



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 – Completeness

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Document change record

Version	Date	Description
0.1	13/07/2017	Initial version
0.2	25/07/2017	Assessed and Reviewed
0.3	11/08/2017	Finalized after responses from the Party on version 0.2
1.0	30/08/2017	Final report

Summary

Ref Nr.	Description	
P1.0.1	Party name	Ukraine
P1.0.2	Reporting period	2016
P1.0.3	Submission under review	<p>Files submitted:</p> <ul style="list-style-type: none"> • [SEF] –CP2 MISSING • [SEF] – CP1 RREG1_UA_2016_1_1_20161231 • [NIR] Ukraine_NIR_2017.pdf • [NIR2015] UKR_NIR_2015 • [REPORTS] Annual review report 2016: http://unfccc.int/resource/docs/2017/arr/ukr.pdf • [RESPONSE] N/A • [ANNEX] N/A <p>Information from the ITL Administrator:</p> <ul style="list-style-type: none"> • [SEFCR] CP2 – REQUIRES SEF • [SEFCR] – CP1 CR_RREG1_UA_2016_1_1_20161231.xlsx • [RITL] RITL2_UA_2016.xlsx RITL3_UA_2016.xlsx RITL4_UA_2016.xlsx RITL5_UA_2016.xlsx
P1.0.4	Previous annual review report reference	FCCC/ARR/2016/UKR - Report on the individual review of the annual submission of Ukraine submitted in 2016.

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1. Overall Assessment Summary

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry.

Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P.1.2.2 P.1.2.4 P.1.2.6 P.1.2.8 P.1.2.10 P.1.2.12 P.1.2.13 P.1.3.1 P.1.3.2 P.1.3.3 P.1.3.4 P.1.3.5 P.1.3.6 P.1.3.8 P.1.3.9 P.1.3.10
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P.1.4.3 P.1.4.3.1 P.1.4.3.2 P.1.4.3.3 P.1.4.3.4 P.1.4.3.5 P.1.4.3.6 P.1.4.3.7 P.1.4.3.8 P.1.4.3.9 P.1.4.3.10 P.1.4.3.11 P.1.4.3.12
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA P.1.5.1 P.1.5.2 P.1.5.3

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has performed transactions of CP1 units prior to 31 December 2016. However, no transactions of CP2 units were performed as at 15 July 2017.
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party has not submitted a CP2 SEF and thus the overall submission by the Party cannot be compared with ITL records. The Party is obliged to make its SEF report for CP2 [SEF] available to assessor.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party reported in [NIR 2017] Section 12.4, page 319 that information on discrepancies and notifications in the Registry is presented in Table 12.1 of the NIR submitted in 2015. The information cannot be compared with the ITL list, therefore, the assessor recommends the Party to include in [NIR] Section 12.3 a clear statement saying that no discrepancies occurred during the reported period.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RITL] R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party reported in [NIR 2017] Section 12.4, page 319 that information on discrepancies and notifications in the Registry is presented in Table 12.1 of the NIR submitted in 2015. The information cannot be compared with the ITL list, therefore, the assessor recommends the Party to include in [NIR] Section 12.3 a clear statement saying that no list of CDM notifications were received by its national registry during the reported year.
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RITL] R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party reported in [NIR 2017] Section 12.4, page 319 that information on discrepancies and notifications in the Registry is presented in Table 12.1 of the NIR submitted in 2015. The information cannot be compared with the ITL list, therefore, the assessor recommends the Party to include in [NIR] Section 12.3 a clear statement saying that non-certification notifications were addressed to its national registry during the reported year.
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL] R-3).

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>The Party reported in [NIR 2017] Section 12.4, page 319 that information on discrepancies and notifications in the Registry is presented in Table 12.1 of the NIR submitted in 2015.</p> <p>The information cannot be compared with the ITL list, therefore, the assessor recommends the Party to include in [NIR] Section 12.3 a clear statement saying that no non-replacement notifications were addressed to its national registry during the reported period.</p>
<p>CMP.1 annex I.E paragraph 16</p> <p>Inconsistencies from discrepant transactions</p>			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>The Party reported in [NIR 2017] Section 12.4, page 319 that information on discrepancies and notifications in the Registry is presented in Table 12.1 of the NIR submitted in 2015.</p> <p>The information cannot be compared with the ITL list, therefore, the assessor recommends the Party to include in [NIR] Section 12.3 a clear statement saying that the Party's registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.</p>
<p>15/CMP.1 annex I.E paragraph 17</p> <p>Actions and changes to address discrepancies</p>			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In [NIR] 2017, section 14, page 323, the Party did not make any statement whether any actions were taken to correct any problem that caused discrepancies from occurring, or to prevent discrepancies from reoccurring for the period under the review.</p> <p>The assessor recommends the Party to make a detailed description in [NIR] of the changes and the documented results of the change should be submitted in accordance with the requirements for [NIR] section 14, or make a clear statement that no actions were taken for the period under review.</p>
<p>15/CMP.1 annex I.E paragraph 18</p> <p>CPR Calculation</p>			

Ref Nr	Requirement	Assessment	Comments
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR] section 12.5, page 319.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the name or contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the cooperation arrangement.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the database or capacity of the national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the name or contact information of the registry administrator.
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the discrepancy procedures.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the security measures.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 12.4, page 319 the Party states that the information previously reported related to public information is still relevant.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the internet address of the registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the data integrity measures.
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], Section 14.1, page 323 the Party refers to the chapter 12.1, which does not contain any indication that there was, or that there was not, a change to the test results.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available. The assessor should go to the webpage by the URL provided in the submission to determine if the information has been successfully made public. If the information is only found in the NIR that does not satisfy the requirements and should be negatively assessed. See the Publicly Available Information Guidance document for additional clarification.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/258.htm The assessor still recommends the Party make a statement both in [NIR] and at http://www.carbonunitsregistry.gov.ua/en/269.htm that no Article 6 projects are hosted by the Party in the specified period.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/258.htm
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/258.htm
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Year of ERU issuance appear in column 8 of the table available on the working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/258.htm
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/258.htm

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/257.htm Since the information for 2015 and 2016 is not accessible, the assessor recommends the Party complement both [NIR] and the publicly available website at the above URL by providing the latest SEF tables.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR], Section 12.4 which refers to NIR 2015 (see page 544). Working URL as at 25 July 2017: http://www.carbonunitsregistry.gov.ua/en/publication/content/681.htm

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the [most recently published annual review](#), this section should list them and the party's annual submission is checked to see that each has been addressed and satisfies each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	ARR, G.3: "The ERT further recommends that Ukraine ensure the proper functioning of the national registry and that it meet the requirements specified in section II of the annex to decision 13/CMP.1 and the detailed technical requirements for national registries defined in the data exchange standards".	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA	<p>The recommendation was partially addressed in NIR 2017, Section 12, pages 316-319.</p> <p>The assessor recommends the Party to add references to the ERT's recommendation next to the explanation of how this recommendation was covered and provide additional information on the compliance of the technical standards.</p>
P1.5.2	ARR, G.4: "The ERT recommends that the Party update the information on the national registry website (carbonunitsregistry.gov.ua) and ensure that the publicly available information is up to date (i.e. updated as close to real time as possible, but updated on a monthly basis at a minimum). Further, the ERT recommends that the Party include up-to-date account information, project information under Article 6 of the Kyoto Protocol , holding and transaction information, and a list of legal entities authorized by the Party".	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA	<p>Recommendation has not been addressed by the Party in NIR.</p> <p>In its response to the Standard Independent Assessment Report Part 1, version 0.2, Party stated that the information at the website carbonunitsregistry.gov.ua would be updated until the end of August 2017.</p>

P1.5.3	ARR, G.5: "The ERT recommends that the Party report information on Kyoto Protocol units in accordance with decision 15/CMP.1 and decision 3/CMP.11".	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA	<p>Recommendation has not been addressed by the Party in NIR.</p> <p>In its response to the Standard Independent Assessment Report Part 1, version 0.2, Party stated that the latest SEF tables were uploaded to the UNFCCC submission portal on 21st July 2017.</p> <p>However, Party did not yet provide SEF tables for CP2.</p>
P1.5.4	ARR, G.6: "The ERT reiterates the recommendation in the SIAR that the Party prepare and submit a disaster recovery plan and the other information collected annually on the registry transactions and security".	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA	<p>Recommendation has not been addressed by the Party in NIR.</p> <p>In its response to the Standard Independent Assessment Report Part 1, version 0.2, Party stated that a disaster recovery plan as well as other information collected annually on the registry transactions and security will be submitted to the ERT</p>
P1.5.5	ARR, G.2: "The ERT recommends that Ukraine present the revised value of the CPR in the next NIR using the latest inventory submission as the basis for the calculation of the CPR in accordance with the annex to decision 13/CMP.1, the annex to decision 11/CMP.1 and decision 1/CMP.8, paragraph 18, and that the Party take into account the provisions of decision 13/CMP.1, annex, paragraph 8 quinquiesc".	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA	<p>Recommendation has been addressed by the Party in [NIR], section 12.5, page 319, by using the latest inventory submissions multiplied by eight for calculating the CPR.</p>

