



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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Document change record

Version	Date	Description
0.1	09/05/2011	Initial draft
0.2	12/05/2011	Reviewed draft
0.3	13/05/2011	Assessment modified per review feedback
1.0	13/05/2011	Version 1.0 to be sent to Party
1.1	10/06/2011	Updated assessment to include feedback in consultation form
1.2	14/06/2011	Reviewed draft.
2.0	15/06/2011	Final Version 2.0 – including Party's comments and clarifications

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Denmark	
P1.0.2	Reporting period	2010	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_DK_2011_2_13-40-19 3-3-2011.xls - [NIR] DK_NIR2011_submitted_15April2011.pdf -- [RESPONSE] SIAR Consultation Form on Draft Part 1 DNK.	Information from the ITL Administrator: - [SEFCR] SEF_DK_2011_2_13-40-19+3-3-2011-CR.xls -[RRITL] SIAR_Reports_2010_DK_v1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2010/DNK (03/03/2011)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each chapter contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in chapter I.E of the annex to decision 15/CMP.1, paragraph 32 in chapter II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in chapter I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this chapter is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in chapter I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred and acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 25/04/2011.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2). Transactions with response code 4003 and 4010 were identified by the ITL, but these response codes could occur under normal circumstances due to the DES transaction message flow model utilized in ITL releases prior to version 2.0 which was deployed in Q4 of 2010. Occurrences of response code 4003 and 4010 are hence not assessed as discrepancies.

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The Party reported all transactions with response code 4003 and 4010 discrepancies which occurred during the reported period (see Annex 6 in NIR). The information has been verified and matches the ITL records of transactions with these response codes.</p> <p>The Party informs in Table A.6.6 in Annex 6 in NIR that the raise of the response code 4003 is due to a fault in the old transaction message flow. No response code has been raised since April 2010, after the implementation of the new transaction message flow. Also, the raise of the response code 4010 is a direct consequence of the raise of the response code 4003.</p>
15/CMP.1 annex I.E paragraph 13			
Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that the lists described in paragraph 13-15 are not included in the NIR, as there are no tCERs or ICERs in the Danish Registry. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14			
Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that the lists described in paragraph 13-15 are not included in the NIR, as there are no tCERs or ICERs in the Danish Registry. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that the lists described in paragraph 13-15 are not included in the NIR, as there are no tCERs or ICERs in the Danish Registry. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party informs in NIR that discrepancies have been found in the daily reconciliation and that all have been solved by manual intervention by either the Danish Registry or the CITL/ITL depending on which stage the transaction was in.
15/CMP.1 annex I.E paragraph 18 CPR Calculation			

Ref Nr	Requirement	Assessment	Comments
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in NIR chapter 12.5.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<p>The Party did not state whether any changes to the name or contact information of the registry administrator has occurred or not. The Party should make a statement indicating whether any changes did occur in the reporting period or not. If there have been changes, they should be reported accordingly.</p> <p>The Party states in the [RESPONSE] document that a notification of registry administrator change was sent to ITL during the reporting period.</p> <p>It is recommended that the Party also report the changes in the annual inventory report.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.2	<p>15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement</p> <p>Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party did not state whether any changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated has occurred or not. The Party should make a statement indicating whether any changes did occur in the reporting period or not. If there have been changes, they should be reported accordingly.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes have occurred to the names of the other parties with which it cooperates in maintaining the registry.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	<p>15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry</p> <p>Has the Party reported a change to the database structure or capacity of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party informs in NIR chapter 12.5 that changes in the software have not been of a significance that has required a new Independent Assessment Report and software testing. In NIR chapter 14 the Party informs that the Danish Emission Trading Registry is updated and the software is continuously patched.</p> <p>However, the Party did not clearly state that no change to the database structure or capacity of the national registry occurred for the reporting period. If no changes have occurred, this should be clearly stated.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to the database structure or capacity of the national registry have occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party informs in NIR chapter 12.5 that changes in the software have not been of a significance that has required a new Independent Assessment Report and software testing. In NIR chapter 14 the Party informs that the Danish Emission Trading Registry is updated and the software is continuously patched.</p> <p>However, the Party did not clearly state that no change to how the national registry conforms to the technical standards for data exchange occurred for the reporting period. If no changes have occurred, this should be clearly stated.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to how the national registry conforms to the technical standards for data exchange between registry systems have occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>The Party did not clearly state whether any of the procedures employed to minimize discrepancies were changed in the reporting period. The Party should make a statement indicating whether the procedures were changed in the reporting period or not. If no changes have occurred, this should be clearly stated.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to the procedures employed in the national registry to minimize discrepancies have occurred</p>
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The Party reports in chapter 14 of the NIR that work on the development of a two-factor authentication system in the Registry started in 2010 and it was implemented in February 2011. The Party has provided a high level description of the two-factor authentication method.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party did not state whether the content of publicly accessible information changed in the reporting period. The Party should make a statement indicating whether any changes did occur in the reporting period or not. If there have been changes, they should be reported accordingly.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to the list of publicly accessible information have occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party did not state whether the Internet address of the national registry changed in the reporting period. The Party should make a statement indicating whether the address changed in the reporting period or not. If the address has changed, it should be reported accordingly.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to the internet address of the registry have occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party informs in NIR chapter 12.5 that changes in the software have not been of a significance that has required a new Independent Assessment Report and software testing. In NIR chapter 14 the Party informs that the Danish Emission Trading Registry is updated and the software is continuously patched.</p> <p>However, the Party did not clearly state that the measures did not change in the reporting period. If no changes have occurred, this should be clearly stated.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster have occurred.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>The Party informs in NIR chapter 12.5 that changes in the software have not been of a significance that has required a new Independent Assessment Report and software testing. In NIR chapter 14 the Party informs that the Danish Emission Trading Registry is updated and the software is continuously patched.</p> <p>However, the Party did not clearly state that the results of any test procedures did not change in the reporting period. If no changes have occurred, this should be clearly stated.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to the results of test procedures have occurred.</p>

4. Public information

This chapter provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In NIR chapter 12.4 first paragraph, the Party has provided a public URL to the website of the Danish Energy Agency. The SEF report for the 2009 can be downloaded from the front page. However, the assessor could not locate the 2010 SEF report on the website at the time of the assessment.</p> <p>In NIR chapter 12.4 second paragraph, the Party provides link to the Danish Energy Agency's registry website: https://www.kvoteregister.dk/</p> <p>Account information is publicly available on these two websites, but the information does not meet all the requirements of 13/CMP.1 Annex paragraph 45(a) – 45(e).</p> <p>The Party informs in the [RESPONSE] document that 2010 SEF report have as of May 17th 2011 been made publicly available on the previously given public url.</p> <p>The Party also notes that regarding public information on the two websites and the issues of this not meeting all the requirements of 13/CMP.1 Annex paragraph 45(a)-45(e), steps will be taken to ensure that these requirements will be met as soon as possible</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Account List", and find this information available.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Account List", and find this information available.
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Account List", and find this information available
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ The assessor could not find this specific information related to the representatives of the account holders. The Party informs in the [RESPONSE] document that steps will be taken to ensure that this requirement will be met as soon as possible

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/</p> <p>On this site, the public may search the report "Account List", and find the names of the account representatives, but not their contact details.</p> <p>The Party informs in NIR chapter 12.4, third paragraph, that the contact information requires the consent of the account holder according to EU law. Thus, all of this information is not publically available.</p> <p>However, the assessor could not find any statement on the website saying this specific information is confidential.</p> <p>The Party informs in the [RESPONSE] document that a statement will be added as soon as possible to the website explaining that parts of the specific information are confidential.</p>
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/</p> <p>On this site, the public may search the report "Project List". The Party notes in the report that the report is empty because no article 6 projects are implemented in Denmark.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Project List". The Party notes in the report that the report is empty because no article 6 projects are implemented in Denmark.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Project List". The Party notes in the report that the report is empty because no article 6 projects are implemented in Denmark.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Project List". The Party notes in the report that the report is empty because no article 6 projects are implemented in Denmark.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Project List". The Party notes in the report that the report is empty because no article 6 projects are implemented in Denmark.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>In NIR chapter 12.4 first paragraph, the Party has provided a public URL to the website of the Danish Energy Agency. The SEF report for the reporting year 2009 can be downloaded from the front page. The assessor could not locate the 2010 SEF report on this website at the time of the assessment.</p> <p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/</p> <p>Information on holding and transaction information is publicly available on these two websites, but the information does not meet all the requirements of 13/CMP.1 Annex paragraph 47(a) – 47(l).</p> <p>The Party informs in the [RESPONSE] document that 2010 SEF report have as of may 17th 2011 been made publicly available on the previously given public url.</p> <p>The Party also notes in [RESPONSE] that regarding holding and transaction information and the issues of this not meeting all the requirements of 13/CMP.1 Annex paragraph 47(a)-4(l), steps will be taken to ensure that these requirements will be met as soon as possible.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	<p>In NIR chapter 12.4 first paragraph, the Party has provided a public URL to the website of the Danish Energy Agency. The SEF report for the reporting year 2009 can be downloaded from the front page, and the total quantity of ERUs, CERs, AAUs and RMUs on each account type at the end of 2009, equal to total quantity at the beginning of 2010, can be viewed in Table 4.</p> <p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/</p> <p>On this site, the public may search the report "Account Holdings". The Party informs in the report that the list is empty, because Denmark is not allowed to report account holdings and transactions until year x+5, where x = the year of transaction.</p>
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In NIR chapter 12.4 section one, the Party has provided a public URL to the website of the Danish Energy Agency. The SEF report for the reporting year 2009 can be downloaded from the front page, and information on total quantity of AAUs issued on the basis of the assigned amount can be viewed in Table 5a.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Project List". The Party notes in the report that the report is empty because no article 6 projects are implemented in Denmark.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	<p>13/CMP.1 Annex paragraph 47 (d)</p> <p>The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial</p>	<p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website:</p> <p>https://www.kvoteregister.dk/</p> <p>On this site, the public may search the report "Transaction Info". Information on total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries may be viewed for 2010, but no information is given on the identity of the transferring accounts and registries.</p> <p>In chapter 12.4, paragraph one, of the NIR, the Party informs that the 2010 SEF report will be publically available on the Danish Energy Agency website. However, the assessor could only find the 2009 SEF report on this website at the time of assessment.</p> <p>The Party informs in the [RESPONSE] document that 2010 SEF report have as of may 17th 2011 been made publicly available on the previously given public url. Table 2 (b) in the 2010 SEF report shows the total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries, but does not include a list of the transferring accounts.</p> <p>The Party notes in the [RESPONSE] document that the registry software will be corrected in the next version to specify on the public website that information on account holdings and transaction history are considered confidential according to EU law until x+5 years, where x is the year the transactions were carried out.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Transaction Info". Information on total quantity of RMUs issued can be found here.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	<p>13/CMP.1 Annex paragraph 47 (f)</p> <p>The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial</p>	<p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website:</p> <p>https://www.kvoteregister.dk/</p> <p>On this site, the public may search the report "Transaction Info". Information on total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries may be viewed for 2010, but no information is given on the identity of the acquiring accounts and registries.</p> <p>In chapter 12.4, paragraph one, of the NIR, the Party informs that the 2010 SEF report will be publically available on the Danish Energy Agency website. However, the assessor could only find the 2009 SEF report on this website at the time of assessment.</p> <p>The Party informs in the [RESPONSE] document that 2010 SEF report have as of may 17th 2011 been made publicly available on the previously given public url. Table 2 (b) in the 2010 SEF report shows the total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries, but it does not include a list of the transferring accounts.</p> <p>The Party notes in the [RESPONSE] document that the registry software will be corrected in the next version to specify on the public website that information on account holdings and transaction history are considered confidential according to EU law until x+5 years, where x is the year the transactions were carried out.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Transaction Info". Information on the total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4 can be found here.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Transaction Info". Information on the total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 can be found here. This information is also publicly available in the 2010 SEF report table 5 (a) which is now published on the web pages of Energistyrelsen.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/</p> <p>On this site, the public may search the report "Transaction Info". Information on the total quantity of other ERUs, CERs, AAUs and RMUs cancelled can be found here.</p> <p>This information is also publicly available in the 2010 SEF report table 2 (a) which is now published on the web pages of Energistyrelsen.</p>
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/</p> <p>On this site, the public may search the report "Transaction Info". Information on the total quantity of ERUs, CERs, AAUs and RMUs retired can be found here.</p> <p>This information is also publicly available in the 2010 SEF report table 2 (a) which is now published on the web pages of Energistyrelsen.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Transaction Info". Information on the total quantity of ERUs, CERs and AAUs carried over from the previous commitment period can be found here. This information is also publicly available in the 2010 SEF report table 5 (a) which is now published on the web pages of Energistyrelsen.
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Account Holdings". The Party informs in the report that the list is empty, because Denmark is not allowed to report account holdings and transactions until year x+5, where x = the year of transaction.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In NIR chapter 12.4, second paragraph, the Party provides reference to Danish Energy Agency's registry website: https://www.kvoteregister.dk/ On this site, the public may search the report "Account List". Information on the account holder of each account can be found.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This chapter provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this chapter should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	<p>"From: http://unfccc.int/resource/docs/2011/arr/dnk.pdf, Paragraph 197, Page 46."</p> <p><i>The SIAR reiterated the recommendation of the previous ERT that Denmark should enhance, as detailed in section 4.2 of this SIAR [2009], the availability of public information referred to in paragraph 44 of the annex to decision 13/CMP.1 and report, in its next annual submission, on any changes to that public information.</i></p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In P1.3.7 above, the Party has not indicated whether there have been any changes to publicly available information. Per the previous recommendation, the Party should make a statement regarding any changes to the availability of public information.</p> <p>The Party informs in the [RESPONSE] document that during the reporting period no changes to the list of publicly accessible information have occurred.</p>
P1.5.2		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	