

## Informal information note by the Co-Facilitators

### SBSTA 46 agenda item 10 (a) – Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement

17 May 2017

*This informal information note has been prepared to reflect the discussions under SBSTA agenda item 10 (a). It is a non-paper with no official standing.*

*The attachment to this informal note contains a non-exhaustive and unstructured list of elements prepared by the Co-Facilitators. The informal list represents our attempt to informally capture the views on elements expressed by Parties in their submissions and in their discussions during informal consultations at SBSTA 46. The informal list does not attempt to capture convergence or divergence. The informal list does not represent agreement; and does not represent agreed views, or agreed ideas or agreed text. The informal list does not represent a proposed structure and the location and numbering of elements does not denote any ranking and the elements raised by Parties are not in any order of priority.*

*In reflecting on the process of developing the informal list and on the elements within it, some Parties spoke of the need to ensure that the work of the group be governed by the provisions of the Paris Agreement (and decision 1/CP.21) and expressed their concern that some elements listed by Parties on the informal list were not included in the relevant provisions of the Paris Agreement. Others also identified the need to ensure that the guidance does not contradict the Paris Agreement. Other Parties wished to collect the broadest list of elements reflecting Parties' views. Many Parties expressed their strong discomfort with certain elements on the informal list.*

*We want to give all Parties assurance that the informal list is not in any way a suggested basis for developing the guidance relating to Article 6 paragraph 2 of the Paris Agreement. It is not a forecast of any future direction of work. It does not prejudge further work that Parties may want to undertake nor does it in any way limit Parties from expressing any views they may have in the future.*

## 6.2 (Version 2.1)(Final Version)

### A. **Overarching issues, principles, considerations, context, criteria**

1. Voluntary cooperation
2. Context of the Paris Agreement
3. Context of Article 2
4. Unitary nature of Article 6 and the Paris Agreement
5. Environmental integrity
6. Sustainable development
7. Preserving national prerogatives
8. Manageable sustainable development transition
9. Avoiding negative social and economic impacts of internationally transferred mitigation outcomes
10. Avoiding negative ecological impacts
11. Incentivizes for higher ambition
12. Incentives for progression
13. Incentives for domestic mitigation
14. Bottom up approach
15. Accounting integrity, avoiding double counting
16. Mitigation co-benefits of adaptation
17. Mitigation co-benefits of economic diversification
18. Not erode the environmental integrity of NDCs, implementation of NDCs
19. Centralized oversight
20. Equal treatment of Article 6.2 and Article 6.4
21. Distinctive nature of Articles 6.2, 6.4, 6.8
22. Benefits and opportunities of sustainable developments
23. Transparency
24. Avoidance of undue burden
25. Top-down approach
26. ...

### B. **Definitions**

1. ...

### C. **Scope and applicability of guidance for Article 6.2**

1. ...

### D. **Governance**

1. Objective and scope of governance
2. Form of oversight
3. Transparency in governance
4. Demonstration of conformity with guidance
5. Review process for guidance
6. Avoidance of duplication of institutional arrangements
7. ...

### E. **Participation**

1. Authorization by Parties

## 6.2 (Version 2.1)(Final Version)

2. Eligibility
3. Participation requirements
4. Inclusivity
5. ...

### **F. Internationally transferred mitigation outcomes in Article 6.2**

1. Scope and definition of internationally transferred mitigation outcomes
2. Measurement, reporting and verification
3. Standards – real, additional, permanent
4. Issuance
5. ...

### **G. Robust accounting**

1. Impact of scope, types, time period, conditionality of NDC, vintage of mitigation outcomes
2. Emissions balance, NDC permitted emissions, accounting balance
3. Avoiding double counting, double usage
4. Avoiding double counting with other processes
5. Corresponding adjustment, additions and subtractions
6. Inventories
7. ITMOs issued before 2020
8. ...

### **H. Ensuring environmental integrity**

1. Guidance on the establishment of baselines
2. Standards
3. ...

### **I. Promoting sustainable development**

1. ...

### **J. Transparency**

1. Initial NDC accounting starting point, information required for commencement, information on NDC to allow accounting, calculation report of NDCs permitted emissions
2. Format and frequency of reporting
3. Tracking of internationally transferred mitigation outcomes, compilation and accounting reports
4. Reporting on environmental integrity
5. Reporting on sustainable development
6. National level information
7. Publicly accessible information
8. Final accounting of NDC, information for completion of NDC contribution period, report upon expiration of additional period for tracking progress
9. ...

**K. Infrastructure**

1. National registries
2. Multilateral, centralized registry
3. International transaction log
4. Compilation and accounting database
5. ...

**L. Limits and safeguards**

1. Supplementarity
2. Limits and controls on internationally transferred mitigation outcomes, trading, tradable units
3. Human rights
4. No conflict with other environmental aspects
5. Other Preamble rights
6. Addressing negative social and economic impacts
7. System for addressing hot air
8. ...

**M. Interlinkages**

1. Relationship between Article 6.2, Article 6.4, Article 6.8
2. Links to Article 4.7 mitigation co-benefits
3. Links to Article 4.13 accounting guidance, TACCC principles
4. Links to Article 4.15 impacts of response measures
5. Article 10 technology
6. Links to Article 13.7 (a) GHG inventories
7. Links to Article 13.7 (b) information to track progress
8. Links to Article 13.11 multilateral consideration
9. Links to Article 13.12 technical expert review
10. Links to Article 13.13 modalities, rules and procedures
11. Links to Article 14 global stocktake
12. Links to Article 15 mechanism to facilitate implementation and promote compliance
13. ...

**N. Share of proceeds**

1. For adaptation, the Adaptation Fund
2. ...

**O. Overall mitigation in global emissions**

1. ...

**P. Transitional issues**

1. ITMOs issued before 2020
2. ...

**Q. Other**

1. ...