



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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Prepared by:	Heidi McKenna / SIAR Assessor		
Reviewed by:	John Bedard / SRA International, Inc.		
Approved by:	Markwin Pieters / UNFCCC		

Circulation list

Name/Role	Organization	Info/Action
Heidi McKenna / Lead Assessor	SRA International, Inc. Consultant	Info
Heidi McKenna / SIAR Coordinator	SRA International, Inc. Consultant	Info
Ronald Velghe / Registry Systems Lead	DG Clima, European Commission	Info

Document change record

Version	Date	Description
0.1	18/06/2014	Initial Draft
1.0	20/06/2014	Draft 1.0 ready for distribution to Party
1.1	07/07/2014	Updated related to the availability of public information after website redesign
2.0	10/07/2014	Final

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	European Commission	
P1.0.2	Reporting period	2013	
P1.0.3	Submission under review	Files submitted: -[NIR] EU NIR 2014_final.pdf -Annex_3 Annexes to Registry.zip including: -[ANNEXA] Annex A – CSEUR_DB_model_20140112.pdf -[ANNEXB] Annex B – CR2013-v5.2-v6.1.7.1 REPORT-v3 00.xlsx -[ANNEXH] Annex H test results EU.docs -[SEF] SEF_EU_2014_1_16-12-5 8-4-2014.xls -[SEF EU15] SEF EU15_2014_1_15-47-25 9-4-2014.xls - [RESPONSE] Consultation form P1_P2 EUC.doc	Information from the ITL Administrator: - [SEFCR] SEF_EU_2014_1_16-12-5 8-4-2014CR.xls - [SEF EU15] SEF EU15_2014_1_15-47-25 9-4-2014CR3xls - [RRITL] SIAR-Reports_2013_EU_v.1
P1.0.4	Previous annual review report reference	FCCC/ARR/2013/EUR (03/04/2014)	

Table of Contents

1. Introduction	4
1.1. Overall assessment	4
2. Accounting of Kyoto Protocol units	5
3. National Registry Change	8
4. Public information	11
5. Previous Expert Review Team recommendations	25

1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR] and [SEF EU15] and SEF EU15 CR]
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's initial SEF report [SEF] was made available to assessors by 15/04/2014. Several revisions were necessary.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in that no discrepancies occurred during the reported period in [NIR] Section 12.4 The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications were addressed to its national registry during the reported period in [NIR] Section 12.4. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications were addressed to its national registry during the reported period in [NIR] Section 12.4. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications were addressed to its national registry during the reported period in [NIR] Section 12.4. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1 in [NIR] Section 12.4.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in [NIR] Section 12.4 that no actions were necessary to correct any problems related to discrepancies.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR] Section 12.6

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 the Party states that there was no change in the name or contact information of the Registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 the Party states that there was no change to its cooperation arrangements.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 14 the Party discusses the changes in the database structure as a result of Iterations 5 and 6 of the consolidated registry. The Party also provides a confidential diagram of the database as [Annex A] . No changes were made to the capacity of the national registry and no changes were made to the backup or disaster recovery plans as a result of these changes. The change only affected EU ETS functionality.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14 the Party discusses the changes in the how the registry conforms to technical standards as a result of Iterations 5 and 6 of the consolidated registry. The Party also provides a confidential diagram testing protocol as [Annex B]. No other changes were made to effect technical conformance. The change only affected EU ETS functionality.
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to security procedures.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the list of public information

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the internet address of the registry in the reporting period BUT the assessor notes that the URL for the public website that was published in the NIR is obsolete and redirection to the correct location does not occur. The assessor suggests that the correct URL be listed in future NIRs. In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/eur-registry/EU/public/reports/publicReports.xhtml
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to data storage integrity and disaster recovery measures.
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 14, the Party states that Annex H testing, regression testing and new functionality testing was carried out and the Party submitted a confidential document of test results as [Annex H].

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information for the 1 account of the community registry</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified. The assessor notes that there is no date reference on the .xls spreadsheet of the list of accounts so it is impossible to determine how up to date the data is. The assessor suggests that the report be date stamped and updated on at least a monthly basis.</p> <p>Regarding confidentiality of public information, the assessor notes that the webpage clearly displays the following statement. <i>"In line with the data protection requirements of regulation (EC) No 45/2001 and Directive 95/46/EC and in accordance with Article 110 and Annex V11 of Commission Regulation (EU) no 389/2013, the information on account representatives, account holdings, account numbers, all transactions made and carbon unit identifiers, held in the EUTL, the Union Registry and any other KP registry (required by paragraph 45) is considered confidential."</i></p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.5 the party lists this information for the 1 account of the community registry. In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.5 the party lists this information for the 1 account of the community registry In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified..
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Section 12.5 the party lists this information for only 1 account of the community registry In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information for only 1 account of the community registry</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified.</p> <p>Regarding confidentiality of public information, the assessor notes that the webpage clearly displays the following statement. <i>"In line with the data protection requirements of regulation (EC) No 45/2001 and Directive 95/46/EC and in accordance with Article 110 and Annex V11 of Commission Regulation (EU) no 389/2013, the information on account representatives, account holdings, account numbers, all transactions made and carbon unit identifiers, held in the EUTL, the Union Registry and any other KP registry (required by paragraph 45) is considered confidential."</i></p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information for only 1 account of the community registry</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified.</p> <p>Regarding confidentiality of public information, the assessor notes that the webpage clearly displays the following statement. <i>"In line with the data protection requirements of regulation (EC) No 45/2001 and Directive 95/46/EC and in accordance with Article 110 and Annex V11 of Commission Regulation (EU) no 389/2013, the information on account representatives, account holdings, account numbers, all transactions made and carbon unit identifiers, held in the EUTL, the Union Registry and any other KP registry (required by paragraph 45) is considered confidential."</i></p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 11.2.6.2 the party states that no JI projects are being developed in EU15 member states.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The report is currently unavailable under the Article 6 Information tab on the webpage but the Party noted in their [RESPONSE] that the following statement will be added to the web page in the upcoming week, "No Article 6 (Joint Implementation) project is reported because conversion to an ERU under an Article 6 project did not occur in the specified period."</p> <p>The assessor suggests that this statement be added in a timely manner and kept up to date on a monthly basis</p>
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 11.2.6.2 the party states that no JI projects are being developed in EU15 member states.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The report is currently unavailable under the Article 6 Information tab on the webpage but the Party noted in their [RESPONSE] that the following statement will be added to the web page in the upcoming week, "No Article 6 (Joint Implementation) project is reported because conversion to an ERU under an Article 6 project did not occur in the specified period."</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 11.2.6.2 the party states that no JI projects are being developed in EU15 member states.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The report is currently unavailable under the Article 6 Information tab on the webpage but the Party noted in their [RESPONSE] that the following statement will be added to the web page in the upcoming week, "No Article 6 (Joint Implementation) project is reported because conversion to an ERU under an Article 6 project did not occur in the specified period."</p>
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 11.2.6.2 the party states that no JI projects are being developed in EU15 member states.</p> <p>In [NIR] Section 12.5 the party states that no ERUs have been issued.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The report is currently unavailable under the Article 6 Information tab on the webpage but the Party noted in their [RESPONSE] that the following statement will be added to the web page in the upcoming week, "No Article 6 (Joint Implementation) project is reported because conversion to an ERU under an Article 6 project did not occur in the specified period."</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 11.2.6.2 the party states that no JI projects are being developed in EU15 member states.</p> <p>In [NIR] Section 12.5 the party states that no ERUs have been issued.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The report is currently unavailable under the Article 6 Information tab on the webpage but the Party noted in their [RESPONSE] that the following statement will be added to the web page in the upcoming week, "No Article 6 (Joint Implementation) project is reported because conversion to an ERU under an Article 6 project did not occur in the specified period."</p>
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report along with a statement as follows: "Holding and transaction information pursuant to paragraph 47 is provided on a holding type level. The detailed information on account holdings and transactions is considered confidential according to Article 110 of Commission Regulation (EU) n° 389/2013.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report along with a statement as follows: <i>"Holding and transaction information pursuant to paragraph 47 is provided on a holding type level. The detailed information on transactions and account holdings is considered confidential according to Article 110 of Commission Regulation (EU) n° 389/2013.</i></p>
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In [NIR] Section 11.2.6.2 the party states that no JI projects are being developed in EU15 member states.</p> <p>In [NIR] Section 12.5 the party states that no ERUs have been issued</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report along with a statement as follows: <i>"Holding and transaction information pursuant to paragraph 47 is provided on a holding type level. The detailed information on accounts and transactions is considered confidential according to Article 110 of Commission Regulation (EU) n° 389/2013.</i></p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report along with a statement as follows: <i>"Holding and transaction information pursuant to paragraph 47 is provided on a holding type level. The detailed information on accounts and transactions is considered confidential according to Article 110 of Commission Regulation (EU) n° 389/2013.</i></p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report and this information is in the report.</p>
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified</p> <p>The assessor was able to locate the 2014 SEF report along with a statement as follows: "<i> Holding and transaction information pursuant to paragraph 47 is provided on a holding type level. The detailed information on account holdings is considered confidential according to Article 110 of Commission Regulation (EU) n° 389/2013.</i></p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR] Section 12.5 the party lists this information on a consolidated account type level.</p> <p>In Party's [RESPONSE] the URL was provided for the consolidated registry as: https://ets-registry.webgate.ec.europa.eu/euregistry/EU/public/reports/publicReports.xhtml and the public information was verified.</p> <p>The assessor notes that there is no date reference on the .xls spreadsheet of the list of legal entities so it is impossible to determine how up to date the data is. The assessor suggests that the report be date stamped and updated on at least a monthly basis.</p> <p>Regarding confidentiality of public information, the assessor notes that the webpage clearly displays the following statement, "<i>In line with the data protection requirements of Regulation (EC) No 45/2001 and Directive 95/46/EC and in accordance with Article 110 and Annex III of the Commission Regulation (EU) n° 389/2013, the legal entity contact information (required by paragraph 48) is considered confidential.</i>"</p>

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	FCCC/ARR/2013/EUR (03/04/2014) Section III (B) Table 8 with a cross reference to Paragraph 106 Include all other additional information in response to the SIAR findings in the NIR in accordance with decision 15/CMP.1, annex, chapter I.G	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party included additional information in response to the 2013 SIAR findings in the 2014 NIR and referenced Annexes A,B,H that relate to changes in the database and test results
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	