



UNFCCC ITL Administrator

**Standard Independent Assessment Report  
Assessment Report  
Part 1 - Completeness**

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## Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Liechtenstein	
P1.0.2	Reporting period	2012	
P1.0.3	Submission under review	<p>Files submitted:</p> <ul style="list-style-type: none"> <li>- [SEF] SEF_LIE_2013_1_13-22-40 8-4-2013.xls</li> <li>- [NIR] NIR LIE 2013.pdf</li> <li>- [REPORTS] SIAR_Report_R-2_2012-LI.xls, SIAR_Report_R-3_2012-LI.xls, SIAR_Report_R-4_2012-LI.xls, SIAR_Report_R-5_2012-LI.xls</li> <li>-[RESPONSE] Consultation_form_P12.doc</li> <li>Ch_14_addendum_A1.pdf</li> <li>Ch_14_addendum_A2.pdf</li> </ul>	<p>Information from the ITL Administrator:</p> <ul style="list-style-type: none"> <li>- [SEFCR] SEF_LIE_2013_1_13-22-40 8-4-2013_CR.xls</li> <li>-[RRITL] SIAR_Reports_2012_LIE_RITL_v1.xls</li> </ul>
P1.0.4	Previous annual review report reference	FCCC/ARR/2012/LIE (11/03/2013)	

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## 1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

### 1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 1.4.1.(x), 1.4.3
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA

## 2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 <b>Standard electronic format (SEF)</b>			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 08/04/2013.
15/CMP.1 annex I.E paragraph 12 <b>Discrepant transactions</b>			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 <b>Reversal of storage notifications</b>			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 <b>Non-certification notifications</b>			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 <b>Non-replacement</b>			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
<b>Inconsistencies from discrepant transactions</b>			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17			
<b>Actions and changes to address discrepancies</b>			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported changes to discrepancies procedures in chapter 14 of [NIR].
15/CMP.1 annex I.E paragraph 18			
<b>CPR Calculation</b>			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in paragraph 12.5 of [NIR].

### 3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact  Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 224, the Party states that there have been changes to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement  Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 225, the Party states that there have been changes to its cooperation arrangements.



Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	[ X ] Yes [ ] No	[ X ] Yes [ ] No	<p>In NIR Chapter 14 page 226, the Party states that there have been changes to the database and capacity of its national registry. Party submitted a description of the current database structure of the consolidated registry as provided by the European Commission. (file "Ch_14_addendum_A1.pdf"). The description does not relate to database capacity. Party reports that changes in the current consolidated registry software were not related to Kyoto functions and did not affect the database capacity.</p>
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	[ X ] Yes [ ] No	[ X ] Yes [ ] No	<p>In NIR Chapter 14 page 226, the Party states that there have been changes to the conformance to technical standards by its national registry.</p>
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	[ X ] Yes [ ] No	[ X ] Yes [ ] No	<p>In NIR Chapter 14 page 226, the Party states that there have been changes to the procedures employed in its national registry to minimize discrepancies.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security  Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 227, the Party states that there have been changes to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information  Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 227, the Party states where the publicly available information is found, but does not state whether there has been a change or not.  Party has advised that the information content has not changed – just the design
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address  Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 227, the Party states that there have been changes to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure  Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 227, the Party states that there have been changes to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results  Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 227, the Party notes that changes have been made to the results of its test procedures related to the October 2012 release of the consolidated registry software that affected only ETS functionality and had no impact on Kyoto functions. The relevant test report was provided by the party (Ch_14_addendum_A2.pdf)

## 4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS</p> <p>The Party provides reference to this publicly available information in NIR Chapter 12 pages 219-220. The first link to the information on operator holding accounts is not working. The Party states that information on representative name and contact information is classified as confidential.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party provides reference to this publicly available information in NIR Chapter 12 pages 219-220.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party provides reference to this publicly available information in NIR Chapter 12 pages 219-220.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.  The Party provides reference to this publicly available information in NIR Chapter 12 pages 219-220, but the information on the commitment period with which a cancellation or retirement account is related to is not available.  Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.  This information is not available on the public URL provided by the Party in NIR Chapter 12 pages 219-220.  Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> <b>Partial</b>	The Party provides reference to this publicly available information in NIR Chapter 12 pages 219-220, but classified the information on representative names and contact information as confidential.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 221, the Party specifies that there are no JI projects in Liechtenstein. In NIR Chapter 12 page 220, it provides reference to publicly available information on Article 6 Projects the Party has approved.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 221, the Party specifies that there are no JI projects in Liechtenstein.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 221, the Party specifies that there are no JI projects in Liechtenstein.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 221, the Party specifies that there are no JI projects in Liechtenstein.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 221, the Party specifies that there are no JI projects in Liechtenstein.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (b, c,e, g, h, l, j, r, n, k) was provided in the NIR Chapter 12 page 220.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 220, the Party specifies that this information is classified as confidential.



Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	<p>13/CMP.1 Annex paragraph 47 (b)</p> <p>The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial</p>	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (b) was provided in the NIR Chapter 12 page 220. In 2012, the Party did not issue any AAUs.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>
P1.4.3.3	<p>13/CMP.1 Annex paragraph 47 (c)</p> <p>The total quantity of ERUs issued on the basis of Article 6 projects</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial</p>	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (c) was provided in the NIR Chapter 12 page 220. In 2012, the Party did not issue any ERUs.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 220, the Party specifies that this information is classified as confidential.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (e) was provided in the NIR Chapter 12 page 220. The Party did not issue any RMUs in 2012.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 220, the Party specifies that this information is classified as confidential.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (g) was provided in the NIR Chapter 12 page 220. The Party states that it did not cancel any RMUs under Article 3, paragraph 1 in 2012.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (h) was provided in the NIR Chapter 12 page 220. The Party states that it did not cancel any ERU, CER, AAU or RMU under Article 3, paragraph 1 in 2012.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (i) was provided in the NIR Chapter 12 page 220. The Party states that no AAU, no ERU and no CER were voluntarily cancelled. No RMU was cancelled.</p>
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (j) was provided in the NIR Chapter 12 page 220. The Party states that no ERU, no CER, no AAU, no RMU, no tCER, no ICER were retired.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party does not provide a URL where this information is publicly available in NIR Chapter 12 page 220. However, relevant information on 47 (k) was provided in the NIR Chapter 12 page 220. The Party states that there was no carry-over of ERU, CER, AAU or RMU from the previous commitment period.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In NIR Chapter 12 page 220, the Party specifies that this information is classified as confidential.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? <sup>1</sup>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>The assessor notes that this public information was not provided on a website controlled by the party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>The Party lists the type of legal entities that are authorised to hold units in NIR Chapter 12 page 220-221, but does not provide the names of the legal entities. The Party should clarify whether the list of entities is confidential or not, and if not, provide the list.</p> <p>Note: In the second paragraph on page 221, the last sentence should read “paragraph 44-48”, not “paragraph 44-40”.</p> <p>Party has advised that they are currently in discussion with the EU Commission regarding the presentation of publicly available information on a web site controlled by the party. At this stage no resolution has been proposed.</p>

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<sup>1</sup> For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

## 5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	In FCCC/ARR/2012/LIE there are no ERT recommendations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 227 the Party notes that updates have been made regarding 2.3.4, 2.3.6 and 2.4.1 from IAR/2011/LIE/2/2 for 23/07/2012.
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	