



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness

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Document change record

Version	Date	Description
0.1	16/12/2015	Initial draft
0.2	23/12/2015	Review of initial draft
1.0	23/12/2015	Draft 1.0 ready for distribution to Party
1.1	27/01/2016	Updated draft after Party response
2.0	29/01/2016	Final version 2.0

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Latvia	
P1.0.2	Reporting period	2014	
P1.0.3	Submission under review	Files submitted: - [SEF] RREG1_LV_2014.xlsx - [NIR] LV_NIR 2015.pdf - [Response] Consultation_form_P12 LV	Information from the ITL Administrator: - [SEFCR] CR_RREG1_LV_2014.xlsx - [ITL REPORTS R2-R5] RITL2_LV_2014.xlsx RITL3_LV_2014.xlsx RITL4_LV_2014.xlsx RITL5_LV_2014.xlsx
P1.0.4	Previous annual review report reference	FCCC/ARR/2014/LVA (13/03/2015)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No See 1.2.14
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No See P1.4.2.3 and recommendations included in that section
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA See P.1.5.2

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 15/04/2015.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).</p> <p>There is a discrepancy on this regard in the [NIR]. Section 11.3.2 on the List of CDM notifications states "CDM notifications – reversal of storage notifications, non-certification notifications were received in the reporting period 2014. The report- R3: List of CDM notifications is reported empty."</p> <p>The assessor understands this discrepancy in the text as a typo in the first sentence as the second clearly states that R3 report is empty and matches with the ITL records.</p>

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 15			
Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that the report -R3: List of CDM notification is reported empty. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify any discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that there were no actions necessary to undertake to correct problems that caused discrepancies from occurring or to prevent discrepancies from reoccurring because cancelled and terminated transactions in 2014 were not considered discrepant according to DES.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party didn't report the calculation of its commitment period reserve (CPR) in [NIR]. In [Response] the Party informed that Latvia's 2015 submission was the official submission under the UNFCCC but not an official submission under the Kyoto Protocol therefore calculation of the commitment period reserve has not been included in the 2015 NIR by Latvia. The party informed that information on CPR will be included in the 2016 submission

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that no changes have been made to the name and contact information of the registry administrator. However the Party reports an error in the NIR submitted for 2013: "The Authorized Representatives was changed by places, no information about the third Authorized Representative was added, but there were no changes in the registry".
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that no changes have been made to its cooperation arrangements.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	[X] Yes [] No	[X] Yes [] No	<p>In Chapter 13 of [NIR], the Party states that no changes related to Kyoto functionality have been made to the database and capacity of its national registry. Assessor notes that relevant common CSEUR documentation [Annex A] was referenced in the Party's submission and directly included in [NIR].</p>
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	[X] Yes [] No	[X] Yes [] No	<p>In Chapter 13 of [NIR], the Party states that the only technical changes effected EUETS activities and that Annex H testing was successfully performed and results were delivered to the ITL Administrator. Other than that, no changes have been made to the conformance to technical standards by its national registry. Assessor notes that minor changes have taken place, but only EU ETS functionality is affected. The relevant common CSEUR documentation [Annex B & H] was referenced in the Party's submission.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that no changes have been made to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that no changes have been made to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In Chapter 13 of [NIR], the Party states that the test results related to the latest version of the registry only relate to EUETS. Assessor notes that minor changes have taken place, but only EU ETS functionality is affected. The relevant common CSEUR documentation [Annex B & H] was referenced and included in the Party's submission.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was able to locate only information pertaining to operator holding accounts at the first link mentioned. No other information on Party holding accounts, retirement and cancellation accounts is included.</p> <p>In [Response] the Party informed that the first url had been updated with the relevant information, however the assessor was not able to locate it.</p> <p>The assessor was able to locate the information at the second url (http://ec.europa.eu/environment/ets/account.do?languageCode=lv.) however this link doesn't correspond to a webpage under the Party's control. The assessor recommends the Party include this information in the first link mentioned.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was able to locate only information pertaining to operator holding accounts at the first link mentioned. No other information on Party holding accounts, retirement and cancellation accounts is included.</p> <p>In [Response] the Party informed that the first url had been updated with the relevant information, however the assessor was not able to locate it.</p> <p>The assessor was able to locate the information at the second url (http://ec.europa.eu/environment/ets/account.do?languageCode=lv.) however this link doesn't correspond to a webpage under the Party's control. The assessor recommends the Party include this information in the first link mentioned.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was able to locate only information pertaining to operator holding accounts at the first link mentioned. No other information on Party holding accounts, retirement and cancellation accounts is included.</p> <p>In [Response] the Party informed that the first url had been updated with the relevant information, however the assessor was not able to locate it.</p> <p>The assessor was able to locate the information at the second url (http://ec.europa.eu/environment/ets/account.do?languageCode=lv.) however this link doesn't correspond to a webpage under the Party's control. The assessor recommends the Party include this information in the first link mentioned</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was not able to locate information on account commitment period at the first link mentioned.</p> <p>In [Response] the Party informed that the first url had been updated with the relevant information, however the assessor was not able to locate it.</p> <p>The assessor was able to locate the information at the second url (http://ec.europa.eu/environment/ets/account.do?languageCode=lv.) however this link doesn't correspond to a webpage under the Party's control. The assessor recommends the Party include this information in the first link mentioned</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was not able to locate information on account representatives at none of the links mentioned.</p> <p>In [Response] the Party informed that all information regarding the account representatives is confidential according to Latvia's Law of Personal Data Protection so they cannot be publically available.</p> <p>The assessor suggests the Party include an explicit reference to this confidentiality in the public information webpage.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was not able to locate information on account representatives at none of the links mentioned.</p> <p>In [Response] the Party informed that all information regarding the account representatives is confidential according to Latvia's Law of Personal Data Protection so they cannot be publically available.</p> <p>The assessor suggests the Party include an explicit reference to this confidentiality in the public information webpage.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was able to locate Article 6 information via a direct link to the UNFCCC JI portal at: http://ji.unfccc.int/JIITLProject/DB/JFNQLCBVKMHCWY7HVVH1YC7610UVHOD/details from the main page of the public information section at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646.</p>
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was able to locate Article 6 information via a direct link to the UNFCCC JI portal at: http://ji.unfccc.int/JIITLProject/DB/JFNQLCBVKMHCWY7HVVH1YC7610UVHOD/details from the main page of the public information section at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was able to locate Article 6 information via a direct link to the UNFCCC JI portal at: http://ji.unfccc.int/JIITLProject/DB/JFNQLCBVKMHCWY7HVVH1YC7610UVHOD/details from the main page of the public information section at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In Chapter 11 paragraph 4, the Party provides reference to http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 as well as http://ec.europa.eu/environment/ets/account.do?languageCode=lv for public information.</p> <p>The assessor was not able to locate the information on ERUs issuance at http://ji.unfccc.int/JIITLProject/DB/JFNQLCBVKMHCWY7HVVH1YC7610UVHOD/details nor at http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646.</p> <p>In [Response] the Party informed that there were no projects in accordance to 13/CMP.1 Annex paragraph 46 (c) Article 6 in 2014 and that only one Joint Implementation project "The Liepaja Regional Solid Waste Management Project" is registered on 2012. The information of the project is available in the UNFCCC webpage http://ji.unfccc.int/JIITLProject/DB/JFNQLCBVKMHCWY7HVVH1YC7610UVHOD/details however assessor was still unable to locate the years of ERU issuance for this project. The assessor recommends that the Party clearly provide this information even if the result is that no ERUs have been issued.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate Article 6 information via a direct link to the UNFCCC JI portal at: http://ji.unfccc.int/JIITLProject/DB/JFNQLCBVKMHCWY7HVH1YC7610UVHOD/details from the main page of the public information section at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 . The assessor recommends the Party to specify the partial confidentiality of information pertaining to transactions applicable to EU Member States.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 . The assessor recommends the Party to specify the partial confidentiality of information pertaining to transactions applicable to EU Member States.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 .
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was able to locate the 2014 SEF report posted at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646 . The assessor recommends the Party to specify the partial confidentiality of information pertaining to account holdings applicable to EU Member States.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The assessor was able to locate the information at the main page of the public information section at: http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference?id=1476&nid=646

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	The ERT recommends that Latvia clarifies what changes have been made to the national registry (regarding the information on the registry administrators) - (FCCC/ARR/2014/LVA para.119)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 13, the Party addresses this recommendation.
P.1.5.2	The ERT recommends that Latvia includes all other additional information in response to the SIAR findings in the NIR in accordance with decision 15/CMP.1 annex, chapter I.G. (FCCC/ARR/2014/LVA para.120)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [RESPONSE] Party states Latvia will include all other additional information in response to the SIAR findings in the NIR submission 2016 in accordance with decision 15/CMP.1 annex, chapter I.G. (FCCC/ARR/2014/LVA para.120). Assessor notes that the recommendation is acknowledged but not addressed. See P2.4.1.2
P1.5.3	The ERT recommends that Latvia addresses the recommendations contained in the SIAR (FCCC/ARR/2014/LVA para. 110). These recommendations identified in IAR/2014/LVA/2/2 are detailed the following rows.		
P1.5.3.1	- Party should clearly state what changes to public information were made between submissions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [RESPONSE] Party states Latvia will update and provide in the NIR submission 2016 all changes referred to public information compared with previous submission. Party also notes that in [RESPONSE] "The file accordance to Article 45 - Information about the accounts opened in Latvia's registry, has been updated and added http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference-?id=1476&nid=646 file "Latvia's registry".

P.1.5.3.2.	-The assessor recommends that the publicly available information indicates a validity date (i.e. the date on which it was generated). The publicly available information should be up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The publicly available information in page http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference-?id=1476&nid=646 shows a validity date, however it is older than the monthly recommended period (dates 17/06/2015 at the time of the assessment).</p> <p>The publicly available information in page http://ec.europa.eu/environment/ets/account.do?languageCode=lv also includes a validity date, however it is older than the monthly recommended period (dates from 09/09/2015 at the time of the assessment).</p> <p>The assessor could find the updated information at https://ets-registry.webgate.ec.europa.eu/euregistry/LV/public/reports/publicReports.xhtml The assessor recommends the Party refers to this url in the next annual submission.</p>
P.1.5.3.3	-The SIAR assessor recommends Party includes information on the commitment periods of accounts as required by 13/CMP.1 Annex paragraph 45 (c)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The publicly available information in page http://ec.europa.eu/environment/ets/account.do?languageCode=lv includes the commitment period for the accounts identified.</p> <p>In [Response] the Party states that that the url http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference-?id=1476&nid=646 has been updated with such information, however the assessor couldn't find the relevant information in the link provided.</p> <p>The assessor could also find the relevant information at https://ets-registry.webgate.ec.europa.eu/euregistry/LV/public/reports/publicReports.xhtml The assessor recommends the Party refers to this url in the next annual submission or to update the webpage under its control (http://www.meteo.lv/en/lapas/submission-underunframework-of-climate-change-convention-conference-?id=1476&nid=646).</p>
P.1.5.3.4	-The SIAR notes Article 6(c) information contained inconsistencies. If the Party chooses not to maintain the Article 6 information on its own website, it should make efforts to review and keep up to date the data posted on the 3rd Party website	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The Party has included a reference to the UNFCCC webpage for JI projects so the inconsistencies identified can be considered as resolved.</p>