



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness

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1.0	11/05/2012	Approved draft 1.0
1.1	11/05/2012	Updated draft
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Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Liechtenstein	
P1.0.2	Reporting period	2011	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_LI_2012_1_11-55-53+30-3-2012.xls - [NIR] NIR_LIE_Submission_2012.pdf - [RESPONSE 1] Consultation+Form+Part+1_LI.doc	Information from the ITL Administrator: - [SEFCR] SEF_LI_2012_1_11-55-53+30-3-2012_CR.xls -[RRITL] SIAR_Reports_2011_LI_v1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2010/LIE (07/12/2010) Advance Version FCCC/ARR/2011/LIE (13/06/2012)	The 2011 ARR was not published until 13 June 2012. The 2010 represent the ARR of record for this assessment. The 2011 Advance Version ARR is used for referential purposes only.

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Note negative assessment for P1.4.3.11
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 15/04/2012.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in [NIR] (paragraph 12.3, page 215) that no discrepancies occurred in 2010. In [RESPONSE 1] the Party confirms a typographical error and states that the information in [NIR] is referring to the reporting year 2011. The ITL records [RRITL], report R-2 shows there were no discrepancies in 2011.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in [NIR] (paragraph 12.3, page 215) that no CDM notifications occurred in 2010. In [RESPONSE 1] the Party confirms a typographical error and states that the information in [NIR] is referring to the reporting year 2011. The ITL records [RRITL], report R-3 shows there were no notifications in 2011.
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in [NIR] (paragraph 12.3, page 215) that no CDM notifications occurred in 2010. In [RESPONSE 1] the Party confirms a typographical error and states that the information in [NIR] is referring to the reporting year 2011. The ITL records [RRITL], report R-3 shows there were no notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1 in 2011.
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in [NIR] (paragraph 12.3, page 215) that no CDM notifications occurred in 2010. In [RESPONSE 1] the Party

Ref Nr	Requirement	Assessment	Comments
	decision 5/CMP.1?		confirms a typographical error and states that the information in [NIR] is referring to the reporting year 2011. The ITL records [RRITL], report R-3 shows there were no notifications of non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1 in 2011.
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in [NIR] (paragraph 12.3, page 215) that “no invalid units exist as at 31 December 2010.” In [RESPONSE 1] the Party confirms a typographical error and states that no invalid units existed at 31 December 2011.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In paragraph 12.3, page 215 of [NIR] the Party reported that “necessary actions have been undertaken to correct any problem causing a discrepancy in the reporting year 2010”. It is also reported that all relevant transactions were terminated. In [RESPONSE 1] the Party confirms a typographical error and states that the information in [NIR] is referring to the reporting year 2011.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in paragraph A10.13 (page 292) of [NIR].

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.3, page 274), the Party states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.4, page 275), the Party states that no changes have been made to its cooperation arrangements.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.5, page 275), the Party states that no changes have been made to the database and capacity of its national registry.
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.6, page 2785), the Party states that no changes have been made to the conformance to technical standards by its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.7, page 278), the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] (paragraph A10.8, page 287), the Party states that there were changes to the security measures employed in its national registry: the third account representative was set to mandatory in February 2011.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.9, page 290), the Party states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.10, page 290), the Party states that no changes have been made to the Internet address of its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.11, page 290), the Party states that no changes have been made to the data integrity measures.
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] (paragraph A10.12, page 291), the Party states that no changes have been made to the results of its test procedures.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>List of accounts opened in the national registry information is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports"</p> <p>The assessor confirms the information at the new url.</p>
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>List of accounts opened in the national registry information is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports"</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
				(Report Nr. 3, all years). The assessor confirms the information at the new url.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). 3) List of accounts opened in the national registry information is considered as non-confidential and publicly accessible on website: The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 3, all years). The assessor confirms the information at the new url.
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). 3) List of accounts opened in the national registry information is considered as non-confidential and publicly accessible on website: The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 3, year 2008). The assessor confirms the information at the new url.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	<p>13/CMP.1 Annex paragraph 45 (d)</p> <p>Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>It is declared in [NIR] (paragraph 12.4, page 217) that personalized data and some information of individual holding accounts are considered as business secrets and the disclosure may prejudice their competitiveness. Information on acquiring and transferring accounts of legal entities (companies) is therefore regarded as personal data. According to article 20 of the national Act on Data Protection (Datenschutzgesetz vom 14. März 2002, LGBl Nr.55) enacts that public authorities may disclose personal data if there is a legal basis or if there is an overriding public interest. Neither case is fulfilled and therefore the registry of Liechtenstein can not make the information on acquiring and / or transferring accounts publicly available. All related information is considered as confidential and therefore paragraphs 44-40 of the Annex to Decision 13/CMP.1 are not applicable.</p>
P1.4.1.5	<p>13/CMP.1 Annex paragraph 45 (e)</p> <p>Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>It is declared in [NIR] (paragraph 12.4, page 217) that personalized data and some information of individual holding accounts are considered as business secrets and the disclosure may prejudice their competitiveness. Information on acquiring and transferring accounts of legal entities (companies) is therefore regarded as personal data. According to article 20 of the national Act on Data Protection (Datenschutzgesetz vom 14. März 2002, LGBl Nr.55) enacts that public authorities may disclose personal data if there is a legal basis or if there is an overriding public interest. Neither case is fulfilled and therefore the registry of Liechtenstein can not make the information on acquiring and / or</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
				transferring accounts publicly available. All related information is considered as confidential and therefore paragraphs 44-40 of the Annex to Decision 13/CMP.1 are not applicable.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). It is declared in [NIR] (paragraph 12.4, page 217) that all required information on Article 6 projects (JI) is available on the internet website of the Office of Environmental Protection (OEP; http://www.llv.li/amtsstellen/llvaus-emissionshandel_en/llv-aus-emissionshandelsregister_flexible_mechanismen_en/llvaus-emissionshandel-genehmigte_projekte_en.htm). These informations comprise name of projects, host counties, available documents and dates. Years of ERU issuance were not published, but there were no ERU issuances.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). It is declared in [NIR] (paragraph 12.4, page 217) that all required information on Article 6 projects (JI) is available on the internet website of the Office of Environmental Protection (OEP; http://www.llv.li/amtsstellen/llvaus-emissionshandel_en/llv-aus-emissionshandelsregister_flexible_mechanismen_en/llvaus-emissionshandel-genehmigte_projekte_en.htm). These informations comprise name of projects, host counties, available documents and dates. Years of ERU issuance were not published, but there

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
				were no ERU issuances.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). It is declared in [NIR] (paragraph 12.4, page 217) that all required information on Article 6 projects (JI) is available on the internet website of the Office of Environmental Protection (OEP; http://www.lv.li/amtsstellen/llvaus-emissionshandel_en/llv-aus-emissionshandelsregister_flexible_mechanismen_en/llvaus-emissionshandel-genehmigte_projekte_en.htm). These informations comprise name of projects, host counties, available documents and dates. Years of ERU issuance were not published, but there were no ERU issuances.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). It is declared in [NIR] (paragraph 12.4, page 217) that all required information on Article 6 projects (JI) is available on the internet website of the Office of Environmental Protection (OEP; http://www.lv.li/amtsstellen/llvaus-emissionshandel_en/llv-aus-emissionshandelsregister_flexible_mechanismen_en/llvaus-emissionshandel-genehmigte_projekte_en.htm). These informations comprise name of projects, host counties, available documents and dates. Years of ERU issuance were not published, but there were no ERU issuances.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available

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	Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.			information in [NIR] (paragraph 12.4, page 217). It is declared in [NIR] (paragraph 12.4, page 217) that all required information on Article 6 projects (JI) is available on the internet website of the Office of Environmental Protection (OEP; http://www.llv.li/amtsstellen/llvaus-emissionshandel_en/llv-aus-emissionshandelsregister_flexible_mechanismen_en/llvaus-emissionshandel-genehmigte_projekte_en.htm). These informations comprise name of projects, host counties, available documents and dates. Years of ERU issuance were not published, but there were no ERU issuances.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). 4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website: The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtsstellen/llv-ausemissionshandel_en.htm In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7). The assessor confirms the information at the new url.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217). 4) Annual Summary of quantity of units per type of

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
	the beginning of the year			<p>operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2010).</p> <p>The assessor confirms the information at the new url.</p>
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, all years).</p> <p>The assessor confirms the information at the new url using Report Nr 8.</p>
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
				<p>considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor confirms the information at the new url. using Report Nr 7. When ERU's are selected the report returns "No Data."</p>
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 5+6).</p> <p>The assessor confirms the information at the new url.</p>
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible</p>

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				<p>on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtsstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor confirms the information at the new url using Report Nr 8.</p>
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtsstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 5+6).</p> <p>The assessor confirms the information at the new url.</p>
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
				<p>by the assessor. http://www.llv.li/amtsstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor confirms the information at the new url using Report Nr. 8. The assessor notes that the basis for cancelation is not distinguished on the report.</p>
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtsstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor confirms the information at the new url using Report Nr. 8. The assessor notes that the basis for cancelation is not distinguished on the report.</p>
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
				<p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor confirms the information at the new url using Report Nr. 8.</p>
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor confirms the information at the new url using Report Nr. 8.</p>
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
				<p>by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor was unable to locate information on carry over. For this reporting year, while carry over is null, the assessor recommends that the party resolve this omission. Provision of the current SEF can satisfy this and other requirements.</p>
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>4) Annual Summary of quantity of units per type of operation performed by the national registry is considered as non-confidential and publicly accessible on website:</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>In [RESPONSE 1] it is stated that the required information is publicly available under https://www.national-registry.li/ - Menue "Reports" (Report Nr. 7, year 2011).</p> <p>The assessor confirms the information at the new url.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] (paragraph 12.4, page 217).</p> <p>1. A list of Legal Entities holding accounts in the national registry is considered as non-confidential and publicly accessible on website.</p> <p>The original URL provided did not result in confirmation by the assessor. http://www.llv.li/amtstellen/llv-ausemissionshandel_en.htm</p> <p>For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient.</p> <p>The assessor confirmed information using the URL provided in [RESPONSE 1] https://www.national-registry.li/</p>

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	In FCCC/ARR/2010/LIE there is recommendation in paragraph 112 (g) to ensure that the national registry addresses the requirement for publicly available information. None noted in Advance Version FCCC/ARR/2011/LIE (13/06/2010)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>Party currently provides public information available at https://www.national-registry.li/.</p> <p>Due to the age of this recommendation and the responses within section 4, it is deemed that the party has covered this recommendation in its submission.</p> <p>The assessor suggests that the party cover all Annual Review report recommendations explicitly in next year's NIR.</p>