



## Status of submission and review of reports under the Kyoto Protocol

### Note by the secretariat

1. This note provides information on the status of submission and review of sixth national communications (NC6s) and of the annual greenhouse gas (GHG) inventories submitted in 2014 and 2015 by Annex I Parties<sup>1</sup> in accordance with decision 15/CMP.1, as at 20 August 2015.
2. Altogether, there are 42 Annex I Parties to the Kyoto Protocol. Thirty-seven of these Parties have a quantified emission limitation or reduction commitment (QELRO) inscribed in Annex B to the Kyoto Protocol for the first commitment period, and these Parties submit supplementary information under Article 7, paragraph 1, of the Kyoto Protocol, in accordance with decision 15/CMP.1.
3. The following five Parties do not have a QELRO for the first commitment period:
  - (a) Cyprus, Malta and Turkey (Annex I Parties);
  - (b) Belarus is an Annex I Party and its QELRO (92 per cent) was established through an amendment to Annex B to the Kyoto Protocol (decision 10/CMP.2). As at 20 August 2015, this amendment had not yet been ratified by a sufficient number of Parties to allow its entry into force;
  - (c) Following the entry into force of the Kyoto Protocol for Kazakhstan on 17 September 2009, Kazakhstan is considered an Annex I Party for the purposes of the Protocol while remaining a Party not included in Annex I to the Convention for the purposes of the Convention.<sup>2</sup>
4. Although Belarus, Cyprus, Kazakhstan, Malta and Turkey are considered Annex I Parties for the purposes of the Kyoto Protocol, in the absence of commitments under Article 3 of the Protocol for the first commitment period, their reporting obligations under Article 7, paragraph 1, of the Protocol do not appear to be triggered in relation to that period. At the same time, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article 3, appear to be applicable to these five Parties, including in the context of supplementary information in their NC6s.<sup>3</sup>

### *Submissions of national communications*

5. In accordance with decision 9/CP.16, Parties included in Annex I to the Convention are to submit to the secretariat a sixth national communication by 1 January 2014 following the requirements of Article 12, paragraphs 1 and 2, of the Convention. In accordance with decision 8/CMP.3, Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol are to include in the NC6s the necessary supplementary information under the guidelines established under Article 7 of the Kyoto Protocol.<sup>4</sup>

<sup>1</sup> For the purposes of this document, the term “Annex I Parties” refers to Parties to the Kyoto Protocol considered Parties included in Annex I in accordance with Article 1, paragraph 7, of the Protocol.

<sup>2</sup> FCCC/KP/CMP/2009/21, paragraph 91.

<sup>3</sup> Decision 7/CMP.8.

<sup>4</sup> The COP also requested Kazakhstan to submit its national communications in accordance with the Guidelines for the preparation of national communications by Parties included in Annex I to the Convention (see FCCC/CP/2006/5, paragraph 96, and FCCC/CP/2008/7, paragraph 68).



## COMPLIANCE COMMITTEE

CC/17/2015/2  
21 August 2015

6. Until 20 August 2015, the secretariat has received the NC6s<sup>5</sup> from 44 Parties, 42 of them being Annex I Parties to the Kyoto Protocol<sup>6</sup> (see table below). All received NC6s were reviewed in in-country reviews or centralized reviews in the course of 2014 and the beginning of 2015. The reviews of NC6s were held in conjunction with the reviews of the first biennial reports (BR1) under the Convention.

7. Until 20 August 2015, the secretariat coordinated reviews of 32 Annex I Parties following the Article 8 guidelines of the Kyoto Protocol. Twenty-two of the individual reviews of these submissions were scheduled as in-country reviews from February 2014 to April 2015 in the capital cities of the countries and the other 10 were reviewed in centralized reviews from 5 to 10 May 2014 in Bonn, Germany. Once completed, technical review reports are published on the UNFCCC website.

Table 1

### Sixth national communications submitted by Annex I Parties: status of submissions, review dates and status of the preparation of the review reports

<i>Annex I Party</i>	<i>National communication received (date)</i>	<i>Revised version received (date)</i>	<i>Language of communication</i>	<i>Review dates</i>	<i>Date of publication<sup>a</sup></i>	<i>Document symbol</i>
<i>Australia</i>	<i>5 Aug. 13</i>		<i>English</i>	<i>7–12 Oct. 14</i>	<i>24 Feb. 15</i>	<i>FCCC/IDR.6/AUS</i>
<i>Austria</i>	<i>10 Feb. 14</i>		<i>English</i>	<i>31 Mar.–5 Apr. 14</i>	<i>29 Aug. 14</i>	<i>FCCC/IDR.6/AUT</i>
<i>Belarus</i>	<i>31 Dec. 13</i>	<i>13 Feb. 15</i>	<i>Russian</i>	<i>20–25 Apr. 15</i>	<i>17 Aug. 15</i>	<i>FCCC/IDR.6/BLR</i>
<i>Belgium</i>	<i>20 Dec. 13 25 Apr. 14</i>	<i>25 Apr. 15</i>	<i>English French</i>	<i>3–8 Nov. 14</i>	<i>23 Feb. 15</i>	<i>FCCC/IDR.6/BEL</i>
<i>Bulgaria</i>	<i>31 Dec. 13</i>	<i>2 and 22 Oct. 14</i>	<i>English</i>	<i>20–25 Oct. 14</i>	<i>19 Feb. 15</i>	<i>FCCC/IDR.6/BGR</i>
<i>Croatia</i>	<i>12 Feb. 14</i>		<i>English</i>	<i>5–10 May 14</i>	<i>28 Aug. 14</i>	<i>FCCC/IDR.6/HRV</i>
<i>Cyprus</i>	<i>31 Dec. 13</i>		<i>English</i>	<i>5–10 May 14</i>	<i>27 Aug. 14</i>	<i>FCCC/IDR.6/CYP</i>
<i>Czech Republic</i>	<i>31 Jan. 14</i>	<i>23 Apr. 14</i>	<i>English</i>	<i>7–12 Apr. 14</i>	<i>28 Aug. 14</i>	<i>FCCC/IDR.6/CZE</i>
<i>Denmark</i>	<i>3 Jan. 14</i>		<i>English</i>	<i>17–22 Mar. 14</i>	<i>30 Nov. 14</i>	<i>FCCC/IDR.6/DNK</i>
<i>Estonia</i>	<i>31 Dec. 13</i>	<i>13 Feb. 14</i>	<i>English</i>	<i>5–10 May 14</i>	<i>24 Feb. 15</i>	<i>FCCC/IDR.6/EST</i>
<i>European Union (28)</i>	<i>13 Jan. 14</i>		<i>English</i>	<i>24–29 Mar. 14</i>	<i>28 Aug. 14</i>	<i>FCCC/IDR.6/EU</i>
<i>Finland</i>	<i>30 Dec. 13</i>		<i>English</i>	<i>7–12 Apr. 14</i>	<i>26 Aug. 14</i>	<i>FCCC/IDR.6/FIN</i>
<i>France</i>	<i>25 Oct. 13 25 Oct. 13 30 Jul. 15</i>	<i>13 Dec. 13 13 Dec. 13</i>	<i>French English abstract English</i>	<i>7–12 Apr. 14</i>	<i>29 Aug. 14</i>	<i>FCCC/IDR.6/FRA</i>
<i>Germany</i>	<i>20 Dec. 13</i>	<i>17 Sept. and 23 Oct. 14</i>	<i>English</i>	<i>6–11 Oct. 14</i>	<i>17 Feb. 15</i>	<i>FCCC/IDR.6/DEU</i>
<i>Greece</i>	<i>31 Dec. 13</i>		<i>English</i>	<i>29 Sept.–4 Oct. 14</i>	<i>17 Feb. 15</i>	<i>FCCC/IDR.6/GRC</i>
<i>Hungary</i>	<i>8 Jan. 14</i>		<i>English</i>	<i>23–28 June 14</i>	<i>26 Feb. 15</i>	<i>FCCC/IDR.6/HUN</i>
<i>Iceland</i>	<i>7 Mar. 14</i>		<i>English</i>	<i>25–30 Aug. 14</i>	<i>23 Feb. 15</i>	<i>FCCC/IDR.6/ISL</i>
<i>Ireland</i>	<i>7 Mar. 14</i>		<i>English</i>	<i>19–24 May 14</i>	<i>30 Nov. 14</i>	<i>FCCC/IDR.6/IRL</i>
<i>Italy</i>	<i>12 Feb. 14</i>	<i>24 Apr. 14</i>	<i>English</i>	<i>7–12 Apr. 14</i>	<i>22 Aug. 14</i>	<i>FCCC/IDR.6/ITA</i>
<i>Japan</i>	<i>27 Dec. 13</i>	<i>21 Aug and</i>	<i>English</i>	<i>6–11 Oct. 14</i>	<i>27 Feb. 15</i>	<i>FCCC/IDR.6/JPN</i>

<sup>5</sup> Turkey has submitted its NC5.

<sup>6</sup> As at 20 August 2014, Canada and the United States of America are not Parties to the Kyoto Protocol.



## COMPLIANCE COMMITTEE

CC/17/2015/2  
21 August 2015

<i>Annex I Party</i>	<i>National communication received (date)</i>	<i>Revised version received (date)</i>	<i>Language of communication</i>	<i>Review dates</i>	<i>Date of publication<sup>a</sup></i>	<i>Document symbol</i>
	9 Oct. 14 (Addendum to NC6)	22 Sept. 14				
<i>Kazakhstan (NC3, 4, 5 and 6)</i>	24 Dec. 13 24 Dec. 13	13 Feb. 14 13 Feb. 14	English Russian	20–25 Apr. 15	7 Aug. 15	FCDD/IDR.6/KAZ
<i>Latvia</i>	29 Dec. 13		English	5–10 May 14	29 Aug. 14	FCDD/IDR.6/LVA
<i>Liechtenstein</i>	23 Dec. 13		English	5–10 May 14	23 Feb. 15	FCDD/IDR.6/LIE
<i>Lithuania</i>	10 Jan. 14		English	5–10 May 14	14 Oct. 14	FCDD/IDR.6/LTU
<i>Luxembourg</i>	21 Jan. 14	12 and 28 Feb. 14	English	24 Feb.–1 Mar. 14	28 Aug. 14	FCDD/IDR.6/LUX
<i>Malta</i>	9 Apr. 14		English	5–10 May 14	19 Dec. 14	FCDD/IDR.6/MLT
<i>Monaco</i>	4 Apr. 14	14 Apr. and 11 July 14	French	5–10 May 14	3 Mar. 15	FCDD/IDR.6/MCO/ Rev.1
<i>Netherlands</i>	30 Dec. 13		English	24 Feb.–1 Mar. 14	18 Jul. 14	FCDD/IDR.6/NLD
<i>New Zealand</i>	12 Dec. 13	19 Dec. 14	English	24 Feb.–1 Mar. 14	8 Jul. 14	FCDD/IDR.6/NZL
<i>Norway</i>	10 Mar. 14	2 July 14	English	6–11 Oct. 14	20 Feb. 15	FCDD/IDR.6/NOR
<i>Poland</i>	7 Feb. 14		English	8–13 Sept. 14	10 Feb. 15	FCDD/IDR.6/POL
<i>Portugal</i>	31 Dec. 13  11 Apr. 14 (NC6 Addendum)	7 Feb. and 20 March 14	English	7–12 Apr. 14	29 Aug. 14	FCDD/IDR.6/PRT
<i>Romania</i>	19 Dec. 13 (Annex 3.1–3.3)		English	23–28 June 14	15 Jan. 15	FCDD/IDR.6/ROU
<i>Russian Federation</i>	30 Dec. 13	16 May 14	Russian	9–11 Oct. 14	12 Feb. 15	FCDD/IDR.6/RUS
<i>Slovakia</i>	2 Jan. 14		English	5–10 May 14	9 Feb. 15	FCDD/IDR.6/SVK
<i>Slovenia</i>	17 Apr. 14	17 May 14	English	5–10 May 14	27 Feb. 15	FCDD/IDR.6/SVN
<i>Spain</i>	20 Dec. 13 (NC6 Anexos)		Spanish	5–10 May 14	19 Aug. 14	FCDD/IDR.6/ESP
<i>Sweden</i>	20 Dec. 13	28 Apr. 14	English	7–12 Apr. 14	27 Aug. 14	FCDD/IDR.6/SWE
<i>Switzerland</i>	3 Feb. 14		English	7–12 Apr. 14	18 Aug. 14	FCDD/IDR.6/CHE
<i>Turkey (NC5)</i>	17 Dec. 13		English	20–25 Apr. 15		
<i>Ukraine</i>	30 Dec. 13 28 Oct. 14 (NC6 addendum)		Russian	27 Oct. – 1 Nov. 14	20 Feb. 15	FCDD/IDR.6/UKR
<i>United Kingdom of Great Britain and Northern Ireland</i>	20 Dec. 13	22 Jan. 14	English	15–20 Sept. 14	3 Mar. 15	FCDD/IDR.6/GBR/ Rev.1

Abbreviation: NC = national communication.

<sup>a</sup> Reports of in-depth reviews of national communications are published on the UNFCCC website, available at: [https://unfccc.int/national\\_reports/annex\\_i\\_natcom/idr\\_reports/items/2711.php](https://unfccc.int/national_reports/annex_i_natcom/idr_reports/items/2711.php).



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## COMPLIANCE COMMITTEE

CC/17/2015/2  
21 August 2015

### *Greenhouse gas inventory submissions 2014*

8. In 2014, the secretariat received 42 annual inventory submissions from Annex I Parties (see table 2) that are also Parties to the Kyoto Protocol. Thirty-seven of these submissions<sup>7</sup> contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol, in accordance with decision 15/CMP.1 for 2012, the fifth and last year of the first commitment period.

9. The secretariat coordinated reviews of the 38 of the submissions indicated in the paragraph above, including all those containing the supplementary information. The reviews of individual annual GHG inventories of Belarus, Cyprus, Kazakhstan and Malta were not conducted in 2014 in accordance with paragraph 9 of decision 27/CP.19. All reviews were conducted as centralized reviews organized between 1 September and 4 October 2014 in Bonn, Germany, excluding Turkey that was conducted as an in-country review from 8 to 13 September 2014 in Ankara, Turkey.

10. As at 20 August 2015, all review reports have been published on the UNFCCC website.<sup>8</sup> Twenty-six reports (or 68 per cent of the total of 38 reports) were published by 15 April 2015, i.e. within one year from the due date of submission. All reports were forwarded to the Compliance Committee. The last review report for the 2014 review cycle was published on 10 July 2015, one month before the deadline of 10 August 2015 set by decision 3/CMP.10 for the completion of the expert review process for the first commitment period. Therefore, the expert review process for GHG inventory data in the first commitment period has been completed. Based on this completion date and in accordance with decisions 27/CMP.1 and 3/CMP.10, the additional period for fulfilling commitments in the first commitment period (the “true-up period”) will continue until the hundredth day after 10 August 2015, which is until 18 November 2015.

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<sup>7</sup> Excluding the submissions of Belarus, Cyprus, Kazakhstan, Malta and Turkey.

<sup>8</sup> Available at

<[http://unfccc.int/national\\_reports/annex\\_i\\_ghg\\_inventories/inventory\\_review\\_reports/items/8452.php](http://unfccc.int/national_reports/annex_i_ghg_inventories/inventory_review_reports/items/8452.php)>.

Table 2

**Annual 2014 submissions by Annex I Parties: status of submission, review dates and status of the preparation of the review reports**

<i>Annex I Party</i>	<i>NIR, SEF and CRF original submission dates</i>	<i>Resubmissions, CRF</i>		<i>Resubmissions, NIR</i>		<i>Resubmissions, SEF</i>		<i>Language of NIR</i>	<i>Review dates</i>	<i>Date of publication</i>	<i>Document symbol</i>
		<i>no.</i>	<i>Dates</i>	<i>no.</i>	<i>Dates</i>	<i>no.</i>	<i>Dates</i>				
Australia	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 5 Mar. 14							English	1–6 Sept. 14	12 Jan. 15	FCCC/ARR/2014/AUS
Austria	NIR – 14 Apr. 14 CRF – 14 Apr. 14 SEF – 14 Apr. 14							English	8–13 Sept. 14	7 Apr. 15	FCCC/ARR/2014/AUT
Belarus	NIR – 21 Apr. 14 CRF – 15 Apr. 14							Russian	Was not subject to an individual inventory review in 2014		
Belgium	NIR – 10 Apr. 14 CRF – 10 Apr. 14 SEF – 10 Apr. 13	CRFKP-2 KPLULUCF-2	13 Sept. 14 13 Sept. 14					English	8–13 Sept. 14	14 Apr. 15	FCCC/ARR/2014/BEL
Bulgaria	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	4 Nov. 14 4 Nov. 14	NIR-2	14 Aug. 14			English	22–27 Sept. 14	14 Apr. 15	FCCC/ARR/2014/BGR
Croatia	NIR – 11 Apr. 14 CRF – 11 Apr. 14 SEF – 14 Apr. 14	CRFKP-2 CRFKP-3 CRFKP-4 KP-LULUCF-2 KP-LULUCF-3 KP-LULUCF-4	2 Jun. 14 10 Nov. 14 19 Jan. 15 2 Jun. 14 10 Nov. 14 19 Jan. 15	NIR-2 NIR-3 NIR-4	2 Jun. 14 10 Nov. 14 19 Jan. 15			English	22–27 Sept. 14	15 Jun. 15	FCCC/ARR/2014/HRV
Cyprus	NIR – 14 Apr. 14 CRF – 15 Apr. 14							English	Was not subject to an individual inventory review in 2014		
Czech Republic	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRF-2, KP-LULUCF-2	10 Nov. 14	NIR-2 NIR-3 NIR-4	8 May 14 8 May 14 10 Nov. 14			English	22–27 Sept. 14	13 Apr. 15	FCCC/ARR/2014/CZE
Denmark	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14			NIR-2	8 May 14			English	1–6 Sept. 14	4 Feb. 15	FCCC/ARR/2014/DNK
Estonia	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	10 Oct. 14 10 Oct. 14					English	22–27 Sept. 14	10 Apr. 15	FCCC/ARR/2014/EST
European	NIR – 15 Apr. 14 CRF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	27 May 14 27 May 14	NIR-2	27 May 14			English	29 Sept.–4 Oct. 14	10 Jul. 15	FCCC/ARR/2014/EU

<i>Annex I Party</i>	<i>NIR, SEF and CRF original submission dates</i>	<i>Resubmissions, CRF no.</i>	<i>Dates</i>	<i>Resubmissions, NIR no.</i>	<i>Dates</i>	<i>Resubmissions, SEF no.</i>	<i>Dates</i>	<i>Language of NIR</i>	<i>Review dates</i>	<i>Date of publication</i>	<i>Document symbol</i>
Union	SEF – 15 Apr. 14	KPLULUCF-3	24 Nov. 14								
Finland	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 17 Mar. 14							English	1–6 Sept. 14	4 Feb. 15	FCCC/ARR/2014/ FIN
France	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 CRFKP-3 KPLULUCF-2 KPLULUCF-3	27 May. 14 26 Sep. 14 27 May 14 26 Sep. 14					French	22–27 Sept. 14	4 Mar. 15	FCCC/ARR/2014/ FRA
Germany	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14							English	8–13 Sept. 14	28 Apr. 15	FCCC/ARR/2014/ DEU
Greece	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	19 Sept. 14 19 Sept. 14					English	15–20 Sept. 14	11 Dec. 14	FCCC/ARR/2014/ GRC
Hungary	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	27 May 14 27 May 14	NIR-2	27 May 14	SEF-2	23 Apr.14	English	22–27 Sept. 14	30 Dec. 14	FCCC/ARR/2014/ HUN
Iceland	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14							English	8–13 Sept. 14	29 Jun. 15	FCCC/ARR/2014/I SL
Ireland	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	15 May 14 15 May 14					English	8–13 Sept. 14	8 Apr. 15	FCCC/ARR/2014/I RL
Italy	NIR – 15 Apr. 14 CRF – 4 Apr. 14 SEF – 4 Apr. 14	CRFKP-2 KPLULUCF-2	3 Nov. 14 3 Nov. 14					English	15–20 Sept. 14	3 Mar. 15	FCCC/ARR/2014/I TA
Japan	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	14 Nov. 14 14 Nov. 14					English	29 Sept.–4 Oct. 14	23 Jun. 15	FCCC/ARR/2014/I PN
Kazakhstan	NIR – 15 Apr. 14 CRF – 13 Apr. 14	CRFKP-2	27 May 14	NIR-2	28 May 14			Russian	Was not subject to an individual inventory review in 2014		
Latvia	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 CRFKP-3 KPLULUCF-2 KPLULUCF-3	20 Oct. 14 26 Nov. 14 20 Oct. 14 26 Nov. 14					English	1–6 Sept. 14	13 Mar. 15	FCCC/ARR/2014/ LVA

<i>Annex I Party</i>	<i>NIR, SEF and CRF original submission dates</i>	<i>Resubmissions, CRF no.</i>	<i>Dates</i>	<i>Resubmissions, NIR no.</i>	<i>Dates</i>	<i>Resubmissions, SEF no.</i>	<i>Dates</i>	<i>Language of NIR</i>	<i>Review dates</i>	<i>Date of publication</i>	<i>Document symbol</i>
Liechtenstein	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	3 Nov. 14 3 Nov. 14					English	15–20 Sept. 14	13 Apr. 15	FCCC/ARR/2014/ LIE
Lithuania	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 KPLULUCF-2	28 Oct. 14 28 Oct. 14					English	22–27 Sept. 14	21 Jan. 15	FCCC/ARR/2014/ LTU
Luxembourg	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 CRFKP-3 KPLULUCF-2 KPLULUCF-3	7 Nov. 14 17 Nov. 14 7 Nov. 14 17 Nov. 14	NIR-2	22 May 14			English	15–20 Sept. 14	12 May 15	FCCC/ARR/2014/ LUX
Malta	NIR – 9 Apr. 14 CRF – 9 Apr. 14							English	Was not subject to an individual inventory review in 2014		
Monaco	NIR – 30 Jun. 14 CRF – 15 Apr. 14 SEF – 14 Apr. 14	CRFKP-2 CRFKP-3 KPLULUCF-2 KPLULUCF-3	4 Sept. 14 26 Sept. 14 4 Sept. 14 26 Sept. 14	NIR-2	4 Sept. 14			French	22–27 Sept. 14	6 Feb. 15	FCCC/ARR/2014/ MCO
Netherlands (the)	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14							English	15–20 Sept. 14	10 Dec. 14	FCCC/ARR/2014/ NLD
New Zealand	NIR – 14 Apr. 14 CRF – 11 Apr. 14 SEF – 14 Apr. 14	CRFKP-2 KPLULUCF-2	11 Sept. 14 11 Sept. 14					English	8–13 Sept. 14	3 Jun. 15	FCCC/ARR/2014/ NZL
Norway	NIR – 10 Apr. 14 CRF – 10 Apr. 14 SEF – 10 Apr. 14	CRFKP-2 CRFKP-3 KPLULUCF-2 KPLULUCF-3	10 Nov. 14 29 Jan. 15 10 Nov. 14 29 Jan. 15					English	22–27 Sept. 14	13 May 15	FCCC/ARR/2014/ NOR
Poland	NIR – 11 Apr. 14 CRF – 11 Apr. 14 SEF – 11 Apr. 14	CRFKP-2 CRFKP-3 KPLULUCF-2 KPLULUCF-3	27 May 14 13 Oct. 14 27 May 14 13 Oct. 14	NIR-2	27 May 14			English	22–27 Sept. 14	20 Mar. 15	FCCC/ARR/2014/ POL
Portugal	NIR – 15 Apr. 14 CRF – 15 Apr. 14 SEF – 15 Apr. 14	CRFKP-2 CRFKP-3 KPLULUCF-2 KPLULUCF-3	26 May 14 20 Nov. 14 26 May 14 20 Nov. 14	NIR-2	26 May 14			English	15–20 Sept. 14	7 May 15	FCCC/ARR/2014/ PRT
Romania	NIR – 15 Apr. 14 CRF – 15 Apr. 14	CRFKP-2 CRFKP-3	8 May 14 10 Nov. 14	NIR-2	8 May 14	SEF-2	8 May 14	English	22–27 Sept. 14	2 Mar. 15	FCCC/ARR/2014/ ROU

<i>Annex I Party</i>	<i>NIR, SEF and CRF original submission dates</i>	<i>Resubmissions, CRF no.</i>	<i>Dates</i>	<i>Resubmissions, NIR no.</i>	<i>Dates</i>	<i>Resubmissions, SEF no.</i>	<i>Dates</i>	<i>Language of NIR</i>	<i>Review dates</i>	<i>Date of publication</i>	<i>Document symbol</i>
	SEF – 15 Apr. 14	KPLULUCF-2	8 May 14								
		KPLULUCF-3	10 Nov. 14								
Russian Federation	NIR – 27 May 14	CRFKP-2	26 May 14					Russian	8–13 Sept. 14	5 Jun. 15	FCCC/ARR/2014/RUS
	CRF – 15 Apr. 14	CRFKP-3	28 Oct. 14								
	SEF – 15 Apr. 14	KPLULUCF-2	26 May 14								
		KPLULUCF-3	28 Oct. 14								
Slovakia	NIR – 15 Apr. 14	CRFKP-2	10 Nov. 14	NIR-2	20 May. 14	SEF-2	24 Apr. 14	English	22–27 Sept. 14	28 May 15	FCCC/ARR/2014/SVK
	CRF – 15 Apr. 14	KPLULUCF-2	10 Nov. 14								
	SEF – 15 Apr. 14										
Slovenia	NIR – 15 Apr. 14			NIR-2	27 May 14			English	29 Sept.–4 Oct. 14	6 Feb. 15	FCCC/ARR/2014/SVN
	CRF – 15 Apr. 14										
	SEF – 15 Apr. 14										
Spain	NIR – 15 Apr. 14							Spanish	15–20 Sept. 14	13 Apr. 15	FCCC/ARR/2014/ESP
	CRF – 15 Apr. 14										
	SEF – 15 Apr. 14										
Sweden	NIR – 11 Apr. 14	CRFKP-2	16 Oct. 14	NIR-2	23 May 14			English	15–20 Sept. 14	3 Mar. 15	FCCC/ARR/2014/SWE
	CRF – 11 Apr. 14	KPLULUCF-2	16 Oct. 14	NIR-3	3 Jul. 14						
	SEF – 11 Apr. 14										
Switzerland	NIR – 15 Apr. 14	CRFKP-2	16 Oct. 14					English	1–6 Sept. 14	30 Dec. 14	FCCC/ARR/2014/CHE
	CRF – 15 Apr. 14	KPLULUCF-2	16 Oct. 14								
	SEF – 15 Apr. 14										
Turkey	NIR – 15 Apr. 14							English	8–13 Sept. 14	18 Feb. 15	FCCC/ARR/2014/TUR
	CRF – 15 Apr. 14										
Ukraine	NIR – 12 Apr. 14	CRFKP-2	25 Oct. 14	NIR-2	15 Apr. 14	SEF-2	15 Apr. 14	Russian	8–13 Sept. 14	3 Jul. 15	FCCC/ARR/2014/UKR
	CRF – 12 Apr. 14	CRFKP-3	1 Nov. 14								
	SEF – 12 Apr. 14	KPLULUCF-2	25 Oct. 14								
		KPLULUCF-3	1 Nov. 14								
United Kingdom of Great Britain and Northern Ireland	NIR – 15 Apr. 14	CRFKP-2	16 Oct. 14					English	1–6 Sept. 14	2 Mar. 15	FCCC/ARR/2014/GBR
	CRF – 15 Apr. 14	KPLULUCF-2	16 Oct. 14								
	SEF – 15 Apr. 14										

*Abbreviations:* NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format. The numbers shown with CRF, KP, CRFKP, KPLULUCF, SEF and NIR under the columns of resubmission indicate the sequence of the submissions.





***Greenhouse gas inventory submissions 2015***

11. As at 20 August 2015, the secretariat had received 36 annual inventory submissions from Annex I Parties (see table 3). Most of these submissions contain only tables with accounting units prepared in the standard electronic format (SEF) which is part of the supplementary information under Article 7, paragraph 1, of the Kyoto Protocol, provided in accordance with decision 15/CMP.1 for 2012, the last year of the first commitment period and, for 6 Parties,<sup>9</sup> also for 2013, the first year of the second commitment period. There have been only two submissions of the common reporting format (CRF) tables<sup>10</sup> and only four submissions of the national inventory report (NIR).<sup>11</sup>

12. The main reason for the incompleteness of the 2015 cycle of GHG inventory submissions is the delay in the delivery of the CRF Reporter by the secretariat. Noting the delay, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) through decision 6/CMP.9 and the Conference of the Parties (COP) through decisions 24/CP.19 and 13/CP.20 provided Parties with an opportunity to submit their CRF tables with a delay corresponding to the delay in the availability of the CRF Reporter.

13. The organization of the review process for the 2015 submissions faces serious challenges in 2015. The first challenge is the incompleteness of the submissions as described above. In particular, the very small number of the submissions of CRF tables making reviewing the core part of a submission, the GHG inventory, not possible for most of the Parties. In addition, it is important to note that guidance for conducting annual reviews under Article 8 in the second commitment period has not yet been finalized by the CMP. The negotiations on this matter, and also other matters related to Articles 5 and 7, including accounting and reporting, are still ongoing and may be completed at the earliest only in December 2015.<sup>12</sup> Given these challenges, the secretariat considered itself as not being in a position to conduct annual reviews under Article 8 in 2015, including the preparation of status reports on the 2015 submissions. The secretariat expects to receive guidance on the issues relating to the 2015 submissions of information under Article 7, paragraph 1, of the Kyoto Protocol and their review under Article 8 of the Kyoto Protocol at the upcoming meeting of the Subsidiary Body for Scientific and Technological Advice and the CMP in November–December 2015.<sup>13</sup>

***Submissions of the report to facilitate the calculation of the assigned amount for the second commitment period under the Kyoto Protocol***

14. Decision 2/CMP.8, paragraph 2, required each Party with a quantified emission limitation and reduction commitment inscribed in the third column of Annex B in the Doha Amendment to the Kyoto Protocol, to submit to the secretariat by 15 April 2015, a report to facilitate the calculation of its assigned amount pursuant to Article 3, paragraphs 7bis, 8 and 8bis, of the Kyoto Protocol for the second commitment period and to demonstrate its capacity to account for its emissions and assigned amount (the “initial report” for the second commitment period). At the time when this note was prepared, such reports

<sup>9</sup> By Australia, Japan, Monaco, New Zealand, Norway and Switzerland.

<sup>10</sup> By Australia and New Zealand.

<sup>11</sup> By Australia, Japan, New Zealand and Switzerland.

<sup>12</sup> The latest version of the negotiating text on this matter can be found in FCCC/SBSTA/2015/L.13, in Annex II in particular.

<sup>13</sup> Despite the challenges noted, the secretariat intends to organize the 2015 reviews of some CRF and NIR submissions under the guidelines adopted by decision 13/CP.20; reviews for Australia, Canada (not a Party to the Kyoto Protocol), New Zealand and Ukraine are under consideration.



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**COMPLIANCE COMMITTEE**

**CC/17/2015/2  
21 August 2015**

have not yet been submitted, primarily due to the delays in the release of the CRF Reporter and in the finalization of the full set of the accounting, reporting and review rules referred to in paragraph 13 above, which impede the calculation of the assigned amount.<sup>14</sup>

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<sup>14</sup> See FCCC/SBSTA/2015/L.13, in particular paragraph 9 in Annex I, and paragraphs 1–10 of Appendix I in Annex I.

Table 3

**Annual 2015 submission by Annex I Parties: status of submission, review dates and status of the preparation of the review reports**

<i>Annex I Party</i>	<i>NIR and CRF original submission dates</i>	<i>Resubmissions</i>		<i>Resubmissions</i>		<i>Resubmissions</i>		<i>Language of NIR</i>
		<i>CRF no.</i>	<i>Dates</i>	<i>NIR no.</i>	<i>Dates</i>	<i>SEF no.</i>	<i>Dates</i>	
Australia	NIR – 27 May 15 CRF – 27 May 15 SEF CP1 – 5 Jun. 15 SEF CP2 – 5 Jun. 15					SEF CP1-2	26 Jun. 15	English
Austria	SEF CP1 – 29 May 15							English
Belarus								
Belgium	SEF CP1 – 15 Apr. 15							English
Bulgaria	SEF CP1 – 15 Apr. 15					SEF CP1-2 SEF CP1-3	28 Apr. 15 8 May 15	English
Croatia	SEF CP1 – 15 Apr. 15							English
Cyprus								
Czech Republic	SEF CP1 – 14 Apr. 15							English
Denmark	SEF CP1 – 15 Apr. 15							English
Estonia	SEF CP1 – 14 Apr. 15					SEF CP1-2	8 May 15	English
European Union	SEF CP1 – 15 Apr. 15					SEF CP1-2	24 July 15	English
Finland	SEF CP1 – 10 Mar. 15					SEF CP1-2 SEF CP1-3	30 Mar. 15 7 Apr. 15	English
France	SEF CP1 – 14 Apr. 15							French
Germany	SEF CP1 – 15 Apr. 15							English
Greece	SEF CP1 – 15 Apr. 15					SEF CP1-2	20 Apr. 15	English
Hungary	SEF CP1 – 5 May 15							English
Iceland	SEF CP1 – 15 Apr. 15					SEF CP1-2 SEF CP1-3	5 Jun. 15 9 Jun. 15	English
Ireland	SEF CP1 – 14 Apr. 15					SEF CP1-2	28 Apr. 15	English
Italy	SEF CP1 – 15 Apr. 15							English
Japan	NIR – 23 Apr. 15 SEF CP1 – 23 Apr. 15 SEF CP2 – 23 Apr. 15							English
Kazakhstan								
Latvia	SEF CP1 – 15 Apr. 15							English
Liechtenstein	SEF CP1 – 17 Apr. 15							English

<i>Annex I Party</i>	<i>NIR and CRF original submission Resubmissions</i>		<i>Resubmissions</i>		<i>Resubmissions</i>		<i>Language of NIR</i>
	<i>dates</i>	<i>CRF no.</i>	<i>Dates</i>	<i>NIR no.</i>	<i>Dates</i>	<i>SEF no.</i>	
Lithuania	SEF CP1 – 24 Mar. 15						<i>English</i>
Luxembourg	SEF CP1 – 15 Apr. 15						<i>English</i>
Malta							
Monaco	SEF CP1 – 10 Apr. 15 SEF CP2 – 15 Apr. 15						<i>French</i>
Netherlands (the)	SEF CP1 – 15 Apr. 15						<i>English</i>
New Zealand	NIR – 10 Apr. 15 CRF – 31 July 15 SEF CP1 – 10 Apr. 15 SEF CP2 – 10 Apr. 15					NIR-2 SEF CP1-2 SEF CP2-2	31 July 15 13 May 15 13 May 15
Norway	SEF CP1 – 14 Apr. 15 SEF CP2 – 14 Apr. 15						<i>English</i>
Poland	SEF CP1 – 14 Apr. 15						<i>English</i>
Portugal	SEF CP1 – 14 Apr. 15						<i>English</i>
Romania	SEF CP1 – 15 Apr. 15					SEF CP1-2 SEF CP1-3	13 Jul. 15 22 Jul. 15
Russian Federation	SEF CP1 – 24 Mar. 15						<i>Russian</i>
Slovakia	SEF CP1 – 15 Apr. 15						<i>English</i>
Slovenia	SEF CP1 – 14 Apr. 15						<i>English</i>
Spain	SEF CP1 – 14 Apr. 15					SEF CP1-2 SEF CP1-3 SEF CP1-4	24 Apr. 15 29 Apr. 15 25 May 15
Sweden	SEF CP1 – 14 Apr. 15					SEF CP1-2	11 May 15
Switzerland	NIR – 27 Apr. 15 SEF CP1 – 27 Apr. 15 SEF CP2 – 27 Apr. 15					SEF CP1-2	27 May 15
Turkey							
Ukraine	NIR 14 Aug. 15 CRF 14 Aug. 15 SEF CP1 – 8 Apr. 15					SEF CP1-2 SEF CP1-3 SEF CP1-4	15 Apr. 15 16 Apr. 15 21 Apr. 15
United Kingdom of Great Britain and Northern Ireland	SEF CP1 – 15 Apr. 15						<i>English</i>

*Abbreviations:* NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format. The numbers shown with CRF, KP, CRFKP, KPLULUCF, SEF and NIR under the columns of resubmission indicate the sequence of the submissions.

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