



UNFCCC ITL Administrator

**Standard Independent Assessment Report  
Assessment Report  
Part 1 - Completeness**

<b>Reference:</b>	IAR/2009/RUS/1/1	<b>State:</b>	Final
<b>Version number:</b>	2.0	<b>Date:</b>	05/08/2010
<b>Prepared by:</b>	Heidi McKenna, Independent Consultant		
<b>Reviewed by:</b>	Chris Collins, SRA International, Inc.		
<b>Approved by:</b>	Vitaly Matsarski/UNFCCC		

**Circulation list**

<b>Name/Role</b>	<b>Organization</b>	<b>Info/Action</b>
Heidi McKenna/ Assessor	Independent Consultant	Action
Chris Collins/ Assessor Coordinator	SRA International, Inc.	Action
Nadezhda Uledova/ RSA Main Contact	Federal Centre for Geoecological Systems/Russian Federation	Action

**Document change record**

<b>Version</b>	<b>Date</b>	<b>Description</b>
0.1	05/07/2010	Initial Draft
1.0	09/07/2010	Review
1.1	04/08/2010	Updated after review of translation of relevant section of NIR into English
2.0	05/08/2010	Reviewed final draft v2.0 to be sent to Party

## Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Russian Federation	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<p>Files submitted:</p> <ul style="list-style-type: none"> <li>- [SEF] <a href="#">SEF RU 2010 1 17-31-16 4-3-2010.xls</a></li> <li>- [NIR1] NIR-2010_RUS_v1.pdf</li> <li>- [NIR2] NIR_RUS_2010_v2.pdf</li> <li>- [NIR3] NIR-2010_RUS-v1_a.pdf (July 2 resubmission replacing [NIR1])</li> </ul> <p>[NIR TRANSLATION] Russian Registry in 2009.doc</p> <p>[RESPONSE1] SIAR_ConsultationForm_RU.doc</p> <p>[RRREG] SIAR Reports RU 2010v.1.0.xls</p>	<p>Information from the ITL Administrator:</p> <ul style="list-style-type: none"> <li>- [SEFCR] <a href="#">SEF RU 2010 1 17-31-16 4-3-2010-CR.xls</a></li> <li>-[RRITL] <a href="#">SIAR Reports 2009 RU v1.1.xls</a></li> </ul>
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/RUS (28/01/2010)	

**Table of Contents**

- 1. Introduction..... 4**
  - 1.1. Overall assessment .....4**
- 2. Accounting of Kyoto Protocol units ..... 4**
- 3. National Registry Change ..... 9**
- 4. Public information .....11**
- 5. Previous Expert Review Team recommendations .....18**

## 1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

### 1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA

The Assessor thanks the Party for the submission of a translation of the relevant sections of the Russian NIR to facilitate the assessment process.

## Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 <b>Standard electronic format (SEF)</b>			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 16/04/2010
15/CMP.1 annex I.E paragraph 12 <b>Discrepant transactions</b>			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.1.2 the party reports that no discrepancies were identified with the transaction log in 2009  [RRREG] R-2 also reports that no discrepancies were identified.  The ITL reports show that no discrepancies were identified in ITL records (see [RRITL], report R-2).
15/CMP.1 annex I.E paragraph 13 <b>Reversal of storage notifications</b>			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.1.3 the Party reports that they did not receive any notification to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1 in 2009.  [RRREG] R-3 also reports that no notifications to replace ICERS were received by the party in 2009  The ITL reports show that no notifications to replace ICERS were directed to the registry in ITL records (see [RRITL], report R-2).
15/CMP.1 annex I.E paragraph 14 <b>Non-certification notifications</b>			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non certification notifications were directed to the Party during the reported period (see [RRITL], Report R-3).

Ref Nr	Requirement	Assessment	Comments
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	[ <input checked="" type="checkbox"/> ] Yes [ ] No	<p>In its [NIR TRANSLATION] 10.2.1.4 the Party reports that the Registry did not receive any notification to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1 in 2009.</p> <p>[RRREG] R-3 also reports that no notifications were received by the party in 2009.</p> <p>The ITL reports show that no notifications to replace ICERs were directed to the registry in ITL records (see [RRITL], report R-2).</p>
<p>15/CMP.1 annex I.E paragraph 15</p> <p><b>Non-replacement</b></p>			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	[ ] Yes [ <input checked="" type="checkbox"/> ] No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	[ <input checked="" type="checkbox"/> ] Yes [ ] No	<p>In its [NIR TRANSLATION] 10.2.1.5 the Party reports that the international transaction log did not identify any record of non-replacement in accordance with paragraph 56 of the annex to decision 5/CMP.1 in 2009</p> <p>Also [RRREG] R-4 reports that there were no non replacement requirements addressed to the registry in 2009.</p> <p>The ITL reports show that no notifications were addressed to the registry in the reported period (see [RRITL], report R-3).</p>

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
<b>Inconsistencies from discrepant transactions</b>			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	[ ] Yes [ x ] No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled. (see [RRITL], report R-2)
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	[ x ] Yes [ ] No	In its [NIR TRANSLATION] 10.2.1.6 the party reported there are no units in the Russian Registry that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.  [RRREG] R-5 also reports no invalid units exist in the registry.
15/CMP.1 annex I.E paragraph 17			
<b>Actions and changes to address discrepancies</b>			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	[ x ] Yes [ ] No	In its [NIR TRANSLATION] 10.2.1.7 the party reported there No problems that caused a discrepancy to occur in the Russian Registry in 2009.
15/CMP.1 annex I.E paragraph 18			
<b>CPR Calculation</b>			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	[ x ] Yes [ ] No	In its [NIR TRANSLATION] 10.3 the Party reported the calculation of its CPR.



## 2. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact  Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement  Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry  Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards  Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures  Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security  Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information  Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were changes to this item
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address  Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure  Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were changes to this item
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results  Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In its [NIR TRANSLATION] 10.2.3 Table 10.1 the Party reported that there were no changes to this item

### 3. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7 the Party provides the link to the public web page, screen shots, and details the information contained there.  The assessor was able to locate account information at <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7 provides the link to the public web page, screen shots, and details the public information contained there.  The assessor was able to locate account holder information in a report at: <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7 the party provides the link to the public web page and details the public information contained there.  The assessor was able to locate account type information in a report at: <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: (CP) the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7 provides the link to the public web page, screen shots, and details the information contained there.  The assessor was able to locate account related commitment period information in a report at: <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7 the Party provides the link to the public web page, screens shots, and details the information contained there.  They report there are no authorized legal entities and the only accounts in the registry are national accounts. Therefore the assessor finds this information requirement not applicable. The party confirms that this information is not confidential at: ( <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a> and in its [RESPONSE1]
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7 the Party provides the link to the public web page, screen shots and details the public information contained there.  They report there are no authorized legal entities and the only accounts in the registry are national accounts . Therefore the assessor finds this information requirement not applicable. The party confirms this information is not confidential at: ( <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a> and in its [RESPONSE1].

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION], 10.2.2.7 the Party provides a link to and screen shots of Article 6 project information on the web page. They also report there is no Article 6 information provided at <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>  because the party is not currently participate in JI projects.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7, the Party provides a link to and screen shots of Article 6 project information on the web page. They also report there is no Article 6 information provided at <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>  because the party is not currently participate in JI projects.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7, the Party provides a link to and screen shots of Article 6 project information on the web page. They also report there is no Article 6 information provided at <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>  because the party is not currently participate in JI projects.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7, the Party provides a link to and screen shots of Article 6 project information on the web page. They also report there is no Article 6 information provided at <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>  because the party is not currently participate in JI projects.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 10.2.2.7, the Party provides a link to and screen shots of Article 6 project information on the web page. They also report there is no Article 6 information provided at <a href="http://www.carbonunitsregistry.ru">http://www.carbonunitsregistry.ru</a>  because the party is not currently participate in JI projects.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] 1the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing account and transaction information as well as holdings by type at this link.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing account holdings by unit type at this link.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing AAU issuance at this link.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing ERU issuances for Article 6 projects at this link.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing units acquired from other registries at this link. There were no acquisitions so the account information is not detailed the assessor notes this information may be considered confidential and not reported in future reports.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing RMUs issued for 3.3 and 3.4 activities at this link.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing units transferred to other registries by unit type at this link. There were no transfers so account information is not detailed but the assessor notes this information may be considered confidential in future reports.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing cancellations by unit type for 3.3 and 3.4 activities at this link..
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing cancelled units pursuant to a determination by the compliance committee at this link.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing cancelled units by unit type at this link.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing the number of retired units by unit type at this link.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing units carried over from the previous CP at this link.



Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that publicly available information is reported at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .  The assessor was able to locate a report detailing account holdings by unit type at this link.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? <sup>1</sup>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In its [NIR TRANSLATION] the party reports in Section 10.2.2.7 that there are no legal entities in the Russian Federation authorized to hold ERUs, CERs, AAUs and/or RMUs under its responsibility. This information was also reported on the public website at <a href="http://www.carbonunitsregistry.ru/eng-default.htm">http://www.carbonunitsregistry.ru/eng-default.htm</a> .

<sup>1</sup> For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

#### 4. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1		<input type="checkbox"/> Yes <input type="checkbox"/> No	None noted
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	