

Ad Hoc Working Group on Long-term Cooperative Action under the Convention

Non-paper on institutional arrangements and their functions

23 September 2009

1. As mentioned in the scenario note on the first part of the seventh session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA),¹ the Chair of the AWG-LCA has requested the secretariat to summarize the main functions identified in proposals on institutional arrangements contained in the revised negotiating text.²
2. This non-paper contains a set of matrices which provide an overview of proposed institutional arrangements and their functions, and can be used as a tool by Parties as they see fit when they consider these proposals.
3. These matrices are organized according to the sections of the revised negotiating text and include proposals on new institutional arrangements. This is without prejudice for or against the option that all/some of the identified functions could be performed by existing institutions.

¹ FCCC/AWGLCA/2009/12.

² FCCC/AWGLCA/2009/INF.1.

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**Table 1. Enhanced action on adaptation and its means of implementation:
matrix of institutional arrangements and their functions**

Institutions \ Functions	Executive body on finance and technology for adaptation	Technical panel	Convention Adaptation Fund	Board of financial mechanism	Technical bodies	Expert group for funds	Adaptation committee	Adaptation advisory panel	Subsidiary body for adaptation	Multi-window mechanism	Expert group	Facilitative mechanisms	Mechanism	Mechanism	International, regional and national adaptation centres,	National body/entity, including national focal point	
	Planning																
Evaluation, assessment and/or screening																	
Implementation																	
Oversight, supervision and/or guidance																	
Facilitation																	
Coordination																	
Management, administration																	
Monitoring																	
Technical advice and/or support																	
Technology support																	
Capacity-building support																	
Information/knowledge-sharing, networking																	
Financial support																	
Risk reduction, management and sharing (including insurance)																	
Rehabilitation/compensation																	

**Table 2. Enhanced action on mitigation – paragraph 1 (b) (i) of the Bali Action Plan:
matrix of institutional arrangements and their functions**

Institutions Functions	Independent review structure	Technical panel on comparability	International compliance mechanism
Evaluation, assessment and/or screening			
Review			
Compliance, enforcement			

**Table 3. Enhanced action on mitigation – paragraph 1 (b) (ii) of the Bali Action Plan:
matrix of institutional arrangements and their functions**

<div style="text-align: center;">Institutions</div> <div style="text-align: center;">Functions</div>	Mechanism to register and facilitate implementation of actions and corresponding support	Financial mechanism	Multilateral climate change fund	Executive body on finance and technology	Technical panel	Financial mechanism	Technical panel	Verification group	Financial mechanism	Technical panel	Body for measurement, reporting and verification	Compliance mechanism	National body/entity, including national focal point
Financial support													
Technical advice and/or support													
Capacity-building support													
Technology support													
Coordination													
Facilitation													
Evaluation, assessment and/or screening													
Measurement													
Reporting													
Verification/Review/Auditing													

Table 3 (continued)

Institutions Functions	Mechanism to register and facilitate implementation of actions and corresponding support	Financial mechanism	Multilateral climate change fund	Executive body on finance and technology	Technical panel	Financial mechanism	Technical panel	Verification group	Financial mechanism	Technical panel	Body for measurement, reporting and verification	Compliance mechanism	National body/entity, including national focal point
Register and/or match actions and support	■	■		■									
Develop procedures and standards			■										
Provide recognition of actions	■												
Crediting NAMAs	■												
Compliance, enforcement											■		

Abbreviations. NAMAs, nationally appropriate mitigation actions.

**Table 4. Enhanced action on mitigation – paragraph 1 (b) (iii) of the Bali Action Plan:
matrix of institutional arrangements and their functions**

Institutions \ Functions	Institutional body/specific body	New board	Expert group or committee	Technical panel(s)	Expert body	REDD coordinating mechanism	Measurement and monitoring technical panel	Independent body(ies)	Tream of experts or MRV panel	Regional REDD centres	National body(ies) / entity(ies)
Evaluation, assessment and/or screening											
Implementation											
Oversight, supervision and/or guidance											
Coordination											
Management, administration											
Consultative/advisory											
Capacity-building support											
Monitoring											
Reporting											
Verification/Review/Auditing											
Register and/or match actions and support											

Abbreviations. REDD, reducing emissions from deforestation and forest degradation in developing countries; MRV, measurable, reportable and verifiable.

**Table 5. Enhanced action on mitigation – paragraph 1 (b) (iv) of the Bali Action Plan:
matrix of institutional arrangements and their functions**

Institutions	Independent review structure
Functions	
Evaluation, assessment and/or screening	

**Table 6. Enhanced action on mitigation – paragraph 1 (b) (v) of the Bali Action Plan:
matrix of institutional arrangements and their functions**

Institutions Functions	Body for a NAMA crediting mechanism	Body for a sectoral crediting mechanism	Body for a NAMA crediting and trading mechanism
Oversight, supervision and/or guidance			
Approval and periodic review of reference levels			
Issuance of credits or units			
Accreditation of verification bodies			

Abbreviations. NAMA, nationally appropriate mitigation action.

**Table 7. Enhanced action on mitigation – paragraph 1 (b) (vi) of the Bali Action Plan:
matrix of institutional arrangements and their functions**

Institutions Functions	Forum on response measures
Information/knowledge-sharing, networking	
Coordination	
Consultative/advisory	
Evaluation, assessment and/or screening	
Facilitation	
Capacity-building support	
Reporting	
Technical advice and/or support	
Implementation	

**Table 8. Enhanced action on the provision of financial resources and investment:
matrix of institutional arrangements and their functions**

Institutions Functions	Executive body on finance and technology for mitigation	Executive body on finance and technology for adaptation	Technical panel	Board of financial mechanism	Technical bodies	Expert group for funds	Convention adaptation fund	Multilateral climate change fund board	Body to support board	Technology advisory panel	World climate change fund executive council	World climate change fund supporting bodies	Multilateral adaptation fund	Mechanism with set aside reserve	Global fund for feed-in tariff programmes	Special fund for economic and social consequences and Article 4.8(h) of the Convention
Reporting to COP																
Oversight, supervision and operational guidance																
Coordination																
Assessment of financial needs																
Management, administration																
Consultative/advisory																
Technical advice and/or support																
Monitoring																

Abbreviations. COP, Conference of the Parties.

Table 8 (continued)

<div style="text-align: center;">Institutions</div> <div style="text-align: center;">Functions</div>	Executive body on finance and technology for mitigation	Executive body on finance and technology for adaptation	Technical panel	Board of financial mechanism	Technical bodies	Expert group for funds	Convention adaptation fund	Multilateral climate change fund board	Body to support board	Technology advisory panel	World climate change fund executive council	World climate change fund supporting bodies	Multilateral adaptation fund	Mechanism with set aside reserve	Global fund for feed-in tariff programmes	Special fund for economic and social consequences and Article 4.8(h) of the Convention
Reporting																
Verification/Review/Auditing																
Compliance and enforcement																
Linkages with national coordinating/funding entities																

**Table 9. Enhanced action on development and transfer of technology:
matrix of institutional arrangements and their functions**

Institutions Functions	Executive body on technology	Executive body on technology	Executive body on finance and technology	Technology committee or panel	Enhanced EGTT	New body on technology and financing	Advisory group for sectoral technology cooperation	Technology development and transfer facility	Technology leveraging service	Technology innovation centres; cooperative technology development centres
Planning										
Evaluation, assessment and/or screening										
Implementation										
Oversight, supervision and/or guidance										
Facilitation										
Coordination										
Consultative/advisory										
Technical advice and/or support										
Technology support										

Table 9 (continued)

Institutions Functions	Executive body on technology	Executive body on technology	Executive body on finance and technology	Technology committee or panel	Enhanced EGTT	New body on technology and financing	Advisory group for sectoral technology cooperation	Technology development and transfer facility	Technology leveraging service	Technology innovation centres; cooperative technology development centres
	Capacity-building support	■	■	■		■		■	■	■
Financial support	■	■	■	■	■					
Monitoring	■	■	■		■	■				
Reporting	■	■	■	■	■	■				
Verification/Review/Auditing	■	■	■	■		■				
Register and/or match actions and support			■					■	■	
Reporting to COP	■	■	■	■	■		■	■		

Abbreviations. EGTT, Expert Group on Technology Transfer; COP, Conference of the Parties.

Table 10. Capacity-building: matrix of institutional arrangements and their functions

<div style="text-align: center;">Institutions</div> <div style="text-align: left;">Functions</div>	Multilateral fund for capacity-building	Financial mechanism to support mitigation, adaptation, technology transfer and capacity-building	Capacity development and support mechanism	Technical panel on capacity-building for adaptation	Technical panel on capacity-building for mitigation
Report to COP					
Financial support					
Oversight, supervision and/or guidance					
Facilitation					
Coordination					
Technical advice and/or support					
Monitoring					
Evaluation, assessment and/or screening					
Compliance, enforcement					
Information/knowledge-sharing, networking					

Abbreviations. COP, Conference of the Parties.