



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness

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0.1	30/05/2013	Initial Draft
1.0	30/05/2013	Draft to be sent to the Party
2.0	26/07/2013	Final

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	The United Kingdom of Great Britain and Northern Ireland	
P1.0.2	Reporting period	2012	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_GBR_2013_1_14-51-34 11-4-2013.xls - [NIR] NIR ukghgi-90-11_main_chapters_Issue2.doc - [NIR – Annex] ukghgi-90-11_Annexes_Issue2.doc - [RESPONSE] SIAR Parts 1 & 2 Consultation Form UK Response 05 07 13.docx - SIAR Reports 2013-IE v1 0 .xlsx - ch_14_addendum.pdf - Ch_14_addendum_A1.pdf - Ch_14_addendum_A2.pdf	Information from the ITL Administrator: - [SEFCR] SEF_GBR_2013_1_14-51-34 11-4-2013_CR.xls -[RRITL] SIAR_Reports_2012_GBR_RITL_v1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2011/GBR (27/04/2012)	2012 Inventory Review Report not available at time of assessment.

Table of Contents

1. Introduction.....	4
1.1. Overall assessment.....	4
2. Accounting of Kyoto Protocol units	5
3. National Registry Change	8
4. Public information	12
5. Previous Expert Review Team recommendations	26

1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P1.2.4, P1.3.7
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P1.4.1.x P1.4.3.x P1.4.4
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 15/05/2013.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL identified discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR, Chapter 12.3, Table 12-2 the Party refers to the SIAR Excel file (table named R2) however it did not submit the file and the report R-2, and did not report any discrepancies with transactions proposed by the Party during the reported period identified by the ITL (see [RRITL], Report R-2) In [RESPONSE] Party informs that whilst the ITL lists discrepant transactions, all of these were cancelled and therefore fall outside of the criteria for reporting. In response to the assessors request to submit the R2,the Party has submitted a blank R2-R5 (in SIAR Reports 2013-IE v1 0 (2).xlsx).
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that changes to the Consolidated System of EU Registries also triggered changes to discrepancies procedures, as reflected in the updated manual intervention document and the operational plan.
15/CMP.1 annex I.E paragraph 18 CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in NIR Chapter 12.5.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR Chapter 14 page 509 the Party states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 510 the Party states that changes have been made to its cooperation arrangements.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 511 the Party states that changes have been made to the database and capacity of its national registry.
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 511 the Party states that changes have been made to the conformance to technical standards by its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 512 the Party states that changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 512 the Party states that changes have been made to the security measures employed in its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>NIR Chapter 14 page 512 the Party provides an URL to the publicly available information, however it does not state whether any changes have been made.</p> <p>In [RESPONSE] the Party informs it is in the process of updating the publicly available information. This information will be hosted on a website controlled by the Party and will refer to information that is classified as confidential under the Registry Regulations.</p> <p>The Party did not clearly state if there was a change to the list of publicly accessible information during the reported period.</p>
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In NIR Chapter 14 page 512 the Party states that changes have been made to the Internet address of its national registry.</p>
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In NIR Chapter 14 page 513 the Party states that changes have been made to the data integrity measures.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR, Chapter 14, page 513, the Party notes that changes have been made to the results of its test procedures. In [RESPONSE] the Party refers to Ch_14_addendum_A2.pdf where test result for version 4 of registry software was provided.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
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Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The assessor noticed that on the public website of the Party: http://www.environment-agency.gov.uk/business/topics/pollution/137208.aspx</p> <p>(URL provided in NIR, Chapter 14 page 512) there is only a references to the European Union Transaction Log (EUTL) and United Nations Framework Convention on Climate Change websites available as the sites with direct access to the reports.</p> <p>The assessor notes that this public information was not provided on a website controlled by the Party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs that there is currently undergoing a Government-initiated major transition to the website that hosts its publicly available information. The Party is in the process of managing this transition and intends to make available the requested information as soon as it is possible to do so. This information will continue to be hosted on a website controlled by the Party upon completion of this transition.</p> <p>The assessor noticed that during the reassessment the process mentioned by the Party was still ongoing.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See comment P.1.4.1.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See comment P.1.4.1.
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	See comment P.1.4.1.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides a statement in NIR, Chapter 12.4 and on the public website that <i>representative identification information</i> is confidential due to Regulations for the European Union Registry. The assessor recommends that the Party adds a clear statement that the representative identifier is confidential information due to Regulations for the European Union Registry.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides a statement in NIR 12.4 and on the publicly available information that <i>representative identification information</i> is confidential due to Regulations for the European Union Registry. The assessor recommends that the Party adds a clear statement that the representative identifier is confidential information due to Regulations for the European Union Registry.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party reports in NIR, Chapter 12.4 and on the public website that it decided not to host domestic JI projects.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party reports in NIR, Chapter 12.4 and on the public website that it decided not to host domestic JI projects.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party reports in NIR, Chapter 12.4 and on the public website that it decided not to host domestic JI projects.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party reports in NIR, Chapter 12.4 and on the public website that it decided not to host domestic JI projects.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party reports in NIR, Chapter 12.4 and on the public website that it decided not to host domestic JI projects.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The assessor noticed that on the public website of the Party: http://www.environment-agency.gov.uk/business/topics/pollution/137208.aspx there are only references to the European Union Transaction Log (EUTL) and United Nations Framework Convention on Climate Change website available as the sites with direct access to the reports.</p> <p>The assessor notes that this public information was not provided on a website controlled by the Party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor noticed that during the reassessment the process of making amendments mentioned by the Party was still ongoing.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The party reports in NIR, Chapter 12.4, Table 12-3 that <i>Holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation.</i></p> <p>The assessor was not able to obtain the information on a holding type level from the public website of the Party.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor noticed that during the reassessment the process of making amendments mentioned by the Party was still ongoing.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	<p>13/CMP.1 Annex paragraph 47 (b)</p> <p>The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial</p>	<p>The assessor notes that this public information was not provided on a website controlled by the Party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs it does not host JI projects but shall make reference to this on a website to be hosted by the Party.</p> <p>The assessor during the reassessment was not able to receive relevant information on the website of the Party.</p>
P1.4.3.3	<p>13/CMP.1 Annex paragraph 47 (c)</p> <p>The total quantity of ERUs issued on the basis of Article 6 projects</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial</p>	<p>The Party reports in NIR, Chapter 12.4 and on the public website that it decided not to host domestic JI projects.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The party reports in NIR, Chapter 12.4, Table 12-3 that <i>Holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation.</i></p> <p>The assessor was not able to get the information on a holding type level from the public website of the Party.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor noticed that during the reassessment the process of making amendments mentioned by the Party was still ongoing.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party reports in NIR, Chapter 12.4, Table 12-3 that it is currently not participating in any LULUCF projects for 2012 however the Party should also provide relevant information on its public website.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor was not able to receive relevant information on the public website of the Party during the reassessment.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The party reports in NIR, Chapter 12.4, Table 12-3 that <i>Holding and transaction information is provided on a holding type level, due to more detailed information being declared confidential by EU Regulation.</i></p> <p>The assessor was not able to obtain the information on a holding type level from the public website of the Party.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor noticed that during the reassessment the process of making amendments mentioned by the Party was still ongoing.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party reports in NIR, Chapter 12.4, Table 12-3 that <i>no ERUs, CERs, AAUs and RMUs have been cancelled on the basis of activities under Article 3, paragraphs 3 and 4 to date</i> however the Party should also provide relevant information on its public website.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor was not able to receive relevant information on the public website of the Party during the reassessment.</p>
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party reports in NIR, Chapter 12.4, Table 12-3 that <i>no ERUs, CERs, AAUs and RMUs have been cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 to date</i> however the Party should also provide relevant information on its public website.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor was not able to receive relevant information on the public website of the Party during the reassessment.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The assessor notes that this public information was not provided on a website controlled by the Party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor noticed that during the reassessment the process of making amendments mentioned by the Party was still ongoing.</p>
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party reports in NIR, Chapter 12.4, Table 12-3 that <i>no ERUs, CERs, AAUs nor RMUs have been retired to date</i> however the Party should also provide relevant information on its public website.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor was not able to receive relevant information on the public website of the Party during the reassessment.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party reports in NIR, Chapter 12.4, Table 12-3 that <i>there is no previous commitment period to carry ERUs, CERs, and AAUs over from</i> however the Party should also provide relevant information on its public website.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor was not able to receive relevant information on the public website of the Party during the reassessment.</p>
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party states on its public website <i>that detailed information regarding accounts, their holdings and transactions is regarded as confidential under the Regulations for the European Union Registry.</i></p> <p>The assessor notes however that according to European Regulation transaction details can be displayed for transactions after five years. The corresponding section in the NIR and on the public website should be adapted accordingly.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>The assessor noticed that on the public website of the Party: http://www.environment-agency.gov.uk/business/topics/pollution/137208.aspx there are only a references to the European Union Transaction Log (EUTL) and United Nations Framework Convention on Climate Change websites available as the sites with direct access to the reports.</p> <p>The assessor notes that this public information was not provided on a website controlled by the Party per Paragraph 44 of the annex to decision 13/CMP.1.</p> <p>Note that the Party should maintain its own website and have control of the public information. The public information should be accessible directly on the website of the national registry or via a link from the registry website to another website controlled by the Party. For clarity, the Party should not simply reference data published by a third-party such as reports hosted on the UNFCCC website, or the EU ETS.</p> <p>In [RESPONSE] the Party informs it is in the process of making amendments to the publically available information. This information will be hosted on a website controlled by the Party.</p> <p>The assessor noticed that during the reassessment the process of making amendments mentioned by the Party was still ongoing.</p>

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<i>No recommendations from previous annual review report</i>
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	