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Status of submission and review of reports under the Kyoto Protocol

Note by the secretariat

1. This note provides information on the status of submission and review of true-up period reports for the first commitment period of the Kyoto Protocol, sixth national communications (NC6s), the report to facilitate the calculation of the assigned amount for the second commitment period, and the annual greenhouse gas (GHG) inventories submitted in 2015 and 2016 by Parties included in Annex I (hereinafter, Annex I Parties)² in accordance with decision 15/CMP.1, as at 26 August 2016.

Annex I Parties in the first commitment period

- 2. Altogether, there are 42 Annex I Parties to the Kyoto Protocol.³ Thirty-seven of the 42 Annex I Parties had a quantified emission limitation or reduction commitment (QELRC) inscribed in Annex B to the Kyoto Protocol for the first commitment period. Supplementary information was submitted by these Parties pursuant to Article 7, paragraph 1, of the Kyoto Protocol, and in accordance with decision 15/CMP.1.
- 3. Five of the forty-two Annex I Parties (Belarus, Cyprus, Kazakhstan, Malta and Turkey) did not have a QELRC for the first commitment period. Although Belarus, Cyprus, Kazakhstan, Malta and Turkey are considered Annex I Parties for the purposes of the Kyoto Protocol, in the absence of commitments under Article 3 of the Protocol for the first commitment period, their reporting obligations under Article 7, paragraph 1, of the Protocol do not appear to be triggered in relation to that period. At the same time, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article 3, appear to be applicable to these five Parties, including in the context of supplementary information in their NC6s.⁵

True-up period for the first commitment period

4. In accordance with paragraph 49 of the annex to decision 13/CMP.1, upon expiration of the true up period, each Party included in Annex I shall report to the secretariat and make available to the public the information on the total quantity and serial numbers of the Kyoto Protocol units (Assigned Amount Units (AAUs), Emission Removal Units (ERUs), Certified Emission Reduction Units (CERs) and Removal Units (RMUs)) until the end of the true up period commitments, the total quantity and serial number of units in its retirement account and the total quantity and serial number of units the Party requests to be carried over to the subsequent commitment period. The purpose of this information submitted in a form of a true-up period report is to demonstrate that each Party has fulfilled its obligations under Article 3 of the Kyoto Protocol.

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⁵ Decision 7/CMP.8.

¹ The additional period for fulfilling commitments known as the true-up period expired 100 days after the date of completion of the final annual review process for the first commitment period set by the Conference of Parties (decision 27/CMP.1, section XIII). This date for the first commitment period was 10 August 2015. Consequently the end date of the first commitment period was 18 November 2015.

² For the purposes of this document, the term "Annex I Parties" refers to Parties to the Kyoto Protocol considered Parties included in Annex I in accordance with Article 1, paragraph 7, of the Protocol.

³ Forty-two Annex I Parties ratified the Kyoto Protocol. However, on 15 December 2011, the Depositary received written notification of Canada's withdrawal from the Kyoto Protocol. This action became effective for Canada on 15 December 2012.

⁴ Following the entry into force of the Kyoto Protocol for Kazakhstan on 17 September 2009, Kazakhstan is considered an Annex I Party for the purposes of the Protocol while remaining a Party not included in Annex I to the Convention for the purposes of the Convention (FCCC/KP/CMP/2009/21, paragraph 91).





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- 5. The dates of the true-up period for the first commitment period under the Protocol, as well as the deadlines for the submission and review of the true-up period reports were based on decision 3/CMP.10. After the end of the true-up period (18 November 2015), the results were officially reported by Parties under the true-up period report submission and then extensively verified and reviewed by ERTs during three centralized reviews that took place in Bonn in February 2016. The true-up period review reports (TPRs) for all 37 Parties referred to in paragraph 2 have been published and are available on the UNFCCC website.⁶
- 6. The ERTs concluded for 36 of these 37 Parties that:
 - (a) the information submitted covers all elements required;
 - (b) the respective Party's aggregate GHG emissions in the first commitment period did not exceed the quantity of Kyoto Protocol units valid for the first commitment period placed in the retirement account;
 - (c) the requested amounts of assigned amount units (AAUs), certified emission reductions (CERs) and emission reduction units (ERUs) to be carried over to the second commitment period are consistent with the requirements;
 - (d) there were no questions of implementation.
- 7. Questions of implementation were raised by the ERT in relation to one Party and these were forwarded to the Compliance Committee for further resolution in accordance with the procedures set out in decision 27/.CMP.1. As on 26 August 2016, the questions of implementation are under consideration by the enforcement branch of the Committee.
- 8. In accordance with paragraph 62 of the annex to decision 13/CMP.1, following the publication of the true-up period review reports, the secretariat published the final compilation and accounting report for each of the 36 Parties in relation to which no questions of implementation were raised. The compilation and accounting report for the Party in relation to which questions of implementation were raised, is expected to be prepared following the resolution of the questions of implementation. The compilation and accounting reports provide the final information for the first commitment period about: the aggregated GHG emissions; the quantity of units retired; the quantity of units available for carry-over to the second commitment period, if applicable; and the quantity by which GHG emissions exceed the units retired, if applicable.
- 9. These reports have been forwarded to the Compliance Committee and have been published on the UNFCCC website⁷ on 2 August 2016 and will be considered at the session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) in November 2016. Publication of the compilation and accounting report constitutes the conclusion of all reporting, review and accounting processes for the first commitment period of the Kyoto Protocol for each Party referred to in paragraph 2 above.

6 http://unfccc.int/kyoto_protocol/reporting/true-up_period_reports_under_the_kyoto_protocol/items/9049.php.

http://unfccc.int/kyoto_protocol/final_compilation_and_accounting_report_for_the_first_commitment_period/items/9691.php.





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Table 1
Reporting and review process of the true-up period report for the first commitment period of the Kyoto Protocol

Party	Date of original submission	Language of communication	True-up period assessment report	True-up period review report
Australia	22 December 2015	English	15 February 2016	31 March 2016
Austria	17 December 2015	English	15 February 2016	14 March 2016
Belgium	17 December 2015	English	15 February 2016	24 March 2016
Bulgaria	17 December 2015	English	15 February 2016	24 March 2016
Croatia	18 December 2015	English	15 February 2016	24 March 2016
Czech Republic	17 December 2015	English	15 February 2016	14 March 2016
Denmark	18 December 2015	English	15 February 2016	17 March 2016
Estonia	17 December 2015	English	15 February 2016	24 March 2016
European Union	21 December 2015	English	15 February 2016	31 March 2016
Finland	18 December 2015	English	15 February 2016	31 March 2016
France	28 December 2015	English	15 February 2016	24 March 2016
Germany	17 December 2015	English	15 February 2016	24 March 2016
Greece	5 January 2016	English	15 February 2016	31 March 2016
Hungary	30 December 2015	English	15 February 2016	1 April 2016
Iceland	18 December 2015	English	15 February 2016	31 March 2016
Ireland	7 December 2015	English	15 February 2016	31 March 2016
Italy	18 December 2015	English	15 February 2016	17 March 2016
Japan	28 December 2015	English	15 February 2016	24 March 2016
Latvia	18 December 2015	English	15 February 2016	31 March 2016
Liechtenstein	2 January 2016	English	15 February 2016	17 March 2016
Lithuania	29 December 2015	English	15 February 2016	31 March 2016
Luxembourg	29 December 2015	English	15 February 2016	24 March 2016
Monaco	22 December 2015	English	15 February 2016	14 March 2016
Netherlands	18 December 2015	English	15 February 2016	24 March 2016
New Zealand	16 December 2015	English	15 February 2016	31 March 2016
Norway	9 December 2015	English	15 February 2016	14 March 2016
Poland	14 December 2015	English	15 February 2016	31 March 2016
Portugal	23 December 2015	English	15 February 2016	31 March 2016
Romania	18 December 2015	English	15 February 2016	31 March 2016
Russian Federation	31 December 2015	English	15 February 2016	31 March 2016
Slovakia	15 December 2015	English	15 February 2016	17 March 2016
Slovenia	17 December 2015	English	15 February 2016	14 March 2016
Spain	17 December 2015	English	15 February 2016	31 March 2016
Sweden	15 December 2015	English	15 February 2016	31 March 2016
Switzerland	21 December 2015	English	15 February 2016	14 March 2016
Ukraine	9 March 2016	English	31 March 2016	8 April 2016
United Kingdom	16 December 2015	English	15 February 2016	31 March 2016





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Annex I Parties in the second commitment period

- 10. Thirty-eight Annex I Parties have a QELRC inscribed in the third column of the table in Annex I to the Doha Amendment for the second commitment period. Supplementary information is submitted by these Parties pursuant to Article 7, paragraph 1, of the Kyoto Protocol, and in accordance with decisions 15/CMP.1, 2/CMP.8, 6/CMP.9 and 3/CMP.11.
- Japan, New Zealand and the Russian Federation are Annex I Parties that do not have a QELRC for the second commitment period. However, through decision 3/CMP.11, the CMP requested that these Parties continue to have certain limited reporting obligations in relation to elements of the information usually reported under Article 7, paragraph 1, of the Protocol.⁸ The reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article 3, appear to be applicable to these three Parties, including in the context of supplementary information in their sixth national communications (NC6).

Submissions of national communications

- In accordance with decision 9/CP.16 and following the requirements of Article 12, 12. paragraphs 1 and 2, of the Convention, Parties included in Annex I to the Convention are required to submit to the secretariat a sixth national communication by 1 January 2014 and a seventh national communication no later than four years after this date. Therefore, the submission of the seventh national communications by Annex I Parties is due, at the latest, by 1 January 2018. In accordance with decision 8/CMP.3, Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol are to include in the national communications the necessary supplementary information under the guidelines established under Article 7 of the Kyoto Protocol. 10
- As on 26 August 2016, the secretariat has received the NC6s from 44 Parties, of which 42 are Annex I Parties to the Kyoto Protocol. 11 Since the previous report to the Compliance Committee, 12 only one additional Party submitted its NC6 (see table 2 below). ¹³
- All received NC6s were reviewed during in-country reviews or centralized reviews in the course of 2014, 2015 and the first half of 2016. The reviews of NC6s were held in conjunction with the reviews of the first biennial reports (BR1) under the Convention, except for one Party whose NC6 has been reviewed in conjunction with the reviews of the second biennial reports in June 2016. The technical review report of the NC6 of this Party is under preparation and will be published on the UNFCCC website later this year.

⁸ For example, see decision 3/CMP.11, paragraphs 8, 14 and decision 3/CMP.11, annex III, paragraph 4.

⁹ Decision 7/CMP.8.

¹⁰ The COP also requested Kazakhstan to submit its national communications in accordance with the Guidelines for the preparation of national communications by Parties included in Annex I to the Convention (see FCCC/CP/2006/5, paragraph 96, and FCCC/CP/2008/7, paragraph 68).

¹¹ As on 20 August 2014, Canada and the United States of America are not Parties to the Kyoto Protocol.

¹² CC/17/2015/2.

¹³ Turkey has submitted its NC6 on 5 April 2016, i.e. after the deadline of 1 January 2014.





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Table 2
Sixth national communications submitted by Annex I Parties: status of submissions, review dates and status of the preparation of the review reports

Annex I Party	National communication received (date)	Revised version received (date)	Language of communication	Review dates	Date of publication ^a	Document symbol
Australia	5 Aug. 13		English	7–12 Oct. 14	24 Feb. 15	FCCC/IDR.6/AUS
Austria	10 Feb. 14		English	31 Mar.–5 Apr. 14	29 Aug. 14	FCCC/IDR.6/AUT
Belarus	31 Dec. 13	13 Feb. 15	Russian	20–25 Apr. 15	17 Aug. 15	FCCC/IDR.6/BLR
Belgium	20 Dec. 13 25 Apr. 14	25 Apr. 15	English French	3–8 Nov. 14	23 Feb. 15	FCCC/IDR.6/BEL
Bulgaria	31 Dec. 13	2 and 22 Oct. 14	English	20–25 Oct. 14	19 Feb. 15	FCCC/IDR.6/BGR
Croatia	12 Feb. 14		English	5–10 May 14	28 Aug. 14	FCCC/IDR.6/HRV
Cyprus	31 Dec. 13		English	5–10 May 14	27 Aug. 14	FCCC/IDR.6/CYP
Czech Republic	31 Jan. 14	23 Apr. 14	English	7–12 Apr. 14	28 Aug. 14	FCCC/IDR.6/CZE
Denmark	3 Jan. 14		English	17–22 Mar. 14	30 Nov. 14	FCCC/IDR.6/DNK
Estonia	31 Dec. 13	13 Feb. 14	English	5–10 May 14	24 Feb. 15	FCCC/IDR.6/EST
European Union (28)	13 Jan. 14		English	24–29 Mar. 14	28 Aug. 14	FCCC/IDR.6/EU
Finland	30 Dec. 13		English	7–12 Apr. 14	26 Aug. 14	FCCC/IDR.6/FIN
France	25 Oct. 13 25 Oct 13 30 Jul. 15	13 Dec. 13 13 Dec. 13	French English abstract English	7–12 Apr. 14	29 Aug. 14	FCCC/IDR.6/FRA
Germany	20 Dec. 13	17 Sept. and 23 Oct. 14	English	6–11 Oct. 14	17 Feb. 15	FCCC/IDR.6/DEU
Greece	31 Dec. 13		English	29 Sept. –4 Oct. 14	17 Feb. 15	FCCC/IDR.6/GRC
Hungary	8 Jan. 14		English	23–28 June 14	26 Feb. 15	FCCC/IDR.6/HUN
Iceland	7 Mar. 14		English	25–30 Aug. 14	23 Feb. 15	FCCC/IDR.6/ISL
Ireland	7 Mar. 14		English	19–24 May 14	30 Nov. 14	FCCC/IDR.6/IRL
Italy	12 Feb. 14	24 Apr. 14	English	7–12 Apr. 14	22 Aug. 14	FCCC/IDR.6/ITA
Japan	27 Dec. 13	21 Aug and 22 Sept. 14	English	6–11 Oct. 14	27 Feb. 15	FCCC/IDR.6/JPN
	9 Oct. 14 (Addendum to NC6)					
Kazakhstan (NC3, 4, 5 and 6)	24 Dec. 13 24 Dec. 13	13 Feb. 14 13 Feb. 14	English Russian	20–25 Apr. 15	7 Aug. 15	FCCC/IDR.6/KAZ
Latvia	29 Dec. 13		English	5–10 May 14	29 Aug. 14	FCCC/IDR.6/LVA
Liechtenstein	23 Dec. 13		English	5–10 May 14	23 Feb. 15	FCCC/IDR.6/LIE
Lithuania	10 Jan. 14		English	5–10 May 14	14 Oct. 14	FCCC/IDR.6/LTU
Luxembourg	21 Jan. 14	12 and 28 Feb. 14	English	24 Feb.–1 Mar. 14	28 Aug. 14	FCCC/IDR.6/LUX
Malta	9 Apr. 14		English	5–10 May 14	19 Dec. 14	FCCC/IDR.6/MLT
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Annex I Party	National communication received (date)	Revised version received (date)	Language of communication	Review dates	Date of publication ^a	Document symbol
Monaco	4 Apr. 14	14 Apr. and 11 July 14	French	5–10 May 14	3 Mar. 15	FCCC/IDR.6/MCO/ Rev.1
Netherlands	30 Dec. 13		English	24 Feb. –1 Mar. 14	18 Jul. 14	FCCC/IDR.6/NLD
New Zealand	12 Dec. 13	19 Dec. 14	English	24 Feb.–1 Mar. 14	8 Jul. 14	FCCC/IDR.6/NZL
Norway	10 Mar. 14	2 July 14	English	6–11 Oct. 14	20 Feb. 15	FCCC/IDR.6/NOR
Poland	7 Feb. 14		English	8–13 Sept. 14	10 Feb. 15	FCCC/IDR.6/POL
Portugal	31 Dec. 13	7 Feb. and 20 March 14	English	7–12 Apr. 14	29 Aug. 14	FCCC/IDR.6/PRT
	11 Apr. 14 (NC6 Addendum)					
Romania	19 Dec. 13 (Annex 3.1–3.3)		English	23–28 June 14	15 Jan. 15	FCCC/IDR.6/ROU
Russian Federation	30 Dec. 13	16 May 14	Russian	9–11 Oct. 14	12 Feb. 15	FCCC/IDR.6/RUS
Slovakia	2 Jan. 14		English	5–10 May 14	9 Feb. 15	FCCC/IDR.6/SVK
Slovenia	17 Apr. 14	17 May 14	English	5–10 May 14	27 Feb. 15	FCCC/IDR.6/SVN
Spain	20 Dec. 13 (NC6 Anexos)		Spanish	5–10 May 14	19 Aug. 14	FCCC/IDR.6/ESP
Sweden	20 Dec. 13	28 Apr. 14	English	7–12 Apr. 14	27 Aug. 14	FCCC/IDR.6/SWE
Switzerland	3 Feb. 14		English	7–12 Apr. 14	18 Aug. 14	FCCC/IDR.6/CHE
Turkey	5 Apr. 16		English	6-11 Jun. 16		
Ukraine	30 Dec. 13 28 Oct. 14 (NC6 addendum)		Russian	27 Oct. – 1 Nov. 14	20 Feb. 15	FCCC/IDR.6/UKR
United Kingdom of Great Britain and Northern Ireland	20 Dec. 13	22 Jan. 14	English	15–20 Sept. 14	3 Mar. 15	FCCC/IDR.6/GBR/ Rev.1

Abbreviation: NC = national communication.

Greenhouse gas inventory submissions 2015

- 15. The secretariat received 42 annual inventory submissions for 2015 from Annex I Parties to the Kyoto Protocol. Table 3 shows the submission and resubmission dates.
- 16. As indicated in the previous report on status of submissions and review of reports under the Kyoto Protocol, there were delays in the submissions (due to the delay in the delivery of the CRF Reporter by the secretariat) and the guidance for conducting annual reviews under Article 8 in the second commitment period had not been finalized. ¹⁴ Given these challenges, the secretariat considered

^a Reports of in-depth reviews of national communications are published on the UNFCCC website, available at https://unfccc.int/national_reports/annex_i_natcom/idr_reports/items/2711.php.

Document CC/17/2015/2, paragraphs 11–13. Available at http://unfccc.int/files/kyoto_protocol/compliance/plenary/application/pdf/cc-17-2015-2_status_of_submissions_and_reviews.pdf>.





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itself as not being in a position to conduct annual reviews under Article 8 in 2015, including the preparation of status reports on the 2015 submissions. Despite the challenges noted, the secretariat organized the 2015 reviews under the Convention of CRF and NIR submissions from Australia, Canada (not a Party to the Kyoto Protocol), New Zealand and Ukraine under the guidelines adopted by decision 13/CP.20.¹⁵

17. The CMP through decision 10/CMP.11 noted the delays and requested the secretariat to organize the review of the 2015 and 2016 greenhouse gas inventory submissions, including the review of the supplementary information submitted under Article 7, paragraph 1, of the Protocol, in conjunction with each other and with the review of those inventory submissions under the Convention. ¹⁶ The process of this review is described in paragraph 19 below.

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¹⁵ The reports of the reviews under the Convention for these four Parties are available at http://unfccc.int/9477.

¹⁶ Decision 10/CMP.1, paragraph 1.

Table 3 ${\bf Annual~2015~submissions~by~Annex~I~Parties:~status~of~submission}^{a,~b}$

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Australia	CRF:27 May 2015 NIR: 7 May 2016 SEF-CP1-2014: 05 June 2015 SEF-CP2-2013: 05 June 2015 SEF-CP2-2014: 05 June 2015	27 May 2016 9 August 2016	27 May 2016 9 August 2016	SEF-CP1-2014: 26 June 2015	English
Austria	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014 : 29 May 2015				English
Belarus	CRF: 7 July 2016 ^c NIR: 30 May 2016 SEF-CP2: not submitted ^a				Russian
Belgium	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015				English
Bulgaria	CRF: 27 May 2016 NIR: 27 May 2016 SEF-CP1-2014: 15 April 2015			SEF-CP1-2014: 28 April 2015 SEF-CP1-2014: 08 May 2015	English
Croatia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015				English
Cyprus	CRF: 15 June 2016 NIR: 15 June 2016 SEF: not submitted ^a				English
Czech Republic	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 14 April 2015				English
Denmark	CRF: 8 February 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015	15 June 2016			English
Estonia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 14 April 2015			SEF-CP1-2014: 08 May 2015	English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
European Union	CRF: 27 June 2016 NIR: 21 June 2016 SEF-CP1-2014: 15 April 2015 SEF(EU15)-CP1-2014: 15 April 2015	5		SEF-CP1-2014: 22 June 2015 SEF-CP1-2014: 24 June 2015 SEF(EU15)-CP1-2014: 24 July 20	English
Finland	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2014: 10 March 2015		15 June 2016	SEF-CP1-2014: 30 March 2015 SEF-CP1-2014: 07 April 2015	English
France	CRF: 4 November 2015 ^c NIR: 4 November 2015 ^c SEF-CP1-2014: 14 April 2015 SEF-CP2-2013: 4 November 2015 SEF-CP2-2014: 4 November 2015				French
Germany	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015				English
Greece	CRF: 23 May 2016 NIR: 31 May 2016 SEF-CP1-2014: 15 April 2015			SEF-CP1-2014: 20 April 2015	English
Hungary	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 05 May 2015				English
Iceland	CRF: 6 November 2015 ^c NIR: 14 November 2015 ^c SEF-CP1-2014: 15 April 2015		24 November 2015 ^c	SEF-CP1-2014: 05 June 2015 SEF-CP1-2014: 09 June 2015	English
Ireland	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 14 April 2015			SEF-CP1-2014: 28 April 2015	English
Italy	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2014: 15 April 2015				English
Japan	CRF: 28 December 2015 NIR: 23 April 2016 SEF-CP1-2014: 23 April 2016 SEF-CP2-2013: 23 April 2016 SEF-CP2-2014: 23 April 2016				English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Kazakhstan	CRF: 13 October 2015 ^c NIR: 28 October 2015 ^c SEF-CP2: not submitted ^a				Russian
Latvia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015				English
Liechtenstein	CRF: 14 March 2016 NIR: 15 April 2016 SEF-CP1-2014: 17 April 2015				English
Lithuania	CRF: 15 June 2016 NIR: 17 June 2016 SEF-CP1-2014: 24 March 2015				English
Luxembourg	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015				English
Malta	CRF: 6 November 2015 ^c NIR: 6 November 2015 ^c SEF: not submitted ^a				English
Monaco	CRF: 26 July 2016 NIR: not submitted ^a SEF-CP1-2014: 10 April 2015 SEF-CP2-2014: 15 April 2015				NA
Netherlands	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015				English
New Zealand	CRF: 20 May 2016 NIR: 10 April 2015 SEF-CP1-2014: 10 April 2016 SEF-CP2-2013: 10 April 2016 SEF-CP2-2014: 10 April 2016		31 July 2015	SEF-CP1-2014: 13 May 2016 SEF-CP2-2013: 13 May 2016 SEF-CP2-2014: 13 May 2016	English
Norway	CRF: 15 April 2016 NIR: 6 January 2016 SEF-CP1-2014: 14 April 2015 SEF-CP2-2014: 14 April 2015				English
Poland	CRF: 23 May 2016 NIR: 23 May 2016 SEF-CP1-2014: 14 April 2015				English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Portugal	CRF: 27 May 2016 NIR: 27 May 2016 SEF-CP1-2014: 14 April 2015				English
Romania	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2015 SEF-CP1-2014: 13 July 2015 SEF-CP1-2014: 22 July 2015 SEF-CP1-2014: 13 November 2015	5 August 2016	5 August 2016		English
Russian Federation	CRF: 30 August 2015 NIR: 4 March 2016 ^c SEF-CP1-2014: 24 March 2015	17 February 2016			Russian
Slovakia	CRF: 10 May 2016 NIR: 10 May 2016 SEF-CP1-2014: 15 April 2016	15 June 2016	15 June 2016	SEF-CP1-2014: 06 November 2015	English
Slovenia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 14 April 2015				English
Spain	CRF: 13 June 2016 NIR: 13 June 2016 SEF-CP1-2014: 14 April 2015 SEF-CP1-2014: 24 April 2015 SEF-CP1-2014: 29 April 2015 SEF-CP1-2014: 25 May 2015				Spanish
Sweden	CRF: 5 November 2015 ^c NIR: 15 June 2016 SEF-CP1-2015: 14 April 2015			SEF-CP1-2015: 11 May 2015	English
Switzerland	CRF: 8 April 2016 NIR: 27 April 2016 SEF-CP1-2014: 27 April 2015 SEF-CP2-2013: 27 April 2015 SEF-CP2-2014: 27 April 2015			SEF-CP1-2014: 27 May 2015	English
Turkey	CRF: 12 November 2015 ^c NIR: 9 November 2015 ^c SEF: not applicable				English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Ukraine	CRF: 14 August 2015 NIR: 14 August 2015 SEF-CP1-2014: 08 April 2015	14 June 2016		SEF-CP1-2014: 15 April 2015 SEF-CP1-2014: 16 April 2015 SEF-CP1-2014: 21 April 2015	Russian
United Kingdom of Great Britain and Northern Ireland	CRF: 15 June 2016 NIR: 30 October 2015 SEF-CP1-2014: 15 April 2015		15 June 2016		English

Abbreviations: NA = not applicable, NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format.

^a As at 26 August 2016.

^b See paragraph 16 in this document for an explanation of the delay in the submission of the 2015 annual submissions.

^c Submission made under the Convention only.





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Greenhouse gas inventory submissions 2016

- 18. As at 26 August 2016, the secretariat has received 42 annual inventory submissions for 2016 from Annex I Parties (see table 4 below) and 40 status reports have been prepared and published on the UNFCCC website.¹⁷ Forty of these submissions contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol for 2014 provided in accordance with decision 15/CMP.1.
- 19. The secretariat is coordinating reviews under the Kyoto Protocol of 40 of the submissions referred to in paragraphs 15 and 18 above (for the 2015 and 2016 annuals submissions, respectively). Nine reviews will be conducted as in-country reviews, twenty-nine as centralized reviews and two as desk reviews.¹⁸ The reviews are planned for the period from 29 August to 22 October 2016.

Submission of the reports to facilitate the calculation of the assigned amount for the second commitment period of the Kyoto Protocol

- 20. Decision 2/CMP.8, paragraph 2, required each Party with a quantified emission limitation and reduction commitment inscribed in the third column of Annex B in the Doha Amendment to the Kyoto Protocol, to submit to the secretariat by 15 April 2015, a report to facilitate the calculation of its assigned amount pursuant to Article 3, paragraphs 7bis, 8 and 8bis, of the Doha Amendment, for the second commitment period and to demonstrate its capacity to account for its emissions and assigned amount (the "initial report" for the second commitment period).
- As indicated in the previous report on status of submissions and review of reports under the Kyoto Protocol, there were significant delays in the submissions of the reports to facilitate the calculation of the assigned amounts for the second commitment period (due to the delay in the delivery of the CRF Reporter by the secretariat)¹⁹ and the full set of the accounting, reporting and review rules referred to in paragraph 16 above was only adopted by the CMP at its 11th session in 2015. This impeded calculation of the assigned amount within the timelines foreseen in decision 2/CMP.8.
- 22. The CMP, through decision 10/CMP.11, noted the delays and requested the secretariat to organize the review of the reports to facilitate the calculation of the assigned amount for the second commitment period in conjunction with the reviews indicated in paragraph 17 above.²⁰
- 23. As on 26 August 2016, the secretariat has received 34 reports to facilitate the calculation of the assigned amounts for the second commitment period (see table 5 below). The secretariat is organizing the reviews of these reports in conjunction with the reviews of the 2016 submissions (see para. 19 above).

^{17 &}lt;a href="https://unfccc.int/9492">https://unfccc.int/9492.

In-country reviews are planned for Belarus, Bulgaria, Cyprus, Denmark, France, Germany, Hungary, Malta and Switzerland. Desk reviews are planned for Japan and the Russian Federation. Centralized reviews are planned for the rest of Annex I Parties. Belarus and Kazakhstan are Parties with QELRCs for the second commitment period but they have not their initial report for the second commitment period; they will be reviewed under the Convention. Turkey will be reviewed under the Convention.

Document CC/17/2015/2, paragraph 14. Available at http://unfccc.int/files/kyoto_protocol/compliance/plenary/application/pdf/cc-17-2015-

²_status_of_submissions_and_reviews.pdf>.

Decision 10/CMP.11, paragraph 2.

Table 4 ${\bf Annual~2016~submissions~by~Annex~I~Parties:~status~of~submission}^{a,~b}$

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Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Australia	CRF: 27 May 2016 NIR: 7 May 2016 SEF-CP1-2015: 19 May 2016 SEF-CP2-2013: 19 May 2016 SEF-CP2-2014: 19 May 2016 SEF-CP2-2015: 19 May 2016	9 August 2016	27 May 2016 9 August 2016		English
Austria	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2014 : 14 April 2016 SEF-CP2-2015 : 14 April 2016 SEF-CP1-2015 : 14 April 2016			SEF-CP2-2014 : 01 June 2016 SEF-CP2-2015 : 01 June 2016	English
Belarus	CRF: 10 June 2016 c NIR: 10 June 2016 c SEF: not submitted a				Russian
Belgium	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2013 : 15 April 2016 SEF-CP2-2014 : 15 April 2016 SEF-CP2-2015 : 15 April 2016			SEF-CP2-2014 : 26 May 2016 SEF-CP2-2015 : 26 May 2016	English
Bulgaria	CRF: 27 May 2016 NIR: 27 May 2016 SEF-CP2-2013 : 15 April 2016 SEF-CP2-2014 : 15 April 2016 SEF-CP2-2015 : 15 April 2016 SEF-CP1-2015 : 15 April 2016			SEF-CP2-2014 : 27 May 2016 SEF-CP2-2015 : 27 May 2016	English
Croatia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014 : 15 April 2016 SEF-CP1-2015 : 15 April 2016 SEF-CP2-2014 : 15 June 2016 SEF-CP2-2015 : 15 June 2016				English
Cyprus	CRF: 15 June 2016 NIR: 15 June 2016 SEF: not submitted ^a				English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Czech Republic	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015 : 15 April 2016 SEF-CP1-2015 : 15 June 2016				English
Denmark	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015 : 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016			SEF-CP2-2014 : 06 June 2016 SEF-CP2-2015 : 06 June 2016	English
Estonia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 01 June 2016 SEF-CP2-2015: 01 June 2016				English
European Union	CRF: 27 June 2016 NIR: 21 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016			SEF-CP2-2014: 21 June 2016 SEF-CP2-2015: 21 June 2016	English
Finland	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2015: 11 March 2016 SEF-CP2-2014: 11 March 2016 SEF-CP2-2015: 11 March 2016		15 June 2016	SEF-CP2-2014: 25 May 2016 SEF-CP2-2015: 25 May 2016 SEF-CP2-2014: 15 June 2016 SEF-CP2-2015: 15 June 2016 SEF-CP1-2015: 15 June 2016 SEF-CP2-2014: 15 June 2016 SEF-CP2-2015: 15 June 2016	English
France	CRF: 15 April 2016 NIR: 14 April 2016 SEF-CP2-2015: 26 May 2016 SEF-CP2-2014: 26 May 2016	15 June 2016	15 June 2016		French
Germany	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016 SEF-CP1-2015: 15 April 2016			SEF-CP2-2014 : 03 June 2016 SEF-CP2-2015 : 03 June 2016	English
Greece	CRF: 23 May 2016 NIR: 31 May 2016 SEF-CP1-2015: 25 April 2016 SEF-CP2-2014: 02 August 2016 SEF-CP2-2015: 02 August 2016				English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Hungary	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2015: 15 April 2016				English
Iceland	CRF: 6 May 2016 NIR: 6 May 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016			SEF-CP2-2014 : 30 May 2016 SEF-CP2-2015 : 30 May 2016	English
Ireland	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016				English
Italy	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP2-2014: 14 April 2016 SEF-CP2-2015: 14 April 2016 SEF-CP1-2015: 14 April 2016			SEF-CP2-2014 : 10 June 2016 SEF-CP2-2015 : 10 June 2016	English
Japan	CRF: 14 April 2016 NIR: 14 April 2016 SEF-CP2-2015: 14 April 2016 SEF-CP1-2015: 14 April 2016				English
Kazakhstan	CRF: 15 April 2016 ^c NIR: not submitted ^a SEF: not submitted ^a	4 May 2016 ^c 8 July 2016 ^c			
Latvia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP1-2015: 15 June 2016 SEF-CP2-2014: 15 June 2016 SEF-CP2-2015: 15 June 2016				English
Liechtenstein	CRF: 15 April 2016 NIR: 27 May 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 05 August 2016 SEF-CP2-2015: 05 August 2016				English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Lithuania	CRF: 15 June 2016 NIR: 17 June 2016 ^c SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 07 June 2016 SEF-CP2-2015: 07 June 2016				English
Luxembourg	CRF: 15 June 2016 NIR: 15 April 2016 SEF-CP2-2015: 15 April 2016 SEF-CP2-2014: 31 May 2016 SEF-CP2-2015: 31 May 2016		15 June 2016		English
Malta	CRF: 29 July 2016 NIR:19 April 2016 ^c SEF: not submitted ^a				English
Monaco	CRF: not submitted ^a NIR: not submitted ^a SEF-CP2-2013: 04 April 2016 SEF-CP2-2014: 04 April 2016 SEF-CP2-2015: 04 April 2016 SEF-CP1-2015: 04 April 2016				NA
Netherlands	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2013: 14 April 2016 SEF-CP2-2014: 14 April 2016 SEF-CP2-2015: 14 April 2016			SEF-CP2-2013: 15 June 2016 SEF-CP2-2014: 15 June 2016 SEF-CP2-2015: 15 June 2016	English
New Zealand	CRF: 20 May 2016 NIR: 20 May 2016 SEF-CP2-2015: 20 May 2016 SEF-CP1-2015: 20 May 2016		29 July 2016		English
Norway	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2015: 15 April 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 01 June 2016			SEF-CP2-2015: 01 June 2016	English
Poland	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	23 May 2016	23 May 2016		English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Portugal	CRF: 27 May 2016 NIR: 27 May 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016 SEF-CP1-2015: 27 May 2016			SEF-CP2-2014 : 27 May 2016 SEF-CP2-2015 : 27 May 2016	English
Romania	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 29 July 2016 SEF-CP2-2015: 29 July 2016	5 August 2016	5 August 2016	SEF-CP1-2015 : 15 June 2016 SEF-CP2-2014: 05 August 2016 SEF-CP2-2015: 05 August 2016	English
Russian Federation	CRF: 15 April 2016 NIR: not submitted ^a SEF: not submitted ^a				NA
Slovakia	CRF: 10 May 2016 NIR: 10 May 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	15 June 2016	15 June 2016		English
Slovenia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2014: 26 May 2016 SEF-CP2-2015: 26 May 2016				English
Spain	CRF: 13 June 2016 NIR: 13 June 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016 SEF-CP1-2015: 15 April 2016			SEF-CP2-2014: 25 May 2016 SEF-CP2-2015: 25 May 2016	Spanish
Sweden	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 22 July 2016 SEF-CP2-2015: 22 July 2016				English
Switzerland	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2015: 15 April 2016 SEF-CP2-2013: 14 June 2016 SEF-CP2-2014: 14 June 2016			SEF-CP2-2015: 14 June 2016	English
Turkey	CRF: 15 April 2016 ^c NIR: 15 April 2016 ^c SEF: not applicable		26 May 2016 ^c		English

Annex I Party	CRF, NIR and SEF original submission dates	CRF resubmission date(s)	NIR resubmission date(s)	SEF resubmission date(s)	Language of NIR
Ukraine	CRF: 24 May 2016 NIR: 24 May 2016 SEF: not submitted ^a		18 July 2016		English
United Kingdom of Great Britain and Northern Ireland	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2015: 15 April 2016 SEF-CP2-2014: 01 July 2016			SEF-CP1-2015: 22 April 2016 SEF-CP2-2015: 22 April 2016 SEF-CP1-2015: 01 July 2016 SEF-CP2-2015: 01 July 2016	English

Abbreviations: NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format.

^a As on 26 August 2016.

^b Several Annex I Parties submitted NIR and CRF tables by 15 April 2016, but these submissions were made under the Convention and not the Kyoto Protocol.

^c Submission made under the Convention only.





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Table 5 Reports to facilitate the calculation of the assigned amount for the second commitment period of the Kyoto Protocol a

Annex I Party	Original submission date	Resubmission(s) date(s)	Language
Australia	07 May 2016	9 August 2016	English
Austria	15 June 2016		English
Belarus	not submitted ^a		
Belgium	15 June 2016		English
Bulgaria	27 May 2016		English
Croatia	15 June 2016		English
Cyprus	15 June 2016		English
Czech Republic	15 June 2016	9 August 2016	English
Denmark	16 June 2016		English
Estonia	15 June 2016		English
European Union	not submitted a		
Finland	29 June 2016		English
France	16 June 2016		French
Germany	15 June 2016		English
Greece	15 June 2016		English
Hungary	15 June 2016		English
Iceland	not submitted ^a		
Ireland	17 June 2016		English
Italy	15 April 2016		English
Kazakhstan	not submitted ^a		
Latvia	15 June 2016		English
Liechtenstein	15 April 2016		English
Lithuania	16 June 2016		English
Luxembourg	1 August 2016		English
Malta	29 July 2016		English
Monaco	not submitted ^a		
Netherlands	15 June 2016		English
New Zealand ^b	29 July 2016		English
Norway	15 April 2016		English
Poland	14 June 2016		English
Portugal	15 June 2016		English





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Annex I Party	Original submission date	Resubmission(s) date(s)	Language
Romania	15 June 2016	5 August 2016	English
Slovakia	15 June 2016		English
Slovenia	15 June 2016		English
Spain	13 June 2016		Spanish
Sweden	15 June 2016		English
Switzerland	15 April 2016		English
Ukraine	10 June 2016		English
United Kingdom of Great Britain and Northern Ireland	1 July 2016		English

^a As on 26 August 2016.

^b Party without a quantified emissions limitation or reduction commitment for the second commitment period of the Kyoto Protocol.