

## **SUBMISSION BY DENMARK AND THE EUROPEAN COMMISSION ON BEHALF OF THE EUROPEAN UNION AND ITS MEMBER STATES**

**This submission is supported by Croatia, the Former Yugoslav Republic of Macedonia, Serbia and Turkey**

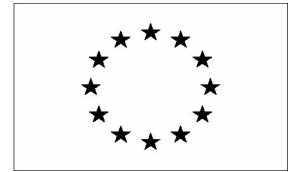
**Copenhagen, 5 March 2012**

**Subject: International consultation and analysis - composition, modalities and procedures of the team of technical experts conducting the international analysis referred to in paragraph 1 of Annex IV**

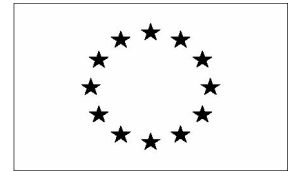
Decision (FCCC/CP/2011/-) implemented the international consultation and analysis (ICA) of biennial update reports of Non-Annex I Parties and paragraph 61 invited Parties to submit to the secretariat their views on the composition, modalities and procedures of the team of technical experts conducting the international analysis referred to in paragraph 1 of Annex IV. In the view of the EU the following additional rules on the composition, modalities and procedures should be agreed in order to implement the process:

### **Composition**

1. To fulfil the objectives for ICA as set out in 1CP16 and decision -/CP.17 (LCA decision), technical experts with relevant qualifications shall be nominated by Parties to the Convention to the UNFCCC roster of experts and, as appropriate, by intergovernmental organizations in accordance with guidance provided for this purpose by the SBSTA.
2. Technical experts shall work in a team selected from the roster of experts by the UNFCCC secretariat for the specific analysis of biennial update report submissions of Parties not included in Annex I to the Convention. In order to coordinate this work, the UNFCCC secretariat shall assign each biennial update report submitted in accordance with paragraph 41 of decision (-/CP.17) to a team of technical experts that shall be responsible for performing the technical analysis in accordance with the principles established in decision (-/CP.17 – LCA decision) and with the procedures and time frames established below.
3. The secretariat shall select the members of a team of technical experts in a way that the collective skills of the team of technical experts reflect the areas mentioned in paragraph 3 (a) of the Annex IV to decision -/CP.17 (LCA decision).



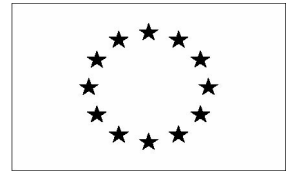
4. Technical experts selected for the analysis of a specific submission shall neither be nationals of the Party analysed, nor be nominated or funded by that Party. Biennial update report submissions of a Party not included in Annex I to the Convention shall not be analysed in two successive analyses by a team of technical experts with identical composition.
5. The secretariat shall, to the extent possible, select the members of a team of technical experts with a view to achieving a balance between experts from Annex I and non-Annex I Parties in the overall composition of the technical experts, without compromising the selection criteria referred to in paragraph 3 and 4 above. The secretariat should ensure geographical balance among those experts selected from non-Annex I Parties and among those experts selected from Annex I Parties.
6. A team of technical experts shall be coordinated by the secretariat and shall be composed of experts selected on an ad hoc basis from the UNFCCC roster of experts and will include two lead experts in accordance with paragraph 7. A team of technical experts formed for a technical analysis may vary in size and composition, to take into account the national circumstances of the Party analysed and the different expertise needed of each biennial update report.
7. The secretariat shall ensure that in a team of technical experts one co-lead expert shall be from a Party included in Annex I and one from a Party not included in Annex I. The role of the lead experts is to support organising and taking forward the work of the team.
8. The secretariat and the lead experts should ensure that the technical analysis in which they participate is performed in accordance with the guidance provided in this decision and decision -/CP.17 (LCA decision).
9. In support of continuous improvement of the process and to enable prompt response to feedback from Parties undergoing the process, lead experts collectively shall also:
  - (a) prepare a biennial report to the SBI with suggestions on how to improve the technical analysis and the ICA;
  - (b) advise on technical support tools to facilitate the technical analysis;
  - (c) exchange with the consultative group of experts (CGE) on Non-Annex I national communications how the technical assistance and support of the CGE to non-Annex I Parties could be further improved;
10. Participating technical experts shall serve in their personal capacity. The teams of technical experts shall refrain from making any political judgement and shall respect that the ICA shall be conducted in a manner that is non-intrusive, non-punitive and respectful of national sovereignty.
11. The experts responsible for the analysis of the inventory information should have undergone the training and the assessment for the participation of inventory reviews of Annex 1 parties. It should be further assessed after the first round of ICA whether training programmes in other areas should be developed.



12. Participating technical experts from Parties not included in Annex I and Parties included in Annex I with economies in transition shall be funded according to the existing procedures for participation in UNFCCC activities.

### **Modalities and procedures**

13. For each round of submissions of biennial update reports, centralized meetings of technical experts shall be convened by the UNFCCC secretariat to conduct the technical analysis. The team of technical experts shall prepare a draft summary report within one year after the submission of the biennial reports.
14. The UNFCCC secretariat shall prepare technical materials assisting the technical analysis and distribute these materials to the technical experts prior to the analysis. These materials shall inter alia include the relevant guidelines and decisions as well as the submitted biennial update reports.
15. During the technical analysis each technical expert may ask questions to, or request additional or clarifying information from, the Party not included in Annex I regarding the information submitted in the biennial update report.
16. Parties not included in Annex I under the Convention shall provide the technical experts with access to information necessary to substantiate and clarify the information in the biennial update reports within six weeks.
17. The team of technical experts shall, under its collective responsibility and assisted by the UNFCCC secretariat, produce a summary report of the technical analysis.
18. Summary reports of the technical analysis shall provide an assessment of the mitigation actions and include the following elements:
  - (a) An introduction reflecting any specific national circumstances of the Party analysed;
  - (b) A description of the results of the technical analysis for the areas included in accordance with paragraph 3 (a) of decision -/CP.17 (LCA decision);
  - (c) Any advice provided by the team of technical experts to improve the reporting including areas in which transparency should be further improved for the subsequent biennial update report;
  - (d) The sources and materials used to produce the summary report;
  - (e) A summary
19. The team of technical experts shall within one year after the submission of the biennial reports prepare a draft summary report and shall send the draft report to the Party analysed.
20. The Party not included in Annex I shall be provided with eight weeks to comment on the draft summary report.



21. The team of technical experts shall prepare a final summary report within four weeks of the receipt of the comments to the draft report. The reports shall subsequently be published on the UNFCCC website by the secretariat. The summary report, together with biennial report shall be forwarded to the SBI to inform the consultation stage of the ICA process, allowing for a facilitative exchange of views between Parties.
22. The secretariat shall prepare a report to the SBI on the composition, including the selection of technical experts and the lead experts, and the actions taken to ensure the application of the selection criteria stated in paragraphs 3, 4, 5 and 7 above and on the experiences with the modalities and procedures of the technical analysis.