

### I. Other

1. ...