



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 – Completeness

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2.0	05/09/2016	Final reports

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Ukraine	
P1.0.2	Reported year	2015	
P1.0.3	Submission under review	Files submitted: - [SEF] - MISSING - [NIR] Ukraine_NIR_2016_eng - [IR] Ukraine's Initial Report CP2 - [RESPONSE] UA Response to draft assessment_form_P12.docx	Information from the ITL Administrator: - [SEFCR] – Requires [SEF] -[RRITL] ITL R2-R5 RITL2_UA_2015 RITL3_UA_2015 RITL4_UA_2015 RITL5_UA_2015
P1.0.4	Previous annual review report reference	FCCC/ARR/2015/UKR 06/04/2016	

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1. Overall Assessment Summary

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 1.2.2 - 1.2.13 1.3.9 1.3.11
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P1.4.1 – P1.4.4 (Information not up to date)
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party did not provide CP2 SEF for 2014 and 2015. The Party refers in [NIR] Section 12.2 to the NIR submitted in 2015. This report does not include information relevant to the reporting period. RITL1 (SEF) shows that the Party did not transfer or acquire any Kyoto Protocol units in the reporting period. RITL1 (SEF) shows that the Party did transfer or acquire any Kyoto Protocol units in the years prior to the reporting year
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party did not provide CP2 SEF for 2014 and 2015. The Party refers in [NIR] Section 12.2 to the NIR submitted in 2015. This report does not include information relevant to the reporting period. In [RESPONSE] Party states it wishes to correct the shortcomings stated there caused by outage of the Ukrainian Registry 03.08.2015 – 03.08.2016. Only on 23 August 2016 the operational status of UA Registry was changed and reconciliation completed successfully also. Further In [RESPONSE] Party reiterates that Information on Standard Electronic Format Tables (SEF) was provided in NIR 2015 and remains its relevance. Since end 2014 no transactions were performed
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			

Ref Nr	Requirement	Assessment	Comments
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party refers in [NIR] Section 12.3 to the NIR submitted in 2015. This report does not include information relevant to the reporting period. The ITL records (see [RRITL], report R-2 show that no discrepancies occurred during the reported period. .
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party refers in [NIR] Section 12.3 to the NIR submitted in 2015. This report does not include information relevant to the reporting period. The ITL records (see [RRITL], report R-3 show that no reversal of storage notifications occurred during the reported period.
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party refers in [NIR] Section 12.3 to the NIR submitted in 2015. This report does not include information relevant to the reporting period. The ITL records (see [RRITL], report R-3 show that no non-certification notifications occurred during the reported period.
15/CMP.1 annex I.E paragraph 15 Non-replacement			

Ref Nr	Requirement	Assessment	Comments
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party refers in [NIR] Section 12.3 to the NIR submitted in 2015. This report does not include information relevant to the reporting period. The ITL records (see [RRITL], report R-3 show that no non-replacements occurred during the reported period.
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party refers in [NIR] Section 12.3 to the NIR submitted in 2015. This report does not include information relevant to the reporting period
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled. The Party refers in [NIR] Section 12.3 to the NIR submitted in 2015. This report does not include information relevant to the reporting period
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR] Section 12.5.

Ref Nr	Requirement	Assessment	Comments

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period. Party did not submit a disaster recovery plan as part of the NIR submission in line with <u>FCCC/SBI/2015/10</u> ,
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section12.2 the party states that 03.08.2015 was the last day of the Registry's functioning. In [NIR] Section14.1, the Party states that no changes have been made during the reported period.
P1.3.11	1/CMP.8 paragraph 23 PPSR account Has the Party established a previous period surplus reserve (PPSR) account in its national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The assessor was unable to find reference in [INITIAL REPORT] as to whether or not the Party established a previous period surplus reserve account in its national registry.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available. The assessor should go to the webpage by the URL provided in the submission to determine if the information has been successfully made public. If the information is only found in the NIR that does not satisfy the requirements and should be negatively assessed. See the Publicly Available Information Guidance document for additional clarification.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to http://www.carbonunitsregistry.gov.ua in NIR Section 12.1</p> <p>Account information is made available at: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm and was last updated 25/07/2015.</p> <p>The assessor notes however that the published information is not up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).</p> <p>The Party reports in [NIR] section 12.1 “On August 3, 2015, the technical administrator of the Registry stopped providing to the State Environmental Investment Agency of Ukraine services to support functioning of the Registry. Since then, the connection with the International Transaction Log was discontinued, and no new data were introduced into the Registry.” However, a similar statement is not available on http://www.carbonunitsregistry.gov.ua.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Account information is made available at: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.1
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Account information is made available at: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.1
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Account information is made available at: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.1
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Account information is made available at: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.1
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Account information is made available at: http://www.carbonunitsregistry.gov.ua/en/publication/content/691.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.1

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Article 6 project information is made available at http://www.carbonunitsregistry.gov.ua/en/258.htm and was last updated 20/07/2015. While the assessor recognizes that Article 6 project information is clearly reported per the sub-items P1.4.2.x,, the published information is not up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Article 6 project information is made available at http://www.carbonunitsregistry.gov.ua/en/258.htm and was last updated 20/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.2
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Article 6 project information is made available at http://www.carbonunitsregistry.gov.ua/en/258.htm and was last updated 20/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.2
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Article 6 project information is made available at http://www.carbonunitsregistry.gov.ua/en/258.htm and was last updated 20/07/2015. "Total ERU issued" is listed, however it does not indicate the specific year of issuance. Further the information is not up to date. See response in P1.4.2

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Article 6 project information is made available at http://www.carbonunitsregistry.gov.ua/en/258.htm and was last updated 20/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.2

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015.</p> <p>On its public website, the Party does not provide up to date Holding and transaction information. The most recent data reflects Holding and Transaction information 31.12.2014 posted on 25.07.2015. Further the public website does not provided any holding and transaction information related to CP2.</p> <p>While the assessor recognizes that information for previous years is clearly reported per the sub-items P1.4.3.x,, the published information is not up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).</p> <p>http://www.carbonunitsregistry.gov.ua/en/publication/content/1234.htm</p> <p>The Party reports in [NIR] section 12.1 “On August 3, 2015, the technical administrator of the Registry stopped providing to the State Environmental Investment Agency of Ukraine services to support functioning of the Registry. Since then, the connection with the International Transaction Log was discontinued, and no new data were introduced into the Registry.” However a similar statement is not available on http://www.carbonunitsregistry.gov.ua</p>
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015.</p> <p>The information is provided in a clear format but is not up to date. See response in P1.4.3</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Holding and transaction information is available at http://www.carbonunitsregistry.gov.ua/en/publication/content/695.htm and was last updated 25/07/2015. The information is provided in a clear format but is not up to date. See response in P1.4.3
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Information on Entities Authorized to hold Units is made available at http://www.carbonunitsregistry.gov.ua/en/publication/content/681.htm and was last updated 25/07/2015. Party notes that "No legal entities are authorized by Ukraine to hold ERUs, CERs, AAUs and/or RMUs under its responsibility." While the assessor recognizes that the information for is clearly reported, the published information is not up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the most recently published annual review, this section should list them and the party's annual submission is checked to see that each has been addressed and satisfies each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	No recommendations were provided to the Party in FCCC/ARR/2015/UKR	<input type="checkbox"/> Yes <input type="checkbox"/> No	N/A
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	