



UNFCCC ITL Administrator

**Standard Independent Assessment Report v4.6
Assessment Report
Part 1 - Completeness**

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Document change record

Version	Date	Description
0.1	09/05/2011	Initial draft
0.2	11/05/2011	Reviewed draft
0.3	13/05/2011	Draft under discussion
1.0	13/05/2011	Version 1.0 to be sent to Party
1.1	18/05/2011	Version with regards to Party's response
2.0	18/05/2011	Final version 2.0

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Netherlands	
P1.0.2	Reporting period	2010	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_NL_2011_1_11-41-30 10-1-2011.xls - [NIR] NIR-NETHERLANDS-2011.pdf	Information from the ITL Administrator: - [SEFCR] SEF_NL_2011_1_11-41-30 10-1-2011-CR.xls - [RRITL] SIAR_Reports_2010_NL_v1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/NLD (16/12/2009)	2010 report not available. This review uses the 2009 report as a reference.

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands has transferred and acquired Kyoto Protocol units during 2010 as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands' SEF report [SEF] was made available to assessors by 16/02/2011.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified 39 discrepant transactions proposed by the Party during the reported period (see [RRITL], Report R-2). However, upon further examination, the only discrepancies identified stem from response codes 4003 and 4010, which are not considered to be true discrepancies as they are a result of the flawed transaction message model.

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands reported that 39 discrepant transactions occurred during 2010 (see [NIR] NIR-NETHERLANDS-2011.pdf, Annex 6, Report R2). The information has been verified and matches the ITL records (see [RRITL], report R-2).
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Netherlands' national registry during 2010 (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Netherlands' national registry during 2010 (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Netherlands' national registry during 2010 (see [RRITL], Report R-4).

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands reported that no non-replacement notifications were addressed to its national registry during 2010. The information has been verified and matches the ITL records (see [RRITL], report R-4).
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by Netherlands that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands reported that actions and changes to address discrepancies are discussed under paragraph 14.1 „Previous Review Recommendations” of [NIR]. This paragraph provides insight into the reason behind response codes 4003 and 4010 which are not considered to be discrepancies due to limitations in the previous transaction message model.
15/CMP.1 annex I.E paragraph 18 CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Netherlands reported the calculation of its commitment period reserve (CPR) in [NIR], paragraph 12.5.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR], paragraph 14.1 (page 161) Netherlands states that no changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR], paragraph 14.1 (page 161) Netherlands states that no changes have been made to its cooperation arrangements.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR], paragraph 14.1 (page 162) Netherlands states that there have been Party's software update carried out twice: on 26th of March and 22nd of December 2010. Both changes were sufficiently described as well as required documents were listed in Annex A6.5 to the [NIR] (page 217).</p>
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In [NIR], paragraph 14.1 (page 162) Netherlands states that no changes have been made to the conformance to technical standards by its national registry.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In [NIR], paragraph 14.1 (page 162) Netherlands states that previously made changes to the registry resulted in reduction of discrepancies.</p> <p>Moreover, the new version – 5.2 of the registry software that is going to be deployed in Q1 2011 is expected to significantly reduce occurrences of discrepant transactions that were recorded in 2010.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR], paragraph 14.1 (page 163) Netherlands states that in 2010 there was implemented a technical infrastructure in order to enable for all users 2-factor authentication functionality. Moreover, in the new registry version - 5.1 there were additional security improvements included.</p> <p>In future release – version 5.2 several further security enhancements are going to be deployed.</p> <p>Documents proving the change are listed in Annex A6.5 to the [NIR] (page 217).</p>
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In [NIR], paragraph 14.1 (page 163) Netherlands states that in 2010 no changes have been made to the list of publicly available information accessible by means of the user interface of its national registry.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>In [NIR], paragraph 14.1 (page 163) Netherlands states that in 2010 no changes have been made to the Internet address of its national registry.</p>
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR], paragraph 14.1 (page 163) Netherlands states that in 2010 changes to streamline the compliance process (in order to reduce users' errors) were implemented with version 5.1 of the registry software. Moreover, on the basis of performed by the Party Disaster recovery test an entry in the VPN configuration was added in order to allow secondary site connection in case of disaster. Reference documents were listed in Annex A6.5 to the [NIR] (page 217).</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR], paragraph 14.1 (page 163) Netherlands gives a reference (Annex A6.5 to the [NIR], page 217) to the list of documents concerning test plans and, reports for the registry software versions 4.3 and 5.1.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	Netherlands provides reference to this publicly available information in [NIR], paragraph 12.4 (page 156). In accordance with latest version of the Registry Regulation (COMMISSION REGULATION (EC) No 920/2010 of 7 October 2010) following information were made confidential: Representative name, mailing address, telephone number, facsimile number and e-mail address.
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Netherlands provides reference to this publicly available information in [NIR], paragraph 12.4 (page 156). Information is available for public directly from the NLD Registry website.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Netherlands provides reference to this publicly available information in [NIR], paragraph 12.4 (page 156). Information is available for public directly from the NLD Registry website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Netherlands provides reference to this publicly available information in [NIR], paragraph 12.4 (page 156). Information is available for public directly from the NLD Registry website.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	Netherlands provides no information on the Representative identifier. However, all other data concerning account representatives were made confidential in accordance with the Registry Regulation (EC) No 920/2010. Netherlands provides reference to this publicly available information in [NIR], paragraph 12.4 (page 156). Information is available for public directly from the NLD Registry website.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	Netherlands states that in accordance with the Registry Regulation (EC) No 920/2010 those information were recognized as confidential. Therefore they are not disclosed for public.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides information that NLD does not host any JI projects as laid down in National legislation (Article 16.46c of the Environmental Act).
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides information that NLD does not host any JI projects as laid down in National legislation (Article 16.46c of the Environmental Act).

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides information that NLD does not host any JI projects as laid down in National legislation (Article 16.46c of the Environmental Act).
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides information that NLD does not host any JI projects as laid down in National legislation (Article 16.46c of the Environmental Act).
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides information that NLD does not host any JI projects as laid down in National legislation (Article 16.46c of the Environmental Act).
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands states that holding and transaction information are provided only on holding type level in this report. Pursuant to article 10 of of EU Regulation 2216/2004/EC more detailed information are considered to be confidential.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands declares information on "the total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year" to be confidential in accordance with article 10 of of EU Regulation 2216/2004/EC.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides following link to a website where data is available: http://www.emissieautoriteit.nl/english/public-information-kyoto
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands states that NLD does not host JI projects as laid down in National legislation.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands declares information on “the total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries” to be confidential in accordance with article 10 of of EU Regulation 2216/2004/EC.
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands states that NLD does not perform LULUCF activities and therefore does not issue RMUs.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands declares information on “the total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries” to be confidential in accordance with article 10 of of EU Regulation 2216/2004/EC.
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands states that no ERUs, CERs, AAUs and RMUs have been cancelled on the basis of activities under Article 3, paragraphs 3 and 4 to date.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 157) Netherlands states that no ERUs, CERs, AAUs and RMUs have been cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 to date.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides following link to a website where data is available: http://www.emissieautoriteit.nl/english/public-information-kyoto
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides following link to a website where data is available: http://www.emissieautoriteit.nl/english/public-information-kyoto
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 157) Netherlands states there is no commitment period to carry ERUs, CERs, and AAUs over from.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) there is no information on “current holdings of ERUs, CERs, AAUs and RMUs in each account”. In accordance with Party’s statement in “Response to SIAR Assessment Report Part 1” this omission will be corrected in next year’s NIR submission. However, under following link: http://www.emissieautoriteit.nl/english/public-information-kyoto the above information is said to be confidential in accordance with article 10 of of EU Regulation 2216/2004/EC.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In [NIR], paragraph 12.4 (page 156) Netherlands provides following link to a website where data is available: http://www.emissieautoriteit.nl/english/public-information-kyoto However, under the above link Netherlands states that for security reasons only the name of the Authorised Legal Entity is provided in the publicly available Authorised Legal Entities report.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	<p>Implement measures listed in paragraph 6 of the summary of findings in the SIAR by the time of its next annual submission, and report in that submission on the changes made to the national registry following the successful implementation and testing of those measures, including any test plans and test reports</p> <p>(reference: Report of the individual review of the annual submission of the Netherlands submitted in 2009, paragraph 96b (page 21))</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Per the SIAR for the 2009 reported year, and the fact that 4003 and 4010 response codes are not considered discrepancies, the Party has addressed this recommendation.
P1.5.2	<p>Should enhance the user interface of its registry by the time of its next annual submission by providing the public information referred to in paragraphs 45, 46 and 48 of the annex to decision 13/CMP.1, and report, in the annual submission, on any changes to that public information.</p> <p>(reference: Report of the individual review of the annual submission of the Netherlands submitted in 2009, paragraph 96c (page 21))</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>Netherlands in its annual submission for 2010 [NIR] provides information that the direct URL to the Publicly available reports is available on its Registry website.</p> <p>Some of the information required under those reports were made confidential in accordance with the Registry Regulation (EC) No 920/2010.</p>
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	