



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness

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Prepared by:	Georgi Kadinov/ Executive Environmental Agency		
Reviewed by:	Heidi McKenna/UNFCCC		
Approved by:	Vitaly Matsarski/UNFCCC		

Circulation list

Name/Role	Organization	Info/Action
Sophia Nenova/ Assessor	Executive Environmental Agency	Info
Heidi McKenna/ Assessor Coordinator	UNFCCC	Info
Rob James/ RSA Main Contact	Environment Canada	Info

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Version	Date	Description
0.1	19/05/2010	Initial draft
1.0	21/05/2010	Review and edits for clarification
1.1	16/06/2010	Second draft, incorporating feedback from Canada in Consultation Form
2.0	24/06/2010	Final

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Canada	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<ul style="list-style-type: none"> - [NIR1] NIR_2010-Part1_FINAL.pdf - [NIR2] NIR_2010-Part2_FINAL.pdf - [NIR3] NIR_2010-Part3_FINAL.pdf - [DRTest] CKPNR-DR_Test-2009-11-18-Specification_and_Results.pdf - [CMP] CKPNR-ITServiceContinuityManagementPlan-(v1.10)-PROTECTED_A.pdf - [RESPONSE 1] 2010 AR - SIAR Consultation Form CAN.doc 	<p>Information from the ITL Administrator:</p> <p>Statement that no transactions occurred in the Party's national registry during or before the reported period</p>
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/CAN 01/03/2010	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party has not transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated by the ITL Administrator.
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party is not required to submit a SEF report as the national registry did not transfer or acquire any Kyoto Protocol units in the reported period. The Party notes this in section A11.2.3 of [NIR2].
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies as transactions were not proposed by the Party during the reported period.
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party is not required to report a list of discrepant transactions as required under decision 15/CMP/1 annex I.E paragraph 12 as the national registry did not transfer or acquire any Kyoto Protocol units in the reported period. The Party notes this in section A11.2.3 of [NIR2].

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal or storage notification to the Party's registry during the reported period.
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party is not required to report a list of reversal of storage notifications as required under decision 15/CMP.1 annex I.E paragraph 13 as the national registry did not transfer or acquire any Kyoto Protocol units in the reported period. The Party notes this in Section A11.2.3 of [NIR2].
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notification to the Party's registry during the reported period.
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party is not required to report a list of non-certification notifications as required under decision 15/CMP.1 annex I.E paragraph 14 as the national registry did not transfer or acquire any Kyoto Protocol units in the reported period. The Party notes this in Section A11.2.3 of [NIR2].
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notification to the Party's registry during the reported period.

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party is not required to report a list of non-replacement notifications as required under decision 15/CMP.1 annex I.E paragraph 15 as the national registry did not transfer or acquire any Kyoto Protocol units in the reported period. The Party notes this in Section A11.2.3 of [NIR2].
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify any transactions, discrepant or otherwise, proposed by the Party's registry during the reported period.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party is not required to report a list of discrepant transactions as required under decision 15/CMP.1 annex I.E paragraph 16 as the national registry did not transfer or acquire any Kyoto Protocol units in the reported period. The Party notes this in Section A11.2.3 of [NIR2].
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party is not required to report a list of changes taken to address discrepancies as required under decision 15/CMP.1 annex I.E paragraph 17 as the national registry did not transfer or acquire any Kyoto Protocol units in the reported period. The Party notes this in Section A11.2.3 of [NIR2].
15/CMP.1 annex I.E paragraph 18 CPR Calculation			

Ref Nr	Requirement	Assessment	Comments
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In Section A11.2.3 of [NIR2], the Party reported the calculation of its commitment period reserve (CPR) as required under decision 15/CMP.1 annex I.E paragraph 18. 90 per cent of the Canada's assigned amount

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No	In table A11-3 of [NIR2], the Party states that changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In table A11-3 of [NIR2] the Party reports no change of cooperation arrangement occurred during the reported period..
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In table A11-3 of [NIR2], the Party states that no changes have been made to the database and capacity of its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In table A11-3 of [NIR2], the Party states that no changes have been made to the conformance to technical standards by its national registry.
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In table A11-3 of [NIR2], the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In table A11-3 of [NIR2], the Party states that no changes have been made to the security measures employed in its national registry.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In table A11-3 of [NIR2], the Party states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	<input checked="" type="checkbox"/> Yes [] No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In table A11-3 of [NIR2], the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No	In table A11-3 of [NIR2], the Party states that changes have been made to the data integrity measures to implement a disaster recovery site. In accordance with decision 15/CMP.1 annex II.E paragraph 32 (i), the Party submitted the "CKPNR IT Service Continuity Management Plan" [CMP].
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No	In table A11-3 in [NIR2], the Party states that changes have been made to the results of its test procedures. In accordance with decision 15/CMP.1 annex II.E paragraph 32 (j), the Party submitted the "CKPNR Disaster Recover Site test Specification" [DRTest].

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In The SIAR consultation form [RESPONSE1], Canada stated that public information referred to paragraphs 45 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 45 of the annex to Decision 13/CMP.1 did not exist. Note the following applies for all assessment comments in "Section 4. Public information".
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 45 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 45 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 45 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 45 of the annex to Decision 13/CMP.1 did not exist.
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 45 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 45 of the annex to Decision 13/CMP.1 did not exist.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 45 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 45 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 46 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 45 of the annex to Decision 13/CMP.1 did not exist.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 46 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 46 of the annex to Decision 13/CMP.1 did not exist.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 46 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 46 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 46 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 46 of the annex to Decision 13/CMP.1 did not exist.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 46 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 46 of the annex to Decision 13/CMP.1 did not exist.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 46 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 46 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist. ".
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 47 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 47 of the annex to Decision 13/CMP.1 did not exist.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In the SIAR consultation form [RESPONSE1], Canada stated that public information referred to in paragraphs 48 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 48 of the annex to Decision 13/CMP.1 did not exist.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
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<p>P1.5.1</p>	<p>In paragraph 97 of [FCCC/ARR/2009/CAN], the following recommendation was issued as part of the centralized review:</p> <p><i>The ERT noted from the SIAR that the information on the national registry is not currently publicly available. In response to the SIAR, the Party stated that the required information would be made publicly available but that the website is not currently accessible. The Party stated that this information would be made publicly available once Canada's national registry has begun live operations with the international transaction log (ITL). The ERT reiterates the recommendation in the SIAR that Canada provide through its registry the public information referred to in paragraphs 45 to 48 of the annex to decision 13/CMP.1, and report, in its next annual submission, on any changes to that public information.</i></p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The external assessor did not locate information in the Party's initial submission ([NIR1], [NIR2], or [NIR3]) related to the previous ERT recommendation; however, in [RESPONSE1], Canada states:</p> <p><i>"Canada's national registry completed initialization with the ITL in June 2008 and completed the "go-live" procedure on Feb. 12, 2010. As such, the national registry was not operating in the Production environment at any time during the reporting period of January 1 to December 31, 2009.</i></p> <p><i>In response to the 2009 SIAR, Canada stated that public information referred to in paragraphs 45 to 48 of the annex to decision 13/CMP.1 would be made publically available once Canada's national registry had begun live operations with the ITL. As this did not occur during 2009, the information referred to in paragraphs 45 to 48 of the annex to Decision 13/CMP.1 did not exist.</i></p> <p><i>When Canada's registry began live operations with the ITL in the Production environment on February 12, 2010, registry information was made publically available through Environment Canada's internet site at the URL, http://ec.gc.ca/rncpk-ckpnr/. This change will be described in Canada's 2011 NIR submission."</i></p>
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