



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

Reference:	IAR/2010/NOR/1/1	State:	FINAL
Version number:	2.0	Date:	28/06/2011
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Document change record

Version	Date	Description
0.1	09/06/2011	Initial draft
1.0	16/06/2011	Review of initial draft
1.1	28/06/2011	Update of draft to include two comments by Party
2.0	28/06/2011	Final Report

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Norway	
P1.0.2	Reporting period	2010	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_NO_2011_1_17-19-27 15-2-2011.xls - [NIR] NIR 2011 Norway FINAL.pdf - [Annex to NIR] Annex I-VIII NIR 2011 Norway FINAL.pdf - [Additional information] SFW V4.3 Release Notes.pdf SFW V4.3 Test Report.pdf SFW V5.1 Release Notes.pdf ETR V5.1 Security Guide_v1.2.pdf CITL test plan.doc V5.1 Test report.doc [RESPONSE1] Party consultation on draft for inclusion in final report	Information from the ITL Administrator: - [SEFCR] SEF_NO_2011_1_17-19-27+15-2-2011-CR.xls -[RRITL] SIAR_Reports_2010_NO_v1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/NOR (27/01/2010)	FCCC/ARR/2010/NOR was not published by 15/04/2011

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes [] No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] SEF_NO_2011_1_17-19-27 15-2-2011.xls and [SEFCR] SEF_NO_2011_1_17-19-27+15-2-2011-CR.xls
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes [] No	The Party's SEF report [SEF] SEF_NO_2011_1_17-19-27 15-2-2011.xls was made available to assessors by 15/04/2011.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	[] Yes <input checked="" type="checkbox"/> No	The ITL identified a number of transactions proposed by the Party during the reported period with response code but none of them have been marked as "discrepancies" in the [RRITL] SIAR_Reports_2010_NO_v1.xls, Report R-2.

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 12.3 the Party reported that no discrepant transactions occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 12.3 the Party reported that no CDM notifications occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 12.3 the Party reported that no CDM notifications occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 15			
Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 12.3 the Party reported that no non-replacements occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	There are several transactions identified in [RRITL] Report 2 with a value of "Completed" in the "Final State" column however none of them are identified as discrepant transactions in column "Discrepancies".
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 12.3 the Party reported that no invalid units exist as at 31 December 2010 in its registry.
15/CMP.1 annex I.E paragraph 17			
Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 12.3 the Party reported that no actions were taken or changes made to address discrepancies for the period under review, ref information given to submission item 15/CMP.1 annex I.E paragraph 12.
15/CMP.1 annex I.E paragraph 18			
CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR] Chapter 12.5.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to its cooperation arrangements.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No	In [NIR] Chapter 14, the Party states that changes have been made to its national registry during the reporting period.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the conformance to technical standards by its national registry.
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR] Chapter 14, the Party reports on their implementation of one of the recommended methods for user-authentication and on strengthened requirements for establishing an account apart from the security improvements included in the last software version upgraded in its registry during the reporting period.</p> <p>In its [RESPONSE1] the Party added that no technical changes were made to the registry software in order to implement this security requirement. AAR had been a well tested feature of the Greta registry for many years and had been an optional feature within the software . In 2011 it became mandatory for all accounts. There was no technical development required to make this feature mandatory, it was implemented through an administrative process.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Chapter 14, the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 14, the Party states that ongoing improvements have been made in the new software versions upgraded in its registry during the reporting period. The Party has provided a high-level description for each change as well as the release notes and test reports for each upgrade.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Chapter 14, the Party states that further test plans and test results to those referred to in Reporting Item 15/CMP.1 annex II.E paragraph 32.(c), have been submitted. This additional documentation confirms that the changes performed in the national registry passed the all mandatory CITL tests successfully.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>The Party has provided a URL to access the public information within its registry's public interface in [NIR] Chapter 12 section 4 and has stated that in compliance with the amendments of Regulation (EC) No 2216/2004 introduced by Article 78 of Regulation (EC) No 920/2010 (EU Registries Regulation) and for security reasons, it is considered that the account representative information as required in paragraph 45 is held as confidential. The Assessor notes that this information is also cited in the public interface of the Party's registry.</p> <p>In its [RESPONSE1] the Party noted that they have modified the text and links in the Public reports section of the website to more precisely reflect its content. The text now includes direct links to the relevant regulations.</p>
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 section 4. The Assessor notes that this information is publicly available at its national registry website, under the section "Public reports".

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 section 4. The Assessor notes that this information is publicly available at its national registry website, under the section "Public reports".
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 section 4. The Assessor notes that this information is publicly available at its national registry website, under the section "Public reports".
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 section 4. The Assessor notes that this information is publicly available at its national registry website, under the section "Public reports".
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party clearly and explicitly states on the publicly accessible website that this specific information is confidential.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party clearly and explicitly states on the publicly accessible website that this specific information is not shown due to non occurrence. This remark is also made in [NIR] Chapter 12 section 4.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party clearly and explicitly states on the publicly accessible website that this specific information is not shown due to non occurrence. This remark is also made in [NIR] Chapter 12 section 4.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party clearly and explicitly states on the publicly accessible website that this specific information is not shown due to non occurrence. This remark is also made in [NIR] Chapter 12 section 4.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party clearly and explicitly states on the publicly accessible website that this specific information is not shown due to non occurrence. This remark is also made in [NIR] Chapter 12 section 4.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party clearly and explicitly states on the publicly accessible website that this specific information is not shown due to non occurrence. This remark is also made in [NIR] Chapter 12 section 4.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 section 4. At the public registry website under public reports, "Unit holding and transaction information (Paragraph 47)", the party has SEF reports posted for the years 2008 to 2010. The Party states at its registry's website that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by Article 10 of EU Regulation 2216/2004/EC. This remark is also made in [NIR] Chapter 12 section 4.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Chapter 12 section 4. The party states that "holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by EU Regulation, ref. paragraph 47(a)". At the public registry website under public reports, "Unit holding and transaction information (Paragraph 47)", the party has SEF reports posted for the years 2008 to 2010. The Party states at its registry's website that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by Article 10 of EU Regulation 2216/2004/EC.
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8 is stated in the 2010 SEF report posted on the Party's registry website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party clearly and explicitly states on the publicly accessible website that this specific information is not shown due to non occurrence. This remark is also made in [NIR] Chapter 12 section 4.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring registries is stated in the 2010 SEF report posted on the Party's registry website but not the identity of the transferring accounts. The Party states at its registry's website that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by Article 10 of EU Regulation 2216/2004/EC.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4 is stated in the 2010 SEF report posted on the Party's registry website. The Party also provides reference to this publicly available information in [NIR] Chapter 12 section 4.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the transferring registries is stated in the 2010 SEF report posted on the Party's registry website but not the identity of the transferring accounts. The Party states at its registry's website that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by Article 10 of EU Regulation 2216/2004/EC.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4 is stated in the 2010 SEF report posted on the Party's registry website. The Party also provides reference to this publicly available information in [NIR] Chapter 12 section 4.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 is stated in the 2010 SEF report posted on the Party's registry website. The Party also provides reference to this publicly available information in in [NIR] Chapter 12 section 4.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The total quantity of other ERUs, CERs, AAUs and RMUs cancelled is stated in the 2010 SEF report posted on the Party's registry website. The Party also provides reference to this publicly available information in [NIR] Chapter 12 section 4.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The total quantity of ERUs, CERs, AAUs and RMUs retired is stated in the 2010 SEF report posted on the Party's registry website.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period is stated in the 2010 SEF report posted on the Party's registry website. The Party also provides reference to this publicly available information in [NIR] Chapter 12 section 4.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party states at its public registry website under public reports that holding and transaction information is provided on a holding type level due to more detailed information being declared confidential by Article 10 of EU Regulation 2216/2004/EC. The Party also provides reference to this publicly available information in [NIR] Chapter 12 section 4.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The Party cites that in compliance with the amendments of Regulation (EC) No 2216/2004 introduced by Article 78 of Regulation (EC) No 920/2010 (EU Registries Regulation) and for security reasons, it is considered that the account representative information as required in paragraph 48 is held as confidential.</p> <p>The Assessor notes that the amendments introduced in the Registries Regulation by article 78 of Regulation 920/2010 regarding publicly available information refer to the contact details of the account representatives of every account but not to the legal entities authorized to hold Kyoto Units under its responsibility.</p> <p>The list of legal entities authorized by the Party to hold Kyoto units under its responsibility is available on the Party's registry website as "account holders" with reference to the confidentiality of account representative details.</p>

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5		<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Section 14 the Party states that the previous annual review report is not yet published so it is not possible to address previous recommendations. For purposes of this assessment FCCC/ARR/2009/NOR (27/01/2010) containing 2009 recommendations will be reviewed and assessed.
P1.5.1	FCCC/ARR/2009/NOR (27/01/2010) paragraph 109 Enhance the user interface of its registry by providing the public information referred to above and reports thereon in its next annual submission. Norway should state clearly, in the user interface of its registry, whether this information is confidential or if there are no data to report, including data on Article 6 projects. In addition, Norway should report, in its next annual submission, on any changes made to the list of public information.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Assessor notes that Party states at [NIR] section 14 that the ERT recommendation on publicly availability of information has been previously addressed and reported on its 2010 NIR submission covering reporting year 2009. The Assessor notes that the information available under the public reports section at the Party's registry includes all the elements outlined in the ERT recommendation and that the Party reported on changes to public information occurred during the covered reporting year of 2010 (none occurred).

P1.5.2	FCCC/ARR/2009/NOR (27/01/2010) paragraph 112 The ERT reiterates the recommendation made in previous reviews that Norway provides more detailed information on its national registry in its future NIRs.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Section 14 the Party reported on detailed information on its National Registry.
P1.5.3	FCCC/ARR/2009/NOR (27/01/2010) paragraph 113 Include information on its commitment period reserve in its next annual submission.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Section 12.5 the Party reported on the calculation of its commitment period reserve.
P1.5.4	FCCC/ARR/2009/NOR (27/01/2010) paragraph 115 Report any change(s) in its national registry in its next NIR, in accordance with section I.G of the annex to decision 15/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Section 14 the Party reported on the changes in its national registry.