



UNFCCC ITL Administrator

**Standard Independent Assessment Report  
Assessment Report  
Part 1 - Completeness**

<b>Reference:</b>	IAR/2010/SWE/1/1	<b>State:</b>	Final
<b>Version number:</b>	2.0	<b>Date:</b>	03/05/2011
<b>Prepared by:</b>	Markwin Pieters / UNFCCC		
<b>Reviewed by:</b>	Bryan Eckstein/ SRA International, Inc.		
<b>Approved by:</b>	Heidi McKenna /UNFCCC		

**Circulation list**

<b>Name/Role</b>	<b>Organization</b>	<b>Info/Action</b>
Markwin Pieters/ Assessor	UNFCCC	
Titti Norlin/ RSA Sweden	Swedish Environmental Protection agency	Action
Bryan Eckstein/ Reviewer	SRA International, Inc.	Action
Heidi McKenna/ Approval	UNFCCC	Action

**Document change record**

<b>Version</b>	<b>Date</b>	<b>Description</b>
0.1	07/04/2011	First draft for review
0.2	10/04/2011	Review of first draft
0.3	11/04/2011	Second draft for review
1.0	12/04/2011	Approved first draft
1.2	29/04/2011	Updated, including feedback from consultation
2.0	03/05/2011	Review of final draft

## Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	SWEDEN	
P1.0.2	Reporting period	01/01 to 31/12 2010	
P1.0.3	Submission under review	Files submitted: Submission date: 2011.03.30 - [COVER LETTER] NIR Cover Letter sub 2011 UNFCCC utkast.doc - [NIR 1] NIR submission 2011_Report_SE.pdf - [NIR 2] NIR submission 2011 Annex_SE.pdf - [ETR] ETR v4.3 Release Notes.pdf - [REPORTS 1] Appendix 1 Thermal values and Emission factors energy UNFCCC.xls - [REPORTS 2] V4.3 Test Report.pdf - [REPORTS 3] SIAR Consultation Form on SIAR Assessment Draft Part 1_SWE.doc	Information from the ITL Administrator: - [SIAR R2-R5] SIAR_Reports_2010_SE_v1.xls -[RRITL] SIAR Reports 2010-SE v 1.0.xlsx -[SEF Comp Report] SEF_SE_2011_1_13-14-58+25-2- 2011-CR.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/SWE 09/04/2010	

**Table of Contents**

- 1. Introduction..... 4**
  - 1.1. Overall assessment .....4**
- 2. Accounting of Kyoto Protocol units ..... 5**
- 3. National Registry Change ..... 8**
- 4. Public information .....12**
- 5. Previous Expert Review Team recommendations .....18**

## 1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

### 1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

## 2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 <b>Standard electronic format (SEF)</b>			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 30/03/2011
15/CMP.1 annex I.E paragraph 12 <b>Discrepant transactions</b>			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL identified discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 <b>Reversal of storage notifications</b>			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 <b>Non-certification notifications</b>			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 <b>Non-replacement</b>			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
<b>Inconsistencies from discrepant transactions</b>			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL did identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17			
<b>Actions and changes to address discrepancies</b>			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that the (GRETA) registry has been modernised from Microsoft SQL Server version 2000 to Microsoft SQL Server version 2005. This has brought improvements in relation to Registry performance, reliability and scalability. It is not clear if these actions were necessary to undertake to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. There were no actions necessary to address questions of implementation pertaining to transactions.
15/CMP.1 annex I.E paragraph 18			
<b>CPR Calculation</b>			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in <NIR submission 2011_SE_Annex/ Annex 6:4/ Page 121>.

### 3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact  Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 117>, the Party states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement  Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 117>, the Party states that no changes have been made to its cooperation arrangements.



Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 117>, the Party states that through an update of the Registry software (GRETA), changes have been made to the database and capacity of its national registry. (upgrade to Microsoft SQL Server 2005)
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 118>, the Party states that no changes have been made to the conformance to technical standards by its national registry.
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 118>, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 118>, the Party states that no changes have been made to the security measures employed in its national registry.
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In &lt;NIR Submission 2011 Annex_SE/Annex 6:3/ Page 118&gt;, the Party states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.</p> <p>However, in &lt;NIR Submission 2011 Annex_SE/Annex 6:3/ Pages 118-119&gt;, the Party notes changes to publicly available information in response to ERT recommendations.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address  Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 118>, the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure  Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In <NIR Submission 2011 Annex_SE/Annex 6:3/ Page 118>, the Party states that no changes have been made to the data integrity measures.
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results  Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In <V4.3 Test report>, the Party reports on the testing activities carried out in the Greta V4.3 project. All system, regression and user acceptance test activities are complete and there were no outstanding issues to prevent general release.

## 4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in &lt;NIR Submission 2011 Annex_SE/Annex 6:5/ Page 123&gt;</p> <p><b>Note1 : URL</b>  <a href="http://www.energimyndigheten.se/en/International/instruments/EU-ETS---Implementation-in-Sweden/EUETS/Reports/Kyoto-units-in-SUS/">http://www.energimyndigheten.se/en/International/instruments/EU-ETS---Implementation-in-Sweden/EUETS/Reports/Kyoto-units-in-SUS/</a></p> <p><b>Note2 : In addition to 2009 data, no 2010 data has been published yet.</b></p> <p>In accordance with the requirements of Annex E to Decision 13/CMP.1, all required information for a Party with an active Kyoto registry is provided with the following exceptions</p> <p>Account Information (Paragraph 45) and Account holders authorised to hold Kyoto units in their account (Paragraph 48) In light of the amendments introduced by Article 78 of the revised Registries Regulation that came into force in October 2010 and for security reasons, it is considered that the representative identification information as required in paragraph 45 and paragraph 48 is held as confidential.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>  No Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period.
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124>  No Article 6 (Joint Implementation) project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124> (paragraph 47)
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 125>  Sweden does not perform LULUCF activities and therefore does not issue RMUs

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124> (paragraph 47)
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 125>  No ERUs, CERs, AAUs and RMUs have been cancelled on the basis of activities under Article 3, paragraphs 3 and 4 to date.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 125>  No ERUs, CERs, AAUs and RMUs have been cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 to date.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 125>  No ERUs, CERs, AAUs and RMUs have been retired, other than retirements of CERs and AAUs as an outcome of the EU compliance retirement within EU Emission Trading Scheme (EU ETS) and not through retirement under article 3, paragraphs 3 and 4. Retired CERs and AAUs are presented in the SEF report.



Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information at <a href="http://www.energimyndigheten.se/en/International/Instruments/EU-ETS---Implementation-in-Sweden/EUETS/Reports/Kyoto-units-in-SUS/">http://www.energimyndigheten.se/en/International/Instruments/EU-ETS---Implementation-in-Sweden/EUETS/Reports/Kyoto-units-in-SUS/</a>
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 125>  There is no previous commitment period to carry ERUs, CERs, and AAUs over from.
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 124> (paragraph 47)
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? <sup>1</sup>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information <NIR Submission 2011 Annex_SE/Annex 6:5/ Page 126 to 128>

<sup>1</sup> For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

## 5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	<p>FCCC/ARR/2009/SWE page 23:            The ERT noted from the SIAR that Sweden has not made the information referred to in paragraphs 45 to 47 of the annex to decision 13/CMP.1 publicly available, and that the Party did not report several transactions that were reported by the ITL because the transactions were recorded in Sweden's database as rejected, whereas they should have been recorded as terminated. The ERT reiterates the recommendation in the SIAR that Sweden enhance the availability of the required public information mentioned above and ensure that rejected transactions are terminated, and should report, in its next annual submission, on these two identified issues.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Response: &lt;NIR Submission 2011 Annex_SE/Annex 6:3/ Page 119&gt;            Sweden will make all required public information available on our public website or clearly state which information is considered confidential on its public website and cite reference to the regulation that renders it confidential.</p> <p>Response regarding rejected transactions: &lt;NIR Submission 2011 Annex_SE/Annex 6:3/ Page 120&gt;</p>
P1.5.2	<p>ERT recommendation:            Sweden does not provide clear information on its public website that no JI project information is available since it does not participate in any JI projects. As Sweden signaled as part of the SIAR process, Sweden is encouraged to include a statement on its public website by the time of its next NIR submission to the effect that Sweden does not participate in JI projects rather than a display of an empty list.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Party responded on this recommendation, &lt;NIR Submission 2011 Annex_SE/Annex 6:3/ Page 119-120&gt;</p>
P1.5.3	<p>ERT encouragement:            Sweden is encouraged to report in its next annual submission the results of its investigation of the transactions that were recorded during 2008 in Sweden's database as rejected, whereas they should be recorded as terminated.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Party responded on this recommendation, &lt;NIR Submission 2011 Annex_SE/Annex 6:3/ Page 120&gt;</p>