



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

Reference:	IAR/2010/ROU/1/1	State:	Final
Version number:	2.0	Date:	07/06/2011
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Document change record

Version	Date	Description
0.1	09/05/2011	First draft
0.2	12/05/2011	Reviewed draft
0.3	12/05/2011	Accept changes
1.0	17/05/2011	Reviewed draft, v1.0 ready to send to Party
1.1	03/06/2011	Updated assessment to include revised submission
2.0	07/06/2011	Reviewed v2.0 ready to be sent to Party

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Romania	
P1.0.2	Reporting period	2010	
P1.0.3	Submission under review	Files submitted: [NIR] Romanian+NIR-NGHGI+2011+v.+1.3.pdf [REPORT1] Annex+6.2.3+SEF_RO_2011_1_16-15-41+6-1-2011.xls [REPORT2] RO_Annex+6.2.4+Units+involved+in+Cancel&Retirement.xls [REPORT3] RO_Annex+6.2.1+Discrepancies.pdf [REPORTS4] RO_Annex+6+-+Additional+information.pdf [REPORTS5] RO_Annex+8+Other+annexes.pdf [REPORTS6] RO_Submission+letter.pdf [SEF] SEF_RO_2011_1_16-15-41+6-1-2011.xls [RESPONSE1] RO_Response_SIAR_part1	Information from the ITL Administrator: [SEFCR] SEF_RO_2011_1_16-15-41+6-1-2011-CR [RRITL] SIAR_Reports_2010_RO_v1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2010/ROU (dd/mm/yyyy) FCCC/ARR/2009/ROU (15/04/2010)	Not yet published so it is not available on 09/05/2011 Only available report and used report

Table of Contents

- 1. Introduction..... 4**
 - 1.1. Overall assessment4**
- 2. Accounting of Kyoto Protocol units 5**
- 3. National Registry Change 8**
- 4. Public information19**
- 5. Previous Expert Review Team recommendations25**

1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes [] No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes [] No	The Party's SEF report [SEF] was made available to assessors by 26/04/2011.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	[] Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes [] No	The Party reported that no discrepancies occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes [] No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	[] Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes [] No	Party has provided a list of units that are invalid for use towards compliance due to the fact that they have already been retired or cancelled. See [REPORT2]
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes [] No	Party has clearly stated that no actions were taken because no reported discrepancies require that. Please check [REPORT3].
15/CMP.1 annex I.E paragraph 18 CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes [] No	The Party reported the calculation of its commitment period reserve (CPR) in Please check [NIR] page 365

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	<p>15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact</p> <p>Has the Party reported changes to the name or contact information of the registry administrator?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that "No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR."</p> <p>However, no clear statement is given whether a change of name or contact has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.2	<p>15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement</p> <p>Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change of cooperation arrangement has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change to the database or the capacity of National Registry has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change of conformance to technical standards has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change of discrepancies procedures has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change of Security has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p> <p>The Party did not report changes to implement two-factor user authentication mechanisms or other security enhancements. See Appendix 7 of SIAR Reporting Requirements and Guidance for Registries.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change of list of publicly available information has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p> <p>Further, it seems from 1.5.1 below that publicly available information has changed during the reported year, making the general statement incorrect.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change of Internet address has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that “No further changes of the Romanian national registry occurred compared to the description provided in the 2010 submission of the NIR.”</p> <p>However, no clear statement is given whether a change of data integrity measure has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The Party does not clearly state whether or not a change of test results occurred regarding the changes. Please check [NIR] page 372</p> <p>Party only states that the registry was tested in order to demonstrate the ability of the registry to perform the processes required under the ETS.</p> <p>In the [RESPONSE1] document, the Party has explained that in the NIR (pg 372) is stated that "The Test Confirmation is available in Annex 6.2.2.". In Annex 6.2.2 is the confirmation that the test was successful and that the new version is approved to be deployed in the Production environment.</p> <p>However, no clear statement is given whether a change of test results has occurred or not in the reporting period. Per the guidance in the SIAR Reporting Requirements and Guidance for Registries v4.6, the Party should respond specifically to each item rather than providing a general statement that no change occurred.</p>

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports</i> -> <i>Accounts</i>
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports</i> -> <i>Accounts</i>
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports</i> -> <i>Accounts</i>
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports</i> -> <i>Accounts</i>
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports</i> -> <i>Accounts</i>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Accounts</i>
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	[] Yes <input checked="" type="checkbox"/> No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Jl Projects</i> . Information under 46 (d) Reports were not accessible in English at the time of the assessment
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Jl Projects</i> .
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Jl Projects</i> .
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Jl Projects</i> .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Jl Project</i> , but Reports were not accessible in English at the time of the assessment. In the [RESPONSE1] document, the Party has explained that Information regarding JI projects reports are under the management of the Environmental Ministry. A link to the Ministry- Climate Change Department site is posted on the rnges.anpm.ro website. However, no Reports relating to the projects were accessible in English at the time of the assessment. The Party should make this information available.
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> , but 47 (k) and 47 (l) are not accessible at the time of the assessment.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> , but it states that those data are confidential
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> , but it states that those data are confidential
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> , but it states that those data are confidential
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No [] Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i>, but the total quantity of ERUs, CERs and AAUs carried over from the previous commitment period 47 (k) are not accessible at the time of the assessment.</p> <p>In the [RESPONSE1] document, the Party has explained that the information on the site will be updated with the following message: "No units (ERU, CER or AAU) were carried over from the previous commitment period. All such units were automatically canceled by the system at 1st May, 2008".</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> , but current holdings of ERUs, CERs, AAUs and RMUs in each account 47 (l) are not accessible at the time of the assessment.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> .

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	The ERT identified that the public information referred to in paragraphs 45 to 48 of the annex to decision 13/CMP.1 has not been provided.. Please check FCCC/ARR/2009/ROU paragraph 115.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party provides reference to this publicly available information in [NIR] page 372 http://rnges.anpm.ro under <i>Public reports -> Holdings and transactions</i> . The Party didn't submit only information referred to paragraphs 46(d), 47(k) and 47(l) of the annex to decision 13/CMP.1
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	