



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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Version	Date	Description
0.1	12/05/2010	First draft
1.0	12/05/2010	Review
1.1	01/06/2010	Updated assessment to include revised Submission
1.2	12/06/2010	Review
1.3	14/06/2010	Additional comments in Section 4 fro assessor
2.0	14/06/2010	Review

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Croatia	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	Files submitted: - [NIR-HR] Croatian NIR_2010.pdf - [NIR-HR-RESUB] Croatian NIR_2010.pdf - [RESPONSE1] SIAR Consultation Form on Draft Part 1 HRV.pdf	Information from the ITL Administrator: - [RRITL] SIAR_Reports_2009_HR_v1.1
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/HRV (01/03/2010)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party is not required to submit a SEF as they did not yet transfer or acquire any units in the reported year 2009.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period.
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party confirmed in the [RESPONSE1] document that no discrepant transactions occurred in 2009.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13			
Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party confirmed in the [RESPONSE1] document that no notifications occurred in 2009.
15/CMP.1 annex I.E paragraph 14			
Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period.
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party confirmed in the [RESPONSE1] document that no notifications occurred in 2009.
15/CMP.1 annex I.E paragraph 15			
Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period.
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party confirmed in the [RESPONSE1] document that no non-replacements occurred in 2009.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party confirmed in the [RESPONSE1] document that no invalid units existed in the registry as of 31 December 2009.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party states in the [RESPONSE1] document that since the national registry has not yet started live operations, therefore no actions were taken or changes made to address discrepancies for the period under review.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party has explained the [RESPONSE1] that the Party has a pending issue on the calculation of the assigned amount in accordance with Article 3, paragraph 7 and 8, of the Kyoto Protocol. The Party has stated the formula and results for the CPR calculation.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In the [RESPONSE1] document, Party provides contact details of the changed registry administrator deputy.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party confirmed in the [RESPONSE1] document that Croatia does not cooperate in maintaining their national registry in a consolidated system with any other Parties.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In the [RESPONSE1] document, the Party has provided detailed technical descriptions of the database, its capacity and the servers in use in the national registry. However, no statement is given whether a <i>change</i> to the database or the capacity has occurred or not in the reporting period.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<p>In the [RESPONSE1] document, the Party has explained that the national registry has been developed for compliance with DES and also for operation under EU ETS. The Party also provides information of different functionalities the registry can perform and that it complies with the electronic messaging requirements given in DES version 1.1.2.</p> <p>However, no clear statement is given whether a <i>change</i> of conformance to technical standards has occurred or not in the reporting period.</p>
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<p>In the [RESPONSE1] document, the Party has described different discrepancies procedures employed in the national registry.</p> <p>However, no clear statement is given whether a <i>change</i> in the discrepancies procedures has occurred or not in the reporting period.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has described security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error.</p> <p>However, no clear statement is given whether a <i>change</i> in the security measures has been employed or not in the reporting period.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that the national registry is in reconciliation mode only. Publicly accessible information will become visible to the public after Croatia joins the EU ETS. The Party also gives a description of the information which is already publicly available on its website and the information which will be posted after Croatia joins EU ETS.</p> <p>However, no clear statement is given whether a <i>change</i> has been made to the list of publicly available information or not in the reporting period.</p>
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has provided the internet address of the national registry: http://www.azo.hr/ghgregistry</p> <p>However, no clear statement is given whether the internet address <i>changed</i> or not in the reporting period.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Party confirmed in the [RESPONSE1] document that no change of data integrity measures occurred during the reporting period.
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In the [RESPONSE1] document, the Party explains that the CR software was fully tested in February – December 2009 by the Croatian registry administrator and the CR software is approved by the UNFCCC and EU. However, no clear statement is given whether any <i>changes</i> to the test procedures or results have occurred or not in the reporting period.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party informs in the [RESPONSE1]document that account information cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party informs in the [RESPONSE1] document that account name cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that account type cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that information on the commitment period with which a cancellation or retirement account is associated cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party informs in the [RESPONSE1] document that information on representative identifier cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party informs in the [RESPONSE1] document that information on representative names and contact information cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that Article 6 information will be published after 2013 in accordance with national Regulation on implementation of Kyoto Protocol flexible mechanisms.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make Article 6 project information publicly available once the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010, has been resolved.</p>
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that project name will be published after 2013 in accordance with national Regulation on implementation of Kyoto Protocol flexible mechanisms.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010, has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that project location will be published after 2013 in accordance with national Regulation on implementation of Kyoto Protocol flexible mechanisms.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010, has been resolved.</p>
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that information on years of ERU issuance will be published after 2013 in accordance with national Regulation on implementation of Kyoto Protocol flexible mechanisms.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010, has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party informs in the [RESPONSE1] document that electronic reports will be published after 2013 in accordance with national Regulation on implementation of Kyoto Protocol flexible mechanisms. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010, has been resolved.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party informs in the [RESPONSE1] document that holding and transaction information cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that the total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that the total quantity AAUs issued on the basis of assigned amount pursuant to Article 3, paragraphs 7 and 8 cannot be published on its registry internet site due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party informs in the [RESPONSE1] document that information on total quantity of ERUs issued on the basis of Article 6 projects will be published according to the national Regulation on implementation of Kyoto Protocol flexible mechanisms.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [RESPONSE1] document that information on the total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [RESPONSE1] document that information on the total quantity of RMUs issued cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [RESPONSE1] document that information on the total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in the [RESPONSE1] document that information on the total quantity of ERUs, CERs, AAUs and RMUs cancelled cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party notes in the [RESPONSE1] document that information on the total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010. The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [RESPONSE1] document that information on the total quantity of ERUs, CERs, AAUs and RMUs cancelled cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [RESPONSE1] document that information on the total quantity of ERUs, CERs, AAUs and RMUs retired cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [RESPONSE1] document that information on the total quantity of ERUs, CERs and AAUs carried over from the previous commitment period cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party notes in the [RESPONSE1] document that information on current holdings of ERUs, CERs, AAUs and RMUs in each account cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>The Party notes in the [RESPONSE1] document that a list of legal entities authorized by the Party cannot be published because the national registry has not yet started live operations due to the pending issue on calculation of the assigned amount of Croatia, ref. document FCCC/KP/CMP/2010/2, 19 February 2010.</p> <p>The Party informs on the website www.azo.hr/REPORTS that the Croatian national registry will make this information publicly available once the issue has been resolved.</p>

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (report FCCC/ARR/2009/HRV)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	<p>Paragraph 85: "The Party indicated that it would report, in its next annual submission, on additional information concerning practical user guidance, the initialization process, the detailed plan for the disaster recovery plan exercise, NTP procedure, change and testing of the national registry, incident recording, installation of a new web server and firewall in the backup system as well as the other improvements. The ERT recommends that Croatia provide this information in its next inventory submission".</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party did not provide the recommended information in the [NIR-HR].</p> <p>The Party has provided some of the requested information in the [NIR-HR-RESUB] document, but the recommendation is not fully covered. For example a NTP procedure and a detailed plan for the disaster recovery plan exercise is still not provided.</p>
P1.5.2	<p>Paragraph 86: "The ERT noted that this information has not yet been completed due to the pending decision on the calculation of the commitment period reserve in accordance with paragraph 6 of the annex to decision 11/CMP.1 and of the assigned amount of Croatia in accordance with Article 3, paragraphs 7 and 8, of the Kyoto Protocol and recommends that Croatia include information on its commitment period reserve in its next annual submission"</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The Party has provided the requested information in both the [RESPONSE1] and [NIR-HR-RESUB] document.</p>

P1.5.3	<p>Paragraph 88: “The ERT recommends that the Party report in its next annual submission any changes in its national registry in accordance with section I G of the annex to decision 15/CMP.1.”</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party did not provide the recommended information in the [NIR-HR].</p> <p>Neither the [RESPONSE1] nor [NIR-HR-RESUB] document has provided any more information on this recommendation.</p>
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