



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness

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0.1	28/04/2010	Assessment Part 1 Completeness
1.0	06/05/2010	Review and clarifications
1.1	22/06/2010	Reassessment incorporating response to draft Part 1 report
2.0	26/06/2010	Review

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Latvia	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<p>Files submitted:</p> <ul style="list-style-type: none"> - [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls - [NIR] LV_NIR_150410.pdf - [NIR2] LV_NIR_21052010.pdf <p>Supporting documents:</p> <ul style="list-style-type: none"> - Appendixes 2.1 to 2.9 - LV_Readiness Questionnaire - [RESPONSE1] SIAR Consultation Form on Draft Part 1_LVv4.3.doc 	<p>Information from the ITL Administrator:</p> <ul style="list-style-type: none"> - [SEFCR] SEF LV 2010 1 10-20-35 13-1-2010-CR.xls -[RRITL] SIAR_Reports_2009_LV_v1.1.xls
P1.0.4	Previous annual review report reference	<p>FCCC/ARR/2009/LVA (19/April/2010)</p> <p>(in-country review)</p> <p>http://unfccc.int/resource/docs/2010/arr/lva.pdf</p>	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 15/04/2010, but it was not an official submission. At 26/04/2010 official submission is sent also as requested.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period.

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf in chapter 12.3.1 the Party explicitly states that that there were no discrepant transactions rejected and / or terminated with the response codes that are considered to be a discrepancy for the purpose of the reporting occurred in 2009 in Latvia's ETR and only 3 transactions in Latvia's ETR were rejected and 13 were terminated but with the response codes that don't corresponded to the response codes of discrepant transactions. That is why it was considered not to report "R2: List of discrepant transactions" report as the discrepant transactions list is empty.
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf in chapter 12.3.2 Party explicitly states that that there were no CDM notifications – reversal of storage notifications, non-certification notifications were received in the reporting period 2009, and that Latvia as Party and Latvia's ETS participants are not participate in any Kyoto mechanisms – joint implementation or Clean Development Mechanisms. That is why it was considered not to report "R3: List of CDM notifications" report as the notification list is empty.
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period.

Ref Nr	Requirement	Assessment	Comments
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf in chapter 12.3.2 Party explicitly states that that there were no CDM notifications – reversal of storage notifications, non-certification notifications were received in the reporting period 2009, and that Latvia as Party and Latvia's ETS participants are not participate in any Kyoto mechanisms – joint implementation or Clean Development Mechanisms. That is why it was considered not to report "R3: List of CDM notifications" report as the notification list is empty.
15/CMP.1 annex I.E paragraph 15			
Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period.
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf in chapter 12.3.3 Party explicitly states that no non-replacement occurred during reporting period 2009, that why it was considered not to report "R4: List of non-replacements" report as the non-replacement list is empty.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf in chapter 12.3.4. Party explicitly states that there weren't any invalid units in Latvia's ETR in the reporting period from 1 st January 2009 to 31 st December 2009, that why it was considered not to report "R5: List of invalid units" report as the invalid units list is empty.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf in Chapter 12.3.5 the Party explicitly states that no specific actions to correct any problems were necessary, since there were no discrepant transactions in Latvia's ETR during reporting period 2009.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR2] LV_NIR_21052010.pdf chapter 12.5.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf Table 14.1 the Party states that changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf Table 14.1, the Party states that they are not a part of any consolidated registry system. In addition Party reports on a technical infrastructure maintenance agreement with a service company.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR2] LV_NIR_21052010.pdf in chapter 14. Party states that software has been changed from GRETA to CR. In Table 14.1, the Party states that significant changes have been made to the database and capacity of its national registry.</p> <p>Supporting testing documents are attached as follows:</p> <ul style="list-style-type: none"> -Appendix 2.1 - Database and application backup plan_LV_CONFIDENTIAL.pdf -Appendix 2.2 - Disaster recovery plan_LV_CONFIDENTIAL.pdf -Appendix 2.3 - Security plan_LV_CONFIDENTIAL.pdf -Appendix 2.4 - Application logging documentation_LV_CONFIDENTIAL.pdf -Appendix 2.5 – Time validation plan_LV_CONFIDENTIAL.pdf -Appendix 2.6 - Version change management management_LV_CONFIDENTIAL.pdf -Appendix 2.7 - Test plan_LV_CONFIDENTIAL.pdf -Appendix 2.9 - Operational plan_LV_CONFIDENTIAL.pdf -LV_Readiness Questionnaire 2009-2010

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	[X] Yes [] No	[X] Yes [] No	<p>In [NIR2] LV_NIR_21052010.pdf in chapter 14. Party states that software has been changed from Greta to CR. In [NIR2] LV_NIR_21052010.pdf submitted in 2010 in Table 14.1 Party states that presently used CR Registry system is compliant with the UN Data Exchange Standards (DES) specified for the Kyoto Protocol.</p> <p>Supporting documents are attached as follows: -Appendix 2.6 - Version change management_LV_CONFIDENTIAL.pdf - Appendix 2.7 - Test plan_LV_CONFIDENTIAL.pdf</p>
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	[X] Yes [] No	[X] Yes [] No	<p>In [NIR2] LV_NIR_21052010.pdf Table 14.1 the Party states that a common approach has been adopted for the registry system development under the EU ETS and UN DES in order to minimize discrepancies.</p> <p>Supporting documents are attached as follows: -Appendix 2.9 - Operational plan_LV_CONFIDENTIAL.pdf -Appendix 2.7 - Test plan_LV_CONFIDENTIAL.pdf</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR2] LV_NIR_21052010.pdf Table 14.1 the Party states that changes have been made to the security measures employed in its national registry. Supporting documents are attached as follows:</p> <ul style="list-style-type: none"> -Appendix 2.3 - Security plan_LV_CONFIDENTIAL.pdf -Appendix 2.9 - Operational plan_LV_CONFIDENTIAL.pdf
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR2] LV_NIR_21052010.pdf Table 14.1 the Party describes the present status of publicly available information.</p> <p>The Party did not indicate whether there were changes to the list of publicly available information or a clear statement that there were no changes in the reported year (2009).</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR2] LV_NIR_21052010.pdf Table 14.1 the Party describes the present status of the Internet address of its national registry.</p> <p>The Party did not indicate whether there were changes to the Internet address of the national registry or a clear statement that there were no changes in the reported year (2009).</p>
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR2] LV_NIR_21052010.pdf Table 14.1 the Party describes the presently incorporated data integrity measures.</p> <p>The Party did not indicate whether there were changes to the data integrity measures or a clear statement that there were not changes in the reported year (2009).</p> <p>Supporting documents are attached as follows:</p> <ul style="list-style-type: none"> -Appendix 2.4 - Application logging-documentation_LV_CONFIDENTIAL.pdf -Appendix 2.7 - Test plan_LV_CONFIDENTIAL.pdf

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR2] LV_NIR_21052010.pdf in chapter 14. Party states that software has been changed from GRETA to CR.</p> <p>In [NIR2] LV_NIR_21052010.pdf Table 14.1 the Party describes the test results of completed 4 weeks of cycle testing, Annex H testing and Database migration.</p> <p>Supporting documents are attached as follows: -Appendix 2.7 - Test plan_LV_CONFIDENTIAL.pdf</p>

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the user interface of the Latvia's ETR – https://etrlv.lvpgmc.lv ,
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the user interface of the Latvia's ETR – https://etrlv.lvpgmc.lv ,
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the user interface of the Latvia's ETR – https://etrlv.lvpgmc.lv ,

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the user interface of the Latvia's ETR – https://etrlv.lv/gmc.lv ,
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the user interface of the Latvia's ETR – https://etrlv.lv/gmc.lv ,
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the user interface of the Latvia's ETR – https://etrlv.lv/gmc.lv ,
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the webpage of LEGMC - http://www.meteo.lv/public/30209.html . There are no Joint Implementation Projects registered in Latvia.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the webpage of LEGMC - http://www.meteo.lv/public/30209.html . There are no Joint Implementation Projects registered in Latvia.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the webpage of LEGMC -. There are no Joint Implementation Projects registered in Latvia.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the webpage of LEGMC - http://www.meteo.lv/public/30209.html . There are no Joint Implementation Projects registered in Latvia.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the webpage of LEGMC - http://www.meteo.lv/public/30209.html . There are no Joint Implementation Projects registered in Latvia.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xlsat the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xlsat the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xlsat the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xlsat the webpage of LEGMC - http://www.meteo.lv/public/30209.html .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available in [SEF] SEF LV 2010 1 10-20-35 13-1-2010.xls at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party provides reference to this publicly available information in [[NIR2] LV_NIR_21052010.pdf Table 14.1 and in [NIR2] LV_NIR_21052010.pdf chapter 12.4. Information is available at the webpage of LEGMC - http://www.meteo.lv/public/30209.html .

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	In paragraph 152 of the previous annual review report [FCCC/ARR/2009/LVA]: The national registry has fulfilled requirements regarding the public availability of information in accordance with section II.E of the annex to decision 13/CMP.1, however the SIAR noted that the public page wrongly indicates that SEF tables are prepared by the IPCC. The ERT recommends that Latvia correct this mistake for its next annual submission.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf the Table 10.4 Party states: The mistaken text in LEGMC webpage was corrected immediately after the ERT objection during the in-country review.
P1.5.2	In paragraph 157 of the previous annual review report [FCCC/ARR/2009/LVA]: The SIAR noted that Latvia had indicated that significant changes would be made to the infrastructure, software and database of its registry in 2009. If such changes to the national registry occurred, supporting information, including details on what was changed and the relevant test plans and test reports should be provided. The SIAR therefore strongly recommended that Latvia improve its next annual submission by providing definitive statements as to whether changes to its registry have occurred or not, for each of the items under paragraphs 32(a) to 32(j) of the annex to decision 15/CMP.1. The ERT reiterates this recommendation.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR2] LV_NIR_21052010.pdf the Table 10.4 Party states: All information is included.

P1.5.3	<p>In paragraph 158 of the previous annual review report [FCCC/ARR/2009/LVA]: The ERT concluded that, taking into account the confirmed change in the national registry as well as the findings presented in the SIAR, Latvia's national registry continues to perform the functions set out in the annex to decision 13/CMP.1 and the annex to decision 5/CMP.1, and continues to adhere to the technical standards for data exchange between registry systems in accordance with relevant decisions of the CMP. The ERT recommends that the Party, in its next annual submission, report any change(s) in its national registry in accordance with section I.G of the annex to decision 15/CMP.1.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR2] LV_NIR_21052010.pdf the Table 10.4 Party states:</p> <p>All information is included.</p>
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