



FRAMEWORK CONVENTION ON CLIMATE CHANGE – Secretariat CONVENTION - CADRE SUR LES CHANGEMENTS CLIMATIQUES – Secrétariat

UNFCCC ITL Administrator

Standard Independent Assessment Report Assessment Report Part 1 - Completeness

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Summary

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete?	
	Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	[x] Yes [] No
P1.1.2	Public Information Provided?	
	Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	[x]Yes[]No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	[x] Yes [] No [] NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments				
15/CMP.	5/CMP.1 annex I.E paragraph 11						
Standard	d electronic format (SEF)						
P1.2.1	14/CMP.1 annex I. paragraph 3						
	Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year?	[x] Yes [] No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in table 2(b) of [SEF] and [SEFCR].				
	(If yes, Party is required to submit the SEF report)						
P1.2.2	15/CMP.1 annex I.E paragraph 11						
	Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1?	[x] Yes [] No	The Party's SEF report [SEF] was made available to assessors by 29/03/2010.				
	(Yes is also indicated when the Party has not submitted a SEF and was not required to do so)						
15/CMP.	1 annex I.E paragraph 12						
Discrepa	int transactions						
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	[] Yes [x] No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).				
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	[x] Yes [] No	The Party reported that no discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).				

Ref Nr	Requirement	Assessment	Comments					
15/CMP.	15/CMP.1 annex I.E paragraph 13							
Reversal	l of storage notifications							
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	[] Yes [x] No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).					
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	[x]Yes []No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).					
15/CMP.	1 annex I.E paragraph 14							
Non-cert	tification notifications							
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	[] Yes [x] No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).					
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	[x] Yes [] No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).					
15/CMP.	1 annex I.E paragraph 15							
Non-repl	lacement							
P1.2.9	Has the transaction log a record of non-replacement for this Party?	[] Yes [x] No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).					
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Ref Nr	Requirement	Assessment	Comments				
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	[x] Yes [] No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).				
15/CMP.1	1 annex I.E paragraph 16						
Inconsist	tencies from discrepant transactions						
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	[]Yes [x]No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.				
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	[x] Yes [] No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.				
	1 annex I.E paragraph 17 and changes to address discrepancies						
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	[] Yes [x] No	The Party reported that there were no actions necessary to undertake to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. There were no actions necessary to address questions of implementation pertaining to transactions.				
15/CMP.1	15/CMP.1 annex I.E paragraph 18						
CPR Cald	CPR Calculation						
P1.2.14	Has Party reported the calculation of its commitment period reserve?	[x] Yes [] No	90 per cent of the Finland's assigned amount				

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	[x] Yes [] No	[] Yes [x] No	No change in the name or contact information of the registry administrator occurred during the reported period". See the contact details in the report attached: "Information on changes in the national registry of Finland
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	[x] Yes [] No	[] Yes [x] No	No change of cooperation arrangement occurred during the reported period. See the report attached: "Information on changes in the national registry of Finland
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	[x] Yes [] No	[x] Yes [] No	During the reported period, the Party provided information required by decision 15/CMP.1 annex II.E paragraph 32.(c).The Finnish Energy Market Authority changed software used for Finland's emission trading registry. Before that Finland used Greta software. The software currently in use, the CR software developed by the European Commission. Current total capacity is 8 GB, and current database size is 808 MB

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	[x] Yes [] No	[x] Yes [] No	During the reported period, the Party provided information required by decision 15/CMP.1 annex II.E paragraph 32.(d). The system contains the functionality to perform issuance, conversion, external transfer, (voluntary) cancellation, retirement and reconciliation processes using XML messages and web services as specified in the UN DES document
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	[x] Yes [] No	[x] Yes [] No	The Party provided information about change of discrepancies procedure required by decision 15/CMP.1 annex II.E paragraph 32.(e). The changes of discrepancies procedures can be seen in information_on_changes_in_thenational_registry_of_Finland.docx
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	[x] Yes [] No	[x] Yes [] No	The Party provided information about change of security required by decision 15/CMP.1 annex II.E paragraph 32.(f). The security measures can be seen in information_on_changes_in_the_national_registry_of_Finland.docx
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	[x] Yes [] No	[x] Yes [] No	The Party provided information about change of list of publicly available information required by decision 15/CMP.1 annex II.E paragraph 32.(g).

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	[x] Yes [] No	[] Yes [x] No	No change of the registry Internet address occurred during the reporting period required by decision 15/CMP.1 annex II.E paragraph 32.(h) https://www.paastokaupparekisteri.fi
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	[x] Yes [] No	[x] Yes [] No	The Party provided information about change of data integrity measure required by decision 15/CMP.1 annex II.E paragraph 32.(i). The data integrity measure can be seen in information_on_changes_in_thenational_registry_of_Finland.docx
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	[x] Yes [] No	[] Yes [x] No	In decision 15/CMP.1 annex II.E paragraph 32.(j), the Party states that no changes have been made to the results of its test procedures.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 45
				http://www.emvi.fi/select.asp?gid=314&pgid=314
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a)	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available
	Account name: the holder of the account			information as required under 13/CMP.1 Annex II paragraph 45 (a)
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b)	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available
	Account type: the type of account (holding, cancellation or retirement)			information as required under 13/CMP.1 Annex II paragraph 45 (b)
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c)	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available
	Commitment period: the commitment period with which a cancellation or retirement account is associated			information as required under 13/CMP.1 Annex II paragraph 45 (c)

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 45 (d)
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 45 (e)
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	[x] Yes [] No	[] Yes [] No [] Partial	In response to SIAR Part 1 v1.0, the Party stated that provide a public URL to access Article 6 project information but currently in Finland don't have any JI projects. http://www.energiamarkkinavirasto.fi/select.asp?gid=31 4&pgid=314 Note the following applies for all assessment comments in P1.4.2.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	[x]Yes []No	[] Yes [] No [] Partial	In response to SIAR Part 1 v1.0, the Party stated that provide a public URL to access Article 6 project information but currently in Finland don't have any JI projects.
				http://www.energiamarkkinavirasto.fi/select.asp?gid=31 4&pgid=314
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b)	[x]Yes []No	[] Yes [] No [] Partial	In response to SIAR Part 1 v1.0, the Party stated that
	Project location; the Party and town or region in which the project is located			provide a public URL to access Article 6 project information but currently in Finland don't have any JI projects.
				http://www.energiamarkkinavirasto.fi/select.asp?gid=31 4&pgid=314
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c)	[x]Yes []No	[]Yes []No []Partial	In response to SIAR Part 1 v1.0, the Party stated that
	Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project			provide a public URL to access Article 6 project information but currently in Finland don't have any JI projects.
				http://www.energiamarkkinavirasto.fi/select.asp?gid=31 4&pgid=314

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's.	[x]Yes []No	[] Yes [] No [] Partial	In response to SIAR Part 1 v1.0, the Party stated that provide a public URL to access Article 6 project information but currently in Finland don't have any JI projects. http://www.energiamarkkinavirasto.fi/select.asp?gid=31 4&pgid=314
	where relevant, subject to the confidentiality provisions in decision 9/CMP.1.			+αμgiu-314
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	[x] Yes [] No	[x] Yes [] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (a)
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (b)
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (c)

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d)	[x] Yes [] No	[x] Yes [] No [] Partial	The Party provides reference to this publicly available
	The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries abd the identity of the transferring accounts and registries			information as required under 13/CMP.1 Annex II paragraph 47 (d)
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e)	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available
	The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4			information as required under 13/CMP.1 Annex II paragraph 47 (e)
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f)	[x] Yes [] No	[x] Yes [] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (f)
	The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries			
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g)	/CMP.1 Annex paragraph 47 (g) [x] Yes [] No [] Yes [x] No [] Par	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (g)
	The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4			
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h)	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available
	The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1			information as required under 13/CMP.1 Annex II paragraph 47 (h)

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (i)
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (j)
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	[x] Yes [] No	[] Yes [x] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (k)
P1.4.3.12	13/CMP.1 Annex paragraph 47 (I) Current holdings of ERUs, CERs, AAUs and RMUs in each account	[x] Yes [] No	[x] Yes [] No [] Partial	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 47 (I)
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	[x] Yes [] No	[] Yes [x] No	The Party provides reference to this publicly available information as required under 13/CMP.1 Annex II paragraph 48

For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	In the previous Annual Review report (FCCC/APR/2009/FIN) in section VII paragraph 104 (c). The ERT recommends that the Party improve reporting on discrepancies between the national registry and the ITL and action taken to correct problems that caused a discrepancy to occur and to prevent it from reoccurring.	[x] Yes [] No	In the information on changes in the National registry of Finland, Party has provided the procedure to minimize discrepancies in issuance, transfer, cancellation, and retirement of registry units.
P1.5.2	In the previous Annual Review report (FCCC/APR/2009/FIN) in section VII paragraph 104 (d). The ERT recommends that the Party improve the conformance of the national registry with the message flows defined in the technical standards for data exchange, and report on these improvements in the next annual submission.	[x] Yes [] No	In the information on changes in the National registry of Finland, Party has provided a report on these improvements.
P1.5.3	In the previous Annual Review report (FCCC/APR/2009/FIN) in section VII paragraph 104 (e). The ERT recommends that the Party enhance the availability of public information referred to in paragraphs 45 to 48 of the annex to decision 13/CMP.1, and report, in the next annual submission, on any changes to that public information.	[x] Yes [] No	In the information on changes in the National registry of Finland, Party has provided a report that show enhance the availability of public information.
P1.5.4	In the previous Annual Review report (FCCC/APR/2009/FIN) in section VII paragraph 104 (f). The ERT recommends that the Party report in the next annual submission the plan to change Greta registry with the registry developed by the European Commission and provide full explanation of how the new software is able to fully perform the functions set out in the annex to decision 13/CMP.1 and the annex to decision 5/CMP.1, and how it continues to adhere to the technical standards for data exchange between registry systems in accordance with relevant CMP decision.	[x] Yes [] No	The Party provided full explanation in accordance with previous Annual Review report (FCCC/APR/2009/FIN) in section VII paragraph 104 (f) in the information on changes in the National registry of Finland.