



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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Document change record

Version	Date	Description
0.1	30/04/2010	Initial Draft
0.2	07/05/2010	Review and suggested edits and clarifications
1.0	11/05/2010	Accepted suggested edits from v0.2
1.1	02/06/2010	Updates based on Party's response
2.0	09/06/2010	Review

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Portugal	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<ul style="list-style-type: none"> - [SEF] SEF_PT_2010_1_14-18-58+4-3-2010.xls -[NIR1] NIR_20100415_v20100415.pdf - [NIR] NIR_20100415_v20100525.pdf - [RESPONSE] SIAR Consultation Form on Draft Part 1 PRT.doc - [RRREG] SIAR Reports PT 2009 R2 R5.xls 	<p>In addition to the submission the following documents were used in the creation of this report:</p> <ul style="list-style-type: none"> - [SEFCR] SEF_PT_2010_1_14-18-58+4-3-2010-CR.xls -[RRITL] SIAR_Reports_2009_PT_v1.1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/PRT (09/04/2010)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessor.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The report R-2 of [RRITL] shows discrepancies for reported period, with response codes 4003 and 4010.
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In response to questions raised by the SIAR assessor, the Party submitted in report R-2 of [RRREG] all necessary information on discrepant transaction with response codes 4003 and 4010.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13			
Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party submitted empty list of notifications in report R-3 of [RRREG].
15/CMP.1 annex I.E paragraph 14			
Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party submitted empty list of notifications in report R-3 of [RRREG].
15/CMP.1 annex I.E paragraph 15			
Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party submitted empty list in report R-4 of [RRREG].

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party submitted empty list in report R-5 of [RRREG].
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in chapter 13.4 of [NIR] that there were no actions necessary to undertake to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in chapter 11.5 of [NIR] document.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In paragraph 13.1 of [NIR] the Party states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party states in [RESPONSE] that Registry is operated independently and no changes have been made to cooperation agreement during reported period. Paragraph 13.2 of [NIR] has been amended and confirms this information.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In paragraph 13.3 of [NIR] the Party states that no changes in database infrastructure have been performed.
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In paragraph 13.3 of [NIR] the Party states that no changes have been made to the conformance to technical standards by its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In paragraph 13.4 of [NIR] the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In paragraph 13.5 of [NIR] the Party states that no changes have been made to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party states in [RESPONSE] that no changes of publicly available information occurred in the reported period. The chapter 11.4 of [NIR] confirms this information.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party states in [RESPONSE] that no change in the national registry internet address occurred in the reported period.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In paragraph 13.6 of [NIR] the Party states that no changes have been made to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In paragraph 13.3 of [NIR] the Party reports there was one major update of registry software which makes use of new generic webservice. In paragraph 13.7 of [NIR] the Party shows detailed test results.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. Information on representative identifier is accessible through national registry's website as a part of Account List report. However Party identifier (two-letter country code) is not provided, only unique number is. The Party states in [RESPONSE] that representatives names and contact information is deemed confidential except when account representatives themselves request disclosure.
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Account List report.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Account List report.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of identifier in Account List report.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Account List report. Representative identifiers are accessible, however Party identifier (two-letter country code) is not provided, only unique number is.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. The Party states in [RESPONSE] that representatives names and contact information is deemed confidential except when account representatives themselves request disclosure.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. Query in Project List on national registry's website is empty which indicates that there are no Article 6 projects for Party.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. Query in Project List on national registry's website is empty which indicates that there are no Article 6 projects for Party.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. Query in Project List on national registry's website is empty which indicates that there are no Article 6 projects for Party.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. Query in Project List on national registry's website is empty which indicates that there are no Article 6 projects for Party.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. Query in Project List on national registry's website is empty which indicates that there are no Article 6 projects for Party.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. The Party states on its national registry's website that holding information on reported period is confidential at this point while transaction information is accessible.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. The Party states on its national registry's website that information about holdings is visible after 5 years of the concerned year making holding information on 2009 confidential at this point.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report. Query for External Transfers in Transaction Info report is empty indicating no transfers took place while both [SEF] and [SEFCR] clearly state that external transactions occurred in reported period.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report. Query for External Transfers in Transaction Info report is empty indicating no transfers took place while both [SEF] and [SEFCR] clearly state that external transactions occurred in reported period.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Transaction Info report.
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. The Party states on its national registry's website that information about holdings is visible after 5 years of the concerned year making holding information on 2009 confidential at this point.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party provides reference to publicly available information in chapter 11.4 of [NIR]. This information is accessible through national registry's website as a part of Account List report.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	<p>As stated in paragraph 99 and reiterated in paragraph 111 of the previous annual review report [FCCC/ARR/2009/PRT]:</p> <p><i>“The ERT recommends that Portugal make available the required public information referred to in paragraphs 45–48 of the annex to decision 13/CMP.1 through the user interface of its registry and report, in its next annual submission, on any changes to the public information available.”</i></p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Public information is available through national registry's website. The Party provides reference to publicly available information in chapter 11.4 of [NIR]. The Party does not make reference in its submission that it is addressing recommendation from FCCC/ARR/2009/PRT.</p>
P1.5.2	<p>As stated in paragraph 102 and reiterated in paragraph 111 of the previous annual review report [FCCC/ARR/2009/PRT]:</p> <p><i>“The ERT recommends that Portugal, in its future annual submissions, include the relevant test plans and test reports for each release of its registry during the reporting period and that it consider the registry reporting guidance agreed by the Registry System Administrators Forum in the independent assessment report (IAR) common operational procedure developed pursuant to decision 16/CP.10.”</i></p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Test reports from internal tests and ETS Testing are included in paragraph 13.7 of [NIR]. The Party does not make reference in its submission that it is addressing recommendation from FCCC/ARR/2009/PRT.</p>

<p>P1.5.3</p>	<p>As stated in paragraph 102 and reiterated in paragraph 111 of the previous annual review report [FCCC/ARR/2009/PRT]:</p> <p><i>“In addition, the ERT recommends that Portugal provide more detail in its next annual submission on changes made to its registry to improve the recovery of its services in the event of a disaster, in accordance with paragraph 32 (j) of the annex to decision 15/CMP.1 and the IAR reporting guidance.”</i></p>	<p>[X] Yes [] No</p>	<p>In paragraph 13.6 of [NIR] the Party reports that no changes have been made to the data integrity measures. The Party states in [RESPONSE] that it considered the recommendation to improve recovery of its services and during the reported period it prepared a disaster recovery plan which was successfully conducted in March 2010.</p>
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