



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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Document change record

Version	Date	Description
0.1	27/04/2010	Initial Draft
1.0	05/05/2010	Revisions including edits to Section 4 and references to previous annual review report in Section 5
1.1	28/05/2010	Draft revised according to Party's clarification
2.0	03/06/2010	Review for consistency

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Slovakia	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_SK_2010_1_11-13-46 31-3-2010.xls - [NIR] SVK_NIR_2010_15_04_v1.pdf - [REGR2] SVK-SIAR-R-2-2009_v1.0.xls - [REGR3] SVK-SIAR-R-3-2009_v1.0(2).xls - [REGR4] SVK-SIAR-R-4-2009_v1.0.xls - [REGR5] SVK-SIAR-R-5-2009_v1.0(2).xls - [RESPONSE1] SK_SIAR Consultation Form on Draft Part 1 v4.3_final.doc	Information from the ITL Administrator: - [SEFCR] SVK_SEF_2010_1_11-13-46+31-3-2010-CR.xls -[RRITL] SIAR_Reports_2009_SK_v1.1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/SVK (09/12/2009)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia has transferred and received acquired Kyoto Protocol units during 2009 as indicated in [SEF].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia's [SEF] ("SEF_SK_2010_1_11-13-46 31-3-2010.xls") was made available to assessors by 15/04/2010. SEF report is also available through UNFCCC website.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepant transactions that correspond to applicable discrepant DES transaction codes proposed by Slovakia during 2009 as it is reported in [RRITL] and in "SVK-SIAR-R-2-2009_v1.0.xls". All other transactions terminated with other than discrepant response codes are within control limits. The national registry of Slovakia experienced no discrepant transaction during the reporting period as reflected in R2.

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia reported in its [NIR] (page 86) that no discrepant transactions occurred in 2009; R2 table is reported with an empty list [REGR2]. The information has been verified and matches the ITL records.
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Slovakia's national registry during 2009.
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia reported in its [NIR] (page 86) that no reversal of storage notifications were received in registry; R3 table is reported with an empty list [REGR3]. The information has been verified and matches the ITL records.
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to Slovakia's national registry during 2009.
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia reported in its [NIR] (page 86) that no non-certification notifications were received and R3 table is reported with an empty list [REGR3]. The information has been verified and matches the ITL records.
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to Slovakia's national registry during 2009.
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia reported in its [NIR] that no non-replacement notifications were received and R4 table is reported with an empty list [REGR4]. The information has been verified and matches the ITL records.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by Slovakia that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia reported R5 table as an empty list [REGR5]. It is clearly stated that no invalid units are in the Slovakia's registry in [NIR] (page 86).
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia reported that no discrepancies occurred in 2009 so any actions weren't necessary.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Slovakia reported the calculation of its commitment period reserve (CPR) in chapter 12.5 of its [NIR] (page 82).

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to its cooperation arrangements.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the database and capacity of its national registry.
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the conformance to technical standards by its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In chapter 14.1 [NIR] (page 85), Slovakia states that no changes have been made to the results of its test procedures.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia states in it [NIR] (page 86) that account information is available in registry website. Slovakia's national registry website address is reported in different chapter – chapter 1.2.2 of [NIR] (page 21). The account information can be downloaded from public part of registry website.
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia states in it [NIR] (page 86) that account information is available in registry website. The account information can be downloaded from public part of registry website.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia states in it [NIR] (page 86) that account information is available in registry website. The account information can be downloaded from public part of registry website.
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia states in it [NIR] (page 86) that account information is available in registry website. The account information can be downloaded from public part of registry website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia states in it [NIR] (page 86) that account information is available in registry website. In downloaded report "List of accounts opened in the national registry" for 2009 the representative identifier (country code and unique number) can't be found. In [RESPONSE1] Slovakia reported that this information is not confidential and will be made publicly available. Slovakia will make the changes in its national registry software to add this information in its public reports.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia states in its [NIR] (page 86) that account information is available in registry website. The information of the representatives of the accounts can be downloaded from public part of registry website.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia reports in it [NIR] (page 86) that Information about JI project is available on www.enviro.gov.sk . In [RESPONSE1] Slovakia reported that the information and the data of Article 6 projects will be made publicly available within a month. It is recommended to include all information in Party's next NIR including particular address of the website and made the information available also in English.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia reports in it [NIR] (page 86) that Information about JI project is available on www.enviro.gov.sk . In [RESPONSE1] Slovakia reported that there is one Article 6 project in Slovakia - Landfill gas recovery in the Slovak Republic".

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia reports in it [NIR] (page 86) that Information about JI project is available on www.enviro.gov.sk . In [RESPONSE1] Slovakia reported particular information of project's location including project implementation organisation location and all the cities where project will be implemented.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia reports in it [NIR] (page 86) that Information about JI project is available on www.enviro.gov.sk . In [RESPONSE1] Slovakia reported that this issue is not applicable yet.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia reports in it [NIR] (page 86) that Information about JI project is available on www.enviro.gov.sk . In [RESPONSE1] Slovakia reported that this issue is not applicable yet.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential and notes on the homepage of its national registry: “All information, including the holdings of all accounts and all transactions made, held in the Slovak registry shall be considered confidential for any purpose other than the implementation of the requirements of the Commission Regulation 2216/2004, Directive 2003/87/EC and Act of Parliament 572/2004 Coll.” However, partial public reports of account and transaction information can be downloaded from Slovakia’s registry website public part.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. No report can be downloaded from Slovakia’s registry website public part. Only total amount can be found in Table 1 of [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. A report can be downloaded from Slovakia’s registry website public part for 2008 (https://co2.dexia.sk/WebFormEtat.aspx?ETAT=SyntheseOperations&ANNEE=2008). Amount of issued AAU pursuant Article 3.7 and 3.8 can be found in Table 5(a) of [SEF] (“SEF_SK_2010_1_11-13-46 31-3-2010.xls”).

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. No report can be downloaded from Slovakia's registry website public part. Information can be found in Table 2(a) of [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. No report can be downloaded from Slovakia's registry website public part. Information can be found in Table 2(b) of [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. No report can be downloaded from Slovakia's registry website public part although issuance for other unit types is available under "8-Annual summary of quantity of units per type of operation made in the national registry". Information can be found in Table 2(a) of [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. Partial reports can be downloaded from Slovakia's registry website public part that lack identity of accounts. Information can be found in Table 2(b) of [SEF] but [SEF] is not a public report on the registry website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. A generic report can be downloaded from Slovakia's registry website public part as "8-Annual summary of quantity of units per type of operation made in the national registry" but the specific Article 3, paragraphs 3 and 4 information is not identifiable. Information can be found in Table 3 of [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. A generic report can be downloaded from Slovakia's registry website public part as "8-Annual summary of quantity of units per type of operation made in the national registry" but the specific Article 3, paragraph 1 information is not identifiable. Information can be found in Table 3 of [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. No report can be downloaded from Slovakia's registry website public part. Information can be found in Table 3 of [SEF] but [SEF] is not a public report on the registry website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. A partial report can be downloaded from Slovakia's registry website public part but does not show each unit type for each year. Information can be found in Table 2a of [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. No report can be downloaded from Slovakia's registry website public part. Information can be found in [SEF] but [SEF] is not a public report on the registry website.
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) that holding and transaction information is confidential. No report can be downloaded from Slovakia's registry website public part.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Slovakia stated in its [NIR] (page 86) information about legal entities is public and accessible through registry's website. The information of legal entities can be downloaded from public part of registry website.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission? [x] Yes [] No	Comment
P1.5.1	<p>As stated in the previous annual review report [FCCC/ARR/2009/SVK] dated 9 December 2009 in Paragraph 156:</p> <p>“However, the SIAR identified the following issues: a lack of detail on information that is publicly available, including a lack of transparency on the status of joint implementation projects in the country and a lack of transparency regarding what information on holdings and transactions is confidential. In response to questions raised by the ERT during the review, Slovakia revised the information that is publicly available under its national registry, and provided access to the annual summary of the quantity of units made in the national registry according to the type of operation. The Party explained that it intends to improve the registry website by providing more information on the status of information related to activities under Article 6 of the Kyoto Protocol. The ERT recommends that Slovakia further enhance access to this information and report thereon in its next annual submission.”</p> <p>And as stated in paragraph 169:</p>	<p>[x] Yes [] No</p>	<p>As Slovakia notes in Chapter 14.1 of its [NIR] (page 86):</p> <ul style="list-style-type: none"> • Each of the points to report for public information has been provided in a separate paragraph of the [NIR]. • The confidentiality issue is reported in Slovakia's [NIR] and a statement declaring information on account holdings and transaction as confidential on the main page of Slovakia's registry - https://co2.dexia.sk/main.aspx?SessionLost=true&LANGUE=en. • It is stated in Slovakia's [NIR] that there is one JI project in Slovakia and information is available in Slovakia's Ministry of Environment website www.enviro.gov.sk <p>In [RESPONSE1] Slovakia provided information on the Article 6 project in Slovakia and reported that this information will be made publicly available within a month (RESPONSE1 was submitted on 17 May 2010).</p>

	<p>“... the ERT noted from the SIAR that Slovakia’s annual submission lacks detail on the information that is publicly available, and reiterated the recommendation made in the SIAR that Slovakia enhance access to this information and report thereon in its next annual submission.”</p>		
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