



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	NORWAY	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<p>Files submitted:</p> <p>[ANNEX NIR] Annex+NIR+2010+Norway.pdf</p> <p>[NIR 2010] NIR+2010+Norway_FINAL.pdf</p> <p>[V4.0.14 RELEASE NOTES] Annex+X_Version+4.0.14+Release+Notes</p> <p>[V4.0.16 RELEASE NOTES] Annex+X_Version+4.0.16+Release+Notes</p> <p>[V4.1.16 RELEASE NOTES] Annex+X_Version+4.1.16+Release+Notes</p> <p>[V4.1 TEST REPORT] Annex+X_Version+4.1+Test+Report</p> <p>[CITL TEST CASES] Annex+X_CITL+Test+cases</p> <p>[V4.1 COMMISSION CERTIFICATION EMAIL] Annex+X_Certification+email+Commission+v4.1</p> <p>[SEF] SEF_NO_2010_1_11-20-25+29-3-2010</p> <p>[RRREG] Chapter 12.3 of NIR 2010</p>	<p>Information from the ITL Administrator:</p> <p>[SEFCR] SEF_NO_2010_1_11-20-25+29-3-2010-CR</p> <p>[RRITL] SIAR_Reports_2009_NO_v1.1</p>
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/NOR (27/01/2010)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 23/04/2010.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that there were no actions necessary to undertake to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. There were no actions necessary to address questions of implementation pertaining to transactions.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in NIR 2010, Paragraph 12.5.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR 2010, Chapter 14, the Party provides details of a new secondary contact
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR 2010, Chapter 14, the Party states that no changes have been made to its cooperation arrangements. However, the GRETA collection of Registries (of which Norway is a licensee) changed IT supplier from Siemens Services and Solutions Ltd. to SFW Ltd. due to the end of a framework contract. Development and support has been taken over by SFW Ltd. as of 9 February 2009.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In NIR 2010, Chapter 14, the Party notes that it deployed two new versions of GRETA software in 2009 – Version 4.0 and Version 4.1.</p> <p>Version 4.0 did not change the capacity of the registry compared to the Version 3.0.84 used in 2008. The upgrade to Version 4.1 did increase the capacity of the registry. This comprised of improvements in the internal database procedures to increase resource efficiency.</p> <p>The Party reports that no changes to the Database & Application Backup Plan and Disaster Recovery Plan were necessitated by the upgrade to Version 4.1.</p> <p>The Party provides the Release Notes pertaining to Version 4.0 and Version 4.1 and also the Test Report relating to Version 4.1 in NIR 2010, Annex IX.</p>
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In NIR 2010, Chapter 14, the Party states that no changes have been made to the conformance to technical standards by its national registry.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR 2010, Chapter 14, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR 2010, Chapter 14, the Party states that no changes have been made to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR 2010, Chapter 14, the Party provides that information relating to the Norwegian registry deemed to be public information can be accessed via the homepage of the NO registry, with all required information for a Party with an active Kyoto registry is provided with certain, noted exceptions. www.kvoteregister.no/publicreports
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR 2010, Chapter 14, the Party states that no changes have been made to the Internet address of its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR 2010, Chapter 14, the Party states that no changes have been made to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In NIR 2010, Chapter 14, the Party refers to the CITL test cases and also the confirmation from the European Commission of having successfully passed all such mandatory test cases before deployment of the GRETA Version 4.1 into production.</p> <p>The Party notes that it deployed two new versions of GRETA software in 2009 – Version 4.0 and Version 4.1. Version 4.0 did not change the capacity of the registry compared to the Version 3.0.84 used in 2008.</p> <p>The upgrade to Version 4.1 did increase the capacity of the registry. This comprised of improvements in the internal database procedures to increase resource efficiency.</p> <p>The Party reports that no changes to the Database & Application Backup Plan and Disaster Recovery Plan were necessitated by the upgrade to Version 4.1.</p> <p>The Party provides the Release Notes pertaining to Version 4.0 and Version 4.1 and also the Test Report relating to Version 4.1 in NIR 2010, Annex IX.</p>

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information – www.kvoteregister.no/publicreports</p> <p>The Party notes that the account representative information as required in paragraph 45 is held as confidential, in light of the forthcoming amendments introduced by Article 78 of the revised EU Registries Regulation (due to come into force in August 2010) and for security reasons. This information is therefore not publicly available.</p>
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. The Party notes that the account representative information as required in paragraph 45 is held as confidential, in light of the forthcoming amendments introduced by Article 78 of the revised EU Registries Regulation (due to come into force in August 2010) and for security reasons. This information is therefore not publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports The Party notes that no information on Article 6 (Joint Implementation) projects is publicly available as conversion to an ERU under an Article 6 project did not occur in Norway in 2009.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports The Party notes that no information on Article 6 (Joint Implementation) projects is publicly available as conversion to an ERU under an Article 6 project did not occur in Norway in 2009.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports The Party notes that no information on Article 6 (Joint Implementation) projects is publicly available as conversion to an ERU under an Article 6 project did not occur in Norway in 2009.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports The Party notes that no information on Article 6 (Joint Implementation) projects is publicly available as conversion to an ERU under an Article 6 project did not occur in Norway in 2009.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports The Party notes that no information on Article 6 (Joint Implementation) projects is publicly available as conversion to an ERU under an Article 6 project did not occur in Norway in 2009.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information</p> <p>www.kvoteregister.no/publicreports</p> <p>The Party notes that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by EU Regulation by referring to:</p> <p>Article 10 of EU Regulation 2216/2004/EC, provides that <i>“All information, including the holdings of all accounts and all transactions made in the registries and the Community independent transaction log shall be considered confidential for any purpose other than the implementation of the requirements of this Regulation, Directive 2003/87/EC or national law”</i>.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information. The Party notes that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by EU Regulation. Article 10 of EU Regulation 2216/2004/EC, provides that <i>“All information, including the holdings of all accounts and all transactions made in the registries and the Community independent transaction log shall be considered confidential for any purpose other than the implementation of the requirements of this Regulation, Directive 2003/87/EC or national law”</i> .
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. The Party notes that Norway does not host JI projects. Therefore no ERUs have been issued on the basis of Article 6 projects. Review of the public report on the Norwegian Registry provides the required information.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports</p> <p>The Party notes that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by EU Regulation.</p> <p>Article 10 of EU Regulation 2216/2004/EC, provides that <i>“All information, including the holdings of all accounts and all transactions made in the registries and the Community independent transaction log shall be considered confidential for any purpose other than the implementation of the requirements of this Regulation, Directive 2003/87/EC or national law”</i>.</p>
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports</p> <p>The Party notes that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by EU Regulation.</p> <p>Article 10 of EU Regulation 2216/2004/EC, provides that <i>“All information, including the holdings of all accounts and all transactions made in the registries and the Community independent transaction log shall be considered confidential for any purpose other than the implementation of the requirements of this Regulation, Directive 2003/87/EC or national law”</i>.</p>
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports</p> <p>The Party notes that no ERUs, CERs, AAUs and RMUs were cancelled on the basis of activities under Article 3, paragraphs 3 and 4 in 2009.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. www.kvoteregister.no/publicreports The Party notes that no ERUs, CERs, AAUs and RMUs were cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 in 2009. Review of the public report on the Norwegian Registry provides the required information.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information. www.kvoteregister.no/publicreports
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in NIR 2010, Chapter 14. The Party notes that there is no previous commitment period to carry over ERUs, CERs and AAUs over from. Review of the public report on the Norwegian Registry provides the required information www.kvoteregister.no/publicreports

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information.</p> <p>The Party notes that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by EU Regulation.</p> <p>Article 10 of EU Regulation 2216/2004/EC, provides that <i>“All information, including the holdings of all accounts and all transactions made in the registries and the Community independent transaction log shall be considered confidential for any purpose other than the implementation of the requirements of this Regulation, Directive 2003/87/EC or national law”</i>.</p>
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in NIR 2010, Chapter 14.</p> <p>Review of the public report on the Norwegian Registry provides the required information</p> <p>www.kvoteregister.no/publicreports</p> <p>The Party notes that the account representative information as required in paragraph 48 is held as confidential, in light of the forthcoming amendments introduced by Article 78 of the revised EU Registries Regulation (due to come into force in August 2010) and for security reasons. This information is therefore not publicly available.</p>

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	<p>FCCC/ARR/2009/NOR (27/01/2010) Paragraph 109</p> <p>The ERT also noted that Norway did not make publicly available all of the information referred to in paragraphs 45, 46 and 48 of the annex to decision 13/CMP.1. During the review, the Party informed the ERT that it had already made changes to the public reports section of its registry website and that it is waiting for a new release of the Greta registry software, expected for December 2009, which will include improved tools for public reporting. The Party indicated that all of the information relating to the findings in the SIAR would be available to the public by the end of 2009. The ERT recommends that Norway enhance the user interface of its registry by providing the public information referred to above and reports thereon in its next annual submission. Norway should state clearly, in the user interface of its registry, whether this information is confidential or if there are no data to report, including data on Article 6 projects. In addition, Norway should report, in its next annual submission, on any changes made to the list of public information.</p>	<p>[X] Yes [] No</p>	<p>Addressed in NIR 2010, Chapter 12.4 and NIR 2010, Chapter 14 Reporting Item 15/CMP.1 Annex II.E paragraph 32(g): Change of list of publicly available information.</p>

P1.5.2	<p>FCCC/ARR/2009/NOR (27/01/2010) Paragraph 112</p> <p>The ERT noted that the recommendation made in previous reviews that Norway provide more complete and detailed information on its national registry in its future annual submissions has not been followed. During previous stages of the review process, Norway explained that this recommendation had been addressed by a combination of the original SIAR, the subsequent publication of test results associated with the connection to the ITL, and also the launch of a website to provide more detailed information. Nevertheless, the ERT reiterates the recommendation made in previous reviews that Norway provide more detailed information on its national registry in its future NIRs.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Addressed in NIR 2010, Chapter 12 and NIR, 2010, Chapter 14.</p>
P1.5.3	<p>FCCC/ARR/2009/NOR (27/01/2010) Paragraph 113</p> <p>In its 2009 submission, Norway has not reported its commitment period reserve. During previous stages of the review process, the Party reported that its commitment period reserve has not changed since the initial report review (225,519,117 t CO₂ eq), as it is based on the assigned amount and not on the most recently reviewed inventory. The ERT agrees with this figure. The ERT recommends that Norway include information on its commitment period reserve in its next annual submission.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Addressed in NIR 2010, Chapter 12.5</p>
P1.5.x	<p>FCCC/ARR/2009/NOR (27/01/2010) Paragraph 115</p> <p>Norway reported no significant change in its national registry since the previous annual submission. The ERT concluded that the Party's national registry continues to perform the functions set out in the annex to decision 13/CMP.1 and the annex to decision 5/CMP.1, and continues to adhere to the technical standards for data exchange between registry systems in accordance with relevant CMP decisions. As the Party did not include, in its NIR, the information on whether there had been changes to the national registry, the ERT recommends that Norway, in its next NIR, report any change(s) in its national registry in accordance with section I.G of the annex to decision 15/CMP.1.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Addressed in NIR 2010, Chapter 14,</p>