



FRAMEWORK CONVENTION ON CLIMATE CHANGE – Secretariat CONVENTION - CADRE SUR LES CHANGEMENTS CLIMATIQUES – Secrétariat

UNFCCC ITL Administrator

Standard Independent Assessment Report Assessment Report Part 1 - Completeness

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| 2.0 | 27/07/2010 | Reviewed final draft to be sent to Party. |

Summary

| Ref Nr | Description | Value | Comments |
|--------|---|--|---|
| P1.0.1 | Party name | Czech Republic | |
| P1.0.2 | Reporting period | 2009 | |
| P1.0.3 | Submission under review | Files submitted: NIR-2010-2008-CZ- UNFCCCv2.pdf [SEF] SEF_CZ-2010_2_11-11-37 11-5- 2010.xls [RESPONSE] CZE_Consultation_Form_on- Draft_Part_1.doc | Information from the ITL Administrator: [SEFCR] SEF_CZ_2010_2_11-11-37 11-5- 2010-CR.xls SIAR_Reports_2009_CZ_v.1.1.xls |
| P1.0.4 | Previous annual review report reference | FCCC/ARR/2009/CZE 17/03/2010 | |

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

| Ref Nr | Requirement | Assessment |
|--------|---|------------------|
| P1.1.1 | Submission complete? | |
| | Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines? | [x]Yes []No |
| P1.1.2 | Public Information Provided? | |
| | Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1? | []Yes [x]No |
| P1.1.3 | Explanation of how the previous Annual Review recommendations have been addressed? | []Yes [x]No []NA |

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

| Ref Nr | Requirement | Assessment | Comments | | |
|----------|--|-----------------|--|--|--|
| 15/CMP.1 | 15/CMP.1 annex I.E paragraph 11 | | | | |
| Standard | l electronic format (SEF) | | | | |
| P1.2.1 | 14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? | [x]Yes[]No | The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in Party's SEF report [SEF] and ITL comparison report [SEFCR] | | |
| P1.2.2 | 15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? | [x] Yes [] No | The Party's SEF report [SEF] was made available to assessors by 11-5-2010. | | |
| 15/CMP.1 | 1 annex I.E paragraph 12 | 1 | | | |
| Discrepa | nt transactions | | | | |
| P1.2.3 | Has the transaction log identified discrepancies in transactions proposed by the Party? | [x]Yes []No | The ITL identified discrepancies with transactions proposed by the Party during the reported period, although the 4003 & 4010 response codes are probably insignificant and due to out of sequence message handling. | | |
| P1.2.4 | Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1? | [x] Yes [] No | The Party reported the 4003 and 4010 response code discrepancies during the reported period. The information has been verified and matches the ITL record. | | |

| Ref Nr | Requirement | Assessment | Comments |
|----------|--|---|--|
| 15/CMP. | 1 annex I.E paragraph 13 | | |
| Reversal | of storage notifications | | |
| P1.2.5 | Has the transaction log issued reversal of storage notifications to the Party's national registry? | []Yes [x]No | The ITL identified no reversal of storage notifications to the Party's national registry during the reported period. |
| P1.2.6 | Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1? | In NIR 12.3 the party reported that they received no CDM notifications during the reporting period this includes revers storage notifications. This information has been verified an matches the ITL records. | |
| 15/CMP. | 1 annex I.E paragraph 14 | | L |
| Non-cert | ification notifications | | |
| P1.2.7 | Has the transaction log issued non-certification notifications to the Party's national registry? | []Yes [x]No | The ITL identified no non-certification notifications to the Party's national registry during the reported period. |
| P1.2.8 | Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1? | [x]Yes []No | The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records |
| 15/CMP. | 1 annex I.E paragraph 15 | | |
| Non-repl | acement | | |
| P1.2.9 | Has the transaction log a record of non-replacement for this Party? | []Yes [x]No | The ITL identified no non-replacement notifications to the Party's national registry during the reported period. |
| P1.2.10 | Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1? | [x]Yes []No | The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records. |

| Ref Nr | Requirement | Assessment | Comments | | | |
|-----------|--|----------------|---|--|--|--|
| 15/CMP.1 | 15/CMP.1 annex I.E paragraph 16 | | | | | |
| Inconsist | tencies from discrepant transactions | | | | | |
| P1.2.11 | Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled? | []Yes [x]No | The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled. | | | |
| P1.2.12 | Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1? | [x]Yes []No | The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1. | | | |
| | 1 annex I.E paragraph 17 and changes to address discrepancies | | | | | |
| P1.2.13 | Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions? | []Yes [x]No | The Party did not report in its NIR that that there were no actions necessary to undertake to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. There were no actions necessary to address questions of implementation pertaining to transactions. | | | |
| 15/CMP.1 | 1 annex I.E paragraph 18 | | | | | |
| CPR Cald | culation | | | | | |
| P1.2.14 | Has Party reported the calculation of its commitment period reserve? | [x]Yes []No | The Party reported the calculation of its commitment period reserve (CPR) in NIR chapter 12.5 page 208. | | | |

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

| Ref Nr | Requirement | Submission complete? | Item changed during reported period? | Comments |
|--------|--|----------------------|--|---|
| P1.3.1 | 15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator? | [x]Yes [] No | [x] Yes [] No | In NIR Chapter 14 the Party states that a change has been made to the name of the registry administrator agency. |
| P1.3.2 | 15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated? | [x]Yes []No | []Yes [x]No | There are no cooperation agreements and this has not changed. |
| P1.3.3 | 15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry? | [x]Yes[]No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |

| Ref Nr | Requirement | Submission complete? | Item changed during reported period? | Comments |
|--------|--|----------------------|--|---|
| P1.3.4 | 15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems? | [x]Yes [] No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |
| P1.3.5 | 15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies? | [x]Yes []No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |
| P1.3.6 | 15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error? | [x]Yes []No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |

| Ref Nr | Requirement | Submission complete? | Item changed during reported period? | Comments |
|--------|--|----------------------|--|---|
| P1.3.7 | 15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information? | [x]Yes []No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |
| P1.3.8 | 15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry? | [x]Yes []No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |
| P1.3.9 | 15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster? | [x]Yes []No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |

| Ref Nr | Requirement | Submission complete? | Item changed during reported period? | Comments |
|---------|--|----------------------|--|---|
| P1.3.10 | 15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures? | [x] Yes [] No | []Yes [x]No | In NIR Chapter 14, the Party states that there have been no other changes in the Czech national registry according to Decision 15/CMP.1 Annex II.E paragraph 32 in the reported period. |

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|--------|---|---------------------|---|---|
| P1.4.1 | 13/CMP.1 Annex paragraph 45 Account information provided? | []Yes [x]No | []Yes []No[x]Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. The Party should make all non-confidential information public. When information is confidential, the Party should provide a public statement specifically indicating |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.1.1 | 13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account | []Yes [x]No | []Yes [x]No []Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | The assessor located information under "reports" that appears relevant at the following URL: https://www.povolenky.cz/main.aspx?LANGUE=en If this information is made available to satisfy the relevant requirements under 13/CMP.1, the Party |
| | | | | should provide this reference in its submission and include a statement indicating this on the public site. In its [RESPONSE] the Party confirmed that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports" and that this information is provided in report "List of accounts opened in the national registry" and not declared confidential. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.1.2 | 13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement) | []Yes [x]No | []Yes []No [x] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) — (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. The assessor located information under "reports" that appears relevant at the following URL: https://www.povolenky.cz/main.aspx?LANGUE=en In its [RESPONSE] the Party confirmed that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "List of accounts opened in the national registry". Only holding accounts are provided, and cancellation and retirement accounts are not mentioned. These latter accounts should also be provided. If this information is made available to satisfy the relevant requirements under 13/CMP.1, the Party should provide this reference in its submission and include a statement indicating this on the public site. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---------------------|---|---|
| P1.4.1.3 | 13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated | []Yes [x]No | []Yes [x]No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] Party confirmed that Cancellation and Retirement accounts are not mentioned in the public reports. The application software licence provider Caisse des Dépôts et Consignations (CDC) has not yet delivered such report. The Party should make this information publicly available and provide reference to it in its submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---------------------|---|---|
| P1.4.1.4 | 13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry | []Yes [x]No | [x]Yes []No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the Party confirmed that this information is located at: https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". This information is provided in a report "List of accounts opened in the national registry". There is information about representatives, but there is no Party identifier nor number unique to that representative within the Party's registry. The Party should make this information publicly available and provide reference to it in its submission and to specifically state what information is considered confidential. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.1.5 | 13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder | []Yes [x]No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) — (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the Party confirmed that Public reports are located at: https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "List of accounts opened in the national registry". This type of information isn't confidential. The Party should reference this information it in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|--------|---|---------------------|---|---|
| P1.4.2 | 13/CMP.1 Annex paragraph 46 Article 6 project information provided? | [x]Yes []No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the Party confirmed that Project information report is located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Useful info – List of JI projects". The assessor confirms that the list of JI projects can be accessed. While accessing this page, the assessor encountered a "certificate not trusted" error message. The party should address this situation when possible. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.2.1 | 13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project | []Yes [x]No | []Yes [x]No []Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party confirmed that Project information report is located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Useful info – List of JI projects". This type of information isn't confidential. While accessing this page, the assessor encountered a "certificate not trusted" error message. The party should address this situation when possible. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---------------------|---|---|
| P1.4.2.2 | 13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located | []Yes [x]No | []Yes [x]No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party confirmed that Project information report is located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Useful info – List of JI projects". Project location information is not provided in the list accessible on the public website. While accessing this page, the assessor encountered a "certificate not trusted" error message. The party should address this situation when possible. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.2.3 | 13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project | [x]Yes []No | []Yes [x]No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party confirmed that Project information report is located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Useful info – List of JI projects". This type of information isn't confidential and ERU year of issuance is not mentioned. While accessing this page, the assessor encountered a "certificate not trusted" error message. The party should address this situation when possible. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|--|
| P1.4.2.4 | 13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1. | []Yes [x]No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the party confirmed that this information is provided at: https://www.povolenky.cz/main.aspx?LANGUE=en. In the menu there is a link to http://ji.unfccc.int/ (JI projects hosted in the Czech Republic) and documentation is available here. The Assessor notes that the party should report this information on their public website and not via a link to the UNFCCCC JI website. The Party should make this information publicly available on their own web site and provide reference to it in its submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|--------|---|---------------------|---|--|
| P1.4.3 | 13/CMP.1 Annex paragraph 47 Holding and transaction information provided? | []Yes [x]No |] Yes [x] No [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the |
| | | | | project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party stated that this information is confidential according to Commission Regulation (EC) No. 2216/2004, article 10. The Assessor notes that the Party should provide reference to this information in its annual submission, and clearly state which information is considered confidential both on the public website and in its annual submission |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---------------------|---|--|
| P1.4.3.1 | 13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year | []Yes [x]No | [x]Yes []No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party stated that this information is confidential according to Commission Regulation (EC) No. 2216/2004, article 10. The Assessor notes that the Party should provide reference to this information in its annual submission, and clearly state which information is considered confidential both on the public website and in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.3.2 | 13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8 | [x]Yes []No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports" and that this Information is provided in a report "Annual summary of quantity of units per type of operation made in the National registry". This type of information isn't declared confidential. The Assessor notes that the Party should provide reference to this information it in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---------------------|---|---|
| P1.4.3.3 | 13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects | [x]Yes []No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the Party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "Annual summary of quantity of units per type of operation made in the National registry". This type of information isn't confidential. The Assessor notes that the Party should provide reference to this information it in its annual submission |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.3.4 | 13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries abd the identity of the transferring accounts and registries | []Yes [x]No | []Yes [x]No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] that Party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "Annual summary of quantity of units per type of operation made in the National registry". This report only appears to contain summary information. The Party should include information on the identity of specific transferring/acquiring accounts and registries. The Assessor notes that the Party should provide reference to this information in its annual submission, and clearly state which information is considered confidential both on the public website and in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.3.5 | 13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4 | [x]Yes []No | []Yes [x]No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "Annual summary of quantity of units per type of operation made in the National registry". No RMUs have been issued yet. The Assessor notes that the Party should provide reference to this information in its annual submission |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|---|
| P1.4.3.6 | 13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries | []Yes [x]No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "Annual summary of quantity of units per type of operation made in the National registry". This report only appears to contain summary information. The Party should include information on the identity of specific transferring/acquiring accounts and registries. The Assessor notes that the Party should provide reference to this information in its annual submission, and clearly state which information is considered confidential both on the public website and in its annual submission |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---------------------|---|---|
| P1.4.3.7 | 13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4 | [x]Yes []No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) — (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". This information is provided in the report "Annual summary of quantity of |
| | | | | units per type of operation made in the National registry". This type of information isn't confidential. The Assessor notes that the Party should provide reference to this information in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---------------------|---|---|
| P1.4.3.8 | 13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 | [x]Yes []No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) — (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] that Party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "Annual summary of quantity of units per type of operation made in the National registry". This type of information isn't confidential. While it is clear that no cancellations took place in the period, it is not clear from the report structure which cancellations were a result of non-compliance. The Party should ensure this information is clear in reports for subsequent periods. The Assessor notes that the Party should provide reference to this information in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---------------------|---|--|
| P1.4.3.9 | 13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled | []Yes [x]No | [] Yes [x] No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. In its [RESPONSE] the Party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "Annual summary of quantity of units per type of operation made in the National registry". This type of information isn't confidential. While it is clear that no cancellations took place in the period, it is not clear from the report structure if cancellations were performed for specific reasons. The Party should ensure this information is clear in reports for subsequent periods. The Assessor notes that the Party should provide reference to this information in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|-----------|---|---------------------|---|---|
| P1.4.3.10 | 13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired | [x]Yes []No | []Yes [x]No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the Party states that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "Annual summary of quantity of units per type of operation made in the National registry". This type of information isn't confidential. The Assessor notes that the Party should provide reference to this information in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|-----------|--|---------------------|---|---|
| P1.4.3.11 | 13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period | [x]Yes []No | Yes []No []Yes [x]No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the Party stated that Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". This information is provided in a report "Annual summary of quantity of units per type of operation made in the National registry". This type of information isn't confidential. This report does not reference Carry-over. While it is not relevant for this period, the Party should reference Carry-over status. The Assessor notes that the Party should provide reference to this information in its annual submission. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|-----------|---|---------------------|---|---|
| P1.4.3.12 | 13/CMP.1 Annex paragraph 47 (I) Current holdings of ERUs, CERs, AAUs and RMUs in each account | []Yes [x]No | [x]Yes []No [] Partial | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | In its [RESPONSE] the Party stated that this information is confidential according to Commission Regulation (EC) No. 2216/2004, article 10 The Assessor notes that the Party should provide reference to this information in its annual submission, and clearly state which information is considered confidential both on the public website and in its annual submission |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|--------|---|---------------------|---|---|
| P1.4.4 | 13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹ | [x]Yes []No | []Yes [x]No | The Party does not provide the link to the registry web site or public information in NIR 12.4 but states In accordance with Decision 13/CMP.1, the Czech Registry Administrator makes non-confidential information publicly available and provides publicly accessible user interface through the registry web pages. The information provided is in line with requirements set in the Annex to Decision 13/CMP.1. The Czech Registry Administrator is working on improving the information provided on Article 6 projects. The information according to paragraph 46 (a) – (c) is publicly accessible. However the project documentation according to paragraph 46 (d) does not fit current structure of the user interface and confidentiality issues may still exist. |
| | | | | Public reports are located at https://www.povolenky.cz/main.aspx?LANGUE=en menu item "Download Public reports". Information is provided in report "List of legal entities holding an account in the National Registry". This type of information isn't confidential. The Assessor notes that the Party should provide reference to this information in its annual submission. |

[.]

For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

| Ref Nr | Recommendation from previous Annual Review report (with ref) | Has Party covered the recommendation in its submission? | Comment |
|--------|--|---|--|
| P1.5.1 | FCCC/ARR/2009/CZE 17 March 2010 Paragraph 148: The ERT noted that the Czech Republic did not make publicly available all of the information referred to in paragraphs 45, 46 and 48 of the annex to decision 13/CMP.1. | []Yes [x]No | The Public URL was not provided in the NIR and several of the required reports can not be located. In its [RESPONSE] the Party stated that the main recommendation of FCCC/ARR/2009/CZE, Paragraph 148, is to make Article 6 project information public. This information was made public through the registry website (see responses to P1.4.2 – P1.4.2.4 below) and this is reported in NIR chapter 12.4. However it is not explicitly stated, that this information was added in reaction to this particular ARR recommendation. As described above most of the information referred to in paragraphs 45, 46 and 48 of the annex to decision 13/CMP.1 is publicly accessible. The Party will describe this in more detail in its next submission, including links to public URL. |
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