



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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Document change record

Version	Date	Description
0.1	30/05/2013	Initial draft
1.0	30/05/2013	Draft to be sent to the party
1.1	24/07/2013	Reassessment following Party Consultation
2.0	26/7/2013	Final

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Bulgaria	
P1.0.2	Reporting period	2012	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_BG_2013_1_17-0-17 11-4-2013 - [NIR] BG NIR 2013 - [REPORTS] None – see section 12.3 of NIR - [RESPONSE 1] Consultation_form_P12_bgr CSEUR database structure DG Clima QA report EUQR v4.0	Information from the ITL Administrator: - [SEFCR] SEF_BG_2013_1_17-0-17 11-4-2013_CR_2 -[RRITL] SIAR_Reports_2012_RITL_BG_v1
P1.0.4	Previous annual review report reference	FCCC/ARR/2011/BGR (13/06/2012)	2012 Inventory Review Report not available at time of assessment.

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P1.4.2 and P1.4.3.
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA P1.5.1 and P1.5.2

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in SEF and SEFCR.
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report SEF was made available to assessors by 15/04/2013.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see RRITL, Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see RRITL, report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	[] Yes [X] No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see RRITL, Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	[X] Yes [] No	The Party reported that no reversals of storage notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see RRITL, report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	[] Yes [X] No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see RRITL, Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	[X] Yes [] No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see RRITL, report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	[] Yes [X] No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see RRITL, Report R-3).

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see RRITL, report R-3).
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that there were no actions necessary to undertake to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. There were no actions necessary to address questions of implementation pertaining to transactions.
15/CMP.1 annex I.E paragraph 18 CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in NIR Chapter 12.5 (page 475).

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR Chapter 14 page 478, the Party states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 479, the Party states that there have been changes to its cooperation arrangements.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In NIR Chapter 14 page 479, the Party states that there have been changes to the database and capacity of its national registry.</p> <p>Party submitted a description of the current database structure of the consolidated registry as provided by the European Commission. (file "CSEUR database structure"). The description does not relate to database capacity. Party reports that changes in the current consolidated registry software were not related to Kyoto functions and did not affect the database capacity.</p>
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In NIR Chapter 14 page 480, the Party states that there have been changes to the conformance to technical standards by its national registry.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 480, the Party states that there have been changes to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 480, the Party states that there have been changes to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR Chapter 14 page 481, the Party states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 481, the Party states that there have been changes to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In NIR Chapter 14 page 481, the Party states that there have been changes to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In NIR Chapter 14 page 481, the Party states that there have been changes to the results of its test procedures.</p> <p>The Party clarified in [RESPONSE 1] that the October 2012 release affected only ETS functionality and had no impact on Kyoto functions. Following consultation, the Party informed that test results (confidential) have been submitted by the EU to the UNFCCC.</p>

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In [NIR] Chapter 12 page 473 the Party provides reference to this publicly available information on accounts holdings (http://bg-server1.etr.moew.government.bg/iaos/contacts.php?type=3). However, the account information available does not have a time stamp and could be outdated.</p> <p>Following consultation with the Party, the information on account representatives' contact information has been marked as confidential on the referenced website, and information on the commitment period to which a cancellation or retirement has been published.</p> <p>However, the data does not have any time stamp. The assessor recommends that the publicly available information has a time stamp and is up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Chapter 12 page 473 the Party provides reference to this publicly available information on accounts holdings (http://bg-server1.etr.moew.government.bg/iaos/contacts.php?type=3). In its [RESPONSE 1], the Party provides another link to this information (http://eea.government.bg/bg/rr/r-te/registry/main2.html).</p> <p>However, the data does not have any time stamp. The assessor recommends that the publicly available information has a time stamp and is up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).</p>
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In [NIR] Chapter 12 page 473 the Party provides reference to this publicly available information on accounts holdings (http://bg-server1.etr.moew.government.bg/iaos/contacts.php?type=3). In its [RESPONSE 1], the Party provides another link to this information (http://eea.government.bg/bg/rr/r-te/registry/main2.html).</p> <p>However, the data does not have any time stamp The assessor recommends that the publicly available information has a time stamp and is up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	This information is not available at URL to which the Party provides reference in [NIR] Chapter 12 page 473 (http://bg-server1.etr.moew.government.bg/iaos/contacts.php?type=3). In [RESPONSE 1], the Party informs that it has made available this information in the document "Accounts" on http://eea.government.bg/bg/bg/rr/R-te/registry/main2.html . The assessor confirms this information.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 page 473 the Party provides reference to this publicly available information (http://bg-server1.etr.moew.government.bg/iaos/contacts.php?type=3). The information is available when clicking on "Details" for each account. However, the data does not have any time stamp. The assessor recommends that the publicly available information has a time stamp and is up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 page 473 the Party provides reference to this publicly accessible information (http://bg-server1.etr.moew.government.bg/iaos/contacts.php?type=3). At this URL, only the representative name is available. In [RESPONSE 1], the Party informs that it has published a new Account list on the website (http://eea.government.bg/bg/rr/r-te/registry/main2.html), where it states that this information is confidential, in accordance with Commission Regulation (EU) No. 389/2013. The assessor confirms this information.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 page 473, the Party provides reference to this publicly available information (http://bg-server1.etr.moew.government.bg/iaos/projects.php). However, information on the years of ERU issuance is missing (P1.4.2.4). In [RESPONSE 1], the Party informs that it has published the quantity of issued ERUs in 2012 on its website (http://eea.government.bg/bg/rr/r-te/registry/index.html). However, the document does not include annual issuance before 2012 for all projects.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 page 473, the Party provides reference to this publicly available information (http://bg-server1.etr.moew.government.bg/iaos/projects.php).

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 page 473, the Party provides reference to this publicly available information (http://bg-server1.etr.moew.government.bg/iaos/projects.php).
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 page 473, the Party provides reference to publicly available information (http://bg-server1.etr.moew.government.bg/iaos/projects.php). The Party provides only information on the sum of all ERUs issued. The assessor recommends that the Party publishes information detailing the number of ERUs issued each year on the referenced website. In [RESPONSE 1], the Party informs that it has published the quantity of issued ERUs in 2012 on its website (http://eea.government.bg/bg/rr/r-te/registry/index.html). However, the document does not include annual issuance before 2012 for all projects.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERUs, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 page 473, the Party provides reference to publicly available information (http://bg-server1.etr.moew.government.bg/iaos/projects.php and http://bg-server1.etr.moew.government.bg/iaos/projects.php) All the required information is available on these two websites.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	Information on holding and transactions is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The Party states that some of this information (see P1.4.3.1, P1.4.3.4, P1.4.3.6 and P1.4.3.12) is confidential. The assessor recommends that the Party states this on the registry website. In [RESPONSE 1], the Party says that this information is confidential in accordance with Registry Regulation No. 389/2013. Information in P.1.4.3.1, P1.4.3.4, P1.4.3.6 and P1.4.2.12 is confidential according to this regulation. However, the information mentioned in P.1.4.3.2, P1.4.3.3, P1.4.3.5, P1.4.3.7 to P1.4.3.11 is not confidential. The assessor recommends that this information is published on the Party's website.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year is not available on the URL referenced in [NIR] paragraph 12.4 page 472. In [NIR] Chapter 12 page 474 the Party states that this information is confidential until the year X+5 according to EU Registry Regulation No 920/2010/EC. The assessor recommends that the Party states this on the registry website.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8 is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The Party has not identified this information as confidential and the assessor recommends that the Party publishes this information on the registry website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	Information on the total quantity of ERUs issued on the basis of Article 6 projects is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The assessor recommends that the Party publishes the information on the registry website.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 paragraph 12.4 page 474 the Party states that the total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries is confidential until year X + 5 according to the EU Registry Regulation No 920/2010/EC. The assessor recommends that the Party states this explicitly on the registry website.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4 is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The assessor recommends that the Party publishes the information on the registry website.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 paragraph 12.4 page 474 the Party states that the total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries is confidential until year X + 5 according to the EU Registry Regulation No 920/2010/EC. The assessor recommends that the Party states that this information is confidential on the registry website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4, is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The assessor recommends that the Party publishes the information on the registry website.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1, is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The assessor recommends that the Party publishes the information on the registry website.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of other ERUs, CERs, AAUs and RMUs cancelled is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The assessor recommends that the Party publishes the information on the registry website.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of ERUs, CERs, AAUs and RMUs retired is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The assessor recommends that the Party publishes the information on its website.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Information on the total quantity of ERUs, CERs, AAUs and RMUs carried over from the previous commitment period is not available on the URL referenced in [NIR] paragraph 12.4 page 472. The assessor recommends that the Party publishes the information on its website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR] Chapter 12 paragraph 12.4 page 474 the Party states that information on the current holdings of ERUs, CERs, AAUs and RMUs in each account is confidential according to the EU Registry Regulation No 920/2010/EC. The assessor recommends that the Party states that this information is confidential on the referenced website.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The list of legal entities authorized is not available on the URL referenced in [NIR] paragraph 12.4 page 472. In [RESPONSE 1], the Party informs that the list of legal entities authorized has been published on http://eea.government.bg/bg/rr/r-te/registry/main2.html . The assessor confirms this information. However, the data does not have any time stamp. The assessor recommends that the publicly available information has a time stamp and is up to date (i.e. updated as close to real time as possible, but at least updated on a monthly basis).

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
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<p>P1.5.1</p>	<p>FCCC/ARR/2012/BGR was not available. The assessor used FCCC/ARR/2011/BGR</p> <p>The recommendation is found in paragraph 162 of FCCC/ARR/2011/BGR, and further detailed in paragraph 145 (a and b).</p> <p>Paragraph 162 of FCCC/ARR/2011/BGR: The ERT identifies the following cross-cutting issues for improvement: (g) Make available on the registry's public website the information required in accordance with paragraphs 44-48 of the annex to decisions 13/CMP.1 (see paragraph 145).</p> <p>Paragraph 145 of FCCC/ARR/2011/BGR: The ERT team in the SIAR identified the following problem: the national registry has not fulfilled the requirements regarding the public availability of information in accordance with section II.E of the annex to decisions 13/CMP.1. The ERT recommends that Bulgaria include: (a) In the account information section: (i) An index with numerical identifiers for account types; (ii) An index with numerical identifiers for commitment period information in the account information section; (iii) A statement regarding the confidentiality of holding and transaction information; (iv) An identification of legal entities authorized by the Party.</p>	<p>[] Yes [X] No</p>	<p>In [NIR] Chapter 12 pages 472-474, the Party covers the recommendations in paragraph 145 (a, ii and a, iv), but does not cover the recommendations in paragraph 145 (a, i and a, iii).</p> <p>The assessor notes that the recommendation in paragraph 145 (a, i) has been addressed. In the account information section of its website, the Party has added an index with numeral identifiers for account types. The recommendations in para 145 (a, iv) and para 145 (ii) have also been addressed.</p> <p>Meanwhile, the Party has not published on its website any statement on the confidentiality of holding and transaction information (recommendation in paragraph 145 a,iii). The Party has still not published the information required in P.1.4.3.2, P1.4.3.3, P1.4.3.5, P1.4.3.7 to P1.4.3.11.</p> <p>Hence, all the information required in accordance with paragraphs 44-48 of the annex to decisions 13/CMP.1 is still not available on the registry's public website. The assessor recommends that the Party:</p> <ul style="list-style-type: none"> - Publishes on its website a statement on the confidentiality of the holding and transaction information - Publishes information as required in paragraph 47 of the annex to decisions 13/CMP.1: - The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8 - The total quantity of ERUs issued on the basis of Article 6 projects - The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4 - The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4 - The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period
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P1.5.2	<p>Paragraph 145 of FCCC/ARR/2011/BGR:</p> <p>(b) On the MoEW.s new website:</p> <p>(i) The years in which emission reduction units have been issued as a result of the Article 6 projects;</p> <p>(ii) Downloadable electronic versions of all publicly available documentation relating to Article 6 projects.</p>	<p>[] Yes [X] No</p>	<p>In [NIR] Chapter 12 page 473, the Party covers the issues raised in the recommendation in paragraph 145 (b) of FCCC/ARR/2011/BGR. However, the assessor notes that one out of two recommendations in paragraph 145 (b) has been fully addressed.</p> <p>The recommendation in 145 (b, ii) has been addressed, as downloadable electronic versions on all publicly available documentation on Article 6 projects are now available on the MoEW's new website.</p> <p>In [RESPONSE 1], the Party informs that it has published the quantity of issued ERUs in 2012 on its website (http://eea.government.bg/bg/rr/r-te/registry/index.html). However, the document does not include annual issuance before 2012 for all projects. The assessor recommends that also yearly issuance before 2012 is published (recommendation in 145 (b, i)).</p>
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