

**AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION
UNDER THE CONVENTION
First part of the seventh session
Bangkok, 28 September to 9 October 2009**

Further updated list of proposed new institutional arrangements

Non-paper by the chair

09/10/09 @ 15:00

ENHANCED ACTION ON ADAPTATION AND ITS MEANS OF IMPLEMENTATION	
Proposal	Document and paragraph reference
A permanent adaptation committee comprising the following: <ul style="list-style-type: none"> ▪ A Facilitative Branch; ▪ A Clearing House and Information Dissemination Branch. 	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 30 (a). ▪ Possible tasks are contained in annex III of non-paper 8. ▪ Possible functions are contained in annex IV of non-paper 8.
A Subsidiary Body for Adaptation.	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 30 (b). ▪ Possible tasks are contained in annex III of non-paper 8.
An expert [group][body] on adaptation under the [Subsidiary Body for Adaptation] [adaptation committee].	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 30 (c). ▪ Possible tasks are contained in annex III of non-paper 8.
An adaptation advisory panel evolving from the Least Developed Countries Expert Group.	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 30 (d). ▪ Possible tasks are contained in annex III of non-paper 8.
A facilitative mechanism under the Convention.	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 30 (e). ▪ Possible tasks are contained in annex III of non-paper 8.
[A Financial and Technology Mechanism on Adaptation under which an Executive Body on Finance and Technology for Adaptation (EBFTA)][An Executive Body on Technology] shall be established.	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 30 (f). ▪ Possible tasks are contained in annex III of non-paper 8. ▪ Possible functions are contained in annex IV of non-paper 8.
Institutional arrangements for a Multi-Window Mechanism to Address Loss and Damage from Climate Change Impacts.	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 30 (g). ▪ Possible tasks are contained in annex III of non-paper 8. ▪ Possible functions are contained in annex IV of non-paper 8.
An international adaptation centre	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 33. ▪ Possible functions are contained in annex V of non-paper 8.

Table (continued)

At the regional level	
Regional adaptation centres, including virtual centres, networks, organizations, initiatives and coordinating [bodies] [entities]	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 32. ▪ Possible functions are contained in annex V of non-paper 8.
At the national level	
National coordinating [bodies] [entities] [centres and networks]	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 31.
National focal point for adaptation.	<ul style="list-style-type: none"> ▪ Non-paper 8, paragraph 31.
ENHANCED ACTION ON MITIGATION AND ITS ASSOCIATED MEANS OF IMPLEMENTATION	
Proposal	Document and paragraph reference
Supreme Body	<ul style="list-style-type: none"> ▪ Non-paper 28, paragraph 42 – 44.
Expert review teams	<ul style="list-style-type: none"> ▪ Non-paper 28, paragraph 51 – 53.
A specific institutional arrangement devoted to MRV / a national MRV unit	<ul style="list-style-type: none"> ▪ Non-paper 28, annex I, paragraph 2.
Expert review panel	<ul style="list-style-type: none"> ▪ Non-paper 28, annex I, paragraph 7 – 15.
At the national level	
A national system for the estimation of anthropogenic emissions	<ul style="list-style-type: none"> ▪ Non-paper 28, paragraph 48.
Subgroup on mitigation under paragraph 1 (b) (i) of the Bali Action Plan	
Proposal	Document and paragraph reference
Independent review structure.	<ul style="list-style-type: none"> ▪ Non-paper 25, paragraph 24.
Technical panel on comparability.	<ul style="list-style-type: none"> ▪ Non-paper 25, paragraph 4.
International compliance mechanism.	<ul style="list-style-type: none"> ▪ Non-paper 25, paragraph 26 and 32.
Subgroup on mitigation under paragraph 1 (b) (ii) of the Bali Action Plan	
★ A proposals on measurement, reporting and verification (cluster H of annex III B of document FCCC/AWGLCA/2009/INF.2) has been transferred to the mitigation contact group.	
Proposal	Document and paragraph reference
A mechanism to register and facilitate implementation of actions and corresponding support.	<ul style="list-style-type: none"> ▪ Non-paper 20, paragraph 46.
A financial mechanism, Multilateral Fund on climate change.	<ul style="list-style-type: none"> ▪ Non-paper 20, paragraph 33.
Executive body on finance and technology for mitigation, including its technical panel.	<ul style="list-style-type: none"> ▪ Non-paper 20, paragraph 38, 39, 42 and 43.
Verification Group under financial mechanism.	<ul style="list-style-type: none"> ▪ Non-paper 20, option 3 to paragraph 71.
A technical panel.	<ul style="list-style-type: none"> ▪ Non-paper 20, paragraph 52(a).
A new body for MRV	<ul style="list-style-type: none"> ▪ Non-paper 20 paragraph 52 (b).

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At the national level	
National coordinating bodies.	<ul style="list-style-type: none"> ▪ Non-paper 20 paragraph 36.
Subgroup on mitigation under paragraph 1 (b) (iii) of the Bali Action Plan	
Proposal	Document and paragraph reference
A [Readiness fund][Special REDD-plus fund][a new and additional fund]	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraphs 7 and 19
[A window of the relevant financial mechanism established under the Convention through [an International Climate Fund][A special climate change fund][a mitigation fund],	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraphs 7 and 19
Specialized REDD-plus or funding windows established under the COP, including one or more of: <ul style="list-style-type: none"> ▪ Trust funds for community forestry accounts; ▪ Forest reserve fund for conservation and sustainable forest management. 	<ul style="list-style-type: none"> ▪ Non-paper 18 and paragraphs 9 and 19.
A Convention adaptation fund to support conservation and [sustainable management of forests][sustainable forest management].	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraphs 9 and 19.
A fund [additional to ODA] for [conservation, enhancement of carbon stocks, sustainable management of forests, reducing emissions from deforestation and forest degradation] [, stabilization of forest cover, conservation and maintenance of carbon stocks through sustainable forest management] [, reducing emissions through sustainable land management practices, including forest conservation, sustainable forest management, the avoidance of deforestation, afforestation and sustainable agriculture;] [,capacity-building, technology transfer, policy implementation, etc;].	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraphs 9 and 19.
A board to manage financial arrangements	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraph 19 (b)
An expert group or committee supported by a technical panel/technical panels to advise the specialized funds or funding windows.	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraph 19 (c)
A REDD coordination mechanism under the Convention.	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraph 12, option 2 (a).
[A [national] expert review team][a measurement, reporting and verification technical panel][a team of [international] experts]	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraphs 13 and 20 (b).
[In the case of sub-national scale activities, an independent body] [under] [the guidance of][appointed by] the [COP] [Meeting of the Parties to this Agreement at its first session] [a coordinating REDD mechanism].]	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraphs 13 and 20 (b).

Table (continued)

A technical panel comprised of experts.	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraph 20 (c).
An entity or group of entities or bodies, designated by the COP [to verify and certify the emission reductions [or removals] achieved] [to assess the emissions and removals].	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraph 20 (d).
At the regional level	
Regional REDD centres	<ul style="list-style-type: none"> ▪ Non-paper 18, paragraph 20 (a).
Subgroup on mitigation under paragraph 1 (b) (iv) of the Bali Action Plan	
★ No proposals on institutional arrangements have been made.	
Subgroup on mitigation under paragraph 1 (b) (v) of the Bali Action Plan	
★ A proposals has been made to combine institutional arrangements.	
Proposal	Document and paragraph reference
Supervisory body for proposed NAMA/sectoral crediting mechanism.	<ul style="list-style-type: none"> ▪ Non-paper 30, paragraph 39.
Supervisory body for proposed sectoral trading mechanism.	<ul style="list-style-type: none"> ▪ Non-paper 30, paragraph 43.
Supervisory body for proposed NAMA/sectoral crediting and trading mechanism.	<ul style="list-style-type: none"> ▪ Non-paper 30, paragraph 48.
Independent body to assess proposals for reference levels for NAMA/sectoral emissions.	<ul style="list-style-type: none"> ▪ Non-paper 30, paragraph 33.
Subgroup on mitigation under paragraph 1 (b) (vi) of the Bali Action Plan	
Proposal	Document and paragraph reference
A forum to provide a venue for Parties to share information, experiences and views on the economic [and] [,] social [and environmental] consequences of response measures.	<ul style="list-style-type: none"> ▪ Non-paper 7, paragraph 18. ▪ Possible tasks are contained in paragraph 18 (a)–(d). ▪ A work programme is referred to in paragraph 19.

Table (continued)

ENHANCED ACTION ON THE PROVISION OF FINANCIAL RESOURCES AND INVESTMENT	
★ Proposals related to non-paper 13, paragraph 19, options 1 and 2, and paragraph 38 - 40 have been imported from the technology contact group. Also additional institutions to those contained in document FCCC/AWGLCA/2009/INF.2 have been proposed.	
Proposal	Document and paragraph reference
<p>Operationalization of an effective Financial Mechanism under the COP through the establishment of:</p> <ul style="list-style-type: none"> ▪ Adaptation fund; ▪ Mitigation fund; ▪ Multilateral climate technology fund; ▪ Capacity-building fund, technology risk facility, venture capital fund; ▪ Technology grant programme, climate insurance fund and other funds as required; ▪ Funding windows; ▪ With a mechanism to link various funds; and ▪ An Executive Board. 	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 1. ▪ Complete proposal is included in annex 1 to non-paper 13.
<p>An adaptation fund and a mitigation fund established under the Convention, managed by an executive body on finance and technology for adaptation (EBFTA) and an executive body on finance and technology for mitigation (EBFTM).</p> <p>The EBFTA will be supported by four technical panels on research and development; capacity-building; transfer of technologies for adaptation; and observation systems and information management. The EBFTM will be supported by five technical panels on research and development; capacity building; transfer of technologies for mitigation; REDD plus, and market mechanisms. In addition a measuring reporting and verification panel is proposed.</p>	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 2. ▪ Complete proposal is included in annex 2 to non-paper 13.
<p>A multilateral fund for climate change subject to the authority and guidance of the COP and supervised by a Board. The fund shall have discrete funding windows for:</p> <ul style="list-style-type: none"> ▪ Mitigation ▪ REDD ▪ Adaptation ▪ Insurance, and Technology. <p>Each of the funding windows to have a technical advisory panel.</p>	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 3. ▪ Complete proposal is included in annex 3 to non-paper 13.

Table (continued)

<p>World climate change fund or green fund to scale up mitigation activities and support adaptation.</p> <p>The Fund will be governed by a governing body under the oversight of the COP and will be administered by an existing international financial institution.</p>	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 4. ▪ Complete proposal is included in annex 4 to non-paper 13.
<p>Global Fund for Climate as an operating entity of the financial mechanism of the Convention.</p> <p>An existing multilateral financial institution will be its trustee.</p>	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 5. ▪ Complete proposal is included in annex 5 to non-paper 13.
<p>Convention Adaptation Fund under and accountable to the financial mechanism of the Convention, managed by a governing board, and established to provide new, additional and predictable financial resources to developing countries that are particularly vulnerable to climate change to assist them in meeting the full costs incurred in adapting to the adverse impacts of climate change.</p>	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 6. ▪ Complete proposal is included in annex 6 to non-paper 13.
<p>Multilateral adaptation fund established exclusively for countries with low or average per capita incomes, consistent of:</p> <ul style="list-style-type: none"> ▪ A prevention pillar (national programmes aiming at reducing disaster risks and to make development more climate-resilient); ▪ An insurance pillar (in cooperation with the insurance industry, the fund insures large risks which cannot otherwise be covered). 	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 7.
<p>A global fund established to support a global feed-in tariff programme, providing guaranteed purchase prices, over and above the retail energy price in developing countries, of energy from renewable sources.</p>	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 8.
<p>A special fund</p> <ul style="list-style-type: none"> ▪ For the economic and social consequences of response measures; and ▪ To assist countries whose economies are highly dependent on income generated from the production, processing and export, and/or on consumption of fossil fuels and associated energy-intensive products. 	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 9.
<p>Facilitative platform under the authority and guidance of the COP, to establish a register of actions and strategies; finance, technical and capacity-building support, monitor information and report it to the COP on a regular basis.</p>	<ul style="list-style-type: none"> ▪ Non-paper 13, paragraph 19, option 10. ▪ Complete proposal is included in annex 7 to non-paper 13.
<p>International renewable energy and energy efficiency bond mechanism.</p>	<ul style="list-style-type: none"> ▪ Imported from non-paper 4, paragraph 38–39.

Table (continued)

ENHANCED ACTION ON DEVELOPMENT AND TRANSFER OF TECHNOLOGY	
Proposal	Document and paragraph reference
<p>Executive Body on technology, as a subsidiary body under the Convention, to govern a multilateral climate technology fund, and supported by:</p> <ul style="list-style-type: none"> ▪ A strategic planning committee; ▪ Technical panels, and ▪ A verification group. 	<ul style="list-style-type: none"> ▪ Non-paper 29, paragraph 32, option 1. ▪ Possible functions are contained in annex II of non-paper 29. ▪ Possible structure is described in annex V of non-paper 29.
<p>Technology Executive [Committee] to advise the COP on technology policy issues; to be advised by a technology panel and consultative group on sectoral technology cooperation.</p>	<ul style="list-style-type: none"> ▪ Non-paper 29, paragraph 32, option 2. ▪ Possible functions are contained in annex II of non-paper 29.
<p>Executive body on finance and technology for adaptation and for mitigation, supported by technical panels on:</p> <ul style="list-style-type: none"> ▪ Research and development; ▪ Capacity- building; ▪ Transfer of technologies for adaptation; ▪ Observation systems and information management; ▪ REDD+, and ▪ Market mechanism. 	<ul style="list-style-type: none"> ▪ Non-paper 29, paragraph 32, option 3. ▪ Possible functions are contained in annex II and VI of non-paper 29.
<p>Technology development and transfer leveraging facility.</p>	<ul style="list-style-type: none"> ▪ Non-paper 29, paragraph 35–36. ▪ Possible functions are contained in annex VIII of non-paper 29.
<p>Climate Technology Hub and Climate Technology Corps to accelerate the global penetration of ESTs by enhancing and scaling up technology cooperation through the provision of technical assistance and training to developing countries on their mitigation and adaptation actions that further the implementation of the Convention.</p>	<ul style="list-style-type: none"> ▪ Non-paper 29, paragraph 40
At regional and national level	
<p>National and regional technology innovation centres and network of centres.</p>	<ul style="list-style-type: none"> ▪ Non-paper 29, paragraphs 33-34. ▪ Possible functions are contained in annex VII of non-paper 29.

Table (continued)

ENHANCED ACTION ON CAPACITY-BUILDING	
★ A proposals has been made to combine institutional arrangements referred to in document FCCC/AWGLCA/2009/INF.2 .	
Proposal	Document and paragraph reference
A specific mechanism to support enhanced actions on capacity-building in developing countries.	<ul style="list-style-type: none"> ▪ Non-paper 24, paragraph 4.
Technical panel on capacity-building for mitigation and technical panel on capacity-building for adaptation (Non-paper 10, para 5). G77/China proposed to merge these to a technical panel on capacity-building covering all aspects of BAP.	<ul style="list-style-type: none"> ▪ Non-paper 24, paragraph 5.
