

## Fifteenth meeting of the Standing Committee on Finance Bonn, Germany, 7–9 March 2017

### Background paper on the draft guidance to the operating entities of the Financial Mechanism

#### Expected actions by the Standing Committee on Finance

The Standing Committee on Finance will be invited to:

- a) Agree on its working modalities, including the formation of a working group and timeline for conducting its work on this agenda item;
- b) Agree on its approach in 2017 to prepare draft guidance to the operating entities for consideration by COP 23;
- c) Consider the updates made to the compilation and analysis (C&A) of past guidance provided to the operating entities;
- d) Identify a draft set of core guidance to the operating entities of the Financial Mechanism, in conjunction with its work on the C&A;
- e) Prepare its recommendation to COP 23 on the frequency of guidance to the Global Environment Facility.

#### I. Options for consideration by the Standing Committee on Finance

1. The Standing Committee on Finance (SCF) may wish to confirm a working group, its participants and co-facilitators, who would lead this year's work as outlined in the indicative timeline contained in annex I to this note.
2. The SCF may wish to confirm its strategy on outreach and collaboration to advance this work. The draft outreach and communication strategy to be considered at SCF 15 ([annex III of SCF/2017/15/3](#)) identifies areas of collaboration with other constituted bodies of the Convention and with the operating entities that may further assist the SCF in enhancing its work.

#### Preparation of draft guidance to the operating entities in 2017

3. The SCF may wish to confirm or adjust its approach to seeking and processing inputs to prepare draft guidance to the operating entities, based on the approach taken last year. A proposed approach is illustrated in annex II and includes:
  - (a) Inputs prepared by SCF members, based on annual reports by the operating entities;
  - (b) Views submitted by Parties, as per the invitation by the Conference of the Parties (COP);
  - (c) Inputs provided by the other constituted bodies.
4. With respect to seeking inputs from other constituted bodies, the SCF may wish to take note of the tentative dates of the meetings of the constituted bodies in 2017 contained in annex II. Annual reports from the Green Climate Fund (GCF) and the Global Environment Facility (GEF) are expected to be available in August 2017.
5. The SCF may wish to consider ways to improve the quality of draft guidance it prepares, to enhance its practicality and consistency with ongoing work of the operating entities, and with previous guidance provided to them. Options include:



- (a) Set a number of criteria, against which the SCF could assess the inputs received, to confirm whether the input is:
  - i. In line with the mandates of the operating entities;
  - ii. Ongoing and being currently undertaken by the operating entities;
  - iii. Not contradictory to previous guidance given to the operating entities;
  - iv. Not duplicative of previous guidance.
- (b) Encourage the SCF members, other constituted bodies and Parties, in developing their inputs to the SCF on draft guidance, to utilize the Compilation and Analysis (C&A) to assess whether their proposals would meet the above noted criteria.
- (c) Encourage the secretariats of the operating entities to continue to participate actively in the meetings of the constituted bodies to provide the latest information and clarifications, as deemed necessary.

*Latest work on the compilation and analysis of previous guidance provided to the operating entities of the Financial Mechanism*

- 6. The SCF may wish to take note of the updates that were made to the C&A during the inter-sessional period, as contained in annex III. Specifically, the SCF may wish to agree on:
  - (a) The updates from the latest reports of the operating entities have been reflected correctly;
  - (b) The simplification of the previously agreed Suggested Status options to *Active* or *Inactive*;
  - (c) The classification given to each past guidance with suggested status of *Active* or *Inactive*.
- 7. The SCF may wish to consider ways to make the C&A more user-friendly. Options include:
  - (a) Establish a dedicated page on the topic of draft guidance to the operating entities, which will demonstrate the progress made by the SCF to improve consistency and practicality of guidance;
  - (b) Feature the C&A on the webpage through which one can easily track the progress made by the operating entities in implementing COP guidance, taking into account the example of CBD decision-tracking website.<sup>1</sup>

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<sup>1</sup> The CBD decision-tracking tool can be accessed at: <https://www.cbd.int/decisions/tracking>. This is still under pilot phase. More information is contained in document [UNEP/CBD/SBI/1/10/Add.2](#).

*Draft set of core guidance to the operating entities of the Financial Mechanism*

8. The SCF may wish to consider annex IV, which contains a draft consolidation of past guidance to the operating entities with the suggested status of *Active* in the C&A. The draft consolidation represents guidance that is distilled from all past guidance provided to the operating entities and is still relevant and valid to the operating entities of the Financial Mechanism. The SCF may wish to determine whether this could constitute its recommended draft set of core guidance.
9. Should the SCF agree on its recommendation on a set of core guidance, it may also wish to accompany this with a recommendation on its intended use, and a process for updating it. In doing so, the SCF may wish to consider recommending:
  - (a) The objective of the draft core guidance will be to consolidate past guidance that remains relevant and ongoing. To do this, *Inactive* guidance contained in the C&A, meaning guidance that is obsolete, duplicates more recent guidance, and has been overtaken by events with time, will be screened out using the agreed classification of past guidance.
  - (b) The core guidance will be updated and reviewed by the SCF every four years by using the updated C&A, with a view to aligning the core guidance to the replenishments of the GCF and the GEF.
  - (c) The C&A will be updated on a rolling basis and made available on-line to serve the purpose of monitoring and tracking progress related to the guidance;
  - (d) The SCF will review and evaluate its recommended guidance to the GCF and to the GEF against the updated C&A and above noted criteria, to identify whether additional guidance is needed, or whether the core guidance is sufficient.

*Frequency of guidance to the Global Environment Facility*

10. The SCF may wish to prepare its recommendation to COP 23 on the frequency of guidance to the GEF, based on its previous discussions<sup>2</sup> and the options that it had identified.<sup>3</sup> Specifically, the SCF may wish to consider and recommend to the COP a frequency of guidance to the GEF as either:
  - (a) Providing guidance every year, per current practice or;
  - (b) Providing guidance every two years, on a pilot basis from 2017 to 2020.

**II. Background information**Mandate

11. The COP decided to establish the SCF to assist the COP in exercising its functions with respect to the Financial Mechanism in terms of, inter alia, rationalization of the Financial Mechanism, including by providing draft guidance for the operating entities to the COP, with a view to improving the consistency and practicality of such guidance, taking into account the annual reports of the operating entities as well as submissions from Parties.<sup>4</sup>
12. At COP 20, Parties endorsed the recommendations by the SCF on the provision of guidance to the OEs,<sup>5</sup> which included the following proposed actions to be conducted by the SCF:

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<sup>4</sup> [Decision 2/CP.17, paragraph 121.](#)

<sup>5</sup> [FCCC/CP/2014/5, paragraph 10.](#)

- (a) Conduct an analysis of past guidance provided in order to identify a set of core guidance to serve as basis for the provision of future guidance in order to reduce redundancies, incoherence, and inconsistencies within the guidance provided to the operating entities;
  - (b) Increase collaboration between the SCF and the thematic bodies of the Convention in the provision of draft guidance to the operating entities;
  - (c) Consider the issue of complementarity between the operating entities and the funds they administer when the GCF is operationalized.
13. Through the same decision, the COP requested the SCF to provide advice on the issue of the frequency of guidance to the Financial Mechanism and to report back to COP 21. The COP also decided to consider the timing of guidance provided by the COP to the operating entities of the Financial Mechanism, especially that guidance which has resource implications vis-à-vis the replenishment cycles of the operating entities of the Financial Mechanism, in order to ensure that key guidance is fully considered in the programming strategies and policy recommendations associated with each replenishment period of the operating entities of the Financial Mechanism.<sup>6</sup>

Preparation of draft guidance to the operating entities in 2017

14. The SCF invites the Adaptation Committee (AC) and the Technology Executive Committee (TEC) to provide annually inputs on the draft guidance to the operating entities based on the terms of reference.<sup>7</sup> Interaction with other constituted bodies has been useful in generating additional inputs from these bodies which the SCF may wish to consider continuing this year. annex II below outlines a suggested flow for the provision of inputs by SCF members, Parties and other constituted bodies for the development of draft guidance to COP 23.
15. Once the SCF receives the inputs from its members, Parties and other constituted bodies, it compiles and synthesizes the inputs and makes technical refinements, based on the information and clarification provided by the representatives of the operating entities.<sup>8</sup> In this approach, there were numerous instances in the past, when the SCF experienced difficulties in processing the inputs because:
- (a) The input was no longer valid or relevant because further activities were (scheduled to be) undertaken by the operating entities, addressing the issue/concern raised by the inputs;
  - (b) They were based on technically incorrect information or;
  - (c) They were either repetitive or inconsistent with existing guidance.
16. This often led to ineffectiveness in processing the inputs, resulted in a draft decision with several annexes holding the bracketed texts in abeyance. This could be mitigated if the SCF could agree to take the prerogative of assessing the inputs received and encourage the providers or the input to be more consistent and practical, utilizing the C&A, as suggested in paragraph 5 above. Participation of the SCF members to other constituted bodies' meetings would help in informing them about this enhanced approach.

Latest work on the compilation and analysis of previous guidance provided to the operating entities

17. The SCF initiated work on the compilation and analysis of past guidance to identify redundancies, incoherence and consistency with the mandate of the COP regarding guidance to the operating entities, namely on policies, programme priorities and eligibility criteria. It agreed that the C&A will serve as a basis for consideration of the issues of improving the consistency and practicality of guidance and extracting elements that could form the basis of the core guidance. The evolution of this exercise is

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<sup>6</sup> [Decision 9/CP.20, paragraph 7.](#)

<sup>7</sup> The terms of reference is contained in [SCF/2016/12/8, paragraph 11 \(a-d\).](#)

<sup>8</sup> The SCF took this approach for past two years, as reflected in [FCCC/CP/2015/8, paragraph 23.](#)

elaborated in detail in the previous SCF background documents.<sup>9</sup> The C&A is also available on the SCF 15 meeting page.<sup>10</sup>

18. Prior to SCF 15, a call for inputs was issued to the SCF members and the operating entities on how to improve the C&A.<sup>11</sup> Two inputs were received from Mr. Richard Sherman and the GCF secretariat.<sup>12</sup> The C&A was updated, taking into account the inputs received. The updates are summarized in annex III.
19. Also prior to SCF 15, there was a proposal to find ways to make the C&A more user friendly, so that the SCF members, Parties, the constituted bodies and other potential users could easily track the status of guidance provided to the operating entities.<sup>13</sup> Such tool could indeed be useful for the interested stakeholders in tracking the progress made by the operating entities in implementing the guidance and reducing any repetition in future guidance as well as determining whether or not a new guidance is needed on a specific issue.

#### Development of a draft set of core guidance

20. The primary objective of developing draft core guidance is to consolidate past guidance to the operating entities that is serve as a standing guidance for the operating entities that will continue to be ongoing and relevant to the operations of the operating entities. annex IV aims to present all guidance that is still ongoing and relevant for the operations of the operating entities, by consolidating all past guidance to the operating entities that has the suggested status of *Active* in the C&A.<sup>14</sup> All past guidance that has been overtaken by newer guidance or duplicates more recent guidance has been screened out as *Inactive* in this exercise. This primary objective follows the precedent of the CBD, whereby all previous guidance provided to the Financial Mechanism is filtered and consolidated into one document and subsequently adopted by its COP 13, ahead of the seventh replenishment of the GEF, to ensure consistency and practicality of guidance.<sup>15</sup>
21. The second objective of developing draft core guidance is to review and update it every four years, for example, one year ahead of a new replenishment cycle of the operating entities. This approach is conducive to providing strategic guidance to the operating entities and to inform the negotiation process for the new replenishment cycle. This is illustrated in Figure 1 of this note.
22. The third objective is to utilize the core guidance in the SCF work to prepare draft guidance to the operating entities. The SCF could cross-check the input received against the core guidance and reports of the operating entities, to assess whether the input is repetitive, contradicts with past guidance or in line with the mandates of the respective operating entity.

#### Frequency of guidance to the Global Environment Facility

23. At its thirteen meeting, the SCF reiterated the purpose of considering the issue of frequency of guidance to the Global Environment Facility, which includes allowing adequate time for the operating entities to operationalize the guidance received and to demonstrate results, as well as to streamline the guidance and reporting requirements.
24. With regards to the GCF, COP 22 took note of the recommendation of the SCF that guidance to the GCF should continue to be provided on an annual basis, in light of the early stage of operationalization of the GCF and in order to provide guidance that is most responsive to any new developments under the Convention and the GCF.

<sup>9</sup> [SCF/2015/11/5, Section IV and V](#) and [SCF/2016/13/7, paragraph 9-14](#).

<sup>10</sup> Available on <<http://www.unfccc.int/6881>>, under Documents for the Fifteenth meeting of the Standing Committee on Finance.

<sup>11</sup> [SCF/2016/13/8, paragraph 29](#). The call for inputs was circulated to the SCF members and observers on 12 August 2016.

<sup>12</sup> These can be accessed in the SCF Information Repository at <<http://www.unfccc.int/7561>>.

<sup>13</sup> [SCF/2016/13/8, annex III, paragraph 3-4](#).

<sup>14</sup> The list can be replicated in the C&A, by filtering all guidance by the two statuses in the drop-down menu.

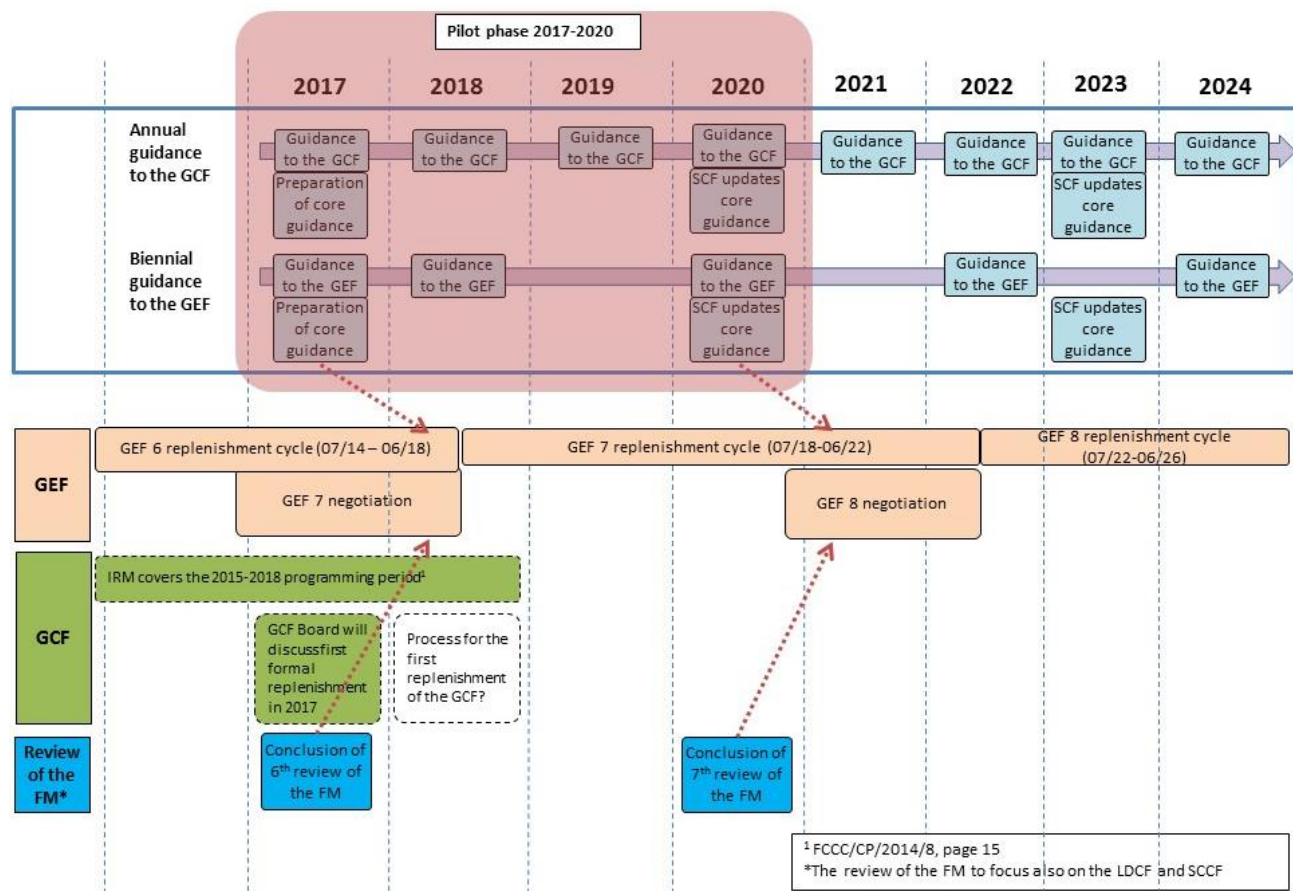
<sup>15</sup> CBD adopted the consolidated guidance to the Financial Mechanism in COP 13 held in 2016. See decision XIII/20, paragraph 1: <<https://www.cbd.int/doc/decisions/cop-13/cop-13-dec-21-en.pdf>>.

25. With regard to the GEF, the SCF noted the less frequency that other Rio Conventions provided guidance and its impact on the quality of projects and programmes. The SCF also discussed about the possibility of identifying thematic areas along which the GEF can focus its annual report, so that guidance can be provided annually on certain thematic areas. However, the SCF did not yet reach on the frequency of guidance to the GEF.
26. Against this background, the SCF could recommend to COP 23 that guidance is given to the GEF biennially, on a pilot basis from 2017 to 2020. Biennial guidance to the GEF could be a useful for the COP to provide more strategic and targeted guidance, based on a more in-depth study of the GEF report, rather than guidance on a micro-operational level. Furthermore, it will allow the GEF to have more time to respond to guidance, possibly leading to more effective implementation of guidance provided.
27. From a legal point of view, biennial guidance is feasible without modifying the MOU between the COP and the GEF Council. Under this scenario, the COP would annually take note and/or welcome the report provided by the GEF through a procedural decision, but only provide substantive guidance every second year. However, the current legal framework does not prevent the COP from deciding to provide additional guidance at any point in time or on an ad hoc basis, should it see the need to do so.<sup>16</sup>
28. Considering that the replenishment of the GEF takes place every four years, the guidance to the GEF could be provided in 2017 to inform the final discussions of the GEF7 replenishment negotiations to set the strategy, policies and programme priorities of the new replenishment cycle. Thereafter, 2020 will be another opportune year to provide biennial guidance to the GEF, one year ahead of its replenishment negotiations for GEF 8. Furthermore, conclusion of the reviews of the Financial Mechanism could be synchronized with the biennial guidance to further enhance the quality of guidance. This is illustrated in Figure 1 below.

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<sup>16</sup> Further elaboration of possible pros and cons of biennial guidance can be found in the previous SCF document: [SCF/2015/11/5, paragraph 15-18](#).

Figure 1: Scenario of biennial guidance to the GEF – Pilot phase from 2017 to 2020



**Annex I - Indicative timeline of work related to draft guidance to the operating entities of the Financial Mechanism in 2017**

Activity	Mar (SCF15)	Apr	May	Jun	Jul	Aug	Sep (SCF 16)	Oct	Nov (COP 23)
Updating and fine-tuning the C&A	X	X	X	X	X	X	X	X	X
Draft guidance to the operating entities for COP 22 and deliberations on increase of consistency and practicality of guidance provided	X					X	X	X	X
Identification of set of core guidance	X								
Deliberations on frequency of guidance to be provided	X								
Participation to GCF Board meetings on complementarity and coherence between the GCF and other institutions		X (tbc)			X (tbc)				
Engagement with operating entities	X	X	X	X	X	X	X	X	X



## Annex II - Suggested flow for the provision of inputs for the development of draft guidance to COP 23

March	August	Early-Sept	SCF 16 (Sept)	November
SCF provides constituted bodies with information on: <ul style="list-style-type: none"> <li>• Scope</li> <li>• Nature</li> <li>• Timeline for inputs</li> <li>• SCF approach to processing of inputs received</li> </ul>	The GCF and the GEF publish their annual report	Inputs to draft guidance are provided by the SCF members, Parties and the constituted bodies	SCF processes inputs received and prepares draft decision text for consideration by COP 23	<b>Draft guidance prepared by the SCF is reported to COP 23</b>

## Tentative dates of the meetings of the constituted bodies in 2017

Meeting	Date	Location
Meetings of the TEC	TEC 14 28–31 March 2017  TEC 15 Mid-sept (tbc)	Bonn, Germany
Meetings of the AC	AC 11 7–10 March 2017  AC 12 Mid-sept (tbc)	Bonn, Germany

### Annex III - Key updates to the compilation and analysis of previous guidance provided to the operating entities of the Financial Mechanism

#### Update #1 – Additions and relocation of information in the C&A

1. The following information have been added to the C&A:
  - a. Actions taken by the operating entities in response to the COP guidance, as reported in their 2017 annual reports, labelled with suggested status of each guidance;
  - b. New COP guidance that emerged from COP 22.
2. The following information has been relocated into a separate matrix in the C&A:
  - a. Governing Instrument of the GCF (decision 3/CP.17, annex);
  - b. The Arrangements between the COP and the GCF (decision 5/CP.19, annex).

#### Update #2 – Regrouping of the Suggested Status column

3. In its initial work with the C&A, the SCF attributed all previous guidance with at least one of the six suggest statuses, namely: new guidance, ongoing, responded-to, obsolete, redundant and repetitive. In many cases, a guidance was attributed with more than one status, resulting in numerous combinations of the original six statuses. In the previous discussions, this was pointed out as confusing and misleading, particularly for the purpose of extracting draft core guidance.
4. Therefore, during this update, a simplification is proposed. Guidance would be assigned a status of either *Active*, *Inactive* or *To be classified*. Table 1 and 2 below illustrates the reclassification to these revised categories.

**Table 1: Combinations of suggested statuses in the previous version of the GCF C&A and proposed regrouping**

#	Combinations of suggested statuses of the in the previous version of the GCF C&A and corresponding quantity of guidance		#	Proposed streamlining	
1	New guidance	13	1	Active	230
2	Ongoing	49			
3	Ongoing / Responded to	163			
4	Ongoing / Repetitive	5			
5	Responded to	16	2	To be classified	33
6	Responded to / Repetitive	17			
7	Obsolete	36	3	Inactive	36

**Table 2: Combinations of suggested statuses in the previous version of the GEF C&A and proposed regrouping**

# Combinations of suggested statuses of the in the previous version of the GEF C&A and corresponding quantity of guidance			# Proposed regrouping		
1	New guidance	16	1	Active	158
2	Ongoing	10			
3	Ongoing / Responded to	72			
4	Ongoing / Responded to / Repetitive	52			
5	Ongoing / Repetitive	8			
6	Responded to	73	2	To be classified	154
7	Responded to / Repetitive	81			
8	Obsolete	8	3	Inactive	96
9	Obsolete / Responded to	57			
10	Obsolete / Responded to / Repetitive	16			
11	Redundant	4			
12	Redundant / Responded to	7			
13	Redundant / Responded to / Repetitive	4			

### Update #3 – Other updates

5. Other enhancements made to the C&A include:

- (a) Inclusion of source of information has been as a category. By this feature, users can track the status of any piece of guidance to the latest annual report by the operating entity. Any past information provided will still be available within the C&A as archived information, enabling the users to have a historical overview of actions taken on a given piece of guidance.
- (b) Title of decision has been added as a category. This will help the user understand from which context a piece of guidance was given by the COP.
- (c) Linkage has been added as a category. Operating entities often report how one piece of guidance is linked with another mostly because a guidance is repeated. This is now shown in the C&A, so that users can track related guidance in a consistent and comprehensive manner.

## **Annex IV - Consolidated list of all guidance to the operating entities that has the status of Active in the C&A**

### **1. Green Climate Fund**

#### **Adaptation**

Decision 1/CP.16  The Cancun Agreements: Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention	100. Decides that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, referred to in paragraph 102 below;
Decision 3/CP.17  Launching the Green Climate Fund	17. Requests the Board to initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;
Decision 6/CP.18  Report of the GCF to the Conference of the Parties and guidance to the GCF	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:  (f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;
Decision 12/CP.18  National adaptation plans	6. Also invites the operating entities of the financial mechanism of the Convention, bilateral and multilateral agencies and other relevant organizations, as appropriate, to take this decision into account when providing financial and technical support to developing country Parties in responding to decision 5/CP.17;
Decision 3/CP.19  Long-term climate finance	9. Recalls that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund;
Decision 4/CP.20  Report of the Adaptation Committee	4. Requests Parties, operating entities of the Financial Mechanism and other relevant entities working on adaptation to consider the recommendations contained in chapter V of the report of the Adaptation Committee, as included in the annex
Decision 4/CP.20, annex  Report of the Adaptation Committee (Recommendations for the COP)	2. The AC recommends that the COP invite Parties, operating entities of the Financial Mechanism and relevant entities working on adaptation to take into account the following recommendations, which are based on the outcomes of the meeting of the task force on national adaptation plans (NAPs) referred to in paragraphs 38 and 39 of the report of the AC referred to in paragraph 1 above: (a) Recognizing the importance of raising awareness and buy-in for the NAP process by all stakeholders, in order to: (i) Generate interest in, demand for and leadership of the NAP process at the national level; (ii) Make available support for the NAP process better known; (b) Improving coordination, collaboration and coherence among: (i) Bilateral and multilateral agencies and institutions, including the operating entities of the Financial Mechanism; (ii) Various national ministries; (iii) Parties and regions, with a view to: a. Enhancing the accessibility of NAP support; b. Further understanding effective pathways to achieving the objectives of the NAP process, on the basis of experience; c. Fostering coherence in the provision of support, including by better matching needs with support, involving more financial institutions in the NAP process and helping countries to prepare for accessing funding, including from the Green Climate Fund (GCF); (c) Enhancing learning as stakeholders increasingly engage in the NAP process, particularly around aspects such as the role of institutional arrangements and monitoring and evaluation.

	<p>3. In supporting the monitoring and evaluation of adaptation, the AC recommends that the COP invite Parties, operating entities of the Financial Mechanism and relevant entities working on adaptation to take into account the following recommendations:</p> <p>(a) Monitoring and evaluation frameworks need to be appropriate, relevant to needs and tailored to country circumstances. A common set of global indicators is not useful, owing to the context-specific nature of adaptation;</p> <p>(b) National-level assessments can play a different role in measuring adaptive capacity from subnational or project-based assessments. National-level assessments could, for example, measure the degree of coordination and integration of adaptation in national priorities;</p> <p>(c) A positive learning environment, which encourages formal and informal learning, including peer-to-peer learning, and which encourages learning from negative as well as positive experiences, is important;</p> <p>(d) Planning and allocation of resources, both technical and financial, are key for effective monitoring and evaluation systems.</p> <p>4. In the context of the monitoring and evaluation of adaptation, the AC also recommends that the COP invite the Board of the GCF, with respect to its Results Management Framework, to consider:</p> <p>(a) Keeping indicators simple;</p> <p>(b) Designing indicators that are qualitative as well as quantitative;</p> <p>(c) Designing indicators in such a way as to capture the progress that countries are able to make in integrating adaptation into their development and sectoral planning, policies and actions;</p> <p>(d) Giving countries sufficient flexibility to define their indicators in line with their national and local planning, strategies and priorities.</p> <p>6. Regarding the operating entities of the Financial Mechanism, the AC recommends the following actions for consideration by the COP:</p> <p>(a) Inviting the Board of the GCF to consider the significant work undertaken under the Cancun Adaptation Framework and on the NAP process as it continues to provide the governance of the Fund;</p>
<p>Decision 7/CP.20</p> <p>Report of the GCF to the Conference of the Parties and guidance to the GCF</p>	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex</p> <p>76. The GEF and the GCF may consider collaborating to harmonize impact indicators and set new norms around reporting practice, especially in the context of adaptation finance. Furthermore, the operationalization of the GCF results-based management framework presents an opportunity to make progress in this regard.</p> <p>8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument;</p>
<p>Decision 9/CP.20</p> <p>Fifth review of the Financial Mechanism</p>	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex</p> <p>76. The GEF and the GCF may consider collaborating to harmonize impact indicators and set new norms around reporting practice, especially in the context of adaptation finance. Furthermore, the operationalization of the GCF results-based management framework presents an opportunity to make progress in this regard.</p>
<p>Decision 1/CP.21</p> <p>Adoption of the Paris Agreement</p>	<p>46. Further requests the Green Climate Fund to expedite support for the least developed countries and other developing country Parties for the formulation of national adaptation plans, consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them</p>
<p>Decision 7/CP.21</p> <p>Report of the GCF to the Conference of the Parties and guidance to the GCF</p>	<p>21. Invites the Board of the Green Climate Fund to take into account in its programmatic priorities the Cancun Adaptation Framework, in particular the principles referred to in decision 1/CP.16, paragraph 12, and the activities referred to in decision 1/CP.16, paragraph 14;</p>
<p>Decision 10/CP.22</p>	<p>11. Encourages the Board to implement its decision B.04/08 to develop modalities to support activities enabling private sector involvement in the least developed countries and small island</p>

Report of the GCF to the Conference of the Parties and guidance to the GCF	developing States, and to seek opportunities to engage with the private sector, including local actors, on adaptation action at the national, regional and international levels
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### Technology

Decision 3/CP.17  Launching the Green Climate Fund	17. Requests the Board to initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;
Decision 1/CP.18  Agreed outcome pursuant to the Bali Action Plan	62. Agrees to further elaborate, at its twentieth session, the linkages between the Technology Mechanism and the financial mechanism of the Convention, taking into consideration the recommendations of the Board of the Green Climate Fund, developed in accordance with decision 3/CP.17, paragraph 17, and of the Technology Executive Committee, developed in accordance with decision 4/CP.17, paragraph 6;
Decision 6/CP.18  Report of the GCF to the Conference of the Parties and guidance to the GCF	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:  (f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;
Decision 13/CP.18  Report of the Technology Executive Committee	7. Also encourages the Technology Executive Committee to continue to consult relevant institutional arrangements under the Convention, including the Adaptation Committee, the Standing Committee and the Board of the Green Climate Fund, and to initiate consultations with the advisory board of the Climate Technology Centre and Network as soon as it is established, in order to seek their views on and coordinate the proposed modalities of the Technology Executive Committee on linkages with other relevant institutional arrangements under the Convention;
Decision 14/CP.18, annex II  "Arrangements to make the Climate Technology Centre and Network fully operational  (Constitution of the Advisory Board of the Climate Technology Centre and Network)	5. Decides that the Advisory Board of the Climate Technology Centre and Network is hereby established, with the constitution contained in annex II to this decision and with the functions contained in decision 2/CP.17, annex VII, paragraphs 8 and 9  Annex II  1. The Advisory Board of the Climate Technology Centre and Network (CTCN), with the aim of achieving fair and balanced representation, shall constitute the following:  (c) One of the Co-Chairs, or a member designated by the Co-Chairs, of the Green Climate Fund Board in his/her official capacity as a Green Climate Fund representative;
Decision 16/CP.18  Prototype of the registry	2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;
Decision 25/CP.19, annex II	3. Also adopts the rules of procedure of the Advisory Board of the Climate Technology

Modalities and procedures of the Climate Technology Centre and Network and its Advisory Board	<p>Centre and Network, contained in annex II;</p> <p>Annex II</p> <p>III. Members</p> <p>3. The Board of the CTCN, with the aim of achieving fair and balanced representation, shall constitute the following:</p> <p>(c) One of the Co-Chairs, or a member designated by the Co-Chairs, of the Green Climate Fund Board in his/her official capacity as a Green Climate Fund representative</p>
Decision 7/CP.20  Report of the GCF to the Conference of the Parties and guidance to the GCF	8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument;
Decision 7/CP.21  Report of the GCF to the Conference of the Parties and guidance to the GCF	22. Also invites the Board of the Green Climate Fund, in line with paragraph 38 of the governing instrument of the Green Climate Fund, to consider ways to provide support, pursuant to the modalities of the Green Climate Fund, for facilitating access to environmentally sound technologies in developing country Parties, and for undertaking collaborative research and development for enabling developing country Parties to enhance their mitigation and adaptation action;
Decision 13/CP.21  Linkages between the Technology Mechanism and the Financial Mechanism	<p>4. Invites the Board of the Green Climate Fund to provide its recommendations, in accordance with decision 1/CP.18, paragraph 62, for consideration by the Conference of the Parties at its twenty-second session (November 2016);</p> <p>10. Invites the Board of the Green Climate Fund, in line with paragraph 38 of the governing instrument of the Green Climate Fund, to consider ways to provide support, pursuant to the modalities of the Green Climate Fund, for facilitating access to environmentally sound technologies in developing country Parties, and for undertaking collaborative research and development for enabling developing country Parties to enhance their mitigation and adaptation action.</p>
Decision 14/CP.22  Linkages between the Technology Mechanism and the Financial Mechanism of the Convention	<p>3. Encourages the Board of the Green Climate Fund to continue to invite the Chairs of the Technology Executive Committee and the Advisory Board of the Climate Technology Centre and Network to future meetings of the Board of the Green Climate Fund on issues of common interest in order to strengthen the existing linkages between the Technology Mechanism and the Financial Mechanism</p> <p>8. Encourages the Technology Executive Committee, the Climate Technology Centre and Network and the operating entities of the Financial Mechanism to enhance the involvement of relevant stakeholders as they undertake actions to strengthen the linkages between the Technology Mechanism and the Financial Mechanism</p> <p>9. Invites the Technology Executive Committee, the Climate Technology Centre and Network and the operating entities of the Financial Mechanism to provide information on their actions in strengthening the linkages between the Technology Mechanism and the Financial Mechanism in their annual reports to the Conference of the Parties for guidance on further actions if needed</p>
Decision 15/CP.22  Enhancing climate technology development	15. Welcomes the increased engagement between the Green Climate Fund and the Climate Technology Centre and Network, particularly with respect to utilizing the Readiness and Preparatory

and transfer through the Technology Mechanism	<p>Support Programme and the Project Preparation Facility of the fund in order to respond to country-driven requests for technical assistance;</p> <p>16. Encourages the advancement of the engagement referred to in paragraph 15 above, including through the strengthening of collaboration between national designated authorities for the Green Climate Fund and national designated entities for technology development and transfer</p>
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#### Capacity-building and Article 6

<p>Decision 6/CP.18</p> <p>Report of the GCF to the Conference of the Parties and guidance to the GCF</p>	6. Invites multilateral and bilateral institutions and organizations, including operating entities of the financial mechanism of the Convention, as appropriate, to provide financial resources to support the activities relating to the implementation of Article 6 of the Convention;
<p>Decision 16/CP.18</p> <p>Prototype of the registry</p>	2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;
<p>Decision 7/CP.20</p> <p>Report of the GCF to the Conference of the Parties and guidance to the GCF</p>	8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument;
<p>Decision 14/CP.20</p> <p>Report of the Adaptation Committee</p>	8. Invites representatives of the relevant bodies established under the Convention, operating entities of the Financial Mechanism of the Convention, intergovernmental and non-governmental organizations, and relevant experts and practitioners to integrate into their work programmes and activities the lessons learned at, and the main outcomes of, the meetings of the Durban Forum
<p>Decision 14/CP.21</p> <p>Capacity-building under the Convention</p>	8. Invites representatives of the relevant bodies established under the Convention, operating entities of the Financial Mechanism of the Convention, intergovernmental and non-governmental organizations, and relevant experts and practitioners to integrate into their work programmes and activities the lessons learned at, and the main outcomes of, the meetings of the Durban Forum
<p>Decision 17/CP.22</p> <p>Improving the effectiveness of the Doha work programme on Article 6 of the Convention</p>	10. Invites multilateral and bilateral institutions and organizations, including operating entities of the Financial Mechanism, to provide, or to continue to provide, financial resources to support activities related to the implementation of Article 6 of the Convention

#### Mitigation

<p>Decision 16/CP.18</p> <p>Prototype of the registry</p>	2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial,
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	technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;
Decision 9/CP.19  Work programme on results-based finance to progress the full implementation of the activities referred to in decision 1/CP.16, paragraph 70	<p>5. Encourages entities financing the activities referred to in decision 1/CP.16, paragraph 70, through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, including the Green Climate Fund in a key role, to collectively channel adequate and predictable results-based finance in a fair and balanced manner, taking into account different policy approaches, while working with a view to increasing the number of countries that are in a position to obtain and receive payments for results-based actions;</p> <p>6. Also encourages the entities referred to in paragraph 5 above, when providing results-based finance, to apply the methodological guidance consistent with decisions 4/CP.15, 1/CP.16, 2/CP.17, 12/CP.17 and 11/CP.19 to 15/CP.19, as well as this decision, in order to improve the effectiveness and coordination of results-based finance;</p> <p>7. Requests the Green Climate Fund, when providing results-based finance, to apply the methodological guidance consistent with decisions 4/CP.15, 1/CP.16, 2/CP.17, 12/CP.17 and 11/CP.19 to 15/CP.19, as well as this decision, in order to improve the effectiveness and coordination of results-based finance;</p> <p>8. Encourages entities financing the activities referred to in decision 1/CP.16, paragraph 70, through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, to continue to provide financial resources to alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests;</p>
Decision 7/CP.20  Report of the GCF to the Conference of the Parties and guidance to the GCF	<p>8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument;<sup>[2]</sup></p> <p>18. Requests the Board of the Green Climate Fund:</p> <p>(b) To consider decisions relevant to REDD-plus, including decisions 1/CP.16, 2/CP.17, 12/CP.17 and decisions 9/CP.19, 10/CP.19, 11/CP.19, 12/CP.19, 13/CP.19, 14/CP.19 and 15/CP.19;</p>
Decision 1/CP.21  Adoption of the Paris Agreement	54. Recognizes the importance of adequate and predictable financial resources, including for results-based payments, as appropriate, for the implementation of policy approaches and positive incentives for reducing emissions from deforestation and forest degradation, and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks; as well as alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests; while reaffirming the importance of non-carbon benefits associated with such approaches; encouraging the coordination of support from, inter alia, public and private, bilateral and multilateral sources, such as the Green Climate Fund, and alternative sources in accordance with relevant decisions by the Conference of the Parties
Decision 7/CP.21  Report of the GCF to the Conference of the Parties and guidance to the GCF	<p>23. Urges the Board of the Green Climate Fund to operationalize results-based payments for activities referred to in decision 1/CP.16, paragraph 70, consistent with decision 9/CP.19, and in accordance with Green Climate Fund Board decision B.08/08;</p> <p>24. Encourages the Board of the Green Climate Fund to consider the mobilization of private sector finance to progress the Green Climate Fund's forestry-related result areas;</p> <p>25. Requests the Board of the Green Climate Fund to take into account decision 16/CP.21, in particular paragraph 6, referring to support for alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests, as appropriate, in its funding decisions;</p>
Decision 10/CP.22  Report of the GCF to the Conference of the Parties and guidance to the GCF	4. Urges the Board to finalize in a timely manner its work related to the guidance of the Conference of the Parties on financing for forests as mandated by decision 7/CP.21, paragraphs 23–25

Reporting and information

<p>Decision 2/CP.17</p> <p>Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention</p>	<p>48. Further invites developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions:</p> <p>(a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions;</p> <p>(b) The source of the support, including, where applicable, the name of the developed country Parties in question and the executing entity channelling the support, including contact information;</p> <p>(c) The amount and type of support available, and whether it is financial (e.g. grant or facilitated loan), technology and/or capacity-building support;</p> <p>(d) The status of delivery;</p> <p>(e) The types of action that may be supported and the process for the provision of support;</p> <p>49. Invites Parties and entities referred to in paragraphs 46 and 48 above to provide the secretariat, subsequent to the matching of action with support, information on both internationally supported mitigation actions and associated support;</p>
<p>Decision 6/CP.18</p> <p>Report of the GCF to the Conference of the Parties and guidance to the GCF</p>	<p>7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:</p> <p>(a) To develop a transparent no-objection procedure to be conducted through national designated authorities referred to in paragraph 46 of the governing instrument, in order to ensure consistency with national climate strategies and plans and a country-driven approach and to provide for effective direct and indirect public and private-sector financing by the Green Climate Fund, and to determine this procedure prior to approval of funding proposals by the Fund;</p> <p>7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:</p> <p>(b) To balance the allocation of the resources of the Green Climate Fund between adaptation and mitigation activities;</p> <p>7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:</p> <p>(c) To secure funding for the Green Climate Fund, taking into account paragraphs 29 and 30 of the governing instrument, to facilitate its expeditious operationalization, and to establish the necessary policies and procedures to enable an early and adequate replenishment process;</p> <p>7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:</p> <p>(e) To select the trustee of the Green Climate Fund through an open, transparent and competitive bidding process in a timely manner to ensure that there is no discontinuity in trustee services;</p> <p>10. Requests the Board of the Green Climate Fund to continue to include in its report to the Conference of the Parties the specific steps that it has undertaken to implement the request contained in paragraphs 5 and 7 above, as well as information on the status of financial contributions for the administrative budget of the Green Climate Fund, including the administrative costs of the Board of the Green Climate Fund and its interim secretariat;</p>
<p>Decision 5/CP.19</p> <p>Arrangements between the Conference of the Parties and the Green Climate Fund</p>	<p>5. Requests the Board of the Green Climate Fund to report on the implementation of the arrangements referred to in paragraph 4 above in its annual reports to the Conference of the Parties, starting at the twentieth session of the Conference of the Parties (December 2014).</p>
<p>Decision 7/CP.20</p> <p>Report of the GCF to the Conference of the Parties and guidance to the GCF</p>	<p>22. Requests the Board of the Green Climate Fund to report biennially to the Conference of the Parties on the status of existing privileges and immunities with regard to its operational activities, starting at the twenty-first session of the Conference of the Parties;</p> <p>24. Further requests the Green Climate Fund to include in its annual report to the Conference of the Parties the recommendations of its independent redress mechanism, if any, and any actions taken by the Board in response to those recommendations;</p>

	25. Requests the Board of the Green Climate Fund to report to the Conference of the Parties at its twenty-first session on progress made in the implementation of this decision, as well as the elements and provisions of the guidance contained in decisions 3/CP.17, 6/CP.18, 4/CP.19 and 5/CP.19;
Decision 7/CP.21  Report of the GCF to the Conference of the Parties and guidance to the GCF	28. Requests the Board of the Green Climate Fund to report to the Conference of Parties on the steps it has taken to implement the guidance provided in this decision and other relevant decisions of the Conference of the Parties;

Gender

Decision 9/CP.20  Fifth review of the Financial Mechanism	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;  Annex 18. In developing its own approach to gender mainstreaming, the GCF could build on the experience of the GEF. It is recommended that gender equality be integrated in the structure and organization of the GCF itself, and that gender-sensitive criteria be taken into account in funding approvals of the Fund.
Decision 21/CP.22  Gender and climate change	40. Requests the Financial Mechanism and its operating entities to include in their respective annual reports to the Conference of the Parties information on the integration of gender considerations in all aspects of their work

Operational guidance

Decision 1/CP.16  The Cancun Agreements: Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention	100. Decides that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, referred to in paragraph 102 below;
	102. Decides to establish a Green Climate Fund, to be designated as an operating entity of the financial mechanism of the Convention under Article 11, with arrangements to be concluded between the Conference of the Parties and the Green Climate Fund to ensure that it is accountable to and functions under the guidance of the Conference of the Parties, to support projects, programmes, policies and other activities in developing country Parties using thematic funding windows;
	103. Also decides that the Fund shall be governed by a Board of 24 members, comprising an equal number of members from developing and developed country Parties; representation from developing country Parties shall include representatives of relevant United Nations regional groupings and representatives of small island developing States and the least developed countries; each Board member shall have an alternate member; with alternate members entitled to participate in the meetings of the board only through the principal member, without the right to vote, unless they are serving as the member; during the absence of the member from all or part of a meeting of the Board, his or her alternate shall serve as the member;
	104. Further decides that the Green Climate Fund shall have a trustee; the trustee for the Green Climate Fund shall have the administrative competence to manage the financial assets of the Green Climate Fund, maintain appropriate financial records and prepare financial statements and other reports required by the Board of the Green Climate Fund, in accordance with internationally accepted fiduciary standards;
	105. Decides that the trustee shall administer the assets of the Green Climate Fund only for the purpose of, and in accordance with, the relevant decisions of the Green Climate Fund Board; the trustee shall hold the assets of the Green Climate Fund separate and apart from the assets of the trustee, but may commingle them for administrative and investment purposes with other assets maintained by the trustee; and the trustee shall establish and maintain separate records and accounts to identify the assets of the Green Climate Fund; 106. Decides that the trustee shall be accountable to the Green Climate Fund Board for the performance of its fiduciary responsibilities;
Decision 3/CP.17	108. Decides that the operation of the Fund shall be supported by an independent secretariat; 2. Approves the governing instrument for the Green Climate Fund annexed to this decision;

<p>Launching the Green Climate Fund</p>	<p>3. Decides to designate the Green Climate Fund as an operating entity of the financial mechanism of the Convention, in accordance with Article 11 of the Convention, with arrangements to be concluded between the Conference of the Parties and the Fund at the eighteenth session of the Conference of the Parties to ensure that it is accountable to and functions under the guidance of the Conference of the Parties to support projects, programmes, policies and other activities in developing country Parties;</p> <p>4. Notes that the Green Climate Fund will be guided by the principles and provisions of the Convention;</p> <p>5. Decides to provide guidance to the Board of the Green Climate Fund, including on matters related to policies, programme priorities and eligibility criteria and matters related thereto, taking into account the Board's annual reports to the Conference of the Parties on its activities;</p> <p>6. Requests the Board to operationalize the Fund in an expedited manner;</p> <p>7. Also requests the Board to develop a transparent no-objection procedure to be conducted through national designated authorities referred to in paragraph 46 of the governing instrument annexed to this decision, in order to ensure consistency with national climate strategies and plans and a country driven approach and to provide for effective direct and indirect public and private sector financing by the Green Climate Fund. Further requests the Board to determine this procedure prior to approval of funding proposals by the Fund;</p> <p>8. Requests the Board to balance the allocation of the Green Climate Fund resources between adaptation and mitigation activities;</p> <p>9. Stresses the need to secure funding for the Green Climate Fund, taking into account paragraphs 29 and 30 of the governing instrument, to facilitate its expeditious operationalization, and requests the Board to establish the necessary policies and procedures, which will enable an early and adequate replenishment process;</p> <p>11. Decides that the Green Climate Fund be conferred juridical personality and legal capacity and shall enjoy such privileges and immunities related to the discharge and fulfilment of its functions, in accordance with paragraphs 7 and 8 of the governing instrument;</p> <p>16. Invites the Board to select the trustee of the Green Climate Fund through an open, transparent and competitive bidding process in a timely manner to ensure that there is no discontinuity in trustee services;</p> <p>17. Requests the Board to initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;</p>
<p>Decision 6/CP.18</p> <p>Report of the GCF to the Conference of the Parties and guidance to the GCF</p>	<p>5. Notes the progress made by the Board of the Green Climate Fund and calls on the Board to ensure that the Green Climate Fund expeditiously implements its workplan and develops the policies, eligibility criteria and programmes of the Green Climate Fund, taking into account the guidance of the Conference of the Parties with a view to making the Green Climate Fund operational as soon as possible;`</p> <p>7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:</p> <p>(e) To select the trustee of the Green Climate Fund through an open, transparent and competitive bidding process in a timely manner to ensure that there is no discontinuity in trustee services;</p> <p>7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:</p> <p>(f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;</p>
<p>Decision 7/CP.18</p> <p>Arrangements between the Conference of the Parties and the Green Climate Fund</p>	<p>1. Recognizes that the provisions contained in Article 11, paragraph 3, and decision 3/CP.17 and the governing instrument of the Green Climate Fund contained in the annex to 3/CP.17 form the basis for arrangements between the Conference of the Parties and the Green Climate Fund to ensure that the Green Climate Fund is accountable to and functions under the guidance of the Conference of the Parties to support projects, programmes, policies and other activities in developing country Parties;</p>

Decision 4/CP.19  Report of the GCF to the Conference of the Parties and guidance to the GCF	9. Requests the Green Climate Fund: (c) In allocating resources for adaptation, the Green Climate Fund will take into account the urgent and immediate needs of developing countries that are particularly vulnerable to the adverse effects of climate change;
	10. Confirms that all developing country Parties to the Convention are eligible to receive resources from the Green Climate Fund;
	14. Underlines that initial resource mobilization should reach a very significant scale that reflects the needs and challenges of developing countries in addressing climate change;
	15. Invites financial inputs from a variety of other sources, public and private, including alternative sources, for the Board's initial resource mobilization process;
	16. Requests the Board of the Green Climate Fund: (a) To consider important lessons learned on country-driven processes from other existing funds;
	16. Requests the Board of the Green Climate Fund: (b) To ensure that in its consideration and finalization of the Green Climate Fund's access modalities, the Board takes into account the institutions already accredited by relevant institutions covered by the Board's agreement on the best practice fiduciary standards and principles and environmental and social standards;
Decision 4/CP.20, annex  Report of the Adaptation Committee (Recommendations for the COP)	5. Furthermore, the AC agreed to forward the following recommendations resulting from the workshop on best practices and needs of local and indigenous communities referred to in paragraph 45 of its report referred to in paragraph 1 above for consideration by the COP. The COP may wish to: (b) Encourage the Adaptation Fund, the Global Environment Facility (GEF) and the GCF to enhance their consideration of local, indigenous and traditional knowledge and practices and their integration into adaptation planning and practices, as well as procedures for monitoring, evaluation and reporting.
	6. Regarding the operating entities of the Financial Mechanism, the AC recommends the following actions for consideration by the COP: (b) Inviting the Board of the GCF to engage with institutions that have started initiatives on countries' readiness to access GCF funding and exploring how more countries can benefit from such initiatives;
Decision 7/CP.20  Report of the GCF to the Conference of the Parties and guidance to the GCF	4. Requests the Green Climate Fund to ensure that the ongoing resource mobilization efforts are commensurate with the ambitions of the Fund, and calls for contributions by other developed country Parties, as well as invites financial inputs from a variety of other sources, public and private, including alternative sources, throughout the initial resource mobilization process;
	5. Urges the Green Climate Fund, the Interim Trustee, and contributors to confirm the pledges in the form of fully executed contribution agreements/arrangements, taking note that the commitment authority of the Green Climate Fund will become effective when 50 per cent of the contributions pledged by the November 2014 pledging session are reflected in fully executed contribution agreements/arrangements received by the secretariat no later than 30 April 2015 as provided for in Green Climate Fund Board decision B.08/13, annex XIX, paragraph 1(c);
	7. Welcomes Green Climate Fund Board decision B.08/07 to start taking decisions on the approval of projects and programmes no later than its 3rd meeting in 2015;
	8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument;
	9. Also requests the Board of the Green Climate Fund to accelerate the operationalization of the private sector facility by aiming to ensure that private sector entities and public entities with relevant experience in working with the private sector are accredited in 2015, expediting action to engage local private sector actors in developing country Parties, including small- and medium-sized enterprises in the least developed countries, small island developing States and African States, emphasizing a country-driven approach, expediting action to mobilize resources at scale, and developing a strategic approach to engaging with the private sector;
	10. Further requests the Board of the Green Climate Fund, in the implementation of its 2015 workplan, to complete its work related to policies and procedures to accept financial inputs from non-public and alternative sources, the investment and risk management frameworks of the Green Climate Fund, the impact analysis on its initial results areas, including options for determining Board level investment portfolios across the structure of the Fund, and the approval process of the Fund,

	including methodologies for selecting programmes and projects that best achieve the objectives of the Fund;
	11. Requests the Board of the Green Climate Fund to consider ways by which to further increase the transparency of its proceedings;
	12. Also requests the Board of the Green Climate Fund to accelerate the implementation of its work programme on readiness and preparatory support, ensuring that adequate resources are provided for its execution, including from the initial resource mobilization process, providing urgent support to developing countries, in particular the least developed countries, small island developing States and African States, led by their national designated authorities or focal points to build institutional capacities in accordance with Green Climate Fund Board decision B.08/11;
	13. Encourages the timely implementation of the accreditation framework and requests the Board of the Green Climate Fund, in its implementation, to pay adequate attention to the priorities and needs of developing country Parties, including the least developed countries, small island developing States and African States, emphasizing the need to provide readiness support to those national and regional entities eligible for fast tracking that request it;
	15. Requests the Board of the Green Climate Fund, when deciding its policies and programme priorities, to consider the information and lessons learned through engagement with other relevant bodies under the Convention, and other relevant international institutions;
	16. Also requests the Green Climate Fund to enhance its collaboration with existing funds under the Convention and other climate relevant funds in order to enhance the complementarity and coherence of policies and programming at the national level;
	17. Further requests the Board of the Green Climate Fund to further enhance the participation of all stakeholders in accordance with paragraph 71 of the Governing Instrument and other relevant Board decisions;
	18. Requests the Board of the Green Climate Fund: (a) To develop a monitoring and accountability framework in accordance with Green Climate Fund Board decision B.08/02;
	19. Urges the Green Climate Fund to ensure that staff selection is open, transparent and based on merit without discrimination, taking into account geographical and gender balance, in accordance with the administrative policies of the Green Climate Fund;
	21. Urges developing country Parties to enter into bilateral agreements with the Green Climate Fund based on the template to be approved by the Board of the Green Climate Fund, in order to provide privileges and immunities for the Fund, in accordance with Green Climate Fund Board decision B.08/24, paragraph (b);
	22. Requests the Board of the Green Climate Fund to report biennially to the Conference of the Parties on the status of existing privileges and immunities with regard to its operational activities, starting at the twenty-first session of the Conference of the Parties;
Decision 9/CP.20  Fifth review of the Financial Mechanism	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex</p> <p>14. The GCF could build on the experience of and lessons learned from the GEF in terms of stakeholder involvement. In this regard, the GCF may consider establishing a robust consultative process with its observers in order to ensure that adequate and timely consultation is undertaken with respect to the development of its policies, procedures, guidelines, and, later on, during the implementation of programmes and projects of the Fund.</p> <p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex</p> <p>21. As the GCF is developing its own environment and social safeguards, it should consider consistency with the safeguards of the GEF.</p> <p>22. Since the GCF will also be using financial intermediaries such as commercial banks, it is recommended that the GCF also develop an appropriate oversight mechanism to ensure that the institutions to which these intermediaries will channel funding also comply with the environmental policies and social safeguards of the GCF.</p>

	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex 25. As it monitors the use of its initial fiduciary standards and reviews those standards within the next three years, the GCF should consider maintaining consistency with the standards of the GEF.</p>
	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex 51. The GEF and the GCF may consider collaborating in the use of funding pathways that may include the LDCF and the SCCF.</p>
	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex 58. The GCF would benefit from lessons learned on the accreditation process from other funds, particularly the GEF. In the case of the GEF, the goal of accreditation of 10 project agencies was only partially achieved. The GCF may consider building on existing systems of GEF intermediaries and implementing entities, and may in the process also consider providing financial assistance to support the accreditation of national entities in recipient countries that may need it.</p>
	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex 69. There is ample room for the GCF to learn from the experiences of other funds in terms of improving the enabling environments in recipient countries. It can do this by linking investments with focused efforts to engage stakeholders within countries in programming, and by providing technical assistance and capacity-building so as to strengthen enabling environments – institutions, policies, and regulations – that support mitigation and adaptation actions in developing countries.</p>
	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex 92. The operating entities of the Financial Mechanism and the funds under the Convention should collaborate with the view to taking advantage of the complementarity of their respective policies and programmes. The operating entities of the Financial Mechanism should provide information on the progress made in ensuring the complementarity with the other sources of climate finance in their respective reports to the COP.</p>
Decision 1/CP.21  Adoption of the Paris Agreement	<p>64. Urges the institutions serving the Agreement to enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate</p>
	<p>110. Encourages the operating entities of the Financial Mechanism of the Convention to engage in the technical expert meetings and to inform participants of their contribution to facilitating progress in the implementation of policies, practices and actions identified during the technical examination process;</p>
Decision 7/CP.21	<p>9. Reiterates the invitation for financial inputs from a variety of sources, public and private, including alternative sources, throughout the initial resource mobilization process</p>

Report of the GCF to the Conference of the Parties and guidance to the GCF	10. Requests the Board of the Green Climate Fund to agree on the arrangements for the first formal replenishment process of the Green Climate Fund as soon as feasible;
	13. Requests the Board of the Green Climate Fund to ensure that the revised funding proposal template and concept note template are designed to facilitate the application process;
	14. Also requests the Board of the Green Climate Fund to adopt a simplified process for approval of proposals for certain activities, in particular for small-scale activities, as soon as possible in 2016, to reduce complexities and costs involved in project proposal development;
	16. Urges the Board of the Green Climate Fund to streamline the accreditation modalities and to seek a balance of diversity in accredited entities;
	18. Requests the Board of the Green Climate Fund to prioritize the development of its initial risk management framework;
	19. Also requests the Board of the Green Climate Fund to enhance transparency and stakeholder engagement;
	20. Urges the Board of the Green Climate Fund to operationalize the Independent Evaluation Unit, Independent Redress Mechanism and Independent Integrity Unit as a matter of urgency and to make public the procedures Parties and affected individuals should follow when seeking redress until the Independent Redress Mechanism is operationalized;
	26. Encourages the Board of the Green Climate Fund to improve complementarity and coherence with other institutions, per paragraphs 33 and 34 of the governing instrument of the Green Climate Fund, including by engaging with relevant bodies of the Convention, such as the Standing Committee on Finance;
	27. Urges the Board of the Green Climate Fund to develop appropriate mechanisms to support the fund through appropriate expert and technical advice, including from thematic bodies, as appropriate;
Decision 7/CP.21  Report of the GCF to the Conference of the Parties and guidance to the GCF	14. Welcomes the efforts to date of the Global Environment Facility to engage with the Green Climate Fund and encourages both entities to further articulate and build on the complementarity of their policies and programmes within the Financial Mechanism of the Convention
Decision 10/CP.22  Report of the GCF to the Conference of the Parties and guidance to the GCF	6. Requests the Board to facilitate an increase in the amount of direct access proposals in the pipeline and to report to the Conference of the Parties on progress made in this regard
	7. Also requests the Board to take into account decision 1/CP.21, paragraph 64, to enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate, and in accordance with Board decisions
	9. Looks forward to the biennial report on the matter referred to in paragraph 8 above, in accordance with decision 7/CP.20, paragraph 20, and Board decision B.08/24
	10. Requests the Board to enhance the delivery of resources by addressing those measures that are delaying the implementation of projects that have been approved by the Board, including the conclusion of pending accreditation master agreements and funded activity agreements
	13. Reiterates its request to the Board to agree on the arrangements for the first formal replenishment process of the Green Climate Fund

INDC

Decision 1/CP.19  Further advancing the Durban Platform	2. Decides, in the context of its determination to adopt a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties at its twenty-first session (December 2015) and for it to come into effect and be implemented from 2020: (b) To invite all Parties to initiate or intensify domestic preparations for their intended nationally determined contributions, without prejudice to the legal nature of the contributions, in the context of adopting a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties towards achieving the objective of the Convention as set out in its Article 2 and to communicate them well in advance of the twenty-first session of the Conference of the Parties (by the first quarter of 2015 by those Parties ready to do so) in a manner that facilitates the clarity, transparency and understanding of the intended contributions, without prejudice to the legal nature of the contributions; (c) To request the Ad Hoc Working Group on the Durban Platform for Enhanced Action to identify, by the twentieth session of the Conference of the Parties, the information that Parties will provide
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	when putting forward their contributions, without prejudice to the legal nature of the contributions, referred to in paragraph 2(b) above; (d) To urge and request developed country Parties, the operating entities of the financial mechanism and any other organizations in a position to do so to provide support for the related activities referred to in paragraphs 2(b) and 2(c) above as early as possible in 2014;
Decision 1/CP.20  Lima Call for Climate Action	15. Reiterates its call to developed country Parties, the operating entities of the Financial Mechanism and any other organizations in a position to do so to provide support for the preparation and communication of the intended nationally determined contributions of Parties that may need such support;

Others

Decision 1/CP.20  Lima Call for Climate Action	19. Decides to continue the technical examination of opportunities with high mitigation potential, including those with adaptation, health and sustainable development co-benefits, in the period 2015–2020, by requesting the secretariat to: (a) Organize a series of in-session technical expert meetings which: (ii) Build on and utilize the related activities of, and further enhance collaboration and synergies among, the Technology Executive Committee, the Climate Technology Centre and Network, the Durban Forum on capacity-building, the Executive Board of the clean development mechanism and the operating entities of the Financial Mechanism;
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## 2. **Global Environment Facility**

### Adaptation

<p>Decision 5/CP.7</p> <p>Implementation of Article 4, paragraph 8 and 9, of the Convention (decision 3/CP.3 and Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol)</p>	<p>7. Decides that the implementation of the following activities shall be supported through the Global Environment Facility (in accordance with decision 6/CP.7) and other bilateral and multilateral sources:</p> <p>(a) Information and methodologies:</p> <p>(i) Improving data collection and information gathering, as well as their analysis, interpretation and dissemination to end-users;</p> <p>(ii) Integrating climate change considerations into sustainable development planning;</p> <p>(iii) Providing training in specialized fields relevant to adaptation such as climate and hydroclimate studies, geographical information systems, environmental impact assessment, modelling, integrated coastal zone management, soil and water conservation and soil restoration;</p> <p>(iv) Strengthening existing and, where needed, establishing national and regional systematic observation and monitoring networks (sea-level rise, climate and hydrological monitoring stations, fire hazards, land degradation, floods, cyclones and droughts);</p> <p>(v) Strengthening existing and, where needed, establishing national and regional centres and institutions for the provision of research, training, education and scientific and technical support in specialized fields relevant to climate change, utilizing information technology as much as possible;</p> <p>(vi) Strengthening existing and, where needed, establishing national and regional research programmes on climate variability and climate change, oriented towards improving knowledge of the climate system at the regional level, and creating national and regional scientific capability;</p> <p>(vii) Supporting education and training in, and public awareness of, climate change related issues, for example through workshops and information dissemination</p> <p>7. Decides that the implementation of the following activities shall be supported through the Global Environment Facility (in accordance with decision 6/CP.7) and other bilateral and multilateral sources:</p> <p>(b) Vulnerability and adaptation:</p> <p>(i) Supporting enabling activities for vulnerability and adaptation assessment;</p> <p>(ii) Enhancing technical training for integrated climate change impact and vulnerability and adaptation assessments across all relevant sectors, and environmental management related to climate change;</p> <p>(iii) Enhancing capacity, including institutional capacity, to integrate adaptation into sustainable development programmes;</p> <p>(iv) Promoting the transfer of adaptation technologies;</p> <p>(v) Establishing pilot or demonstration projects to show how adaptation planning and assessment can be practically translated into projects that will provide real benefits, and may be integrated into national policy and sustainable development planning, on the basis of information provided in the national communications from non-Annex I Parties and/or other relevant sources, and of the staged approach endorsed by the Conference of the Parties in its decision 11/CP.1;</p> <p>(vi) Supporting capacity building, including institutional capacity, for preventive measures, planning, preparedness of disasters relating to climate change, including contingency planning, in particular, for droughts and floods in areas prone to extreme weather events;</p> <p>(vii) Strengthening existing and, where needed, establishing early warning systems for extreme weather events in an integrated and interdisciplinary manner to assist developing country Parties, in particular those most vulnerable to climate change;</p> <p>19. Decides that the implementation of the activities included in paragraphs 25 to 32 below shall be supported through the Global Environment Facility (in accordance with decision 6/CP.7), the special climate change fund (in accordance with decision 7/CP.7), and other bilateral and multilateral sources;</p> <p>8. Decides that the implementation of the following activities shall be supported through the special climate change fund (in accordance with decision 7/CP.7) and/or the adaptation fund (in accordance with decision 10/CP.7), and other bilateral and multilateral sources:</p> <p>(a) Starting to implement adaptation activities promptly where sufficient information is available to warrant such activities, inter alia, in the areas of water resources management, land management, agriculture, health, infrastructure development, fragile ecosystems, including mountainous ecosystems, and integrated coastal zone management;</p> <p>(b) Improving the monitoring of diseases and vectors affected by climate change, and related forecasting and early-warning systems, and in this context improving disease control and prevention;</p> <p>(c) Supporting capacity building, including institutional capacity, for preventive measures, planning, preparedness and management of disasters relating to climate change, including contingency planning, in particular, for droughts and floods in areas prone to extreme weather events;</p> <p>(d) Strengthening existing and, where needed, establishing national and regional centres and</p>
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	information networks for rapid response to extreme weather events, utilizing information technology as much as possible;
Decision 6/CP.7  Additional guidance to an operating entity of the financial mechanism	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF, as an operating entity of the financial mechanism, should provide financial resources to developing country Parties, in particular the least developed and the small island developing States among them, for the following activities, including those identified in paragraph 7 of decision 5/CP.7: (a) Strengthening, in particularly vulnerable countries and regions identified in stage I activities and especially countries vulnerable to climate-related natural disasters, the implementation of country-driven stage II adaptation activities, pursuant to decision 2/CP.4, paragraph 1 (a), that build upon work done at the national level, either in the context of national communications or of in-depth national studies, including national adaptation programmes of action (NAPAs);
Decision 8/CP.8  Guidance to an entity entrusted with the operation of the financial mechanism of the Convention, for the operation of the Least Developed Countries Fund	2. Requests the Global Environment Facility, including its implementing agencies, to ensure the speedy release and disbursement of funds and timely assistance for the preparation of national adaptation programmes of action;
Decision 4/CP.9  Additional guidance to an operating entity of the financial mechanism	3. Invites the Global Environment Facility to give appropriate consideration to addressing the priority needs identified by non-Annex I Parties in their regional action plans relating to global observing systems for climate, noting the existence of other bilateral and multilateral agencies and mechanisms that support global climate observing systems.
Decision 8/CP.10  Additional guidance to an operating entity of the financial mechanism	4. Requests the Global Environment Facility, as an operating entity of the financial mechanism, to make available further financial and technical resources to implement the actions identified in paragraph 7 of decision 5/CP.7;
Decision 1/CP.12  Further guidance to an entity entrusted with the operation of the financial mechanism of the Convention, for the operation of the Special Climate Change Fund	6. Requests the entity entrusted with the operation of the Special Climate Change Fund to continue its efforts to mobilize resources, in addition to those already pledged, to support the funding of eligible project activities under the Special Climate Change Fund;
Decision 2/CP.12  Review of the financial mechanism	1. Requests the Global Environment Facility: (a) To give due priority to adaptation activities in accordance with the guidance provided by the Conference of the Parties;
Decision 5/CP.14  Further guidance for the operation of the Least Developed Countries Fund	3. Invites the Global Environment Facility to inform its agencies of relevant provisions of the Convention and decisions of the Conference of the Parties on the operation of the Least Developed Countries Fund, in order to allow the agencies to take these into account in fulfilling their Global Environment Facility obligations;
Decision 2/CP.16	5. Decides that the Global Environment Facility should continue to provide and enhance support for the implementation of adaptation activities, including the implementation of national adaptation

Fourth review of the financial mechanism	programmes of action, through the Least Developed Countries Fund and the Special Climate Change Fund;
Decision 5/CP.16  Further guidance for the operation of the Least Developed Countries Fund	<p>1. Reiterates its request to the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund, in parallel to supporting the ongoing implementation of national adaptation programmes of action, to facilitate the implementation of the remaining elements of the least developed countries work programme;</p> <p>3. Requests the Global Environment Facility to provide funding from the Least Developed Countries Fund to least developed country Parties, upon request, to enable the update of their national adaptation programmes of action with a view to further improving their quality, to facilitate the integration of least developed countries adaptation actions into development planning and to reflect increased adaptation knowledge and changed priorities in the countries;</p>
Decision 11/CP.17  Report of the Global Environment Facility to the Conference of the Parties and additional guidance to the Global Environment Facility	<p>1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(d) To continue to provide financial resources to developing countries for strengthening existing and, where needed, establishing national and regional systematic observation and monitoring networks under the Least Developed Countries Fund and the Special Climate Change Fund;</p>
Decision 10/CP.18  Further guidance to the Least Developed Countries Fund	<p>5. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund:</p> <p>(a) To continue to support all activities contained in the least developed countries work programme;</p> <p>6. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund:</p> <p>(b) To continue mobilizing resources to ensure the full implementation of the least developed countries work programme, including the implementation of the elements of the work programme other than national adaptation programmes of action, through, inter alia, capacity-building to improve coordination at different levels of government and across sectors in order to improve project performance in the least developed country Parties, with regard to the implementation of the Convention;</p>
Decision 4/CP.20  Report of the Adaptation Committee	<p>4. Requests Parties, operating entities of the Financial Mechanism and other relevant entities working on adaptation to consider the recommendations contained in chapter V of the report of the Adaptation Committee, as included in the annex;</p> <p>Annex:</p> <p>2. The AC recommends that the COP invite Parties, operating entities of the Financial Mechanism and relevant entities working on adaptation to take into account the following recommendations, which are based on the outcomes of the meeting of the task force on national adaptation plans (NAPs) referred to in paragraphs 38 and 39 of the report of the AC referred to in paragraph 1 above:</p> <p>(a) Recognizing the importance of raising awareness and buy-in for the NAP process by all stakeholders, in order to:</p> <p>(i) Generate interest in, demand for and leadership of the NAP process at the national level;</p> <p>(ii) Make available support for the NAP process better known;</p> <p>(b) Improving coordination, collaboration and coherence among:</p> <p>(i) Bilateral and multilateral agencies and institutions, including the operating entities of the Financial Mechanism;</p> <p>(ii) Various national ministries;</p> <p>(iii) Parties and regions, with a view to:</p> <p>a. Enhancing the accessibility of NAP support;</p> <p>b. Further understanding effective pathways to achieving the objectives of the NAP process, on the basis of experience;</p> <p>c. Fostering coherence in the provision of support, including by better matching needs with support, involving more financial institutions in the NAP process and helping countries to prepare for accessing funding, including from the Green Climate Fund (GCF);</p>

	(c) Enhancing learning as stakeholders increasingly engage in the NAP process, particularly around aspects such as the role of institutional arrangements and monitoring and evaluation.
Decision 9/CP.20 Annex  Fifth review of the Financial Mechanism  Executive summary of the technical paper on the fifth review of the Financial Mechanism	<p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex: 51. The GEF and the GCF may consider collaborating in the use of funding pathways that may include the LDCF and the SCCF.</p> <p>4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p>Annex: 76. The GEF and the GCF may consider collaborating to harmonize impact indicators and set new norms around reporting practice, especially in the context of adaptation finance. 79. There is evidence that good results and impacts have been achieved with the resources provided by the GEF. Efforts to harmonize and improve the methodologies for measuring the results and impacts of the supported activities need to continue.</p>
Decision 10/CP.20  Further guidance to the Least Developed Countries Fund	7. Invites the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to continue to support the remaining activities contained in the least developed countries work programme;
Decision 8/CP.21  Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	<p>8. Requests the Global Environment Facility to carry out a technical review of the programme priorities of the Least Developed Countries Fund, taking into account the independent review referred to in paragraph 6 above, and to focus the technical review on, inter alia</p> <p>(a) Undertaking pilot concrete climate change activities that are particularly relevant for the least developed countries;</p> <p>(b) Enhancing longer-term institutional capacity to design and execute the activities referred to in paragraph 8(a) above</p>
Decision 11/CP.22  Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	12. Requests the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention, to take into consideration climate risks in all its programmes and operations, as appropriate, keeping in mind lessons learned and best practices

#### Technology

Decision 11/CP.1  Initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism	<p>1. Decides to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(a) Regarding activities undertaken under Article 11 of the Convention, Within the framework of the financial mechanism:</p> <p>(i) The operating entity or entities should, in all funding decisions related to the financial mechanism, take into account Article 4.1, 4.7, 4.8, 4.9 and 4.10 of the Convention. In particular, in order to take full account of the specific needs and special situations of the least developed countries, funds allocated to their projects/programmes should be on a grant basis;</p> <p>(ii) Projects funded through the financial mechanism should be country-driven and in conformity with, and supportive of, the national development priorities of each country;</p> <p>(iii) The operating entity or entities should ensure that, with reference to activities involving transfer of technology, such technology is environmentally sound and adapted to suit local conditions;</p>
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	<p>(iv) As far as possible, due consideration should be given to the following aspects concerning activities undertaken under the financial mechanism. Activities should be:</p> <ul style="list-style-type: none"> <li>- Supportive of the national development priorities which contribute to a comprehensive national response to climate change;</li> <li>- Consistent with and supportive of the relevant provisions of internationally agreed programmes of action for sustainable development in line with the Rio Declaration and Agenda 21 and UNCED-related agreements;</li> <li>- Sustainable and lead to wider application;</li> <li>- Cost-effective;</li> </ul> <p>(v) The operating entity or entities of the financial mechanism should strive to leverage other funds in support of the activities of developing country Parties to address climate change;</p> <p>(vi) In mobilizing funds, the operating entity or entities should provide all relevant information to developed country Parties and other Parties included in Annex II to the Convention, to assist them to take into full account the need for adequacy and predictability in the flow of funds. The entity or entities entrusted with the operation of the financial mechanism should take full account of the arrangements agreed with the Conference of the Parties, which, inter alia, shall include determination in a predictable and identifiable manner of the amount of funding necessary and available for the implementation of the Convention, as provided for in Article 11.3(d) of the Convention.</p>
<p>Decision 2/CP.4</p> <p>Additional guidance to the operating entity of the financial mechanism</p>	<p>1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to:</p> <p>(b) Enable them, in light of their social and economic conditions and taking into account state-of-the-art environmentally sound technologies, to identify and submit to the Conference of the Parties their prioritized technology needs, especially as concerns key technologies needed in particular sectors of their national economies conducive to addressing climate change and minimizing its adverse effects;</p>
<p>Decision 4/CP.9</p> <p>Additional guidance to an operating entity of the financial mechanism</p>	<p>1. Decides that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(c) On matters relating to transfer of technology, continue to support enabling activities relating to technology needs assessments;</p>
<p>Decision 3/CP.12</p> <p>Additional guidance to the Global Environment Facility</p>	<p>1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(d) To continue to provide financial support for the implementation of the technology transfer contained in the annex to decision 4/CP.7, including new sub-themes, through its climate change focal area and the Special Climate Change Fund established under decision 7/CP.7;</p>
<p>Decision 4/CP.14</p> <p>Additional guidance to the Global Environment Facility</p>	<p>1. Requests the Global Environment Facility:</p> <p>(c) To continue to enhance action on mitigation and, as appropriate, adaptation, in developing country Parties, including to promote, facilitate and finance, as appropriate, transfer of, or access to, environmentally sound technologies and know-how;</p>
<p>Decision 11/CP.17</p> <p>Report of the Global Environment Facility to the Conference of the Parties and additional guidance to the Global Environment Facility</p>	<p>2. Invites the Global Environment Facility, in the context of technology needs assessments, to continue to provide financial support to other non-Annex I Parties as appropriate to conduct or update their technology needs assessments, noting the availability of the updated Handbook for Conducting Technology Needs Assessments for Climate Change</p>
<p>Decision 6/CP.19</p> <p>Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility</p>	<p>11. Also requests the Global Environment Facility to support, within its mandate, the implementation of country-driven projects identified in the technology needs assessments prepared by developing country Parties;</p>

Decision 11/CP.22  Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	11. Urges the Global Environment Facility and recipient countries to continue exploring with the Climate Technology Centre and Network ways to support climate technology related projects through country allocations of the sixth replenishment of the Global Environment Facility
Decision 14/CP.22  Linkages between the Technology Mechanism and the Financial Mechanism of the Convention	8. Encourages the Technology Executive Committee, the Climate Technology Centre and Network and the operating entities of the Financial Mechanism to enhance the involvement of relevant stakeholders as they undertake actions to strengthen the linkages between the Technology Mechanism and the Financial Mechanism; 9. Invites the Technology Executive Committee, the Climate Technology Centre and Network and the operating entities of the Financial Mechanism to provide information on their actions in strengthening the linkages between the Technology Mechanism and the Financial Mechanism in their annual reports to the Conference of the Parties for guidance on further actions if needed
Decision 15/CP.22  Enhancing climate technology development and transfer through the Technology Mechanism	12. Encourages the Global Environment Facility and the Climate Technology Centre to enhance their collaboration with respect to exploring new ways of supporting climate technology related requests for technical assistance

Mitigation

Decision 5/CP.11  Additional guidance to an operating entity of the financial mechanism	3. Requests the Global Environment Facility to consider whether supporting carbon capture and storage technologies, in particular related capacity-building activities, would be consistent with its strategies and objectives, and if so, how they could be incorporated within its operational programmes;
Decision 2/CP.12  Review of the financial mechanism	1. Requests the Global Environment Facility: (c) To explore options for undertaking land use and land-use change projects within the climate change focal area of the Global Environment Facility, in light of past experience; 1. Requests the Global Environment Facility: (d) To continue its promotion of energy efficiency projects;
Decision 2/CP.17  Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention	48. Further invites developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions: (a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions; 68. Encourages the operating entities of the financial mechanism of the Convention to provide results-based finance for the actions referred to in decision 1/CP.16, paragraph 73;
Decision 16/CP.18  Prototype of the registry	2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;

Decision 9/CP.19  Work programme on results-based finance to progress the full implementation of the activities referred to in decision 1/CP.16, paragraph 70	5. Encourages entities financing the activities referred to in decision 1/CP.16, paragraph 70, through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, including the Green Climate Fund in a key role, to collectively channel adequate and predictable results-based finance in a fair and balanced manner, taking into account different policy approaches, while working with a view to increasing the number of countries that are in a position to obtain and receive payments for results-based actions;
Decision 16/CP.21  Alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests	6. Notes that the financing entities referred to in decision 9/CP.19, paragraph 5, are encouraged to continue to provide financial resources, including through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, for alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests

#### Capacity-building and Article 6

Decision 2/CP.4  Additional guidance to the operating entity of the financial mechanism	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (c) Build capacity for participation in systematic observational networks to reduce scientific uncertainties relating to the causes, effects, magnitude and timing of climate change, in accordance with Article 5 of the Convention;
	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (f) Assist in developing, strengthening and/or improving national activities for public awareness and education on climate change and response measures, in full accordance with Article 6 of the Convention and decision 11/CP.1, paragraph 1(b)(iii), and taking into account, where appropriate, relevant GEF operational programmes;
	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (g) Support capacity-building for: (i) The assessment of technology needs to fulfil the commitments of developing countries under the Convention, the identification of sources and suppliers of these technologies, and the determination of modalities for the acquisition and absorption thereof; (ii) Country-driven activities and projects to enable Parties not included in Annex I to the Convention (non-Annex I Parties) to design, evaluate and manage these projects; (iii) Strengthening the capacity of non-Annex I Parties to host projects, including from project formulation and development to their implementation; (iv) Facilitating national/regional access to the information provided by international centres and networks, and for working with those centres for the dissemination of information, information services, and transfer of environmentally sound technologies and know-how in support of the Convention;
Decision 10/CP.5  Capacity-building in developing countries (Non-Annex I Parties)	1. Decides that (a) Financial and technical support for capacity-building activities for implementing the Convention in developing countries, in particular the least developed countries and the small island developing States, should be provided through the financial mechanism and through bilateral and multilateral agencies, as appropriate;



Decision 2/CP.7  Capacity Building in developing countries (non-Annex I Parties)	5. Requests the Global Environment Facility, as an operating entity of the financial mechanism, to report on its progress in support of the implementation of the framework on Capacity-building in its reports to the Conference of the Parties;
Decision 6/CP.7  Additional guidance to an operating entity of the financial mechanism	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF, as an operating entity of the financial mechanism, should provide financial resources to developing country Parties, in particular the least developed and the small island developing States among them, for the following activities, including those identified in paragraph 7 of decision 5/CP.7: (i) Building the capacity, including, where appropriate, institutional capacity, for preventive measures, planning, preparedness for disasters related to climate change, including in particular, contingency planning for droughts and floods in areas prone to extreme weather events;
Decision 4/CP.9  Additional guidance to an operating entity of the financial mechanism	1. Decides that the Global Environment Facility, as an operating entity of the financial mechanism, should: (b) On matters relating to capacity-building: (i) Continue to provide financial support to non-Annex I Parties, in accordance with decision 6/CP.7, for the implementation of the capacity-building framework annexed to decision 2/CP.7; (ii) Take into account, in its work relating to the development of capacity-building performance indicators for the climate change focal area, the capacity-building framework annexed to decision 2/CP.7, and undertake this work in consultation with the Convention secretariat; (iii) Provide financial support to countries with economies in transition, within its mandate, for the implementation of the capacity-building framework annexed to decision 3/CP.7;  2. Requests the Global Environment Facility: (a) To continue its support for education, training and public awareness activities relating to climate change;
Decision 3/CP.10  Capacity-building for countries with economies in transition	2. Invites the Global Environment Facility within its mandate, Parties included in Annex II to the Convention (Annex II Parties), and multilateral, bilateral and other international organizations that are in a position to do so, to provide financial support for capacity-building activities in Parties with economies in transition, as outlined in decision 3/CP.7;  3. Invites the Global Environment Facility within its mandate and its implementing agencies, Annex II Parties and multilateral, bilateral and other international organizations to provide information regarding opportunities for technical and financial support for capacity-building activities in Parties with economies in transition;
Decision 7/CP.10  Status of, and ways to enhance, implementation of the New Delhi work programme on Article 6 of the Convention	3. Urges the Global Environment Facility to continue its work in improving the access to, and visibility of, opportunities for funding Article 6 activities and in providing information on Article 6 activities in its reports to the Conference of the Parties;
Decision 8/CP.10  Additional guidance to an operating entity of the financial mechanism	2. Urges the Global Environment Facility, on matters relating to Article 6 of the Convention, to continue its work in improving access to, and visibility of, opportunities for funding Article 6 activities;
Decision 4/CP.14  Additional guidance to the Global Environment Facility	5. Further reiterates its request to the Global Environment Facility to make continued efforts to provide adequate financial resources to support the implementation of capacity-building activities consistent with decision 2/CP.7;

Decision 2/CP.16  Fourth review of the financial mechanism	3. Decides that the Global Environment Facility has provided and should continue to enhance its support to developing countries in: (b) Strengthening national capacity-building;
Decision 3/CP.16  Additional guidance to the Global Environment Facility	3. Urges the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for activities related to Article 6 of the Convention;
Decision 7/CP.16  Progress in, and ways to enhance, the implementation of the amended New Delhi work programme on Article 6 of the Convention	5. Urges the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for Article 6 related activities;
Decision 2/CP.17  Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention	152. Encourages the relevant bodies established under the Convention, including, inter alia, the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention, the Least Developed Countries Expert Group and the Global Environment Facility as an operating entity of the financial mechanism, to continue to elaborate and carry out work on capacity-building in an integrated manner, as appropriate, within their respective mandates;
Decision 15/CP.18  Doha work programme on Article 6 of the Convention	6. Invites multilateral and bilateral institutions and organizations, including operating entities of the financial mechanism of the Convention, as appropriate, to provide financial resources to support the activities relating to the implementation of Article 6 of the Convention;  7. Requests the Global Environment Facility to continue to provide financial resources to Parties not included in Annex I to the Convention, in particular African countries, the least developed countries and small island developing States, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9, 7/CP.10, 3/CP.12, 7/CP.13, 3/CP.16 and 11/CP.17, to support the implementation of the work programme and to regularly report to the Conference of the Parties on the activities it has supported;
Decision 21/CP.18  Capacity-building under the Convention for countries with economies in transition	3. Invites Parties included in Annex II to the Convention and other Parties in a position to do so, the Global Environment Facility within its mandate, multilateral and bilateral agencies, other international organizations, and the private sector, or any further arrangements as appropriate, to continue to provide support for capacity-building activities in those countries with economies in transition that are currently receiving support;
Decision 14/CP.21  Capacity-building under the Convention	8. Invites representatives of the relevant bodies established under the Convention, operating entities of the Financial Mechanism of the Convention, intergovernmental and non-governmental organizations, and relevant experts and practitioners to integrate into their work programmes and activities the lessons learned at, and the main outcomes of, the meetings of the Durban Forum
Decision 11/CP.22  Report of the Global Environment Facility to the Conference of the Parties and guidance to the	15. Requests the Global Environment Facility, as the operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to continue to enhance capacity development in the least developed countries for the development of project proposals with a focus on identifying potential funding sources, both national and international, and enhancing long-term domestic institutional capacities

Global Environment Facility	
Decision 17/CP.22	10. Invites multilateral and bilateral institutions and organizations, including operating entities of the Financial Mechanism, to provide, or to continue to provide, financial resources to support activities related to the implementation of Article 6 of the Convention
Improving the effectiveness of the Doha work programme on Article 6 of the Convention	11. Requests the Global Environment Facility to continue to provide financial resources to Parties not included in Annex I to the Convention, in particular African countries, the least developed countries and small island developing States, in order to support activities related to the implementation of Article 6 of the Convention

CBIT

Decision 1/CP.21	86. Urges and requests the Global Environment Facility to make arrangements to support the establishment and operation of the Capacity-building Initiative for Transparency as a priority reporting-related need, including through voluntary contributions to support developing country Parties in the sixth replenishment of the Global Environment Facility and future replenishment cycles, to complement existing support under the Global Environment Facility;
Adoption of the Paris Agreement	88. Requests that the Global Environment Facility, as an operating entity of the Financial Mechanism, include in its annual report to the Conference of the Parties the progress of work in the design, development and implementation of the Capacity-building Initiative for Transparency referred to in paragraph 84 above starting in 2016;
Decision 11/CP.22	9. Requests the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention, to continue providing in its annual reports, inter alia, information on the establishment and operation of the Capacity-building Initiative for Transparency, including its programming and implementation modalities, on the voluntary contributions pledged and provided, and on the implementation of decision 9/CP.18
Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	

INDCs

Decision 1/CP.19  Further advancing the Durban Platform	2. Decides, in the context of its determination to adopt a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties at its twenty-first session (December 2015) and for it to come into effect and be implemented from 2020: (d) To urge and request developed country Parties, the operating entities of the financial mechanism and any other organizations in a position to do so to provide support for the activities [related] to [the preparations for intended nationally determined contributions without prejudice to the legal nature of the contributions, in the context of adopting a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties] as early as possible in 2014;
Decision 1/CP.20  Lima Call for Climate Action	15. Reiterates its call to developed country Parties, the operating entities of the Financial Mechanism and any other organizations in a position to do so to provide support for the preparation and communication of the intended nationally determined contributions of Parties that may need such support;
Decision 1/CP.21  Adoption of the Paris Agreement	15. Reiterates its call to developed country Parties, the operating entities of the Financial Mechanism and any other organizations in a position to do so to provide support for the preparation and communication of the intended nationally determined contributions of Parties that may need such support;
Decision 8/CP.21  Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	12. Requests the Global Environment Facility to consider how to support developing country Parties in formulating policies, strategies, programmes and projects to implement activities that advance priorities identified in their respective intended nationally determined contributions in a manner consistent with the operational policies and guidelines of the Global Environment Facility, starting in 2016;

Enabling activities

Decision 2/CP.4  Additional guidance to the operating entity of the financial mechanism	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (e) Assist them with studies leading to the preparation of national programmes to address climate change, compatible with national plans for sustainable development, in accordance with Article 4.1(b) of the Convention and paragraph 13 of the annex to decision 10/CP.2;
Decision 7/CP.13  Additional guidance to the Global Environment Facility	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (g) To continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention; 1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (i) To work with its implementing agencies to continue to simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations; 1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (j) To refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national communications, in the light of paragraph 1 (g)-(i) above;

	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (l) To ensure, together with its implementing agencies, that the analysis of project proposals for the financing of second and subsequent national communications is consistent with the guidelines for the preparation of national communications from non-Annex I Parties;
	2. Invites the Global Environment Facility: (a) To continue to provide information on funding for projects identified in the national communications of non-Annex I Parties
Decision 3/CP.16  Additional guidance to the Global Environment Facility	4. Requests the Global Environment Facility: (a) To continue to provide funds for technical support for the preparation of national communications of Parties not included in Annex I to the Convention (non-Annex I Parties), similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications;
	4. Requests the Global Environment Facility: (c) To work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations, and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;
Decision 11/CP.17  Report of the Global Environment Facility to the Conference of the Parties and additional guidance to the Global Environment Facility	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (a) To continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between the enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;
Decision 9/CP.18  Report of the Global Environment Facility to the Conference of the Parties and additional guidance to the Global Environment Facility	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (a) To make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e);
	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs;
Decision 8/CP.20  Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global	12. Requests the Global Environment Facility to continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention receive funding to meet their obligations under Article 12, paragraph 1, of the Convention;

Environment Facility	
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Operational

Decision 11/CP.1  Initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism	<p>1. Decides to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(b) Regarding programme priorities,</p> <p>(i) Priority should be given to the funding of agreed full costs (or agreed full incremental costs, as appropriate) incurred by developing country Parties in complying with their obligations under Article 12.1 and other relevant commitments under the Convention. In the initial period, emphasis should be placed on enabling activities undertaken by developing country Parties, such as planning and endogenous capacity-building, including institutional strengthening, training, research and education, that will facilitate implementation, in accordance with the Convention, of effective response measures;</p> <p>(ii) In this context, activities aimed at strengthening research and technological capabilities for the implementation of the Convention in developing country Parties should be supported through international and intergovernmental efforts. Such support would include networking and the training of experts and, as appropriate, institutional development;</p> <p>(iii) Emphasis should also be placed on improving national public awareness and education on climate change and response measures;</p> <p>(iv) The operating entity or entities should finance the formulation by developing country Parties of nationally determined programmes to address climate change issues which are in accordance with national development priorities. To facilitate the formulation of these programmes, it should finance capacity-building and all other activities related to the formulation, management and regular updating of these programmes, which should, as far as possible, be comprehensive;</p> <p>(v) The operating entity or entities should, in accordance with the policies, programme priorities and eligibility criteria as established by the Conference of the Parties, be available to assist, if so requested, in the implementation of the national programmes adopted by developing country Parties;</p> <p>(vi) In the implementation of these national programmes, the operating entity or entities should support agreed activities to mitigate climate change, as referred to in the Convention, in particular in Article 4.1, consistent with Article 4.3.</p>
	<p>1. Decides to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(c) Regarding eligibility criteria,</p> <p>Eligibility criteria shall apply to countries and to activities and shall be applied in accordance with Article 11.1, 11.2 and 11.3;</p> <p>(i) Regarding eligibility of countries, only countries that are Parties to the Convention would be eligible to receive funding upon entry into force of the Convention. In this context, only developing country Parties would be eligible to receive funding through the financial mechanism, in accordance with Article 4.3;</p> <p>(ii) Regarding eligibility of activities,</p> <ul style="list-style-type: none"> <li>- Those activities related to obligations under Article 12.1 to communicate information for which the "agreed full costs" are to be met are eligible for funding;</li> <li>- Measures covered by Article 4.1 are eligible for funding through the financial mechanism in accordance with Article 4.3. Such measures should be agreed between the developing country Party and the international entity or entities referred to in Article 11.1, in accordance with Article 4.3;</li> <li>- In addition to the above, such measures would be eligible for financial support under Article 11.5.</li> </ul>
	<p>1. Decides to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(e) Regarding agreed full incremental costs,</p> <p>The various issues of incremental costs are complex and difficult and further discussion on the subject is therefore needed. The application of the concept of agreed full incremental costs should be flexible, pragmatic and on a case-by-case basis. Guidelines in this regard will be developed by the Conference of the Parties at a later stage on the basis of experience.</p>

Decision 12/CP.1  Report of the Global Environment Facility to the Conference of the Parties on the development of an operational strategy and on initial activities in the field of climate change	4. Invites the Global Environment Facility in future reports to take fully into account relevant aspects of the modalities for the functioning of operational linkages between the Conference of the Parties and the operating entity or entities of the financial mechanism.
Decision 11/CP.2  Guidance to the Global Environment Facility	1. Decides to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (a) In the initial period, implement strategies on enabling activities in accordance with decision 11/CP.1 which facilitate endogenous capacity-building, including data collection and archiving, consistent with the policy guidance, programme priorities and eligibility criteria provided to it by the Conference of the Parties;  1. Decides to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (c) Together with its implementing agencies, expedite the approval and the disbursement of financial resources to meet the agreed full costs incurred by the developing country Parties in complying with their obligations under Article 12.1 of the Convention, in accordance with Article 4.3, and in particular for the initial and subsequent preparation of national communications of non-Annex I Parties. In this regard, the guidelines and format adopted by the Conference of the Parties at its second session on the preparation of initial national communications by non-Annex I Parties contained in decision 10/CP.2 shall form the basis for the funding of communications from non-Annex I Parties under Article 12.1 of the Convention;
Decision 2/CP.4  Additional guidance to the operating entity of the financial mechanism	4. Requests the GEF to ensure that its implementing/executing agencies are made aware of Convention provisions and decisions adopted by the Conference of the Parties in the performance of their GEF obligations and are encouraged, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;
Decision 6/CP.7  Additional guidance to an operating entity of the financial mechanism	2. Invites the GEF: (d) Further to encourage the use of national and regional experts and/or consultants to enhance project development and implementation; in this regard, it should make its list of national and regional experts and/or consultants publicly available;
Decision 5/CP.8  Review of the financial mechanism	4. Invites the Global Environment Facility: (d) To strengthen efforts to promote consistency of Global Environment Facility activities with national priorities and to integrate them into national planning frameworks, such as national sustainable development strategies and poverty reduction strategies;
Decision 7/CP.10  Status of, and ways to enhance, implementation of the New Delhi work programme on Article 6 of the Convention	3. Urges the Global Environment Facility to continue its work in improving the access to, and visibility of, opportunities for funding Article 6 activities and in providing information on Article 6 activities in its reports to the Conference of the Parties;
Decision 8/CP.10	2. Urges the Global Environment Facility, on matters relating to Article 6 of the Convention, to continue its work in improving access to, and visibility of, opportunities for funding Article 6 activities;

Additional guidance to an operating entity of the financial mechanism	
Decision 9/CP.10  Assessment of funding to assist developing countries in fulfilling their commitments under the Convention	2. Urges the Council of the Global Environment Facility to ensure that adequate funding is available to enable developing countries to meet their commitments under the Convention, taking into account Article 4, paragraph 7, as well as Article 11, paragraph 5, of the Convention which provides that developed country Parties may also provide through bilateral, regional and other multilateral channels financial resources relating to the implementation of the Convention by developing country Parties;
Decision 5/CP.11  Additional guidance to an operating entity of the financial mechanism	2. Requests the Global Environment Facility, in accordance with Article 12, paragraph 4, of the Convention, and decision 11/CP.1, to assist, if so requested, Parties not included in Annex I to the Convention in formulating and developing project proposals identified in their national communications, when Parties are formulating their national programmes to address climate change issues;
Decision 7/CP.13  Additional guidance to the Global Environment Facility	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To inform the implementing/executing agencies of the Global Environment Facility of the relevant Convention provisions and decisions of the Conference of Parties in the performance of their Global Environment Facility obligations, and to encourage them, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation; 1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (k) To assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2;
Decision 4/CP.14  Additional guidance to the Global Environment Facility	1. Requests the Global Environment Facility: (e) To continue to encourage its implementing and executing agencies to perform their functions as efficiently and transparently as possible, in accordance with guidance of the Conference of the Parties; 2. Also reiterates the invitation made by the Conference of the Parties at its thirteenth session to the Global Environment Facility to continue to provide information on funding for projects that have been identified in the national communications of non Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved; 3. Reiterates the following requests made by the Conference of the Parties at its thirteenth session to the Global Environment Facility: (c) To assist, as appropriate, non Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2;
Decision 2/CP.16  Fourth review of the financial mechanism	4. Requests the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including:  (e) Ensuring that access to resources is expeditious and timely;
Decision 3/CP.16  Additional guidance to the Global Environment Facility	4. Requests the Global Environment Facility: (e) To provide detailed information on funding for projects that have been identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.
Decision 9/CP.18  Report of the Global Environment Facility	6. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to make its annual report to the Conference of the Parties available as early as possible and no later than 14 weeks prior to a session of the Conference of the Parties, for consideration by Parties;



to the Conference of the Parties and additional guidance to the Global Environment Facility	
Decision 6/CP.19  Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	12. Encourages the Global Environment Facility to continue with its voluntary National Portfolio Formulation Exercise, which has been proved to enhance coordination and coherence at the national level;
Decision 9/CP.20  Fifth review of the Financial Mechanism	6. Notes, however, that the least developed countries and small island developing States still experience challenges in accessing the resources from the Global Environment Facility;
Decision 9/CP.20 Annex  Fifth review of the Financial Mechanism  Executive summary of the technical paper on the fifth review of the Financial Mechanism	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;  Annex: 28. The Evaluation Office of the GEF has found that the GEF is highly responsive to COP guidance, and that it has taken considerable steps to report to the COP in this regard. The GEF is encouraged to continue to provide information on how it has responded to the guidance it has received via its report to the COP.
	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;  Annex: 61. There is a recognized need to strengthen GEF project monitoring systems in order to be able to provide better information on the level of disbursement of approved funds. The GEF should work with its agencies on establishing a standard definition of "disbursement" in order to generate a common understanding of the term within the GEF partnership and enhance transparency of its processes.
	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;  Annex: 92. The operating entities of the Financial Mechanism and the funds under the Convention should collaborate with the view to taking advantage of the complementarity of their respective policies and programmes. The operating entities of the Financial Mechanism should provide information on the progress made in ensuring the complementarity with the other sources of climate finance in their respective reports to the COP.
Decision 1/CP.21  Adoption of the Paris Agreement	58. Decides that the Green Climate Fund and the Global Environment Facility, the entities entrusted with the operation of the Financial Mechanism of the Convention, as well as the Least Developed Countries Fund and the Special Climate Change Fund, administered by the Global Environment Facility, shall serve the Agreement

	64. Urges the institutions serving the Agreement to enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate
Decision 8/CP.21	9. Urges the Global Environment Facility to work with all its agencies and recipient countries to ensure that these countries can take full advantage of the expanded network of agencies
Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	10. Welcomes the exploration of innovative non-grant instruments by the Global Environment Facility, and encourages the Global Environment Facility to work with its agencies, recipient countries and the private sector to submit proposals.
	11. Also welcomes the approval of projects by the Global Environment Facility to support 46 developing country Parties in preparing their intended nationally determined contributions, and encourages the Global Environment Facility to continue providing such support
	14. Welcomes the efforts to date of the Global Environment Facility to engage with the Green Climate Fund and encourages both entities to further articulate and build on the complementarity of their policies and programmes within the Financial Mechanism of the Convention
Decision 11/CP.22	3. Requests the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention, in its seventh replenishment programming, to continue to assist developing countries, in particular the least developed countries and small island developing States, in accessing resources in an efficient manner
Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	4. Also requests the Global Environment Facility, as appropriate, to ensure that its policies and procedures related to the consideration and review of funding proposals be duly followed in an efficient manner
	6. Requests the Global Environment Facility to continue its efforts, as appropriate and as needed, to minimize the potential consequences of the projected shortfall referred to in paragraph 5 above for its support to developing countries, aiming to fulfil the relevant programming directions of the sixth replenishment of the Global Environment Facility
	13. Encourages the Global Environment Facility to continue its efforts to encourage countries to align, as appropriate, their Global Environment Facility programming with priorities as identified in their nationally determined contributions, where they exist, during the seventh replenishment, and to continue to promote synergies across its focal areas
	16. Encourages the Global Environment Facility to continue to track, review and report on the sustainability of project outcomes from the Least Developed Countries Fund and the Special Climate Change Fund
	18. Requests the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention, in light of the entry into force of the Paris Agreement, to continue streamlining project approval processes and providing enhanced support, including enabling activities, to developing country Parties, including the least developed countries and small island developing States, as appropriate, in the context of national climate strategies and plans

#### Gender

Decision 8/CP.20	7. Welcomes the gender mainstreaming policy of the Global Environment Facility;
Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility	8. Requests the Global Environment Facility to ensure that gender mainstreaming is implemented both within its portfolio and within its structure;
Decision 21/CP.22	40. Requests the Financial Mechanism and its operating entities to include in their respective annual reports to the Conference of the Parties information on the integration of gender considerations in all aspects of their work
Gender and climate change	