



UNFCCC ITL Administrator

**Standard Independent Assessment Report**  
**Assessment Report**  
**Part 1 - Completeness**

<b>Reference:</b>	IAR/2014/LTU/1/2	<b>State:</b>	Final
<b>Version number:</b>	2.0	<b>Date:</b>	July 11, 2014
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**Document change record**

<b>Version</b>	<b>Date</b>	<b>Description</b>
0.1	14/05/2014	Initial Draft
1.0	15/05/2014	Reviewed draft ready to send to Party
1.1	04/07/2014	Updated to include Party comments on draft
2.0	11/07/2014	Final

## Summary:

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Lithuania	
P1.0.2	Reporting period	2013	
P1.0.3	Submission under review	Files submitted: -[NIR] LT NIR 2014.pdf -[NIR ANNEX] LT NIR Annexes 2014.pdf [SEF] SEF_LT_2014_1_12-6-35 12-3-2014.xls [REG CHANGES] Annex A and B.pdf [RESPONSE] LT_Consultation_form_P1&2.doc	Information from the ITL Administrator: -[SEFCR] SEF_LT_2014_1_12-6-35 12-3-2014CR.xls -[RRITL] SIAR-Reports_2013_LT_v.1
P1.0.4	Previous annual review report reference	The 2013 ARR is not yet published. For purposes of this assessment FCCC/ARR/2012/LTU (08/03/3013) will be used.	

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## 1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

### 1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

## 2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 <b>Standard electronic format (SEF)</b>			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 15/04/2014
15/CMP.1 annex I.E paragraph 12 <b>Discrepant transactions</b>			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL identified discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party states in [NIR] Chapter 12 section 3 and in [RRREG], report 2 that discrepancies occurred during the reported period. The information matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 <b>Reversal of storage notifications</b>			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	[ ] Yes [ x ] No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	[ x ] Yes [ ] No	The Party reported that no notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 <b>Non-certification notifications</b>			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	[ ] Yes [ x ] No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	[ x ] Yes [ ] No	The Party reported that no notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 <b>Non-replacement</b>			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	[ ] Yes [ x ] No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	[ x ] Yes [ ] No	The Party reported that no notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
<b>Inconsistencies from discrepant transactions</b>			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no invalid units towards compliance with commitments under Article 3, paragraph 1 occurred in the Lithuanian registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).
15/CMP.1 annex I.E paragraph 17			
<b>Actions and changes to address discrepancies</b>			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that during the reported year 2013, no notifications, no non-replacements including reversal of storage and failure of certification and no invalid units occurred in the Lithuanian registry. Therefore no actions and changes have been taken to address discrepancies.
15/CMP.1 annex I.E paragraph 18			
<b>CPR Calculation</b>			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR] Chapter 12 section 12.5 page 508.

### 3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact  Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 14 para 1 page 510, the Party states that there was a change to the Registry Administrator personnel in 2013: Justė Akmenskytė was replaced by Monika Ozarinskienė.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement  Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 para 2 page 510, the Party states that no changes have been made to its cooperation arrangements.



Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In [NIR] Chapter 14 para 3 page 510, the Party states that iteration 5 of the national registry software, released in January 2013, and iteration 6, released in June 2013, introduced in the structure of the database. These changes were limited and only affected EU ETS functionality. No change was required to the database and application backup plan or to the disaster recovery plan.</p> <p>The Party also reported that no change to the capacity of the national registry occurred during the reported period.</p> <p>The Party reported an updated diagram of the database in [REG CHANGES] (Annex A).</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR] Chapter 14 para 4 page 510, the Party reported that “changes introduced in releases 5 and 6 of the national registry software were limited and only affected EU ETS functionality. However, each release of the registry is subject to both regression testing and tests related to new functionality. These tests also include thorough testing against the DES and were successfully carried out prior to the relevant major release of the version to Production (see Annex B). Annex H testing was carried out in February 2014 and the successful test report has been attached. No other change in the registry’s conformance to the technical standards occurred for the reported period”.</p>
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In [NIR] Chapter 14 para 5 page 510, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security  Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 para 6 page 510, the Party states that no changes have been made to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information  Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 para 7 page 510, the Party states that no changes have been made to the list of publicly available information during the reporting period.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address  Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 para 8 page 510, the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure  Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 para 9 page 510, the Party states that no changes have been made to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results  Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 14 para 10 page 510, the Party states that both regression testing and tests on the new functionality were successfully carried out prior to release of the version to Production. The site acceptance test was carried out by quality assurance consultants on behalf of and assisted by the European Commission; the report is attached as Annex B. Annex H testing was carried out in February 2014 and the successful test report has been attached".

## 4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
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Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In [NIR] Chapter 12 Section 12.4 page 508, the Party states that “All non-confidential information required to be publicly accessible by the decision 13/CMP/1 is available in the public website of the EUTL” and that “Some of the publicly available information is also accessible via Registry management office web page on <a href="http://www.laaif.it">www.laaif.it</a>”</p> <p>The assessor reviewed the contents of the webpage <a href="http://www.laaif.it">www.laaif.it</a>, according to the SIAR Assessment Guidance which states that “The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party”.</p> <p>At <a href="http://www.laaif.it/index.php?2073167907">http://www.laaif.it/index.php?2073167907</a>, the Party states that “according to the Article 110 of the Commission Regulation No. 389/2013, information, including the holdings of all accounts, all transactions made, the unique unit identification code of the allowances and the unique numeric value of the unit serial number of the Kyoto units held or affected by a transaction, held in the EUTL, the Union Registry and any other KP registry shall be considered confidential”. Therefore only non-confidential information pursuant to the paragraphs 44-48 of the annex to the decision 13/CMP.1 of UNFCCC, is available.</p> <p>The relevant information could be downloaded from the webpage.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508. The assessor reviewed the contents of the webpage controlled by the Party and checked that the relevant information was available and . The timestamp on the web page was 2014-06-19.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508. The assessor reviewed the contents of the webpage controlled by the Party and checked that the relevant information was available and downloadable. The timestamp on the web page was 2014-06-19.
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508. The assessor reviewed the contents of the webpage controlled by the Party and checked that the relevant information was available and downloadable. The timestamp on the web page was 2014-06-19.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 Section 12.4 page 508, the Party states that "All non-confidential information required to be publicly accessible by the decision 13/CMP/1 is available in the public website of the EUTL" and that "Some of the publicly available information is also accessible via Registry management office web page on www.laaif.it".  At www.laaif.it, the Party states that " pursuant to the paragraphs 44-48 of the annex to the decision 13/CMP.1 of UNFCCC, non-confidential information is announced publicly".  At the open accounts report the Party states that "For security and privacy reasons the account number and representative information is declared confidential in article 110 of the Commission Regulation (EU) No 389/2013".
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.1.4



Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508.  The assessor found the relevant information at <a href="http://www.laaif.lt/index.php?1773540880">http://www.laaif.lt/index.php?1773540880</a> and <a href="http://www.laaif.lt/index.php?2073167907">http://www.laaif.lt/index.php?2073167907</a> ..  The Party also refers to <a href="https://etr.am.lt/crweb/reportProjectList.do">https://etr.am.lt/crweb/reportProjectList.do</a> . The assessor notes that she could not access this webpage via Firefox nor Internet Explorer nor Google Chrome browsers.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.2
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.2  The assessor could find the relevant information at the project design document form (PDD). The assessor recommends the Party displaying project location information in a more ergonomic way (e.g. through a table) so that the information can be reviewed quickly.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides references to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508.  While the assessor couldn't find a specific table detailing ERU issuance years, the assessor was able to use the annual SEF reports made available at <a href="http://www.laaif.lt/index.php?2073167907">http://www.laaif.lt/index.php?2073167907</a> to determine the years of Article 6 ERU issuance. <a href="http://www.laaif.lt/index.php?2073167907">http://www.laaif.lt/index.php?2073167907</a> ,  If not in conflict with confidentiality provisions The assessor suggests that the Party provide years of ERU issuance on a project basis.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508. The assessor found the relevant information at <a href="http://www.laaif.lt/index.php?2073167907">http://www.laaif.lt/index.php?2073167907</a> . The Party also refers to <a href="https://etr.am.lt/crweb/reportProjectList.do">https://etr.am.lt/crweb/reportProjectList.do</a> . The assessor could not access this webpage via Firefox nor Internet Explorer nor Google Chrome browsers.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508. The assessor found the relevant information at <a href="http://www.laaif.lt/index.php?2073167907">http://www.laaif.lt/index.php?2073167907</a> under the SEF report. The Party also states there that "According to the Article 110 of the Commission Regulation No. 389/2013, Information, including the holdings of all accounts, all transactions made, the unique unit identification code of the allowances and the unique numeric value of the unit serial number of the Kyoto units held or affected by a transaction, held in the EUTL, the Union Registry and any other KP registry shall be considered confidential except as otherwise required by Union law, or by provisions of national law that pursue a legitimate objective compatible with this Regulation and are proportionate"

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508.  The Party states at <a href="http://www.laaif.lt/index.php?2073167907">http://www.laaif.lt/index.php?2073167907</a> that "information relevant to Assigned Amount (AA), allowances and other Kyoto units, transaction quantity is accessible via SEF tables". The assessor checked that the 2013 SEF report was available.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	See P1.4.3
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	See P1.4.3.4
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See P1.4.3

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	See P 1.4.3
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? <sup>1</sup>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party provides reference to this publicly available information in [NIR] Chapter 12 Section 12.4 page 508. The assessor found the relevant information at <a href="http://www.laaif.lt/index.php?2073167907">http://www.laaif.lt/index.php?2073167907</a> .  Validity date was indicated by the party. <i>(Last updated 02/06/2014)</i>

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<sup>1</sup> For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

## 5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	AAR/2012/LTU-Para 161 – The ERT recommended the Party to implement the recommendations contained in the Standard Independent Assessment Report.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party submitted the missing documentation to report on the data model after last version releases and the test results against DES of such releases under [REG CHANGES] however the Party didn't mention these recommendations in its submission. The assessor recommends the Party to include in its NIR an explicit reference to the actions taken to address the last ERT recommendations available.
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	