



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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1.0	13/05/2014	Reviewed draft ready to send to Party
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Summary.

Ref Nr	Description	Value	Comments
P1.0.1	Party name	New Zealand	
P1.0.2	Reporting period	2013	
P1.0.3	Submission under review	Files submitted: -[NIR] NIR All Chapters_Final.pdf [SEF] SEF_NZ_2014_1_9-31-316-1-2014.xls -[RREPORTS] SIAR-R-2_to_R-5_Report_2013_NZ_v1.xls	Information from the ITL Administrator: - [SEFCR] SEF_NZ_2014_1_9-31-316-1-2014CR.xls - [ITL Reports] SIAR-Reports_2013_NZ_v.1
P1.0.4	Previous annual review report reference	FCCC/ARR/2013/NZL(4/3/2014)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 15/04/2014
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] table 12.3.1 page 396, the Party reported that "No discrepant transactions occurred in 2013". The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] table 12.3.1 page 396, the Party reported that "No CDM notifications occurred in 2013" during the reported period". The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] table 12.3.1 page 396, the Party reported that "No CDM notifications occurred in 2013" during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] table 12.3.1 page 396, the Party reported that "No non-replacements occurred in 2013" during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] table 12.3.1 page 396, the Party reported that "No invalid units existed as at 31 December 2013" in its national registry.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] table 12.3.1 page 396, the Party reported that "No actions were taken or changes made to address discrepancies for the period under review."
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] section 12.5 page 402, the Party reported the calculation of its commitment period reserve (CPR) in section 12.5 of its [NIR].

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party reported that "in 2013, the contact details for the national registry have been changed. Changes have been made to the Alternative Contact, and the position of Release Manager was removed" and that "The changes have taken effect from 30 October 2013". The relevant information is reported under table 14.4 of the Party's [NIR].
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No change of cooperation arrangement occurred during the reporting period".

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No changes to the database or capacity of the national registry occurred during the reporting period".
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No changes to the conformance of technical standards occurred during the reporting period".
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No change in the discrepancies procedures occurred during the reporting period".
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No changes in security occurred during the reporting period".
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No changes to the list of publicly available information occurred during the reporting period".

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No change of the registry internet address occurred during the reporting period. The internet address is www.eur.govt.nz."
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No change of data integrity measures occurred during the reporting period."
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] table 14.1 page 407, the Party states that "No changes occurred in the test results during this reporting period."

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 396, the Party provides reference to this publicly available information. The Party states in [NIR] Section 12.4 first paragraph page 396 that “the information is available www.eur.govt.nz, ‘Search the Register’ tab”. The assessor could find the information at the link provided. The assessor could also find there a statement saying that “In accordance with section 27(1) of the Climate Change Response Act 2002, the representative identifier is not available as it is considered confidential information. The Registrar has withdrawn the primary representative’s email address under Section 13 of the Climate Change Response Act 2002 to ensure the security of the Registry.”
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 396, the Party states that “the information is available www.eur.govt.nz, ‘Search the Register’ tab”. The assessor could find the information at the link provided. The Party provides reference to this publicly available information in table 12.4.1 in Chapter 12.4 of its [NIR].

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 396, the Party states that “the information is available www.eur.govt.nz , ‘Search the Register’ tab”. The assessor could find the information at the link provided. The Party provides reference to this publicly available information in table 12.4.1 in Chapter 12.4 of its [NIR].
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 396, the Party states that “the information is available www.eur.govt.nz , ‘Search the Register’ tab”. The assessor could find the information at the link provided. The Party provides reference to this publicly available information in table 12.4.1 in Chapter 12.4 of its [NIR].
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party’s registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 397, the Party provides reference to this publicly available information. The Party states that “the representative identifiers for primary representatives are not publicly available and have been withheld for security reasons”. The Party includes a reference to Section 27 (1) (a) of the Climate Change Response Act 2002 which “does not require this information to be made publicly available. Only the holding account number for each account in the registry is publicly available under this section”. The assessor confirmed that this information is also mentioned at the link provided by the Party “ www.eur.govt.nz ”.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 397, the Party provides reference to this publicly available information. The Party states that “publication of the personal email addresses, telephone numbers of the representatives has been withheld for security reasons.” The Party includes a reference to Section 13 of the Climate Change Response Act 2002 which “permits the Registrar to withhold access to the email address and phone and fax numbers of account holder’s representatives on the grounds of security or integrity of the registry”. The assessor confirmed that this information is also mentioned at the link provided by the Party “www.eur.govt.nz”.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 397, the Party provides reference to this publicly available information. The Party states that “the information is available www.eur.govt.nz, ‘Search the Register’ tab”. This information becomes publicly available once New Zealand gives its approval to the JI project. The information is then updated when necessary and annual reports are added annually.” The assessor could find the information at the link provided.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.2

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.2
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 397, the Party provides reference to this publicly available information and states that "this information can be accessed either by clicking on the project ID under the Unit Issuance tab or through the Ministers' Directions menu item". The assessor could find the information at the links provided
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 398, the Party provides reference to this publicly available information and states that "this information is published on the Ministry for the Environment website for Joint Implementation Projects at http://www.mfe.govt.nz/issues/climate/policies-initiatives/joint-implementation/notice.html and is not replicated on New Zealand's national registry website". The Party states that "Project proposals are not included as they contain financial information that is considered to be commercially sensitive and confidential". The assessor could find the information at the link provided.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In [NIR] Section 12.4 table 12.4.1 page 398, the Party provides reference to this publicly available information and states that “aggregate unit holdings of ERUs, CERs, AAUs and RMUs for the previous calendar year are disclosed annually by 31 January for the previous calendar year”. The Party states that Section 27(2) of the Climate Change Response Act 2002 only requires total holdings of AAUs, ERUs, CERs, ICERs, tCERs and RMUs to be publicly available by 31 January of each year for the previous calendar year.</p> <p>The Party also states that “Total quantity of unit holdings in each account within the most recent calendar year is considered to be confidential information, therefore the total quantity of unit holdings in each account provided is only those completed more than one year in the past”.</p> <p>This information is published on 1 January for the beginning of the previous calendar year. The Party states that “Section 27(3) of the Climate Change Response Act 2002 only requires holdings of Kyoto units by each holding account for the beginning of the previous calendar year to be made publicly available”.</p> <p>The assessor could find the information at the link provided.</p>
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	See P1.4.3

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 399-401, the Party provides reference to this publicly available information and states that "The registry makes this information available on 1 January of each year for the previous calendar year". The assessor could find the information at the link provided.
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Same as in P1.4.3.2
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	In [NIR] Section 12.4 table 12.4.1 page 399, the Party provides reference to this publicly available information and states that the total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries, and the identity of the registries is publicly available by 31 January for the previous calendar year" and that "the identity of the individual transferring accounts is not available as it is considered to be confidential information." The Party refers to Section 27(j) of the Climate Change Response Act 2002 which requires that only the following be made publicly available: <ul style="list-style-type: none"> •total quantity of units transferred; •total quantity and type of unit transferred; •the identity of the transferring overseas registries including the total quantity of units transferred from each overseas registry and each type of unit transferred from each overseas registry. The assessor could find the information at the link provided.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Same as in P1.4.3.2
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	Same as first part in P1.4.3.4 The Party refers to Section 27(k) of the Climate Change Response Act 2002 requires that only the following be publicly available: <ul style="list-style-type: none"> •total quantity of units transferred; •total quantity and type of unit transferred; •the identity of the acquiring overseas registries including the total quantity of units transferred to each overseas registry and each type of unit transferred to each overseas registry. The assessor could find the information at the link provided.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Same as in P1.4.3.2
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Same as in P1.4.3.2

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Same as in P1.4.3.2
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Same as in P1.4.3.2
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Same as in P1.4.3.2
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	See P1.4.3
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 12.4 table 12.4.1 page 401, the Party provides reference to this publicly available information. The assessor could find the information at the link provided.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	The ERT reiterates the main findings and the recommendation contained in the SIAR that the Party includes in its publicly available information the years of issuance of ERUs.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party addressed this recommendation at Chapter 14 in its [NIR] under table 14.2 page 408.