



UNFCCC ITL Administrator

**Standard Independent Assessment Report  
Assessment Report  
Part 1 - Completeness**

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**Document change record**

<b>Version</b>	<b>Date</b>	<b>Description</b>
0.1	3/05/2010	Initial draft
0.2	10/05/2010	Reviewed draft – editorial comments. Items that could not be assessed due to language are left blank with the overall assessment reflecting the requirement that an English submission is required.
0.3	26/05/2010	Revised draft from assessor
1.0	26/05/2010	File references updated for consistency. Ready to send to Party.
1.1	26/07/2010	Updated per feedback from Party.
2.0	27/07/2010	Assessment reviewed and updated after receipt of Party feedback.

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## Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	<UKRAINE>	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<p>Files submitted:</p> <p>[SEF] - SEF_UA_2010_1_10-26-39 14-4-2010.xls</p> <p>[NIR] - Ukraine_NIR_2010.pdf</p> <p>Ukraine_Response.pdf</p> <p>UKR-2010-NIR-21_05_2010-_sub.2_.pdf</p> <p>SEF_UA_2010_1_22-53-26+8-7-2010.xls</p>	<p>Information from the ITL Administrator:</p> <p>[RRITL]- SIAR_Reports_2009_UA_v1.1.xls</p> <p>[SEF CR] - UKR_SEF_2010_1_10-26-39 14-4-2010-CR</p> <p>SEF_UA_2010_1_22-53-26+8-7-2010-CR.xls</p>
P1.0.4	Previous annual review report reference	FCCC/ARR/2008/UKR (30/01/2009)	

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## 1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

### 1.1. Overall assessment\*

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA

\* The provided information (National inventory report –NIR 2010), was in the language of Ukraine. As such, completion of this Part 1 SIAR reassessment was not possible. Consequently, a negative assessment is currently provided when the assessment input is in this language. However it was possible to assess the English version the URL pages of the public site of the UA registry. The Party is requested to provide an English version of its NIR submission, along with any other relevant materials (including SIAR reports R2-R5) so that the assessment can be completed.

In a communication dated 18 June 2010, the Party indicated that there was a change in leadership and in many key personnel positions within the National Environmental Investment agency of Ukraine. The Party indicates that transition difficulties have limited their ability to respond to SIAR requirements.

## Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 <b>Standard electronic format (SEF)</b>			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in and SEF-UA_2010_1_22-53-26+8-7-2010.xls and SEF-UA_2010_1_22-53-26+8-7-2010-CR.xls .
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report SEF-UA_2010_1_22-53-26+8-7-2010.xls was made available to assessors by 08/07/2010.
15/CMP.1 annex I.E paragraph 12 <b>Discrepant transactions</b>			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see <a href="#">[RRITL]</a> , Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible *

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 <b>Reversal of storage notifications</b>			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see <a href="#">RRITL</a> , Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible *
15/CMP.1 annex I.E paragraph 14 <b>Non-certification notifications</b>			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see <a href="#">RRITL</a> , Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible *
15/CMP.1 annex I.E paragraph 15 <b>Non-replacement</b>			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see <a href="#">RRITL</a> , Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible *

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
<b>Inconsistencies from discrepant transactions</b>			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepant transactions proposed by the Party that were not terminated or cancelled .
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible *
15/CMP.1 annex I.E paragraph 17			
<b>Actions and changes to address discrepancies</b>			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible *
15/CMP.1 annex I.E paragraph 18			
<b>CPR Calculation</b>			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible *

## 2. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]



Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security  Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information  Has the Party reported a change to the list of publicly accessible information?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address  Has the Party reported a change to the Internet address of the national registry?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure  Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results  Has the Party reported a change to the results of any test procedures?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible * for the [NIR]

### 3. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to the UA Registry public site.</p> <p>The Registry's site is available on the web , in the address: <a href="http://www.carbonunitsregistry.gov.ua/en/">http://www.carbonunitsregistry.gov.ua/en/</a></p> <p>An English version exists for account information.</p>
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>The Registry's site is available on the web , in the address: <a href="http://www.carbonunitsregistry.gov.ua/en/">http://www.carbonunitsregistry.gov.ua/en/</a></p> <p>According to the English version of the URL the Account name is provided.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  According to the English version of the URL the Account type is provided.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/252.htm">http://www.carbonunitsregistry.gov.ua/en/252.htm</a>
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  According to the English version of the URL the commitment period is indicated in the account number.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/">http://www.carbonunitsregistry.gov.ua/en/</a>
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  According to the English version of the URL, the Representative identifier is provided.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/252.htm">http://www.carbonunitsregistry.gov.ua/en/252.htm</a>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm">http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm</a>
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  According to the English version of the URL project information is provided  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/258.htm">http://www.carbonunitsregistry.gov.ua/en/258.htm</a>  Reports on issuance are available.  <a href="http://www.carbonunitsregistry.gov.ua/en/publication/content/680.htm">http://www.carbonunitsregistry.gov.ua/en/publication/content/680.htm</a>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  According to the English version of the URL project name is provided. This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/258.htm">http://www.carbonunitsregistry.gov.ua/en/258.htm</a>
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  According to the English version of the URL project location is provided.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/258.htm">http://www.carbonunitsregistry.gov.ua/en/258.htm</a>
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/publication/content/680.htm">http://www.carbonunitsregistry.gov.ua/en/publication/content/680.htm</a>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/258.htm">http://www.carbonunitsregistry.gov.ua/en/258.htm</a>  According to the English version of the URL, reports are provided via the UNFCCC's web site, although one record does not match with the relevant one in R-5.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a>  According to the English version of the URL, holding and transaction information provided until 30/3/2010 and not for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide reference to the publicly available information for the calendar year 2009.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address:</p> <p><a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version the total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year, is provided until 30/3/2010 and not for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide reference to the publicly available information for the calendar year 2009.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	<p>13/CMP.1 Annex paragraph 47 (b)</p> <p>The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial</p>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address:</p> <p><a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL total quantity of AAUs issued, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide this information for the calendar year 2009 as part of its publicly available information.</p>



Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, total quantity of ERUs issued on the basis of Article 6 projects, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, total quantity of RMUs issued on the basis of each activity provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, total quantity of ERUs, CERs, AAUs and RMUs transferred, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, total quantity of ERUs, CERs, AAUs and RMUs cancelled, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, the total quantity of other ERUs, CERs, AAUs and RMUs cancelled, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, the total quantity of ERUs, CERs, AAUs and RMUs retired,, provided until 30/3/2010 and not only for the calendar year 2009 (at the time of assessment) as the 13/CMP.1 Annex paragraph 47 mentions. The Party should provide the information for the calendar year 2009 as part of its publicly available information.</p>
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	<p>The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.</p> <p>This URL is accessible in the web through the address: <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a></p> <p>According to the English version of the URL, information on the total quantity of ERUs, CERs and AAUs carried over from the previous commitment period, is provided.</p>



Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/257.htm">http://www.carbonunitsregistry.gov.ua/en/257.htm</a>  According to the English version of the URL, information on the current holdings of ERUs, CERs, AAUs and RMUs in each account is provided.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? <sup>1</sup>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The assessor could not fully determine if the Party provided reference to this publicly available information in [NIR]. A URL reference exists in Chapter 12 page 219. This reference leads to UA Registry public site.  This URL is accessible in the web through the address:  <a href="http://www.carbonunitsregistry.gov.ua/en/252.htm">http://www.carbonunitsregistry.gov.ua/en/252.htm</a>  According to the English version, a list of legal entities authorized by Party is provided (noting that there are no legal entities authorized by the Party.)

<sup>1</sup> For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

#### 4. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	As per FCCC/ARR/2008/UKR, section "VIII. Other issues", paragraph 1 on "Changes to the national registry": For future submissions it is recommended that this level of reporting be included in the original submission rather than as separate documents.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible*
P1.5.2	As per FCCC/ARR/2008/UKR, section "VIII. Other issues", paragraph 2 on "Changes to the national registry": The ERT recommends that Ukraine include information on the changes in implementation, operation, maintenance and allocation of human resources for the functioning of the national registry in its next annual submission.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible*
P1.5.3	As per FCCC/ARR/2008/UKR, section "VIII. Other issues", paragraph 3 on "Commitment period reserve": The ERT recommends that Ukraine include information on its commitment period reserve in its next annual submission.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Assessment not possible*
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	