



## Status of submission and review of reports under the Kyoto Protocol

### Note by the secretariat

1. This note provides information on the status of submission and review of true-up period<sup>1</sup> reports for the first commitment period of the Kyoto Protocol, seventh national communications (NC7s), the review of the reports to facilitate the calculation of the assigned amount for the second commitment period, and the annual greenhouse gas (GHG) inventories submitted in 2016 and 2017 by Parties included in Annex I (hereinafter, Annex I Parties)<sup>2</sup> in accordance with decision 15/CMP.1, as at 21 August 2017.

#### *True-up period for the first commitment period*

2. As indicated in the previous note on the status of submission and review of reports under the Kyoto Protocol,<sup>3</sup> the true-up period review reports for all 37 Parties with QELRCs for the first commitment period were published and are available on the UNFCCC website.<sup>4</sup>

3. The report of the expert review team (ERT) of the individual review of the report upon expiration of the additional period for fulfilling commitments (true-up period) for the first commitment period of the Kyoto Protocol of Ukraine raised questions of implementation.<sup>5</sup> The questions of implementation concerned compliance with the reporting requirements and compliance with the quantified emission reduction target for the first commitment period.

4. The questions of implementation with respect to Ukraine were considered by the enforcement branch of the Compliance Committee in accordance with decision 27/CMP.1.<sup>6</sup>

5. On the recommendation of the Compliance Committee,<sup>7</sup> the Conference of the Parties serving as the Meeting of the Parties to the Kyoto Protocol (CMP), at its twelfth session, encouraged the efforts of Ukraine to formally demonstrate its compliance with its commitment under Article 3, paragraph 1, of the Kyoto Protocol for the first commitment period referred to in the report of the Compliance Committee and requested the secretariat to make, on an exceptional basis, the necessary arrangements to enable Ukraine to do so by CMP 13, taking into account the recommendations set out in chapter III.B of the annual report of the Committee.<sup>8</sup>

6. Following the request of the CMP, Ukraine, with the assistance of the secretariat, undertook the necessary steps to retire and cancel its first commitment period units in June 2017. It re-submitted its true-up period report on 4 July 2017.<sup>9</sup> The review of the re-submitted true-up period report of

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<sup>1</sup> The additional period for fulfilling commitments known as the true-up period expired 100 days after the date of completion of the final annual review process for the first commitment period set by the Conference of Parties (decision 27/CMP.1, section XIII). This date for the first commitment period was 10 August 2015. Consequently, the end date of the first commitment period was 18 November 2015.

<sup>2</sup> For the purposes of this document, the term “Annex I Parties” refers to Parties to the Kyoto Protocol considered Parties included in Annex I in accordance with Article 1, paragraph 7, of the Protocol.

<sup>3</sup> CC/18/2016/2, paragraphs 4-5. Available at [http://unfccc.int/files/kyoto\\_protocol/compliance/plenary/application/pdf/cc-18-2016-2\\_status\\_of\\_submission\\_and\\_review\\_of\\_reports\\_under\\_the\\_kp\\_eps.pdf](http://unfccc.int/files/kyoto_protocol/compliance/plenary/application/pdf/cc-18-2016-2_status_of_submission_and_review_of_reports_under_the_kp_eps.pdf).

<sup>4</sup> <http://unfccc.int/9049>.

<sup>5</sup> FCCC/KP/CMP/2016/TPR/UKR (TPR).

<sup>6</sup> CC-2016-1-6/Ukraine/EB

<sup>7</sup> FCCC/KP/CMP/2016/3.

<sup>8</sup> FCCC/KP/CMP/2016/8, paragraph 50.

<sup>9</sup> CC-2016-1-11/Ukraine/EB.



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Ukraine took place from 24 to 28 July 2017 and the report on the review was published on 10 August 2017.<sup>10</sup>

7. In its report, the ERT concluded the following:
  - (a) The information provided by Ukraine in its resubmitted true-up period report submission covers all elements as required by decisions 13/CMP.1, 15/CMP.1 and 3/CMP.10 and other relevant decisions of the CMP.
  - (b) The aggregate anthropogenic greenhouse gas emissions of Ukraine for the first commitment period of the Kyoto Protocol do not exceed the quantities of ERUs, certified emission reductions (CERs), temporary CERs, long-term CERs, AAUs and removal units in the retirement account of Ukraine for the first commitment period.
  - (c) In its resubmitted true-up period report submission, Ukraine requested to carry over 2,024,491,681 AAUs and 533,410 ERUs to the second commitment period of the Kyoto Protocol. However, the correct values of units available for the Party to carry over to the second commitment period are 2,001,107,853 AAUs and 533,410 ERUs. These quantities of AAUs and ERUs to be carried over by Ukraine to the second commitment period are consistent with the requirements set out in decision 13/CMP.1, annex, paragraph 15.
8. No questions of implementation were raised in the report.
9. The review report was forwarded to the Compliance Committee on 15 August 2017.

### *Annex I Parties in the second commitment period (2013–2020)*

10. There are 42 Annex I Parties to the Kyoto Protocol.<sup>11</sup> Thirty-eight of them have a quantified emission limitation or reduction commitment (QELRC) inscribed in the third column of the table in Annex I to the Doha Amendment as contained in Annex I to decision 1/CMP.8<sup>12</sup> for the second commitment period. Supplementary information is submitted by these Parties in their annual greenhouse gas inventory submissions, pursuant to Article 7, paragraph 1, of the Kyoto Protocol, and in accordance with decisions 15/CMP.1, 2/CMP.8, 6/CMP.9 and 3/CMP.11.

11. Japan, New Zealand and the Russian Federation are Annex I Parties that had a QELRC for the first commitment period but do not have a QELRC for the second commitment period. However, the CMP decided, through decision 3/CMP.11, that these Parties continue to have certain limited reporting obligations in relation to elements of the information usually reported under Article 7, paragraph 1, of the Protocol.<sup>13</sup> In addition, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article 3, appear to be applicable to these Parties, including in the context of supplementary information in their NC7s.<sup>14</sup>

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<sup>10</sup> CC-2016-1-12/Ukraine/EB.

<sup>11</sup> Forty-three Annex I Parties ratified the Kyoto Protocol. However, on 15 December 2011, the Depository received written notification of Canada's withdrawal from the Kyoto Protocol. This action became effective for Canada on 15 December 2012.

<sup>12</sup> Hereinafter, the Doha Amendment.

<sup>13</sup> For example, see decision 3/CMP.11, paragraphs 8, 14 and decision 3/CMP.11, annex III, paragraph 4.

<sup>14</sup> Decision 7/CMP.8.



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12. Belarus, Cyprus, Kazakhstan<sup>15</sup> and Malta did not have a QELRC for the first commitment period but have QELRCs for the second commitment period. Their reporting obligations under Article 7, paragraph 1, of the Protocol are triggered in relation to the second commitment period. As at 21 August 2017, Belarus had not submitted its report to facilitate the calculation of the assigned amount for the second commitment period. Cyprus, Kazakhstan and Malta submitted these reports on 15 June 2016, 4 July 2017 and 29 July 2016, respectively.<sup>16</sup>

13. Turkey is considered an Annex I Party for the purposes of the Kyoto Protocol. However, it does not have a QELRC for the first or the second commitment periods. In the absence of commitments under Article 3 of the Protocol, its reporting obligations under Article 7, paragraph 1, of the Protocol do not appear to be triggered. At the same time, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article 3, appear to be applicable to this Party, including in the context of supplementary information in its NC7.<sup>17</sup>

### *Submissions of national communications*

14. In accordance with decision 9/CP.16 and following the requirements of Article 12, paragraphs 1 and 2, of the Convention, Parties included in Annex I to the Convention are required to submit to the secretariat a seventh national communication by 1 January 2018. In accordance with decision 8/CMP.3, Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol are to include in their national communications the necessary supplementary information under the guidelines established under Article 7 of the Kyoto Protocol.<sup>18</sup>

15. As at 21 August 2017, the secretariat has not received any NC7 yet. The secretariat plans to organize the reviews of the NC7s during in-country or centralized reviews that will take place in 2018 and 2019.

### *Greenhouse gas inventory submissions 2015 and 2016*

16. As indicated in the previous note on the status of submission and review of reports under the Kyoto Protocol,<sup>19</sup> the CMP noted the delays in the 2015 submissions and requested the secretariat to organize the review of the 2015 and 2016 greenhouse gas inventory submissions, including the review of the supplementary information submitted under Article 7, paragraph 1, of the Protocol, in conjunction with each other and with the review of those inventory submissions under the Convention.<sup>20</sup> The process of this review is described in paragraph 18 below.

17. The secretariat received 42 annual inventory submissions for 2016 from Annex I Parties to the Kyoto Protocol.<sup>21</sup> Table 1 shows the submission and resubmission dates. Thirty-nine of these

<sup>15</sup> Following the entry into force of the Kyoto Protocol for Kazakhstan on 17 September 2009, Kazakhstan is considered an Annex I Party for the purposes of the Protocol while remaining a Party not included in Annex I to the Convention for the purposes of the Convention (FCCC/KP/CMP/2009/21, paragraph 91).

<sup>16</sup> All the reports to facilitate the calculation of the assigned amount for the second commitment period received by the secretariat are available at <http://unfccc.int/9499>.

<sup>17</sup> Decision 7/CMP.8.

<sup>18</sup> The COP also requested Kazakhstan to submit its national communications in accordance with the Guidelines for the preparation of national communications by Parties included in Annex I to the Convention (see FCCC/CP/2006/5, paragraph 96, and FCCC/CP/2008/7, paragraph 68).

<sup>19</sup> CC/18/2016/2, paragraphs 16-17. Available at [http://unfccc.int/files/kyoto\\_protocol/compliance/plenary/application/pdf/cc-18-2016-2\\_status\\_of\\_submission\\_and\\_review\\_of\\_reports\\_under\\_the\\_kp\\_eps.pdf](http://unfccc.int/files/kyoto_protocol/compliance/plenary/application/pdf/cc-18-2016-2_status_of_submission_and_review_of_reports_under_the_kp_eps.pdf).

<sup>20</sup> Decision 10/CMP.1, paragraph 1.

<sup>21</sup> As at 21 August 2017, Monaco had not submitted its NIR for the 2016 submission.



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submissions<sup>22</sup> contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol, in accordance with decision 15/CMP.1 in conjunction with decision 3/CMP.1.

18. The secretariat coordinated reviews of 41 of the submissions indicated in paragraph 17 above.<sup>23</sup> Nine reviews were conducted as in-country reviews,<sup>24</sup> three submissions were reviewed during desk reviews<sup>25</sup> and twenty-nine submissions were reviewed during centralized reviews. The reviews took place between 29 August and 22 October 2016.

19. As at 21 August 2017, 32 review reports have been published on the UNFCCC website.<sup>26</sup> Fourteen reports (or 34 per cent of the total number of reports) were published by 15 April 2017, i.e. within one year from the due date of submission. All published reports were forwarded to the Compliance Committee.

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<sup>22</sup> Excluding the submissions of Belarus and Turkey.

<sup>23</sup> As at 21 August 2017, Monaco had not submitted its NIR for the 2016 submission, so no review could be organized for Monaco's 2016 submission.

<sup>24</sup> Belarus, Bulgaria, Cyprus, Denmark, France, Germany, Hungary, Malta and Switzerland.

<sup>25</sup> Japan, Russian Federation and Turkey.

<sup>26</sup> The review reports for the 2015 and 2016 submissions are available at <http://unfccc.int/9477> and <http://unfccc.int/9916>, respectively.

Table 1

**Annual 2016 submissions by Annex I Parties: status of submission, review dates and status of preparation of the review reports<sup>a</sup>**

<i>Annex I Party</i>	<i>CRF, NIR and SEF original submission dates</i>	<i>CRF resubmission date</i>	<i>NIR resubmission date</i>	<i>SEF resubmission date</i>	<i>Language of NIR</i>	<i>Review dates (2016)</i>	<i>Review report publication date</i>	<i>Document symbol</i>
Australia	CRF: 27 May 2016 NIR: 7 May 2016 SEF-CP1-2015: 19 May 2016 SEF-CP2-2013: 19 May 2016 SEF-CP2-2014: 19 May 2016 SEF-CP2-2015: 19 May 2016	24 Oct 2016	27 May 2016 9 Aug 2016	–	English	5–10 Sept	26 Apr 2017	FCCC/ARR/2016/AUS
Austria	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 14 April 2016 SEF-CP2-2014: 14 April 2016 SEF-CP2-2015: 14 April 2016	–	–	1 June 2016	English	26 Sept–1 Oct	31 May 2017	FCCC/ARR/2016/AUT
Belarus	CRF: 10 June 2016 <sup>b</sup> NIR: 10 June 2016 <sup>b</sup> SEF: not submitted <sup>a</sup>	–	–	–	Russian	26 Sept–1 Oct	7 Mar 2017	FCCC/ARR/2016/BLR
Belgium	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	–	26 May 2016	–	English	12–17 Sept	20 June 2017	FCCC/ARR/2016/BEL
Bulgaria	CRF: 27 May 2016 NIR: 27 May 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	23 Jan 2017	–	27 May 2016	English	10–15 Oct	21 June 2017	FCCC/ARR/2016/BGR
Croatia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2014: 15 April 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 15 June 2016 SEF-CP2-2015: 15 June 2016	–	–	–	English	19–24 Sept	28 Mar 2017	FCCC/ARR/2016/HRV
Cyprus	CRF: 15 June 2016 NIR: 15 June 2016 SEF: not submitted <sup>a</sup>	27 Jan 2017	27 Jan 2017	–	English	12–17 Sept	In preparation <sup>a</sup>	FCCC/ARR/2016/CYP

<i>Annex I Party</i>	<i>CRF, NIR and SEF original submission dates</i>	<i>CRF resubmission date</i>	<i>NIR resubmission date</i>	<i>SEF resubmission date</i>	<i>Language of NIR</i>	<i>Review dates (2016)</i>	<i>Review report publication date</i>	<i>Document symbol</i>
Czech Republic	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 25 August 2016 SEF-CP2-2014: 25 August 2016 SEF-CP2-2015: 25 August 2016	20 Oct 2016 12 Apr 2017	–	15 June 2016	English	29 Aug–3 Sept	In preparation <sup>a</sup>	FCCC/ARR/2016/CZE
Denmark	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	14 Nov 2016	–	6 June 2016	English	26 Sept–1 Oct	9 Aug 2017	FCCC/ARR/2016/DNK
Estonia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 1 June 2016 SEF-CP2-2015: 1 June 2016	–	–	–	English	19–24 Sept	22 Mar 2017	FCCC/ARR/2016/EST
European Union	CRF: 27 June 2016 NIR: 21 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	9 Sept 2016	–	21 June 2016	English	19–24 Sept	In preparation <sup>a</sup>	FCCC/ARR/2016/EU
Finland	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2015: 11 March 2016 SEF-CP2-2014: 11 March 2016 SEF-CP2-2015: 11 March 2016	–	15 June 2016	15 June 2016	English	5–10 Sept	15 Mar 2017	FCCC/ARR/2016/FIN
France	CRF: 15 April 2016 NIR: 14 April 2016 SEF-CP2-2014: 26 May 2016 SEF-CP2-2015: 26 May 2016	15 June 2016 7 Nov 2016	15 June 2016	–	French	19–24 Sept	26 July 2017	FCCC/ARR/2016/FRA
Germany	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	7 Nov 2016	–	3 June 2016	English	19–24 Sept	12 Apr 2017	FCCC/ARR/2016/DEU

<i>Annex I Party</i>	<i>CRF, NIR and SEF original submission dates</i>	<i>CRF resubmission date</i>	<i>NIR resubmission date</i>	<i>SEF resubmission date</i>	<i>Language of NIR</i>	<i>Review dates (2016)</i>	<i>Review report publication date</i>	<i>Document symbol</i>
Greece	CRF: 23 May 2016 NIR: 31 May 2016 SEF-CP1-2015: 25 April 2016 SEF-CP2-2014: 2 August 2016 SEF-CP2-2015: 2 August 2016	14 Oct 2016	–	–	English	29 Aug–3 Sept	In preparation <sup>a</sup>	FCCC/ARR/2016/GRC
Hungary	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2015: 15 April 2016	30 Nov 2016	–	23 Aug 2016	English	19–24 Sept	8 Mar 2017	FCCC/ARR/2016/HUN
Iceland	CRF: 6 May 2016 NIR: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	–	6 May 2016	30 May 2016	English	19–24 Sept	29 Mar 2017	FCCC/ARR/2016/ISL
Ireland	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	–	–	–	English	29 Aug–3 Sept	20 July 2017	FCCC/ARR/2016/IRL
Italy	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2015: 14 April 2016 SEF-CP2-2014: 14 April 2016 SEF-CP2-2015: 14 April 2016	–	–	10 June 2016	English	26 Sept–1 Oct	31 May 2017	FCCC/ARR/2016/ITA
Japan	CRF: 14 April 2016 NIR: 14 April 2016 SEF-CP1-2015: 14 April 2016 SEF-CP2-2015: 14 April 2016	–	–	–	English	17–22 Oct	4 Apr 2017	FCCC/ARR/2016/JPN
Kazakhstan	CRF: 15 April 2016 <sup>b</sup> NIR: 2 September 2016 <sup>b</sup> SEF-CP2: not submitted <sup>a</sup>	4 May 2016 8 July 2016	5 Sept 2016	–	Russian	5–10 Sept	7 Mar 2017	FCCC/ARR/2016/KAZ
Latvia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 15 June 2016 SEF-CP2-2015: 15 June 2016	17 Oct 2016	–	15 June 2016	English	29 Aug–3 Sept	7 Mar 2017	FCCC/ARR/2016/LVA

<i>Annex I Party</i>	<i>CRF, NIR and SEF original submission dates</i>	<i>CRF resubmission date</i>	<i>NIR resubmission date</i>	<i>SEF resubmission date</i>	<i>Language of NIR</i>	<i>Review dates (2016)</i>	<i>Review report publication date</i>	<i>Document symbol</i>
Liechtenstein	CRF: 15 April 2016 NIR: 27 May 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 5 August 2016 SEF-CP2-2015: 5 August 2016	14 Nov 2016	–	–	English	26 Sept–1 Oct	In preparation <sup>a</sup>	FCCC/ARR/2016/LIE
Lithuania	CRF: 15 June 2016 NIR: 17 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 7 June 2016 SEF-CP2-2015: 7 June 2016	14 Oct 2016	–	–	English	5–10 Sept	6 Mar 2017	FCCC/ARR/2016/LTU
Luxembourg	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2015: 15 April 2016	16 Nov 2016 22 Jan 2017	–	31 May 2016	English	26 Sept–1 Oct	In preparation <sup>a</sup>	FCCC/ARR/2016/LUX
Malta	CRF: 29 July 2016 NIR: 12 October 2016 SEF: not submitted <sup>a</sup>	3 Dec 2016 9 Feb 2017	–	–	English	10–15 Oct	21 July 2017	FCCC/ARR/2016/MLT
Monaco	CRF: 15 March 2017 NIR: not submitted <sup>a</sup> SEF: not submitted <sup>a</sup>	–	–	–	NA	26 Sept–1 Oct	NA (no NIR submitted) <sup>a</sup>	NA (no NIR submitted) <sup>a</sup>
Netherlands	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2013: 14 April 2016 SEF-CP2-2014: 14 April 2016 SEF-CP2-2015: 14 April 2016	7 Feb 2017	–	15 June 2016	English	19–24 Sept	23 June 2017	FCCC/ARR/2016/NLD
New Zealand	CRF: 20 May 2016 NIR: 20 May 2016 SEF-CP1-2015: 20 May 2016 SEF-CP2-2015: 20 May 2016	–	29 July 2016	–	English	26 Sept–1 Oct	10 Aug 2017	FCCC/ARR/2016/NZL
Norway	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2015: 15 April 2016	17 Oct 2016	–	1 June 2016	English	29 Aug–3 Sept	27 Mar 2017	FCCC/ARR/2016/NOR



<i>Annex I Party</i>	<i>CRF, NIR and SEF original submission dates</i>	<i>CRF resubmission date</i>	<i>NIR resubmission date</i>	<i>SEF resubmission date</i>	<i>Language of NIR</i>	<i>Review dates (2016)</i>	<i>Review report publication date</i>	<i>Document symbol</i>
Poland	CRF: 23 May 2016 NIR: 23 May 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	–	–	–	English	12–17 Sept	20 June 2017	FCCC/ARR/2016/POL
Portugal	CRF: 27 May 2016 NIR: 27 May 2016 SEF-CP1-2015: 27 May 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	3 Nov 2016 22 Dec 2016	–	27 May 2016	English	12–17 Sept	In preparation <sup>a</sup>	FCCC/ARR/2016/PRT
Romania	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 29 July 2016 SEF-CP2-2015: 29 July 2016	5 Aug 2016 27 Oct 2016	5 Aug 2016	15 June 2016 5 Aug 2016	English	12–17 Sept	21 June 2017	FCCC/ARR/2016/ROU
Russian Federation	CRF: 15 April 2016 NIR: 13 October 2016 SEF-CP1-2015: 14 October 2016	13 Oct 2016	–	23 Oct 2016	Russian	17–22 Sept	In preparation <sup>a</sup>	FCCC/ARR/2016/RUS
Slovakia	CRF: 10 May 2016 NIR: 10 May 2016 SEF-CP2-2013: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	15 June 2016 9 Sept 2016	15 June 2016	–	English	5–10 Sept	3 Mar 2017	FCCC/ARR/2016/SVK
Slovenia	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2014: 26 May 2016 SEF-CP2-2015: 26 May 2016	–	–	–	English	26 Sept–1 Oct	22 Aug 2017	FCCC/ARR/2016/SVN
Spain	CRF: 13 June 2016 NIR: 13 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 15 April 2016 SEF-CP2-2015: 15 April 2016	2 Nov 2016 26 Nov 2016	–	25 May 2016	Spanish	12–17 Sept	14 July 2017	FCCC/ARR/2016/ESP
Sweden	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2014: 22 July 2016 SEF-CP2-2015: 22 July 2016	–	–	–	English	29 Aug–3 Sept	6 Apr 2017	FCCC/ARR/2016/SWE

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Switzerland	CRF: 15 April 2016 NIR: 15 April 2016 SEF-CP1-2015: 15 April 2016 SEF-CP2-2015: 15 April 2016	7 Nov 2016	–	14 June 2016	English	11–16 Sept	19 Apr 2017	FCCC/ARR/2016/CHE
Turkey	CRF: 15 April 2016 <sup>b</sup> NIR: 15 April 2016 <sup>b</sup> SEF: not applicable	–	26 May 2016	–	English	17–22 Oct	24 Apr 2017	FCCC/ARR/2016/TUR
Ukraine	CRF: 24 May 2016 NIR: 24 May 2016 SEF: not submitted <sup>a</sup>	24 Oct 2016	18 July 2016	–	Russian	5–10 Sept	19 April 2017	FCCC/ARR/2016/UKR
United Kingdom of Great Britain and Northern Ireland	CRF: 15 June 2016 NIR: 15 June 2016 SEF-CP2-2015: 15 April 2016	3 Nov 2016	–	22 April 2016 1 July 2016	English	12–17 Sept	In preparation <sup>a</sup>	FCCC/ARR/2016/GBR

*Abbreviations:* NA = not applicable, NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format.

<sup>a</sup> As at 21 August 2017.

<sup>b</sup> Submission made under the Convention only.



### ***Greenhouse gas inventory submissions 2017***

20. As at 21 August 2017, the secretariat has received 42 annual inventory submissions for 2017 from Annex I Parties (see table 2 below) and 42 status reports have been prepared and published on the UNFCCC website.<sup>27</sup> Forty of these submissions<sup>28</sup> contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol for 2015 provided in accordance with decision 15/CMP.1.

21. The secretariat is coordinating reviews under the Kyoto Protocol of 22 of the submissions referred to in paragraph 20 above. Six submissions will be reviewed as in-country reviews, twelve as centralized reviews and four during desk reviews.<sup>29</sup> The reviews are planned for the period from 28 August to 30 September 2017.

### ***Submission of the reports to facilitate the calculation of the assigned amount for the second commitment period of the Kyoto Protocol***

22. As at 26 August 2016, the secretariat had received 34 reports to facilitate the calculation of the assigned amounts for the second commitment period.<sup>30</sup> The secretariat organized the reviews of these reports in conjunction with the reviews of the 2016 submissions (see para. 18 above). All the published review reports are available on the secretariat's website.<sup>31</sup>

23. Between 27 August 2016 and 21 August 2017, the secretariat received the reports to facilitate the assigned amount of Kazakhstan and Monaco (submitted on 4 July 2017 and 4 August 2017, respectively). The secretariat is organizing the reviews of these reports in conjunction with the reviews of the 2017 submissions (see para. 21 above).

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<sup>27</sup> <https://unfccc.int/10116>.

<sup>28</sup> All except Belarus' and Turkey's submissions.

<sup>29</sup> In-country reviews are planned for Czechia, Iceland, Kazakhstan, the Netherlands, Spain and Ukraine. Desk reviews are planned for Australia, France, Hungary and Switzerland. Centralized reviews are planned for Belarus, Cyprus, Greece, Lithuania, Malta, Monaco, New Zealand, Russian Federation, Slovakia, Sweden and the United Kingdom of Great Britain and Northern Ireland. Belarus is a Party with a QELRC for the second commitment period but as at 21 August 2017 it has not submitted its report to facilitate the calculation of its assigned amount for the second commitment period; its 2017 submission will be reviewed under the Convention.

<sup>30</sup> See document CC/18/2016/2, table 5. Available at [http://unfccc.int/files/kyoto\\_protocol/compliance/plenary/application/pdf/cc-18-2016-2\\_status\\_of\\_submission\\_and\\_review\\_of\\_reports\\_under\\_the\\_kp\\_eps.pdf](http://unfccc.int/files/kyoto_protocol/compliance/plenary/application/pdf/cc-18-2016-2_status_of_submission_and_review_of_reports_under_the_kp_eps.pdf).

<sup>31</sup> <http://unfccc.int/9499>.



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Table 2

**Annual 2017 submissions by Annex I Parties: status of submission<sup>a, b</sup>**

<i>Annex I Party</i>	<i>CRF, NIR and SEF original submission dates</i>	<i>CRF resubmission date(s)</i>	<i>NIR resubmission date(s)</i>	<i>SEF resubmission date(s)</i>	<i>Language of NIR</i>
Australia	CRF: 27 May 2017 NIR: 27 May 2017 SEF-CP1-2016: 27 May 2017 SEF-CP2-2016: 27 May 2017		16 June 2017		English
Austria	CRF: 12 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 12 April 2017 SEF-CP2-2016: 12 April 2017				English
Belarus	CRF: 1 June 2017 <sup>b</sup> NIR: 1 June 2017 <sup>b</sup> SEF: not submitted <sup>a</sup>				Russian
Belgium	CRF: 11 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 13 April 2017 SEF-CP2-2016: 13 April 2017			SEF-CP2-2016: 2 May 2017	English
Bulgaria	CRF: 11 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 2 May 2017 SEF-CP2-2016: 14 April 2017			SEF-CP1-2016: 11 May 2017 SEF-CP2-2016: 2 May 2017 SEF-CP2-2016: 11 May 2017	English
Croatia	CRF: 12 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 12 April 2017 SEF-CP2-2016: 12 April 2017	26 May 2017	26 May 2017	SEF-CP1-2016: 24 April 2017 SEF-CP1-2016: 26 May 2017	English
Cyprus	CRF: 8 May 2017 NIR: 8 May 2017 SEF: not submitted <sup>a</sup>				English
Czech Republic	CRF: 13 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 18 May 2017 SEF-CP2-2016: 12 April 2017	5 May 2017		SEF-CP2-2016: 18 May 2017	English
Denmark	CRF: 10 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 11 April 2017 SEF-CP2-2016: 11 April 2017	7 May 2017	27 May 2017	SEF-CP2-2014 : 06 April 2017 SEF-CP2-2016 : 06 April 2017	English



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Estonia	CRF: 13 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 13 April 2017 SEF-CP2-2016: 25 April 2017			SEF-CP1-2016: 29 June 2017	English
European Union	CRF: 14 April 2017 NIR: 14 April 2017 SEF-CP2-2016: 10 April 2017	31 May 2017	18 April 2017 31 May 2017 6 June 2017		English
Finland	CRF: 11 April 2017 NIR: 11 April 2017 SEF-CP1-2016: 20 March 2017 SEF-CP2-2016: 20 March 2017		28 April 2017		English
France	CRF: 13 April 2017 NIR: 8 April 2017 SEF-CP1-2016: 1 June 2017 SEF-CP2-2016: 13 April 2017			SEF-CP2-2016: 8 May 2017	French
Germany	CRF: 11 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 2 May 2017 SEF-CP2-2016: 13 April 2017			SEF-CP1-2016: 3 May 2017	English
Greece	CRF: 11 April 2017 NIR: 11 April 2017 SEF-CP2-2016: 15 May 2017				English
Hungary	CRF: 15 April 2017 NIR: 15 April 2017 SEF-CP1-2016: 4 May 2017 SEF-CP2-2016: 15 April 2017	26 May 2017	26 May 2017	SEF-CP2-2016: 4 May 2017	English
Iceland	CRF: 13 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 14 April 2017 SEF-CP2-2016: 24 April 2017		5 May 2017	SEF-CP1-2016: 24 April 2017 SEF-CP1-2016: 17 May 2017	English
Ireland	CRF: 12 April 2017 NIR: 14 April 2017 SEF-CP1-2016: 3 May 2017 SEF-CP2-2016: 14 April 2017			SEF-CP1-2016: 15 May 2017	English



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Italy	CRF: 11 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 15 May 2017 SEF-CP2-2016: 12 April 2017				English
Japan	CRF: 13 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 26 April 2017 SEF-CP2-2016: 26 April 2017			SEF-CP1-2016: 2 August 2017 SEF-CP1-2016: 2 August 2017	English
Kazakhstan	CRF: 14 April 2017 <sup>c</sup> NIR: 4 July 2017 SEF: not submitted <sup>a</sup>				Russian
Latvia	CRF: 13 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 13 April 2017 SEF-CP2-2016: 13 April 2017				English
Liechtenstein	CRF: 27 March 2017 NIR: 13 April 2017 SEF-CP1-2016: 13 April 2017 SEF-CP2-2016: 24 April 2017			SEF-CP1-2016: 24 April 2017 SEF-CP1-2016: 28 April 2017	English
Lithuania	CRF: 14 April 2017 NIR: 14 April 2017 SEF-CP1-2016: 12 April 2017 SEF-CP2-2016: 12 April 2017			SEF-CP1-2016: 16 May 2017 SEF-CP1-2016: 16 May 2017 SEF-CP1-2016: 23 May 2017 SEF-CP1-2016: 23 May 2017	English
Luxembourg	CRF: 6 April 2017 NIR: 6 April 2017 SEF-CP1-2016: 15 May 2017 SEF-CP2-2016: 26 April 2017			SEF-CP1-2016: 15 May 2017 SEF-CP2-2016: 15 May 2017	English
Malta	CRF: 8 May 2017 NIR: 29 May 2017 SEF: not submitted <sup>a</sup>	15 June 2017			English
Monaco	CRF: 20 April 2017 NIR: not submitted <sup>a</sup> SEF: not submitted <sup>a</sup>				NIR not submitted <sup>a</sup>
Netherlands	CRF: 14 April 2017 NIR: 14 April 2017 SEF-CP2-2016: 14 April 2017				English



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New Zealand	CRF: 26 May 2017 NIR: 26 May 2017 SEF-CP1-2016: 26 May 2017 SEF-CP2-2016: 26 May 2017			SEF-CP1-2016: 25 June 2017	English
Norway	CRF: 7 April 2017 NIR: 7 April 2017 SEF-CP1-2016: 7 April 2017 SEF-CP2-2016: 7 April 2017				English
Poland	CRF: 13 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 13 April 2017 SEF-CP2-2016: 13 April 2017	26 May 2017	26 May 2017	SEF-CP1-2016: 28 April 2017	English
Portugal	CRF: 12 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 11 April 2017 SEF-CP2-2016: 11 April 2017	25 May 2017	26 May 2017		English
Romania	CRF: 14 April 2017 NIR: 14 April 2017 SEF-CP1-2016: 30 June 2017 SEF-CP2-2016: 14 April 2017				English
Russian Federation	CRF: 14 April 2017 NIR: 25 July 2017 SEF: not submitted <sup>a</sup>	25 July 2017			Russian
Slovakia	CRF: 11 April 2017 NIR: 11 April 2017 SEF-CP1-2016: 11 April 2017 SEF-CP2-2016: 11 April 2017		11 April 2017		English
Slovenia	CRF: 12 April 2017 NIR: 14 April 2017 SEF-CP1-2016: 6 April 2017 SEF-CP2-2016: 6 April 2017				English
Spain	CRF: 12 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 23 May 2017 SEF-CP2-2016: 12 April 2017		26 June 2017	SEF-CP2-2016: 23 May 2017	Spanish



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Sweden	CRF: 12 April 2017 NIR: 12 April 2017 SEF-CP1-2016: 17 May 2017 SEF-CP2-2016: 12 April 2017				English
Switzerland	CRF: 13 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 19 April 2017 SEF-CP2-2016: 19 April 2017				English
Turkey	CRF: 14 April 2017 <sup>b</sup> NIR: 15 April 2017 <sup>b</sup> SEF: not applicable				English
Ukraine	CRF: 24 May 2017 NIR: 24 May 2017 SEF-CP1-2016: 21 July 2017 SEF-CP1-2016: 21 July 2017				English
United Kingdom of Great Britain and Northern Ireland	CRF: 14 April 2017 NIR: 13 April 2017 SEF-CP1-2016: 23 May 2017 SEF-CP2-2016: 13 April 2017			SEF-CP2-2016: 14 April 2017 SEF-CP2-2016: 23 May 2017	English

*Abbreviations:* NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format.

<sup>a</sup> As at 21 August 2017.

<sup>b</sup> Submission made under the Convention only.

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