



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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1.0	08/05/2012	Final draft for review by party
1.1	01/06/2012	Reassessment following Party Consultation
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2.0	07/06/2012	Final version 2.0

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	SWEDEN	
P1.0.2	Reporting period	2011	
P1.0.3	Submission under review	<p>Files submitted:</p> <ul style="list-style-type: none"> - [SEF] SEF_SE_2012_1_13-45-42+10-1-2012 - [NIR] SE_NIR+submission+2012.pdf - [NIR ANNEX] SE_NIR+submission+2012+Annex.pdf - [REPORTS] SIAR+Reports+2011-SE+v+1.0 [RELEASE NOTES 5.1] ETR+5.1+Release+Notes_1.2 [RELEASE NOTES 5.2] ETR+5.2+Release+Notes_1.0 [RELEASE NOTES 5.3] ETR+5.3+Release+Notes+1.2 [TEST REPORT 5.1] SFW+V5.1+Test+Report_v1.0 [TEST REPORT 5.2] SFW+V5.2+Test+Report_1.0 [TEST REPORT 5.3] SFW+V5.3+Test+Report+1.1 [RESPONSE 1] Consultation Form Part 1_SE.doc 	<p>Information from the ITL Administrator:</p> <ul style="list-style-type: none"> - [SEFCR] SEF_SE_2012_1_13-45-42+10-1-2012_CR -[RRITL] SIAR_Reports_2011_SE_v1
P1.0.4	Previous annual review report reference	FCCC/ARR/2010/SWE (06/06/2011)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 27/03/2012.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	[] Yes [X] No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	[X] Yes [] No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	[] Yes [X] No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	[X] Yes [] No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	[] Yes [X] No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	[X] Yes [] No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that on actions taken to: <ul style="list-style-type: none"> 1. Improve the reliability of the processing of reconciliation requests sent by the ITL and to improve the accuracy of the Party's responses and 2. To reduce the number of discrepant transactions in production through the introduction of a new message flow.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR], paragraph 12.5.2, page 381.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR], paragraph 14, page 383, the Party states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR], paragraph 14, page 383, the Party states that no changes have been made to its cooperation arrangements during the reporting period.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR], paragraph 14, pages 383 - 385, the Party provides information on registry software upgrades; the reasons behind these upgrades and the improvements and new functionality introduced by such upgrades. The Party that these upgrades have brought improvements in relation to Registry performance, reliability and scalability.</p> <p>In [NIR], paragraph 14, page 387, the Party states that test plans, test reports and release notes for the registry software upgrades (GRETA Version 5.1, 5.2 and 5.3) are provided in [NIR ANNEX].</p>
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In [NIR], paragraph 14, page 385, the Party states that no changes have been made to conformance to technical standards by its national registry.</p>
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In [NIR], paragraph 14, page 385, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No	In [NIR], paragraph 14, page 385,386 the Party states that two factor authentication has been introduced for domestic account representatives during the reporting period. Further improvements have also been introduced by the Party during the reporting period to enhance security within the SE Registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In [NIR], paragraph 14, page 386/7 the Party states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In [NIR], paragraph 14, page 387, the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In [NIR], paragraph 14, page 387, the Party states that no changes have been made to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR], paragraph 14, page 387, the Party states that test plans, test reports and release notes for the registry software upgrades (GRETA Version 5.1, 5.2 and 5.3) are provided in [NIR ANNEX].</p> <p>All system, regression and user acceptance test activities were complete and there were no outstanding issues to prevent general release.</p> <p>The reasons for these upgrades and the high level description of the changes introduced by these software upgrades is provided in [NIR], paragraph 14, pages 383-385.</p>

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], paragraph 12.4, page 378. The Party clarified in [RESPONSE 1] that the CITL is used to display account information together with the SUS Report Tool, which is accessible via the SE registry & Swedish Energy Agency. Utilising such tools, some account information is declared confidential.
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], paragraph 12.4, pages 378,379. The Party clarified in [RESPONSE 1] that the CITL is used to display account information together with the SUS Report Tool, which is accessible via the SE registry & Swedish Energy Agency.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], paragraph 12.4, pages 378,379. The Party clarified in [RESPONSE 1] that the CITL is used to display account information together with the SUS Report Tool, which is accessible via the SE registry & Swedish Energy Agency.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR], paragraph 12.4, pages 378,379.</p> <p>The Party clarified in [RESPONSE 1] that the CITL is used to display account information together with the SUS Report Tool, which is accessible via the SE registry & Swedish Energy Agency.</p> <p>The Party also clarified that the SEF Report will be published on the website.</p>
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [NIR], paragraph 12.4, page 379 that in light of amendments introduced by Article 78 of the revised Registries Regulation that came into force in October 2010 and for security reasons, the representative identification information is held as confidential.</p> <p>The Party clarified in [RESPONSE 1] that the website will be updated to indicate that this specific information is confidential.</p> <p>The Party clarified that the CITL is used to display account information together with the SUS Report Tool, which is accessible via the SE registry & Swedish Energy Agency.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [NIR], paragraph 12.4, page 379 that in light of amendments introduced by Article 78 of the revised Registries Regulation that came into force in October 2010 and for security reasons, the representative identification information is held as confidential.</p> <p>The Party clarified in [RESPONSE 1] that the website will be updated to indicate that this specific information is confidential.</p> <p>The Party clarified that the CITL is used to display account information together with the SUS Report Tool, which is accessible via the SE registry & Swedish Energy Agency.</p>
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in [NIR], paragraph 12.4, page 379 that no Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project as this did not occur in the specified period.</p> <p>The Party also clearly and explicitly states on its website that it has not issued ERUs.</p>
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in [NIR], paragraph 12.4, page 379 that no Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project as this did not occur in the specified period.</p> <p>The Party also clearly and explicitly states on its website that it has not issued ERUs.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that no Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project as this did not occur in the specified period. The Party also clearly and explicitly states on its website that it has not issued ERUs.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that no Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project as this did not occur in the specified period. The Party also clearly and explicitly states on its website that it has not issued ERUs.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that no Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project as this did not occur in the specified period. The Party also clearly and explicitly states on its website that it has not issued ERUs.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation. A public report (Kyoto Units in the Swedish ETR) available via the website of the Swedish Energy Agency states that this information is confidential until 15 January 2016.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], paragraph 12.4, page 378, 379. A public report (Kyoto Units in the Swedish ETR) is available via the website of the Swedish Energy Agency.
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that no Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project as this did not occur in the specified period. The Party also clearly and explicitly states on its website that it has not issued ERUs. A public report (Kyoto Units in the Swedish ETR) available via the website of the Swedish Energy Agency indicates ZERO ERUs issued in the reporting period.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation. A public report (Kyoto Units in the Swedish ETR) available via the website of the Swedish Energy Agency states that this information is confidential until 15 January 2015.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 380 that it does not perform LULUCF activities and therefore does not issue RMUs. A public report (Kyoto Units in the Swedish ETR) available via the website also indicates ZERO RMUs issued in the reporting period.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation. A public report (Kyoto Units in the Swedish ETR) available via the website of the Swedish Energy Agency states that this information is confidential until 15 January 2016.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 380 that no ERUs, CERs, AAUs and RMUs have been cancelled on the basis of activities under Article 3, paragraphs 3 and 4 to-date. A public report (Kyoto Units in the Swedish ETR) available via the website also indicates ZERO ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 380 that no ERUs, CERs, AAUs and RMUs have been cancelled by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 to-date. A public report (Kyoto Units in the Swedish ETR) available via the website also indicates ZERO ERUs, CERs, AAUs and RMUs cancelled by the Compliance Committee where the Party is not in compliance with its commitment under Article 3, paragraph 1.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], paragraph 12.4, page 378. A public report (Kyoto Units in the Swedish ETR) available via the website indicates the quantity of units so cancelled.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 380 that retired units are presented in the SEF report. The Party clarified in [RESPONSE 1] that the SEF report will be made publicly available on the Party website.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 380 that there is no previous commitment period to carry ERUs, CERs and AAUs over from. A public report (Kyoto Units in the Swedish ETR) available via the website also indicates as ZERO, the total quantity of ERUs, CERs, AAUs carried over from the previous commitment period.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party notes in [NIR], paragraph 12.4, page 379 that holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation. A public report (Kyoto Units in the Swedish ETR) available via the website of the Swedish Energy Agency states that this information is confidential until 15 January 2016.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party provides reference to this publicly available information in [NIR], paragraph 12.4, page 379. Party notes "The list of legal entities that currently have party holding accounts in the Swedish registry can be found through a report tool on the following public website: http://www.energimyndigheten.se/en/International/Instruments/EU-ETS---Implementation-in-Sweden/EUETS/Reports/SUS-Report-Tool/ " The Party clarified in [RESPONSE 1] that the CITL is used to display account information together with the SUS Report Tool, which is accessible via the SE registry & Swedish Energy Agency.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report	Has Party covered the recommendation in its submission?	Comment
1.5.1	None	<input type="checkbox"/> Yes <input type="checkbox"/> No	The Party notes in [NIR], paragraph 14, page 387 that there are no recommendations to address from the previous annual review.