



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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1.0	27/04/2011	Final SIAR 1
1.1	10/05/2011	Draft SIAR 1 including Party Consultation
1.2	11/05/2011	Review SIAR 1 including Party Consultation
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Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	UKRAINE	
P1.0.2	Reporting period	01/01 to 31/12 2010	
P1.0.3	Submission under review	<p>Files submitted: Submission date: 14/4/2011</p> <p>- [NIR 1] UKR-2011-NIR- v1.1.pdf</p> <p>- [NIR 2] SIAR 2011Ukraine_Chapters12-14 annex 6.pdf</p> <p>- [NIR 3] SIAR_Ukraine_2011_NIR_chapters_12_14_annex_6-v02.doc - [SEF] SEF_UA_2011_1_11-32-5+4-1-2011.xls</p> <p>- [REPORTS] SIAR Reports 2010_UA v1.xls</p> <p>- [REPORT 2] SIAR Consultation Form on SIAR Assessment Draft Part 1_Ukraine01.doc</p> <p>- [Response 1] Response1_Ukraine_SIAR_2011.doc</p>	<p>Information from the ITL Administrator:</p> <p>-[RRITL] SIAR Reports 2010-UA v1.0.xls</p> <p>-[SEFCR] SEF_UA_2011_1_11-32-5+4-1-2011-CR.xls</p> <p>-[SIAR1] UKR_SIAR_PART1_Assessment_Report_v1.0</p>
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/UKR 05/02/2010	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 14/04/2011.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL identified discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL did identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that both discrepancies were caused by non availability of external registries in <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 7/ ref 1.2.4>. In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 7/ ref 1.2.13>, The Party reported that no actions were taken or changes made to correct discrepancies.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 13/ ref 1.2.14>.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 16/ reporting item a>, the Party provides name and contact information of the registry administrator. In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.1 > changes are reported
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 16/ reporting item b>, the Party states that there were no cooperation arrangements during 2010 reporting period.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 16/ reporting item c> In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.3 > , the Party states that no changes have been made to the conformance to database structure or capacity of the national registry during 2010 reporting period

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 17/ reporting item d> and in <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.4 > the Party states that no changes have been made to the conformance to technical standards by its national registry during 2010 reporting period.</p>
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 17/ reporting item e> the Party states that no changes have been made to the procedures employed in the national registry to minimize discrepancies during 2010 reporting period.</p> <p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.5> , the Party states again that that no changes have been made.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 18/ reporting item f>, the Party states that no changes have been made to the security measures employed in its national registry during 2010 reporting period.</p> <p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.6 >, the Party states again that that no changes have been made.</p> <p>The Party did not report changes to implement two-factor user authentication mechanisms or other security enhancements. See Appendix 7 of SIAR Reporting Requirements and Guidance for Registries.</p> <p>Additional information received from party in [REPORT 2]: “The reason that Ukrainian Registry didn't implement the two factor security enhancement is that currently Ukrainian party performs all transactions under local secure environment that doesn't allow any external access to it. However, Ukrainian party is planning to implement this sort of enhancement upon the overall enhancement of the system implementation and amount of transaction activities in the future. “</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 18/ reporting item g>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available information.</p> <p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.7 > the Party reports that during 2010 Ukraine made significant changes on Registry site according to the requirements of SIAR_Publicly_Available_Information_Guidance_v 4.3.doc.</p>
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 18/ reporting item h>, the Party states that access to the UA registry application is not available via public Internet.</p> <p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.8 >, the Party states again that that no changes to the registry internet address occurred during the 2010 reporting period.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 18/ reporting item i>, the Party states measures taken to safeguard, maintain and recover data in order to ensure the integrity of data storage and the recovery of registry services in the event of a disaster.</p> <p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.9>, the Party states again that that no changes have occurred during the 2010 reporting period.</p>
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 18/ reporting item j>, the Party reports on how communications are safeguarded.</p> <p>In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 19/ ref P.1.3.10 >, the Party states again that that no changes have occurred during the 2010 reporting period.</p>

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 8/ ref P.1.4.1 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available account information.
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 8/ ref P.1.4.1.1 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available account holder information.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 8/ ref P.1.4.1.2 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available account type information.
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 8/ ref P.1.4.1.3 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available information regarding commitment period with which a cancellation or retirement account is associated.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 8/ ref P.1.4.1.4 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available representative of account holder information.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 9/ ref P.1.4.1.5 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available representative name and contact information.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 9/ ref P.1.4.2 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding Article 6 project information.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc/ page 9/ ref P.1.4.2.1 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding Article 6 project names.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6.pdf / page 9/ ref P.1.4.2.2 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding Article 6 project location.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 9/ ref P.1.4.2.3 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding Article 6 project crediting period.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 9/ ref P.1.4.2.4 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding Article 6 project documentation.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 10/ ref P.1.4.3 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding holding and transaction information.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 10/ ref P.1.4.3.1 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs, AAUs and RMUs.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 10/ ref P.1.4.3.2 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of AAUs issued.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 10/ ref P.1.4.3.3 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs issued.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries abd the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 10/ ref P.1.4.3.4 >, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs, AAUs and RMUs acquired.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 10/ ref P.1.4.3.5>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of RMUs issued.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 11/ ref P.1.4.3.6>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs, AAUs and RMUs transferred.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 11/ ref P.1.4.3.7>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs, AAUs and RMUs cancelled.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 11/ ref P.1.4.3.8>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs, AAUs and RMUs cancelled.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 11/ ref P.1.4.3.9>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs, AAUs and RMUs cancelled.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 11/ ref P.1.4.3.10>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs, AAUs and RMUs retired.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 12/ ref P.1.4.3.11>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the total quantity of ERUs, CERs and AAUs carried over.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 12/ ref P.1.4.3.12>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding the current holdings of ERUs, CERs, AAUs and RMUs in each account.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In <SIAR 2011Ukraine_Chapters12-14 annex 6-v02.doc / page 12/ ref P.1.4.4>, the Party provides 2 URL (English/ Ukrainian) for access to publicly available regarding legal entities.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	UKR_SIAR_Part2_Assessment_Report_v2.0 2010 4.2 Ref Nr P.2.4.2.1: The Party should make publicly available, via a site under its control, the information required by 13/CMP.1 Annex paragraph 46 (d) - Reports	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party refers to and supplies responses to recommendations made in previous SIAR report http://unfccc.int/kyoto_protocol/registry_systems/independent_assessment_reports/items/4061.php
P1.5.2	UKR_SIAR_Part2_Assessment_Report_v2.0 2010 4.2 Ref Nr P.2.4.2.2: The Party should make publicly available the information required by 13/CMP.1 Annex paragraph 47 - Holding and transaction information.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party refers to and supplies responses to recommendations made in previous SIAR report http://unfccc.int/kyoto_protocol/registry_systems/independent_assessment_reports/items/4061.php
P1.5.3	UKR_SIAR_Part2_Assessment_Report_v2.0 2010 4.2 Ref Nr P.2.4.2.2: The SIAR assessor recommends that Ukraine report on any changes to its registry and public information and in its next annual submission.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party refers to and supplies responses to recommendations made in previous SIAR report http://unfccc.int/kyoto_protocol/registry_systems/independent_assessment_reports/items/4061.php
P1.5.4	UKR_SIAR_Part2_Assessment_Report_v2.0 2010 4.2 Ref Nr P.2.4.2.3: The SIAR assessor recommends that an English translation of the relevant sections of the NIR be provided by the 15 April submission deadline.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Party refers to and supplies responses to recommendations made in previous SIAR report http://unfccc.int/kyoto_protocol/registry_systems/independent_assessment_reports/items/4061.php