

**Compilation and analysis of past guidance to the Global Environment Facility
COP 1 until COP 20¹ including SBI conclusions**

Thematic Area/Category	Decision number	Decision text	<i>GEF Response²</i>	<i>Suggested Status</i>
<i>General</i>				
Initial guidance on activities <i>Policies</i>	11/CP.1	<p>1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(a) Regarding activities undertaken under Article 11 of the Convention, Within the framework of the financial mechanism:</p> <p>(i) The operating entity or entities should, in all funding decisions related to the financial mechanism, take into account Article 4.1, 4.7, 4.8, 4.9 and 4.10 of the Convention. In particular, in order to take full account of the specific needs and special situations of the least developed countries, funds allocated to their projects/programmes should be on a <u>grant basis</u>;</p> <p>(ii) Projects funded through the financial mechanism should be <u>country-driven</u> and in conformity with, and supportive of, the <u>national development priorities</u> of each country;</p> <p>(iii) The operating entity or entities should ensure that, with reference to activities involving transfer of technology, such technology is <u>environmentally sound</u> and adapted to <u>suit local conditions</u>;</p> <p>(iv) As far as possible, due consideration should be given to the following aspects concerning activities undertaken under the financial mechanism. Activities should be:</p> <p style="padding-left: 40px;">- Supportive of the <u>national development priorities</u> which contribute to a comprehensive national response to</p>	<p>All GEF project financing has been provided on grant basis.</p> <p>To fund projects that are country-driven and based on national priorities is an operational principal of the GEF Operational Strategy; all project proposals need Government endorsement</p> <p>The GEF Operational Strategy provides that activities are to be environmentally, socially, and financially sustainable</p>	Responded to / Ongoing

¹ This compilation and analysis is an attempt to group the elements of guidance provided to the Global Environment Facility. It clusters those elements of guidance according to the categories identified by the GEF Evaluation Office in the fifth Overall Performance Study of the GEF, available at:

<https://www.thegef.org/gef/sites/thegef.org/files/EO/TD4_Relevance%20of%20the%20GEF%20to%20the%20Conventions.pdf>

² This column is based on information provided in the Report of the GEF to the subsequent COP unless otherwise indicated. *In Italics are notes inserted by the UNFCCC Secretariat.*

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area/Category	Decision number	Decision text	GEF Response ²	Suggested Status
		<p>climate change;</p> <ul style="list-style-type: none"> - Consistent with and supportive of the relevant provisions of internationally agreed programmes of action for <u>sustainable development</u> in line with the Rio Declaration and Agenda 21 and UNCED-related agreements; - <u>Sustainable</u> and lead to wider application; - <u>Cost-effective</u>; <p>(v) The operating entity or entities of the financial mechanism should strive to <u>leverage other funds</u> in support of the activities of developing country Parties to address climate change;</p> <p>(vi) In mobilizing funds, the operating entity or entities should provide all relevant information to developed country Parties and other Parties included in Annex II to the Convention, to assist them to take into full account the need for <u>adequacy and predictability in the flow of funds</u>. The entity or entities entrusted with the operation of the financial mechanism should take full account of the arrangements agreed with the Conference of the Parties, which, inter alia, shall include determination in a predictable and identifiable manner of the amount of funding necessary and available for the implementation of the Convention, as provided for in Article 11.3(d) of the Convention.</p>	<p>Ensuring cost-effectiveness is included as an operational principle of the Operational Strategy of the GEF.</p> <p>Catalyzing and leveraging additional financing from other sources is included as an operational principle; at least US\$680 million has been leveraged (Nov. 2014)</p> <p>Addressed in annex to the Memorandum of Understanding (MOU) between the GEF Council and the COP</p>	
Memorandum of Understanding <i>Other</i>	Decision 6/CP.19	5. <i>Further requests</i> the Global Environment Facility to include, in its report to the Conference of the Parties at its twentieth session (December 2014), information on the modalities that it has established in response to paragraph 5 of the memorandum of understanding between the Conference of the Parties and the Council of the Global Environment Facility	<p>Paragraph 5 of the MOU outlines the process by which the COP asks the GEF Council for further clarification and reconsideration of funding decisions if a Party considers it does not comply with established criteria.</p> <p>Project proposals need to be endorsed by a country's focal point. GEF Council has not been requested to provide clarification on a specific</p>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

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			funding decision.	
GEF Council <i>Other</i>	Decision 9/CP.10	2. <i>Urges</i> the Council of the Global Environment Facility to ensure that adequate funding is available to enable developing countries to meet their commitments under the Convention, taking into account Article 4, paragraph 7, as well as Article 11, paragraph 5, of the Convention which provides that developed country Parties may also provide through bilateral, regional and other multilateral channels financial resources relating to the implementation of the Convention by developing country Parties;	The GEF has provided funding to the requests from Parties since its inception.	Responded to / Ongoing
Fifth replenishment of the GEF <i>Other</i>	Decision 3/CP.16	1. <i>Calls on</i> the Global Environment Facility to complete its reforms as early as possible in order to facilitate the successful implementation of the fifth replenishment cycle of the Global Environment Facility;	GEF continues to improve its modalities through GEF-5 reforms	Obsolete Responded to
<i>Other</i>		2. <i>Requests</i> the Global Environment Facility in the implementation of these reforms to give full information to countries, in particular in relation to the implications of these reforms on the activities conducted by the Global Environment Facility;	GEF has conducted workshops and is providing updates to countries on reforms	Obsolete Responded to
<i>Other</i>	Decision 9/CP.18	1. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to submit to the Subsidiary Body for Implementation at its thirty-eighth session, through the secretariat, information on the status of resources available for programming in its fifth replenishment period, and on any possible contingency measures regarding the allocation of resources for implementing climate change projects;	<i>Provided in FCCC/SBI/2013/INF.9</i>	Obsolete Responded to
Sixth replenishment <i>Other</i>	Decision 6/CP.19	8. <i>Emphasizes</i> the need for the Global Environment Facility to consider lessons learned from past replenishment periods in its deliberations on the strategy for the sixth replenishment in order to continue to increase the effectiveness of its operations;	Sixth replenishment was informed by an independent overall performance study(OPS5)	Obsolete Responded to
<i>Other</i>		10. <i>Requests</i> the Global Environment Facility to give due consideration in its sixth replenishment period to funding for small island developing States and	Agreed on need to provide more resources to SIDS and LDCs during	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

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		the least developed countries in order to enable them to address their urgent needs and to comply with their obligations under the Convention;	GEF-6 negotiations and made modifications on mechanism to allocate resources	
Country dialogues <i>Other</i>	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (a) To continue to take the necessary steps to enhance its country dialogues, including ensuring the clarity, transparency and timeliness in its communications with Parties on changes undertaken in the Global Environment Facility reform agenda;	GEF continues to support country dialogues	Responded to / Obsolete
National experts/consultants <i>Other</i>	Decision 2/CP.4	4. <i>Requests</i> the GEF to ensure that its implementing/executing agencies are made aware of Convention provisions and decisions adopted by the Conference of the Parties in the performance of their GEF obligations and are encouraged, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;	<i>See response for Decision 7/CP.13, paragraph 1(b) below.</i>	Repetitive Responded to / Ongoing
<i>Other</i>	Decision 6/CP.7	2. <i>Invites</i> the GEF: (d) Further to encourage the use of national and regional experts and/or consultants to enhance project development and implementation; in this regard, it should make its list of national and regional experts and/or consultants publicly available;	<i>Information is not available on list of national and regional experts.</i>	Repetitive Responded to / Ongoing
<i>Other</i>	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To inform the implementing/executing agencies of the Global Environment Facility of the relevant Convention provisions and decisions of the Conference of Parties in the performance of their Global Environment Facility obligations, and to encourage them, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;	National Dialogue Initiative workshops create opportunity for agencies to inform participants about provisions and COP decisions and to learn more about opportunities to use national experts.	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Green Climate Fund <i>Other</i>	Decision 1/CP.16	111. <i>Requests</i> the secretariat, in consultation with the President of the Conference of the Parties, to make arrangements enabling relevant United Nations agencies, international financial institutions and multilateral development banks, along with the secretariat and the Global Environment Facility, to second staff to support the work of the Transitional Committee for the design phase of the Green Climate Fund;	The GEF seconded a senior member of the management team.	Obsolete Responded to
Report of the GEF <i>Other</i>	Decision 12/CP.1	4. <i>Invites</i> the Global Environment Facility in future reports to take fully into account relevant aspects of the modalities for the functioning of operational linkages between the Conference of the Parties and the operating entity or entities of the financial mechanism.	GEF reports take into account relevant aspects.	Responded to / Ongoing
<i>Other</i>	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (f) To submit the report of the Global Environment Facility to the Conference of the Parties within a time frame that would allow Parties to the Convention to examine the report carefully prior to the start of the sessions of the Conference of the Parties;	Starting in 2009, the cut-off date of reporting period was shifted.	Obsolete Responded to
<i>Other</i>	Decision 9/CP.18	6. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to make its annual report to the Conference of the Parties available <u>as early as possible and no later than 14 weeks prior to a session of the Conference of the Parties</u> , for consideration by Parties;	<i>GEF submitted its report 19 weeks prior to the 2013 session and 15 weeks prior to the 2014 session of the COP.</i>	Responded to / Ongoing
<i>Other</i>	Several decisions to the GEF	<i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to include in its annual report to the Conference of the Parties information on the steps that it has taken to implement the guidance provided in this decision.	<i>GEF report contains section on “GEF’s response to COP Guidance.”</i>	Responded to/ Ongoing
<i>Other</i>	Decision 9/CP.20 Annex	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;		

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Other		<p><u>Annex:</u></p> <p>28. The Evaluation Office of the GEF has found that the GEF is highly responsive to COP guidance, and that it has taken considerable steps to report to the COP in this regard. <u>The GEF is encouraged to continue to provide information on how it has responded to the guidance it has received via its report to the COP.</u></p> <p>92. The operating entities of the Financial Mechanism and the funds under the Convention should collaborate with the view to taking advantage of the complementarity of their respective policies and programmes. <u>The operating entities of the Financial Mechanism should provide information on the progress made in ensuring the complementarity with the other sources of climate finance in their respective reports to the COP.</u></p>	<p>See GEF Response directly above.</p> <p>Has included information on progress made in complementarity in report to COP21.</p>	<p>Repetitive Responded to / Ongoing</p> <p>Ongoing</p>
Procedure				
Awareness-raising on GEF programmes and procedures	Decision 2/CP.12	<p>1. <i>Requests</i> the Global Environment Facility:</p> <p>(b) To strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds;</p> <p>2. <i>Further requests</i> the Global Environment Facility to recognize and respond to the challenges faced by small island developing States and least developed countries in accessing Global Environment Facility funding highlighted in the third overall performance study of the Global Environment Facility;</p> <p>3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its thirteenth session on:</p> <p>(e) Steps taken to strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds;</p> <p>(f) How it has recognized and responded to the challenges faced by least developed countries and small island developing States, as referred to in paragraph 2 above;</p>	<p><i>The GEF has undertaken a series of activities to inform countries on its programmes and procedures (GEF website, publications, ECWs, etc.)</i></p> <p>Established a system of direct dialogues between recipient countries and GEF Secretariat; holds sub-regional workshops etc.</p> <p><i>Information is included in Report to COP13.</i></p>	<p>Repetitive Responded to</p> <p>Obsolete Responded to</p> <p>Responded to</p>

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

		(g) Steps taken to assist developing countries to formulate project proposals;			
Project cycle management	<i>Other</i>	Decision 2/CP.12	3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its thirteenth session on: (c) Its response to the findings of the report on project cycle management by the Global Environment Facility Evaluation Office;	Introduced new project cycle to speed up implementation in 2007.	Obsolete Responded to
	<i>Other</i>	Decision 6/CP.19	15. <i>Invites</i> the Global Environment Facility and all of its implementing agencies and recipient countries to continue to work together to improve institutional arrangements, giving special consideration to expediting the project cycle;	Formed working groups to explore measures that could expedite project processing.	Repetitive Responded to
	<i>Other</i>	Decision 9/CP.20 Annex	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism; <u>Annex:</u> 33. It is recognized that the GEF has undertaken measures to improve the length and efficiency of its project cycle over the years. These measures have resulted in significant improvements and <u>the GEF is encouraged to continue undertaking such measures.</u>	<i>See GEF Response to Decision 8/CP.20, paragraph 5 on page 9 of this document.</i>	Responded to
Streamlining and simplifying project cycle	<i>Other</i>	Decision 2/CP.4	3. <i>Encourages</i> the GEF to: (a) Further streamline its project cycle with a view to making project preparation simpler, less prescriptive, more transparent and country-driven; (b) Further simplify and expedite its procedures for the approval and implementation of GEF-funded projects, including disbursements for such projects;	<i>See GEF Response to Decision 5/CP.8, paragraph 4 on page 8 of this document.</i>	Repetitive Responded to
	<i>Other</i>	Decision 6/CP.7	2. <i>Invites</i> the GEF: (a) To continue its efforts to minimize the time between the approval of project concepts, the development and approval of the related projects, and the	<i>See GEF Response for Decision 5/CP.8, paragraph 4 below.</i>	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

		<p>disbursement of funds by its implementing/executing agencies to the recipient countries of those projects;</p> <p>(b) Further to streamline its project cycle with a view to making project preparations simpler, more transparent and country-driven. In this regard, the project cycles of its implementing/executing agencies should be coordinated with the GEF project cycle;</p> <p>(c) To urge its implementing/executing agencies to be more responsive to requests for GEF assistance from developing country Parties for climate change related project activities aimed at implementing the guidance of the Conference of the Parties;</p>		
Other	Decision 5/CP.8	<p>4. <i>Invites</i> the Global Environment Facility:</p> <p>(a) To review, in cooperation with the implementing and executing agencies as well as national focal points, its project cycle, with a view to making it simpler and more efficient, taking into account the lessons learned and the findings of the Global Environment Facility Monitoring and Evaluation Unit;</p> <p>(b) To continue its efforts to increase the administrative efficiency and cost-effectiveness of its operations in line with the recommendations of the Second Overall Performance Study of the Global Environment Facility and the Beijing Declaration;</p>	GEF has modified project review criteria and established project supervision and management service norms to be met by implementing agencies.	Obsolete Repetitive Responded to
Other	Decision 3/CP.12	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(a) To further simplify its procedures and improve the efficiency of the process through which developing country Parties receive funding for projects for the implementation of their commitments under Article 4, paragraph 1, of the Convention;</p>	<i>The GEF continues to improve its modalities through the GEF-5 reforms including shorter project cycle, country-drivenness and knowledge management.</i>	Responded to
Other	Decision 2/CP.16	<p>4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including:</p> <p>(d) Further simplifying and improving its procedures, particularly those for the identification, preparation and approval of activities;</p>	<i>The GEF continues to improve its modalities through the GEF-5 reforms including shorter project cycle, country-drivenness and knowledge management.</i>	Repetitive Responded to
Other	Decision 9/CP.17	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with managing the Least Developed Countries Fund:</p> <p>(a) To continue to provide information to the least developed countries to further clarify project baselines and the application for accessing funding from the Least Developed Countries Fund in order to develop and implement projects</p>	<i>See GEF Response for same paragraph on page75 of this document</i>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

		<p>under national adaptation programmes of action to address the effects of climate change;</p> <p>(b) To support the development of a programmatic approach for the implementation of national adaptation programmes of action by those least developed country Parties that wish to do so;</p> <p>(c) To further explore opportunities to streamline the Least Developed Countries Fund project cycle, particularly during the project preparation stage;</p> <p>(d) To further improve the provision of information to least developed countries on the project development process for projects being considered under the Least Developed Countries Fund.</p>		
Other	Decision 6/CP.19	15. <i>Invites</i> the Global Environment Facility and all of its implementing agencies and recipient countries to continue to work together to improve institutional arrangements, giving special consideration to expediting the project cycle;	<i>See GEF response for same paragraph on p.7 of this document.</i>	Repetitive Responded to
Other	Decision 8/CP.20	5. <i>Encourages</i> the Global Environment Facility to continue to cooperate with all its implementing and project agencies as well as recipient countries in order to improve its project cycle, taking into account the report of the fifth overall performance study of the Global Environment Facility, and the recommendations contained therein	The GEF Council approved an updated Project Cancellation Policy to further improve its project cycle in October 2014 and an amendment to it in June 2015. Additional measures to expedite the preparation of the stock of delayed projects were also approved.	Repetitive Responded to
Other	SBI 15	14. At its 3rd meeting, on 6 November, having considered a proposal made by the Chairman, the SBI the adopted the following conclusions: (b) The SBI also noted the important role that the GEF, as an operating entity of the financial mechanism of the Convention, plays in supporting efforts made by Parties to address the problems of climate change, and urged the GEF (c) to streamline its procedures in order to reduce the time between project approval and disbursement of funds and, in addition, (b) to encourage its implementing agencies to be more responsive to the requests of developing countries for financial and technical support;	<i>See GEF response for Decision 6/CP.7, para 2(a)-(c) on p.8 of this document.</i>	Redundant Responded to
Implementing/ executing agencies				
Other	Decision	4. <i>Requests</i> the GEF to ensure that its <u>implementing/executing agencies</u>	<i>See GEF response for decision</i>	Responded to/

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

	2/CP.4	are made aware of Convention provisions and decisions adopted by the Conference of the Parties in the performance of their GEF obligations and are encouraged, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;	7/CP.13, paragraph 1(b) on page 4 of this document.	Ongoing
Other	Decision 6/CP.7	2. <i>Invites</i> the GEF: (c) To urge its implementing/executing agencies to be more responsive to requests for GEF assistance from developing country Parties for climate change related project activities aimed at implementing the guidance of the Conference of the Parties;	See GEF response to same paragraph on p.8 of this document.	Repetitive Responded to
Other	Decision 7/CP.13	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To inform the implementing/executing agencies of the Global Environment Facility of the relevant Convention provisions and decisions of the Conference of Parties in the performance of their Global Environment Facility obligations, and to encourage them, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;	See GEF response to same paragraph on p.4 of this document.	Repetitive Responded to / Ongoing
Other	Decision 4/CP.14	1. Requests the Global Environment Facility: (e) To continue to encourage its implementing and executing agencies to perform their functions as efficiently and transparently as possible, in accordance with guidance of the Conference of the Parties;	Regular and ad-hoc bilateral meetings are held between GEF Secretariat and Agencies.	Responded to/ Ongoing
Other	SBI 15	14. At its 3rd meeting, on 6 November, having considered a proposal made by the Chairman, the SBI the adopted the following conclusions: (b) The SBI also noted the important role that the GEF, as an operating entity of the financial mechanism of the Convention, plays in supporting efforts made by Parties to address the problems of climate change, and urged the GEF (c) to encourage <u>its implementing agencies</u> to be more responsive to the requests of developing countries for financial and technical support;	See GEF response for Decision 6/CP.7, paragraph 2(c) on page 8 of this document.	Redundant Responded to
Knowledge management <i>Policies</i>	Decision 2/CP.16	1. <i>Takes note</i> of the findings of the Fourth Overall Performance Study, which was completed prior to the fifth replenishment, that: (i) The Global Environment Facility needs a knowledge management	Presented strategy for knowledge management in GEF/C.40/Inf.03	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

		strategy to improve learning and the sharing of best practices;		
<i>Policies</i>	Decision 2/CP.16	4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including: (i) Strengthening its knowledge management approach to share best practices;	<i>See GEF response for Decision 2/CP.16 para 1(i) above.</i>	Responded to
Transparency				
<i>Policies</i>	Decision 11/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To continue to enhance the transparency of the project review process throughout the project cycle;	GEF Secretariat program managers are to provide comprehensive comments when sending review sheets to Agencies to minimize the need to go back and forth for clarification; all review sheets are posted on website	Responded to
<i>Policies</i>	Decision 6/CP.19	16. <i>Encourages</i> the Global Environment Facility to continue to increase the overall transparency and openness of its operations;	GEF policy and strategy documents uploaded in a single space on website to increase public accessibility	Repetitive Responded to
<i>Policies</i>	Decision 8/CP.20	6. <i>Also encourages</i> the Global Environment Facility to continue to increase the overall transparency and openness of its operations, particularly with regard to the disclosure of information on the status of the implementation of projects and programmes, the project-level accountability of its implementing agencies and with respect to the timely disbursement of funds, as well as the advice provided to countries on co-financing;	Fully discloses information on status of implementation of projects and programs; has made available on website information on conflict-resolution services as well as Guidelines to Implement the GEF's Policy on Public Involvement.	Repetitive Responded to
<i>Policies</i>	Decision 9/CP.20	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism; <u>Annex:</u> 61. There is a recognized need to strengthen GEF project monitoring systems in order to be able to provide better information on the level of disbursement of approved funds. <u>The GEF should work with its agencies on establishing a standard definition of "disbursement" in order to generate a common understanding of the term within the GEF partnership and enhance</u>	<i>Information is not available.</i>	Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

		<u>transparency of its processes.</u>		
Reporting on results achieved	<i>Other</i> Decision 9/CP.20 Annex	<p>4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;</p> <p><u>Annex:</u></p> <p>76. The GEF and the GCF may consider collaborating to harmonize impact indicators and set new norms around reporting practice, especially in the context of adaptation finance.</p> <p>79. There is evidence that good results and impacts have been achieved with the resources provided by the GEF. Efforts to harmonize and improve the methodologies for measuring the results and impacts of the supported activities need to continue.</p>	In the reporting period, the GCF was yet to approve financing for projects. Therefore, collaboration and information exchange focused on dialogue and engagement, accreditation, and gender. GEF stands ready to continue to engage with GCF to articulate complementarity.	Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

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<i>Policies</i>				
Access <i>Policies</i>	Decision 6/CP.7	2. <i>Invites</i> the GEF: (e) To give consideration to measures to increase opportunities available to developing country Parties for accessing GEF funds for climate change activities aimed at implementing the guidance of the Conference of the Parties, including a review of the adequacy of the number of implementing/executing agencies available to deliver GEF programmes and projects;	<i>The GEF has launched and completed a pilot project for accreditation of GEF Project Agencies. At the end of the Accreditation Pilot, 8 new project agencies were accredited.</i>	Responded to
<i>Policies</i>	Decision 5/CP.9	5. <i>Requests</i> the entity entrusted with the operation of the fund to arrange expedited access to the Special Climate Change Fund in keeping with current practices of the Global Environment Facility, taking into account the need for adequate resources to implement eligible activities, programmes and measures;	<i>See GEF Response for same paragraph on page 87 of this document.</i>	Responded to
<i>Policies</i>	Decision 6/CP.9	3. <i>Requests</i> the entity to take into account, inter alia, the following elements when developing operational guidelines for funding of the implementation of national adaptation programmes of action: (b) Equitable access by least developed country Parties to funding for the implementation of national adaptation programmes of action;	<i>See GEF Response to same paragraph on page 78 of this document.</i>	Obsolete Responded to
<i>Policies</i>	Decision 7/CP.10	3. <i>Urges</i> the Global Environment Facility to continue its work in improving the <u>access</u> to, and visibility of, opportunities for funding Article 6 activities and in providing information on Article 6 activities in its reports to the Conference of the Parties;	<i>See GEF Response to same paragraph on page 30 of this document.</i>	Repetitive Responded to / Ongoing
<i>Policies</i>	Decision 8/CP.10	2. <i>Urges</i> the Global Environment Facility, on matters relating to Article 6 of the Convention, to continue its work in <u>improving access</u> to, and visibility of, opportunities for funding Article 6 activities;	<i>See GEF response to same paragraph on page 30 of this document.</i>	Repetitive Responded to / Ongoing
<i>Policies</i>	Decision 3/CP.11	5. <i>Requests</i> the Global Environment Facility to develop flexible modalities that ensure balanced access to resources given the level of funds available, in accordance with decision 6/CP.9;	Developed LDCF programming paper, incorporating innovative elements such as option for full cost funding, expedited project cycle etc.	Responded to
<i>Policies</i>	Decision 2/CP.12	1. <i>Requests</i> the Global Environment Facility: (b) To strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds;	<i>See GEF Response to same paragraph on p.6 of this document.</i>	Repetitive Responded to
<i>Policies</i>		2. <i>Further requests</i> the Global Environment Facility to recognize and	GEF has deployed efforts to facilitate	Obsolete

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
<i>Policies</i>		<p>respond to the challenges faced by small island developing States and least developed countries in accessing Global Environment Facility funding highlighted in the third overall performance study of the Global Environment Facility;</p> <p>3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its thirteenth session on:</p> <p>(e) Steps taken to strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds;</p> <p>(f) How it has recognized and responded to the challenges faced by least developed countries and small island developing States, as referred to in paragraph 2 above;</p>	<p>access by SIDS and LDCs to GEF resources</p> <p><i>Information has been provided in GEF report to COP 13 (FCCC/CP/2008/2/Rev.1)</i></p>	<p>Responded to</p> <p>Obsolete Responded to</p>
<i>Policies</i>	Decision 7/CP.13	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(e) To continue to improve access to Global Environment Facility funds, as highlighted in the Third Overall Performance Study of the Global Environment Facility, for those countries that are particularly vulnerable to the adverse effects of climate change;</p>	Council approved programmatic approaches to support countries in accessing GEF funding	Obsolete Responded to
<i>Policies</i>	Decision 4/CP.14	<p>1. <i>Requests</i> the Global Environment Facility:</p> <p>(d) To continue to improve access for all developing countries, in particular least developed countries, small island developing States and countries in Africa, to Global Environment Facility resources;</p>	GEF Secretariat initiated regional and multi-country programs to help especially LDCs and SIDS	Repetitive Responded to
<i>Policies</i>	Decision 2/CP.16	<p>4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including:</p> <p>(e) Ensuring that access to resources is expeditious and timely;</p>	<i>GEF-5 reforms included a clear allocation of resources and support for National Portfolio Formulation Exercises.</i>	Repetitive Responded to/Ongoing
<i>Policies</i>	Decision 3/CP.16	<p>3. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for activities related to Article 6 of the Convention;</p>	<i>See GEF Response to same paragraph on page 31 of this document.</i>	Repetitive Responded to
<i>Policies</i>	Decision 3/CP.16	<p>4. Requests the Global Environment Facility:</p> <p>(d) To finalize any remaining operational procedures to ensure the timely disbursement of funds for those Parties that decide to access resources for the</p>	<i>See GEF Response to same paragraph on page 45 of this document.</i>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		preparation of their national communications through direct access;		
<i>Policies</i>	Decision 7/CP.16	5. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for Article 6 related activities;	<i>See GEF Response to same paragraph on page 32 of this document.</i>	Repetitive Responded to
<i>Policies</i>	Decision 10/CP.18	5. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund: (c) To further facilitate access to the Least Developed Countries Fund by the least developed countries;	<i>See GEF Response to same paragraph on page 82 of this document.</i>	Responded to
<i>Policies</i>	Decision 12/CP.18	1. <i>Decides</i> to provide the following guidance to the Global Environment Facility, as the operating entity of the financial mechanism of the Convention for the operation of the Least Developed Countries Fund, to enable activities for the preparation of the national adaptation plan process by the least developed country Parties. The operating entity is requested: (c) To encourage a flexible approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed country Parties in response to national needs and circumstances;	<i>See GEF Response to same paragraph on page 85 of this document.</i>	Responded to
<i>Policies</i>	Decision 6/CP.19	14. <i>Encourages</i> the Global Environment Facility to finalize the accreditation of new project agencies and assess the possibilities for further expanding the direct access modality;	<i>See GEF Response to Decision 8/CP.20, paragraph 11 below.</i> <i>Direct access for enabling activities is now possible.</i>	Responded to
<i>Policies</i>	Decision 8/CP.20	11. <i>Also encourages</i> the Global Environment Facility to finalize the accreditation of project agencies and to share, in its next report to the Conference of the Parties, lessons learned and progress made in its pilot accreditation of project agencies, particularly in the least developed countries, small island developing States and African States;	The GEF Accreditation Pilot was completed in May 2015. Eight entities were approved for accreditation to become GEF Project Agencies.	Responded to
<i>Policies</i>	Decision 9/CP.20	6. <i>Notes</i> , however, that the least developed countries and small island developing States still experience challenges in accessing the resources from the Global Environment Facility;	GEF Council took further steps to expedite preparation of projects, including projects financed through the LDCF, at its meeting in June 2015. 174 LDCF projects with associated funding	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
			commitments amounting to \$643.1 million had been fully developed and approved or endorsed as of June 2015.	
Policies	Decision 10/CP.20	8. <i>Requests</i> the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to share, in its next report, lessons learned and progress made in its pilot accreditation of Global Environment Facility national project agencies;	<i>See GEF Response to Decision 8/CP.20, paragraph 11 on page 17 of this document.</i>	Responded to
Policies	SBI 32	52. The SBI recommended that the COP, at its sixteenth session, request the GEF to finalize any remaining operational procedures to ensure the timely disbursement of funds for those Parties that decide to access resources for the preparation of their National Communications through direct access.	<i>See GEF response to decision 3/CP.16, paragraph 4(d) on page 45 of this document.</i>	Redundant Responded to
Policies	SBI 40, 41	The SBI invited the GEF to continue to explore ways of simplifying access to the LDCF.	<i>See GEF response for decision 9/CP.20, paragraph 6 on page 17 of this document.</i>	Redundant Responded to / Ongoing
Resource Allocation Framework (RAF) Policies	Decision 5/CP.11	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to include in its regular report to the Conference of the Parties information on: (a) The initial application of the Resource Allocation Framework to resources allocated in the fourth replenishment of the Global Environment Facility that is operational from July 2006, focusing on the climate change focal area; (b) How the Resource Allocation Framework is likely to affect funding available to developing countries for the implementation of their commitments under the Convention;	<ul style="list-style-type: none"> • Provided recipient countries with indicative allocations and relevant information 	Obsolete Responded to
Policies	Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (c) To give a detailed report on the resources available to each developing country Party in the initial implementation of the resource allocation framework,	<ul style="list-style-type: none"> • Has published and periodically updates info on availability and utilization of RAF resources to each country 	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		including a list of activities funded with these resources during this initial period in the climate change focal area;		
<i>Policies</i>	Decision 4/CP.14	1. <i>Requests</i> the Global Environment Facility: (a) To fully address issues raised over the implementation of the Resource Allocation Framework;	<ul style="list-style-type: none"> • Addressed the concern that some countries may not be able to fully utilize their allocations in GEF-4 by reallocating resources 	Obsolete Responded to
<i>Policies</i>	Decision 2/CP.16	1. <i>Takes note</i> of the findings of the Fourth Overall Performance Study, which was completed prior to the fifth replenishment, that: (e) The Resource Allocation Framework has hindered the access of group countries to the Global Environment Facility, particularly in relation to climate change, which may explain some of the discontent of the climate change community with the Global Environment Facility;	<i>Information is not available.</i>	Obsolete
Co-financing				
<i>Policies</i>	Decision 3/CP.11	3. <i>Requests</i> the Global Environment Facility to develop a co-financing scale for supporting activities identified in national adaptation programmes of action, taking into account the circumstances of least developed countries;	<ul style="list-style-type: none"> • Developed LDCF programming paper including elements on co-financing 	Responded to
<i>Policies</i>	Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To explore options to address concerns of developing country Parties on requirements for leveraging additional funds for projects;	<ul style="list-style-type: none"> • Has adopted flexible, pragmatic approach to co-financing requirements 	Responded to
<i>Policies</i>	Decision 4/CP.14	1. <i>Requests</i> the Global Environment Facility: (b) To provide information on a regular basis on the composition and objective of the co-financing for projects funded by the Global Environment Facility;	<ul style="list-style-type: none"> • Has provided information -Objective is to expand resources, maximize and sustain impacts of projects, and demonstrate commitment of beneficiaries/counterparts/agencies -Major co-financers are GEF agencies 	Responded to
<i>Policies</i>	Decision 6/CP.19	3. <i>Requests</i> the Global Environment Facility to clarify the concept of co-financing and its application in the projects and programmes of the Global Environment Facility;	New co-financing policy with clearer definition and revised requirements for projects was approved by Council in	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
			May 2014.	
<i>Policies</i>	Decision 8/CP.20	6. <i>Also encourages</i> the Global Environment Facility to continue to increase the overall transparency and openness of its operations, particularly with regard to the disclosure of information on the status of the implementation of projects and programmes, the project-level accountability of its implementing agencies and with respect to the timely disbursement of funds, as well as the advice provided to countries on co-financing;	<i>See GEF response to same paragraph on page 11 of this document.</i>	Responded to
<i>Policies</i>		10. <i>Encourages</i> the Global Environment Facility to improve the communication of its co-financing policy so that it is better understood and appropriately applied by accredited project agencies and the implementing agencies of the Global Environment Facility, while acknowledging the potential impacts of this policy on developing country Parties, in particular the least developed countries, small island developing States, and African States;	GEF Secretariat conducted training on Co-Financing Policy at all meetings under Country Support Program and also trains newly accredited Agencies on this policy. The policy is also applied in flexible manner, mindful of circumstances of recipient countries.	Responded to
Country Ownership				
<i>Policies</i>	Decision 2/CP.16	4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including: (c) Enhancing modalities which reinforce country ownership and improve the allocation of resources; (f) Enabling country-level programming, where appropriate;	GEF-5 reforms placed priority on country-ownership, including clear allocation of resources, the country support program, and support for NPFE	Responded to
<i>Policies</i>	Decision 6/CP.19	12. <i>Encourages</i> the Global Environment Facility to continue with its voluntary National Portfolio Formulation Exercise, which has been proved to enhance coordination and coherence at the national level;	Made available updated NPFE guideline and template in 2014	Responded to / Ongoing
Gender				
<i>Policies</i>	Decision 8/CP.20	7. Welcomes the gender mainstreaming policy of the Global Environment Facility; 8. Requests the Global Environment Facility to ensure that gender mainstreaming is implemented both within its portfolio and within its structure;	Gender Equality Action Plan has been endorsed by GEF Council in Oct. 2014 and a gender expert has been hired to support implementation.	Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
<i>Programming</i>				
Initial guidance on Programming <i>Programme Priorities</i>	Decision 11/CP.1	<p>1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(b) Regarding programme priorities,</p> <p>(i) Priority should be given to the funding of agreed full costs (or agreed full incremental costs, as appropriate) incurred by developing country Parties in complying with their obligations under Article 12.1 and other relevant commitments under the Convention. In the initial period, emphasis should be placed on enabling activities undertaken by developing country Parties, such as planning and endogenous capacity-building, including institutional strengthening, training, research and education, that will facilitate implementation, in accordance with the Convention, of effective response measures;</p> <p>(ii) In this context, activities aimed at strengthening research and technological capabilities for the implementation of the Convention in developing country Parties should be supported through international and intergovernmental efforts. Such support would include networking and the training of experts and, as appropriate, institutional development;</p> <p>(iii) Emphasis should also be placed on improving national public awareness and education on climate change and response measures;</p> <p>(iv) The operating entity or entities should finance the formulation by developing country Parties of nationally determined programmes to address climate change issues which are in accordance with national development priorities. To facilitate the formulation of</p>	<p>Since its restructuring, the GEF has financed projects that contributed to countries' abilities to prepare first national communications.</p> <p>Some of the enabling activities project support research and technological capacities (...) future targeted research activities must be developed within the context of the long-term operational programs identified in the Operational Strategy</p> <p>The operational criteria for enabling activities provide for activities, as well as funding for capacity-building public awareness.</p> <p>The GEF Operational Strategy includes measures aimed at ensuring that projects supported are country-driven and supportive of national priorities.</p>	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>these programmes, it should finance capacity-building and all other activities related to the formulation, management and regular updating of these programmes, which should, as far as possible, be comprehensive;</p> <p>(v) The operating entity or entities should, in accordance with the policies, programme priorities and eligibility criteria as established by the Conference of the Parties, be available to assist, if so requested, in the implementation of the national programmes adopted by developing country Parties;</p> <p>(vi) In the implementation of these national programmes, the operating entity or entities should support agreed activities to mitigate climate change, as referred to in the Convention, in particular in Article 4.1, consistent with Article 4.3.</p>		
Agreed full costs	Decision 11/CP.1	<p>1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(b) Regarding programme priorities,</p> <p>(i) Priority should be given to the funding of agreed full costs (or agreed full incremental costs, as appropriate) incurred by developing country Parties in complying with their obligations under Article 12.1 and other relevant commitments under the Convention. In the initial period, emphasis should be placed on enabling activities undertaken by developing country Parties, such as planning and endogenous capacity-building, including institutional strengthening, training, research and education, that will facilitate implementation, in accordance with the Convention, of effective response measures;</p>	<i>See GEF Response for same paragraph on page.20 of this document.</i>	Responded to / Ongoing
Programme Priorities	Decision 11/CP.2	<p>1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should:</p> <p>(c) Together with its implementing agencies, expedite the approval and the</p>	<i>See GEF Response for same paragraph on page 40 of this document.</i>	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		disbursement of financial resources to meet the agreed full costs incurred by the developing country Parties in complying with their obligations under Article 12.1 of the Convention, in accordance with Article 4.3, and in particular for the initial and subsequent preparation of national communications of non-Annex I Parties. In this regard, the guidelines and format adopted by the Conference of the Parties at its second session on the preparation of initial national communications by non-Annex I Parties contained in decision 10/CP.2 shall form the basis for the funding of communications from non-Annex I Parties under Article 12.1 of the Convention;		
Programme Priorities	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (d) Meet the agreed full costs of preparing initial and subsequent national communications, in accordance with Articles 4.3 and 12.5 of the Convention and decision 11/CP.2, paragraph 1(d), by maintaining and enhancing relevant national capacity, so as to prepare <u>the initial and second national communications</u> which will take into account experiences, including gaps and problems identified in previous national communications, and guidelines established by the Conference of the Parties. Guidance on subsequent national communications will be provided by the Conference of the Parties;	<i>See GEF Response for same paragraph on page 41 of this document</i>	Obsolete Repetitive Responded to
Programme Priorities	Decision 6/CP.8	1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should: (a) On matters relating to national communications: (i) Provide financial resources at an appropriate level to cover the requirements of the guidelines annexed to decision 17/CP.8, in an expedited manner, by an operating entity of the financial mechanism of the Convention, on an <u>agreed full cost</u> basis for the preparation of national communications, as well as capacity-building activities relating to the reparation of national communications pursuant to decision 2/CP.7, and in particular paragraphs 1 (c), 3, 4 and 5 of decision 6/CP.7; (ii) Continue to provide funding to Parties that have initiated the process of preparing second national communications and received funding under the expedited procedures or on an agreed full cost basis prior to the approval of the guidelines annexed to decision 17/CP.8;	<i>See GEF Response for same paragraphs on page 42 of this document.</i>	Repetitive Responded to Obsolete Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
<i>Other</i>	Decision 3/CP.12	<p>2. Invites the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(a) To further simplify its procedures and improve the efficiency of the process by which Parties not included in Annex I to the Convention receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to <u>meet the agreed full costs</u> incurred by developing country Parties in complying with these obligations;</p>	<i>See GEF Response to decision 11/CP.17, paragraph 1(a) on page 45 of this document.</i>	Repetitive Responded to
<i>Programme Priorities</i>	Decision 7/CP.13	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(g) To continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;</p> <p>(h) To take into consideration the request contained in paragraph 1 (g) above in its planned mid-term review in 2008;</p> <p>(i) To work with its implementing agencies to continue to simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;</p> <p>(j) To refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national communications, in the light of paragraph 1 (g)-(i) above;</p>	<i>See GEF Response for same paragraph on page 43 of this document.</i>	Repetitive Responded to
<i>Other</i>				
<i>Other</i>				
<i>Other</i>				
<i>Programme Priorities</i>	Decision 4/CP.14	<p>1. <i>Requests</i> the Global Environment Facility:</p> <p>(f) To ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of Parties not included in the Annex I (non-Annex I Parties) plan to initiate the preparation of their third or</p>	<i>See GEF Response for same paragraph on page 44 of this document.</i>	Repetitive Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Programme Priorities		fourth national communications <u>by the end of the fourth replenishment</u> of the Global Environment Facility; 3. <i>Reiterates</i> the following requests made by the Conference of the Parties at its thirteenth session to the Global Environment Facility: (a) To continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;	The GEF has provided information on the support provided to developing country Parties in preparing their national communications as well as the implementation of its National Communications Support Program <i>See GEF response for decision 7/CP.13, paragraph 1 on page 43 of this document.</i>	Repetitive Responded to
Other	(b) To refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national communications;	Repetitive Responded to		
Other	(d) To work with its implementing agencies to continue to simplify their procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;	Repetitive Responded to		
Other	Decision 3/CP.16	4. <i>Requests</i> the Global Environment Facility: (c) To work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations, and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;	<i>See GEF Response for same paragraph on page 45 of this document.</i>	Repetitive Responded to
Other	Decision 11/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (a) To continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to	<i>See GEF Response for same paragraph on page 45 of this document.</i>	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		<p>meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between the enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;</p> <p>(c) To clarify the concept of additional costs as applied to different types of adaptation projects under the Least Developed Countries Fund and the Special Climate Change Fund which seek to respond to climate change risks;</p>	In an information document prepared for the LDCF/SCCF Council in June 2012, the GEF clarifies the concept of additional cost, as applied in the context of projects and programs financed under the LDCF, as well as adaptation projects financed under the SCCF.	Responded to
Other	SBI 24	42. The SBI recommended that the COP, at its twelfth session (November 2006), invite the GEF to further simplify its procedures and improve the efficiency of the process by which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations.	See GEF response to decision 3/CP.12, paragraph 2(a) on page 22 of this document.	Redundant Responded to
Programme Priorities	SBI 27	35. The SBI further recommended that the COP, at its thirteenth session, request the GEF to:	See GEF response to decision 7/CP.13, paragraph 1 on page 43 of this document.	Redundant Responded to
Other		(a) Continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;		Redundant
Other		(b) Take into consideration the request contained in paragraph 35 (a) above in its planned mid-term review in 2008;		Redundant
Other		(c) Work with its implementing agencies to continue to simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;		Redunadant
Other		(d) Refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex		

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		I Parties that are in the process of preparing their third and, where appropriate, fourth national communications, in the light of paragraph 35 (a) to (c) above;		
Programme Priorities	SBI 28	21. The SBI <u>recalled the request by the COP in decision 7/CP.13</u> that the GEF continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.	See GEF response to decision 7/CP.13, paragraph 1 on page 43 of this document.	Redundant Responded to
Other		23. The SBI looked forward to being provided with information in the report of the GEF to the COP at its fourteenth session on the specific steps that the GEF has taken to implement the guidance given in decision 7/CP.13, paragraphs 1 and 2, especially on the request contained in paragraph 22 above, on additional guidance relating to national communications from non-Annex I Parties.	GEF provided information in its report to COP 14.	Obsolete Responded
Programme Priorities	SBI 29	24. The SBI <u>reiterated the request of the Conference of the Parties (COP) at its thirteenth session</u> to the GEF to continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.	See GEF response to decision 7/CP.13, paragraph 1 on page 43 of this document.	Repetitive Responded to
Programme Priorities		25. The SBI recommended that the COP, at its fourteenth session, request the GEF to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of non-Annex I Parties plan to initiate the preparation of their third or fourth national communications by the end of the fourth replenishment of the GEF (GEF 4).	See GEF response to decision 4/CP.14 para 1(f) on page 50 of this document	Redundant Responded to
Other		26. The SBI <u>reiterated the request of the COP at its thirteenth session to the GEF</u> to refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national communications.	See GEF response to decision 7/CP.13, paragraph 1 on page 43 of this document	Repetitive Responded to
Other		29. The SBI <u>reiterated the request of the COP, at its thirteenth session, to the GEF</u> to work with its implementing agencies to continue to simplify their procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under	See GEF response to decision 7/CP.13, paragraph 1 on page 43 of this document	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		<p>Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations.</p> <p>30. The SBI recommended that the COP, at its fourteenth session, invite the GEF to inform its implementing agencies of the guidelines for the preparation of national communications from non-Annex I Parties and of relevant provisions of the Convention, in particular its Article 4, paragraph 3, on the provision of new and additional financial resources to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.</p>	<p><i>See GEF Response to Decision 4/CP.14, paragraph 2 on page 44 of this document.</i></p>	<p>Redundant Responded to</p>
Programme Priorities	SBI 30	<p>20. The SBI took note of the proposal from the GEF secretariat to reallocate funds from its fourth replenishment. It urged the GEF, in accordance with decision 4/CP.14, to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of non-Annex I Parties plan to initiate the preparation of their third or fourth national communications by the end of the fourth replenishment of the GEF.</p>	<p><i>The GEF has provided information on its support to the preparation of national communications in its reports to the COP.</i></p>	<p>Repetitive Responded to</p>
Programme Priorities	SBI 32, 36, 37	<p>39. The SBI encouraged the GEF, in accordance with decision 4/CP.14, to continue to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, and for the preparation of third and, where appropriate, subsequent national communications.</p>	<p><i>The GEF has continued to provide information on its support to the preparation of national communications in its reports to the COP</i></p>	<p>Repetitive Responded to</p>
Other	SBI 33	<p>51. The SBI further recommended that the COP, at its sixteenth session, request the GEF to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle.</p>	<p><i>See GEF response to decision 3/CP.16, paragraph 4(c) on page 45 of this document.</i></p>	<p>Redundant Responded to</p>

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other	SBI 35	42. The SBI recommended that the COP, at its seventeenth session, request the GEF to continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle.	See GEF response to decision 11/CP.17, paragraph 1(a) on page 45 of this document.	Redundant
Incremental costs	Decision 11/CP.1	1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism: (e) Regarding agreed full incremental costs, The various issues of incremental costs are complex and difficult and further discussion on the subject is therefore needed. The application of the concept of agreed full incremental costs should be flexible, pragmatic and on a case-by-case basis. <u>Guidelines in this regard will be developed by the Conference of the Parties at a later stage on the basis of experience.</u>		
Policies	Decision 11/CP.2	1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (b) In providing the financial resources needed by developing country Parties to meet the agreed full incremental costs of implementing measures covered by Article 4.1 of the Convention in accordance with Article 4.3, take steps to facilitate this provision of financial resources, including the enhancement of transparency and the flexible and pragmatic application of its concept of incremental costs on a case-by-case basis; (e) When funding the agreed full costs for the preparation of national communications from non-Annex I Parties, finance the agreed full incremental costs of projects related to other commitments contained in the Convention only	<i>The COP has not yet provided any guidance on incremental costs, pending any guidance the GEF has applied the concept in a “flexible, pragmatic and on a case-by-case basis”</i>	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		upon request of the Party concerned;		
<i>Policies</i>	Decision 2/CP.4	3. <i>Encourages</i> the GEF to: (c) Make the process for the determination of incremental costs more transparent, and its application more pragmatic;	<i>See GEF Response for Decision 7/CP.13, paragraph 1(c) below.</i>	Responded to
<i>Policies</i>	Decision 5/CP.8	4. <i>Invites</i> the Global Environment Facility: (c) To continue to make the concept of agreed incremental costs and global benefits more understandable, recognizing that the process for determining incremental costs should be transparent, flexible and pragmatic, consistent with the Beijing Declaration;	The GEF Council followed an action plan to address and implement the Beijing Declaration of the Second GEF Assembly which addressed COP guidance on, inter alia, incremental costs.	Responded to
<i>Policies</i>	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (c) To continue to simplify and streamline the application of the incremental cost principle, building on its recent reforms and taking into account lessons learned on the constraints in resource mobilization by developing countries;	In June 2007, the GEF Council approved the Operational Guidelines for the Application of the Incremental Cost Principle. These guidelines have significantly enhanced the transparency and efficiency of determining the incremental costs of a project.	Responded to
Country-Specific needs <i>Policies</i>	Decision 11/CP.2	1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (d) Consider country-specific needs and other approaches which may be used for several countries with similar needs, upon request, and take into account that the preparation of national communications is a continuing process;	The development of a GEF-financed project is undertaken in full partnership with the recipient country concerned, and the criteria of country-drivenness, is the touchstone on which all proposals are tested.	Responded to / Ongoing
Article 6 – Public awareness and education				

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Programme Priorities	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (f) Assist in developing, strengthening and/or improving national activities for public awareness and education on climate change and response measures, in full accordance with Article 6 of the Convention and decision 11/CP.1, paragraph 1(b)(iii), and taking into account, where appropriate, relevant GEF operational programmes;	The GEF Council authorized the GEF CEO to approve revisions/additions to the scope of expedited procedures for enabling activities in order to better assist recipient countries to address immediate capacity-building priorities (...) including for conducting national activities for public awareness	Responded to / Ongoing
Programme Priorities	Decision 6/CP.8	1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should: (d) On matters relating to Article 6: provide financial resources to non-Annex I Parties, in particular the least developed country Parties and the small island developing States among them, in accordance with decisions 11/CP.1 and 6/CP.7, to support the implementation of the work programme on Article 6 of the Convention contained in the annex to decision 11/CP.8;	Surveys of projects approved by the GEF show that most include components addressing public-awareness, education activities and community involvement.	Obsolete Repetitive Responded to
Programme Priorities	Decision 11/CP.8	6. <i>Requests</i> the Global Environment Facility to provide financial resources to Parties not included in Annex I of the Convention (non-Annex I Parties), in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1 and 6/CP.7, to support the implementation of the work programme;	<i>See GEF Response for Decision 6/CP.8, paragraph 1(d) above.</i>	Obsolete Responded to
Programme Priorities	Decision 4/CP.9	2. <i>Requests</i> the Global Environment Facility: (a) To continue its support for education, training and public awareness activities relating to climate change;	The GEF business plan for 2005-2007 identified capacity building as a strategic priority of the GEF within each of the focal areas as well as an additional cross cutting priority and anticipated resources to support capacity building in countries.	Repetitive Responded to / Ongoing
Programme Priorities	Decision 7/CP.10	3. <i>Urges</i> the Global Environment Facility to continue its work in improving the access to, and visibility of, opportunities for funding Article 6 activities and in providing information on Article 6 activities in its reports to the Conference of the Parties;	GEF undertook work with its implementing entities on this regard.	Repetitive Responded to / Ongoing
Programme Priorities	Decision 8/CP.10	2. <i>Urges</i> the Global Environment Facility, on matters relating to Article 6 of the Convention, to continue its work in improving access to, and visibility of,	<i>See GEF response to decision 7/CP.10 paragraph 3 above.</i>	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		opportunities for funding Article 6 activities;		
Other	Decision 3/CP.12	2. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (d) To produce simple guidelines on how to enhance activities relating to Article 6 in project proposals when applying for Global Environment Facility funding;	In 2007, the GEF has developed guidelines for countries to include activities related to Article 6 in project proposal submitted to the GEF.	Responded to
Programme Priorities		3. <i>Urges</i> the Global Environment Facility to provide further funding, in a more timely manner, to the developing country Parties, in particular the least developed countries and small island developing States, to allow these countries to implement activities relating to Article 6 and the New Delhi work programme;	<i>See GEF response to decision 7/CP.13, paragraph 4 below.</i>	Repetitive Responded to
Programme Priorities	Decision 7/CP.13	4. <i>Requests</i> the Global Environment Facility to continue to provide, as appropriate, financial resources to developing country Parties, in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9 and 7/CP.10, to support the <u>implementation of the New Delhi work programme</u> on Article 6 of the Convention and to regularly report to the Conference of the Parties on the activities it has supported.	Through the regular programming of the GEF resource are made available to support activities related to Article 6 including the Amended New Delhi work program.	Obsolete Responded to
Programme Priorities	Decision 9/CP.13	5. <i>Requests</i> the Global Environment Facility to continue to provide, as appropriate, financial resources to Parties not included in Annex I to the Convention (non-Annex I Parties), in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9 and 7/CP.10, to support the implementation of the work programme and to regularly report to the Conference of the Parties on the activities it has supported;	<i>See GEF Response for Decision 7/CP.13, paragraph 4 above.</i>	Obsolete Responded to
Policies	Decision 3/CP.16	3. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for activities related to Article 6 of the Convention;	The GEF provides financing for public awareness activities through project activities so that public awareness is integrated in each GEF project. The GEF provides regularly to the SBI, estimated figures on funding allocated to finance Article 6 activities.	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
<i>Policies</i>	Decision 7/CP.16	5. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for Article 6 related activities;	<i>See GEF response to decision 3/CP.16 paragraph 3 above.</i>	Repetitive Responded to / Ongoing
<i>Programme Priorities</i>	Decision 15/CP.18	6. <i>Invites</i> multilateral and bilateral institutions and organizations, including operating entities of the financial mechanism of the Convention, as appropriate, to provide financial resources to support the activities relating to the implementation of Article 6 of the Convention;	Public awareness is often a key component of many GEF projects and thus through its regular financing of projects and programming, the GEF continues to provide resources for the implementation of Article 6.	Repetitive Responded to / Ongoing
<i>Programme Priorities</i>		7. <i>Requests</i> the Global Environment Facility to continue to provide financial resources to Parties not included in Annex I to the Convention, in particular African countries, the least developed countries and small island developing States, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9, 7/CP.10, 3/CP.12, 7/CP.13, 3/CP.16 and 11/CP.17, to support the implementation of the work programme and to regularly report to the Conference of the Parties on the activities it has supported;		
<i>Programme Priorities</i>	SBI 15	14. At its 3rd meeting, on 6 November, having considered a proposal made by the Chairman, the SBI the adopted the following conclusions: (f) The SBI also took note of the conclusions of the Subsidiary Body for Scientific and Technological Advice relating to the financial requirements for the implementation of Article 6 of the Convention, urging the GEF to make financial resources available for this purpose.	<i>See GEF responses to decisions on funding for Article 6 above.</i>	Redundant Responded to / Ongoing
<i>Programme Priorities</i>	SBI 20	49. The SBI further noted that decision 4/CP.9 requested the GEF, as the entity responsible for operating the financial mechanism of the Convention, to “continue its support for education, training and public awareness activities relating to climate change”. The SBI encouraged non-Annex I Parties to take this into account when submitting to the GEF their projects relating to their national communications, to promote the implementation of Article 6. It requested the secretariat to collaborate with the GEF secretariat to ensure the dissemination of information on the availability of such support.	<i>See GEF response to decision 7/CP.10, paragraph 3 on page 30 of this document.</i>	Repetitive Responded to / Ongoing
<i>Programme Priorities</i>	SBI 21	61. The SBI welcomed the progress of work in implementing activities within the scope and in line with the guiding principles of the New Delhi work programme on Article 6 of the Convention, as reported by Parties in their national communications and other relevant documents. It took note of the financial requirements for their implementation, and urged the GEF and other	<i>See GEF responses to decisions on funding for Article 6 above.</i>	Obsolete Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>bilateral and multilateral agencies to continue to make financial resources available for Article 6 activities.</p>		
<i>Programme Priorities</i>	SBI 23	<p>74. The SBI also noted that the financial resources made available through various means, such as for national communications and other enabling activities, and for implementing the New Delhi work programme, were not commensurate with the needs and concerns identified by Parties. The SBI urged Annex II Parties, other Annex I Parties in a position to do so, and the GEF and other bilateral and multilateral agencies, to continue to support financially the implementation of activities relating to Article 6 of the Convention.</p>	<p><i>See GEF responses to decisions on funding for Article 6 above.</i></p>	<p>Obsolete Repetitive Responded to</p>
<i>Other</i>	SBI 25	<p>67. The SBI recommended that the COP, at its twelfth session:</p> <p>(a) Invite the GEF to produce simple guidelines on how to enhance activities relating to Article 6 in project proposals when applying for GEF funding;</p> <p>(b) Recall Article 4, paragraph 1, and Article 6 of the Convention, as well as decisions 11/CP.1, 2/CP.4, 6/CP.8 and 11/CP.8 and urge the GEF to provide further funding, in a more timely manner, to the developing country Parties, in particular the least developed countries and SIDS, to allow these countries to implement activities relating to Article 6 and the New Delhi work programme.</p>	<p>See GEF response to decision 3/CP.12 paragraph 2–3, on page 30 of this document.</p>	<p>Redundant Responded to / Ongoing</p>
<i>Other</i>	SBI 34	<p>68. The SBI further invited the GEF and its implementing agencies to provide to the SBI at its thirty-fifth session information on resources made available for the implementation of Article 6 related activities for consideration at its thirty-sixth session.</p>	<p><i>The GEF has regularly provided information to the SBI on estimated figures on funding allocated to finance Article 6 activities.</i></p>	<p>Obsolete Responded to</p>
<i>Other</i>		<p>70. The SBI recalled the invitation made by the COP in decision 7/CP.16 to all Parties and international organizations to enhance support provided to the national focal points on Article 6 of developing countries, in particular the LDCs and SIDS, through the provision of information, materials, training of trainers programmes and regional and national projects on topics relating to education, training and public awareness. In this context, the SBI encouraged Parties in a position to do so, as well as the GEF and its implementing agencies, to provide financial and technical assistance to developing countries, in particular the LDCs, to enhance their use of, and access to, CC:iNet.</p>	<p><i>See GEF responses on funding for Article 6 above.</i></p>	<p>Responded to/ Ongoing</p>
<i>Policies</i>		<p>72. The SBI encouraged the GEF, as an operating entity of the financial mechanism of the Convention, in accordance with decision 7/CP.16, to work on</p>	<p><i>See GEF responses on funding for Article 6 above.</i></p>	<p>Repetitive</p>

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		increasing access to funding for Article 6 related activities.		Responded to / Ongoing
New Delhi work programme <i>Programme Priorities</i>	Decision 11/CP.8	6. <i>Requests</i> the Global Environment Facility to provide financial resources to Parties not included in Annex I of the Convention (non-Annex I Parties), in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1 and 6/CP.7, to support the implementation of the work programme;	<i>See GEF response to same paragraph on page 30 of this document.</i>	Obsolete Responded to
<i>Programme Priorities</i>	Decision 7/CP.13	4. <i>Requests</i> the Global Environment Facility to continue to provide, as appropriate, financial resources to developing country Parties, in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9 and 7/CP.10, to support the implementation of the New Delhi work programme on Article 6 of the Convention and to regularly report to the Conference of the Parties on the activities it has supported.	<i>See GEF response to same paragraph on page 31 of this document.</i>	Obsolete Responded to
<i>Programme Priorities</i>	Decision 9/CP.13	5. <i>Requests</i> the Global Environment Facility to continue to provide, as appropriate, financial resources to Parties not included in Annex I to the Convention (non-Annex I Parties), in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9 and 7/CP.10, to support the implementation of the work programme and to regularly report to the Conference of the Parties on the activities it has supported;	<i>See GEF response to same paragraph on page 31 of this document.</i>	Obsolete Responded to
Capacity-building <i>Programme Priorities</i>	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (g) Support capacity-building for: (i) The assessment of technology needs to fulfil the commitments of developing countries under the Convention, the identification of sources and suppliers of these technologies, and the determination of modalities for the acquisition and absorption thereof; (ii) Country-driven activities and projects to enable Parties not included in Annex I to the Convention (non-Annex I Parties) to design, evaluate and	Recognizing the continued and strong emphasis on capacity building the GEF initiated a process leading to a comprehensive study of capacity building needs and a recommended strategy to address those needs. The GEF made additional resources available by COP 5 to better assist recipient countries to address immediate capacity-building priorities consistent with the guidance from the	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		manage these projects; (iii) Strengthening the capacity of non-Annex I Parties to host projects, including from project formulation and development to their implementation; (iv) Facilitating national/regional access to the information provided by international centres and networks, and for working with those centres for the dissemination of information, information services, and transfer of environmentally sound technologies and know-how in support of the Convention;	COP.	
Programme Priorities	Decision 10/CP.5	1. <i>Decides</i> that (a) Financial and technical support for capacity-building activities for implementing the Convention in developing countries, in particular the least developed countries and the small island developing States, should be provided through the financial mechanism and through bilateral and multilateral agencies, as appropriate;	The GEF has provided financial and technical support for capacity-building in developing countries. In 2000, the GEF launched the Capacity Development Initiative (CDI) to identify countries' priority issues and capacity development needs.	Responded to / Ongoing
Other	Decision 2/CP.7	5. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to report on its progress in support of the implementation of the framework on Capacity-building in its reports to the Conference of the Parties;	The GEF has reported on its support to the National capacity needs self-assessments in recipient countries.	Responded to / Ongoing
Other		6. <i>Urges</i> the operating entity of the financial mechanism to adopt a streamlined and expedited approach in financing activities within this framework;	Operational Guidelines for Expedited Funding of National Self-Assessment of Capacity-building Needs were finalized in 2001.	Responded to
Programme Priorities	Decision 6/CP.7	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF, as an operating entity of the financial mechanism, should provide financial resources to developing country Parties, in particular the least developed and the small island developing States among them, for the following activities, including those identified in paragraph 7 of decision 5/CP.7: (i) Building the capacity, including, where appropriate, institutional capacity, for preventive measures, planning, preparedness for disasters related to climate change, including in particular, contingency planning for droughts and floods in areas prone to extreme weather events;	<i>See GEF response to Decision 2/CP.7 para 5 and 6 above.</i>	Repetitive Responded to / Ongoing
Other		3. <i>Urges</i> the GEF to adopt a streamlined and expedited approach to		Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		financing activities within the framework for capacity-building in developing countries (non-Annex I Parties) contained in decision 2/CP.7;		
Programme Priorities	Decision 6/CP.8	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(b) On matters relating to capacity-building: further the prompt implementation of decision 2/CP.7, taking into account paragraphs 3, 4 and 5 of decision 6/CP.7, and also take into account decisions 2/CP.7, 3/CP.7 and 6/CP.7 when developing its “Elements of strategic collaboration and a framework for Global Environment Facility action for capacity-building on the global environment” to be presented to the Council of the Global Environment Facility in May 2003;</p>	GEF support for capacity building activities as of June 2002 exceeds \$1.46 billion. The largest source of financial support for capacity building is through mitigation projects, which typically include large components providing for training, information dissemination, institution building, and related activities. Another important component of the GEF capacity building framework aims to further help developing countries access GEF funding for capacity building.	Obsolete Responded to
Other	Decision 3/CP.9	<p>1. <i>Requests</i> the Global Environment Facility to include, in its report to the tenth session of the Conference of the Parties:</p> <p>(a) Information on the implementation of the strategic approach to enhancing capacity-building in response to decisions 2/CP.7 and 3/CP.7;</p> <p>(b) Information on its support for the implementation of the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention.</p>	<i>The GEF has provided the information requested in its report to COP 10.</i>	Obsolete Responded to
Programme Priorities	Decision 4/CP.9	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(b) On matters relating to capacity-building:</p> <p>(i) Continue to provide financial support to non-Annex I Parties, in accordance with decision 6/CP.7, for the implementation of the capacity-building framework annexed to decision 2/CP.7;</p> <p>(ii) Take into account, in its work relating to the development of capacity-building performance indicators for the climate change focal area, the capacity-building framework annexed to decision 2/CP.7, and undertake this work in</p>	Capacity building has always been a critical element of GEF climate change projects. The important role the GEF plays in the area of capacity-building was recognized by the COP in decision 10/CP.5. In its report to COP 10, the GEF presented its strategic [new] approach to enhance capacity building and the implementation of this approach based on GEF projects	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		consultation with the Convention secretariat; (iii) Provide financial support to countries with economies in transition, within its mandate, for the implementation of the capacity-building framework annexed to decision 3/CP.7;	approved during the reporting period.	
Programme Priorities	Decision 2/CP.10	3. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to take into account the key factors identified in paragraph 1 of this decision in the context of the projects of the operating entity of the financial mechanism, when supporting capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;	The GEF reported on the implementation of its strategy to enhance capacity building the National Capacity Self-Assessment. By September 2005, 153 countries were engaged in the programme aiming at identifying and prioritizing their capacity needs, based on their circumstances, strengths and gaps.	Obsolete Responded to
Programme Priorities	Decision 3/CP.10	2. <i>Invites</i> the Global Environment Facility within its mandate, Parties included in Annex II to the Convention (Annex II Parties), and multilateral, bilateral and other international organizations that are in a position to do so, to provide financial support for capacity-building activities in Parties with economies in transition, as outlined in decision 3/CP.7;	<i>See GEF Response for Decision 2/CP.10, paragraph 3 above.</i> The GEF has reported on the support provided to capacity-building.	Repetitive Responded to / Ongoing
Programme Priorities		3. <i>Invites</i> the Global Environment Facility within its mandate and its implementing agencies, Annex II Parties and multilateral, bilateral and other international organizations to provide information regarding opportunities for technical and financial support for capacity-building activities in Parties with economies in transition;	<i>Information is not available</i>	Repetitive Ongoing
Programme Priorities		9. <i>Invites</i> the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international organizations, to provide information for the review of decision 3/CP.7.		Obsolete
Programme Priorities	Decision 8/CP.10	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to take into account, on matters relating to capacity-building, the key factors identified in paragraph 1 of decision 2/CP.10 in the context of projects of the operating entity of the financial mechanism, when supporting capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;	Responding to the COP decision to ensure that resources are made available for the implementation of capacity-building activities not just for assessments, a follow-up program to the National Capacity Self-Assessment (NCSA) has been initiated to consider	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
			financing free-standing capacity building projects that address national priority capacity needs identified in the NCSA and responding to decisions 2/CP.7 and 2/CP.10.	
Programme Priorities	Decision 4/CP.12	5. <i>Reiterates</i> its request to the Global Environment Facility, as an operating entity of the financial mechanism, to take into account the key factors for capacity-building as laid out in paragraph 1 of decision 2/CP.10 when supporting capacity-building activities in developing countries;	The GEF has taken several measures in response to decisions 2/CP.7 and 2/CP.10: <ul style="list-style-type: none"> - The scope of the second national communications takes items of para 15 of decision 2/CP.7 - With regard to the specific scope for capacity building in LDCs the GEF-4 replenishment agreed to a specific allocation for LDCs including SIDS for cross-cutting capacity-building activities as identified through their NCSAs - In response to the framework for capacity building identified in para 21 and 22 of the annex to decision 2/CP.7, the GEF has elaborated a country-driven strategy for its capacity building activities. 	Repetitive Responded to
Programme Priorities	Decision 4/CP.14	5. <i>Further reiterates</i> its request to the Global Environment Facility to make continued efforts to provide adequate financial resources to support the implementation of capacity-building activities consistent with decision 2/CP.7;	The GEF has funded several programs supporting effective and efficient implementation of the Convention through the National Dialogue Initiative (NDI), Country Support Program (CSP), and capacity building through National Capacity Self Assessment (NCSA), cross-cutting capacity building, as well as the Small Grants Program.	Repetitive Responded to / Ongoing
Programme Priorities	Decision 2/CP.16	3. <i>Decides</i> that the Global Environment Facility has provided and should	<i>See GEF Response to decision 21/CP.18, paragraph 3 below.</i>	Repetitive Responded to /

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		continue to enhance its support to developing countries in: (b) Strengthening national capacity-building;		Ongoing
Programme Priorities	Decision 2/CP.17	152. Encourages the relevant bodies established under the Convention, including, inter alia, the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention, the Least Developed Countries Expert Group and the Global Environment Facility as an operating entity of the financial mechanism, to continue to elaborate and carry out work on capacity-building in an integrated manner, as appropriate, within their respective mandates;	Response to this guidance can be viewed in the larger context of GEF's support to capacity-building.	Responded to / Ongoing
Programme Priorities	Decision 21/CP.18	3. Invites Parties included in Annex II to the Convention and other Parties in a position to do so, the Global Environment Facility within its mandate, multilateral and bilateral agencies, other international organizations, and the private sector, or any further arrangements as appropriate, to continue to provide support for capacity-building activities in those countries with economies in transition that are currently receiving support;	Capacity building is a routine component in many GEF projects and programs, often with specific interventions, covering 11 of the 15 categories of capacity building as defined by UNFCCC.	Repetitive Responded to / Ongoing
Programme Priorities	SBI 17	35. At its 7th meeting, on 29 October, having considered a proposal by the Chair, the SBI adopted the following conclusions: (f) The SBI invited the GEF and relevant international organizations to provide, by 15 April 2003, information on progress in the implementation of capacity-building projects and programmes responding to the framework contained in decision 2/CP.7, for consideration at its eighteenth session;	The information has been provided by the GEF as contained in documents FCCC/SBI/2003/MISC.2 and FCCC/SBI/2003/MISC.5	Obsolete / Responded to
Programme Priorities	SBI 23	87. The SBI reiterated that capacity-building activities undertaken by the GEF should be guided by decisions 2/CP.7 and 2/CP.10 and emphasized that the response of the GEF to the framework for capacity-building as set out in decision 2/CP.7 should be provided in a timely manner. The SBI noted with appreciation that 153 countries were engaged in the national capacity self-assessments (NCSAs) as at September 2005, but noted that some countries were not yet engaged in this process and encouraged the GEF to continue to provide the required financial resources to ensure that the remaining eligible developing countries are engaged in the NCSAs by SBI 24 (May 2006).	The GEF has provided information on the support to the NCSA in its report to COP 14	Obsolete Responded to
Programme Priorities	SBI 29	84. The SBI further took note of the report of the GEF and the support that the GEF has provided for capacity-building activities. The SBI recommended that the COP reiterate its request to the GEF to make continued efforts to	See GEF response to decision 4/CP.14, paragraph 38 on page X of this document.	Redundant Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		provide adequate financial resources to support the implementation of capacity-building activities consistent with decision 2/CP.7.		
Enabling activities <i>Programme Priorities</i>	Decision 11/CP.2	1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (a) In the initial period, implement strategies on enabling activities in accordance with decision 11/CP.1 which facilitate endogenous capacity-building, including data collection and archiving, consistent with the policy guidance, programme priorities and eligibility criteria provided to it by the Conference of the Parties;	In its report to COP 2, the GEF elaborated upon the procedures that it had approved to expedite the preparation and implementation of enabling activities projects.	Obsolete Responded to
National Programmes <i>Programme Priorities</i>	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (e) Assist them with studies leading to the preparation of national programmes to address climate change, compatible with national plans for sustainable development, in accordance with Article 4.1(b) of the Convention and paragraph 13 of the annex to decision 10/CP.2;	During the reporting period, the GEF has funded national enabling activity projects with the full collaboration of recipient country Parties providing assistance for the preparation of national communications.	Responded to / Ongoing
 <i>Programme Priorities</i>	Decision 5/CP.8	4. <i>Invites</i> the Global Environment Facility: (d) To strengthen efforts to promote consistency of Global Environment Facility activities with national priorities and to integrate them into national planning frameworks, such as national sustainable development strategies and poverty reduction strategies;	<i>See GEF response for decision 11/CP.1, paragraph 1 on page 1 of this document.</i>	Responded to / Ongoing
National Communications <i>Programme Priorities</i>	Decision 11/CP.2	1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (c) Together with its implementing agencies, expedite the approval and the disbursement of financial resources to meet the agreed full costs incurred by the developing country Parties in complying with their obligations under Article 12.1 of the Convention, in accordance with Article 4.3, and in particular for the initial and subsequent preparation of national communications of non-Annex I	In its report to COP 2, the GEF elaborated upon the procedures that it had approved to expedite the preparation and implementation of enabling activities projects. A senior management meeting of the Implementing Agencies and GEF Secretariat was convened shortly after	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		Parties. In this regard, the guidelines and format adopted by the Conference of the Parties at its second session on the preparation of initial national communications by non-Annex I Parties contained in decision 10/CP.2 shall form the basis for the funding of communications from non-Annex I Parties under Article 12.1 of the Convention;	COP2 where agreement was reached on ways to streamline GEF internal working procedures and to further expedite enabling activity approval and implementation.	
Programme Priorities	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (d) Meet the agreed full costs of preparing initial and subsequent national communications, in accordance with Articles 4.3 and 12.5 of the Convention and decision 11/CP.2, paragraph 1(d), by maintaining and enhancing relevant national capacity, so as to prepare the initial and second national communications which will take into account experiences, including gaps and problems identified in previous national communications, and guidelines established by the Conference of the Parties. Guidance on subsequent national communications will be provided by the Conference of the Parties;	The GEF approved the global project entitled, “National Communications Support Programme”, which aims to improve the quality, comprehensiveness, and timeliness of the initial communications from non-Annex I Parties. To respond to the evolving needs of countries, the GEF has undertaken a needs assessment and setting up an open forum to strengthen consultation with countries. The assessment will be carried out with inputs from non-Annex I Parties.	Obsolete Repetitive Responded to
Other		2. <i>Requests</i> the GEF to continue to provide, and developing country Parties to avail themselves of, funding to translate, reproduce, disseminate and make available their initial national communications electronically;	<i>Information is not available.</i>	Obsolete
Programme Priorities	Decision 12/CP.4	1. <i>Decides:</i> (d) To ensure that issues and concerns identified by non-Annex I Parties in their <u>initial communications</u> are brought to the attention of the Global Environment Facility (GEF) and, through it, as appropriate, its implementing agencies when undertaking the comprehensive review of enabling activities projects;	<i>See GEF response to decision 2/CP.4 paragraph 1(d) above.</i>	Obsolete Responded to
Programme Priorities	Decision 8/CP.5	1. <i>Decides:</i> (d) That all Parties that have submitted their initial national communications before the adoption of revised guidelines for national communications, and wish to start the preparation of their second national communications before the seventh session of the Conference of the Parties, may do so using the initial guidelines; that the Global Environment Facility	The GEF fully implemented the decision of the COP by making available financial resources to any eligible Party seeking assistance to prepare its second national communication prior to the revision of	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		(GEF) shall provide funding for the preparation of the second national communications of such Parties, following the guidance to the GEF set out in decisions 11/CP.2 and 2/CP.4; and that Parties which start to prepare their second national communications after adoption of the revised guidelines shall use the revised guidelines;	the guidelines.	
Programme Priorities	Decision 6/CP.8	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(a) On matters relating to national communications:</p> <p>(i) Provide financial resources at an appropriate level to cover the requirements of the guidelines annexed to decision 17/CP.8, in an expedited manner, by an operating entity of the financial mechanism of the Convention, on an agreed full cost basis for the preparation of national communications, as well as capacity-building activities relating to the preparation of national communications pursuant to decision 2/CP.7, and in particular paragraphs 1 (c), 3, 4 and 5 of decision 6/CP.7;</p> <p>(ii) Continue to provide funding to Parties that have initiated the process of preparing second national communications and received funding under the expedited procedures or on an agreed full cost basis prior to the approval of the guidelines annexed to decision 17/CP.8;</p>	<p>The GEF has prepared operational guidelines for the expedited funding of national communications on the basis of decision 17/CP.8.</p> <p>On the basis of the guidelines, the GEF began to assist countries in the development and approval of projects for the preparation of second national communications</p>	Obsolete Repetitive Responded to
Policies	Decision 4/CP.9	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(a) On matters relating to national communications:</p> <p>(i) Closely monitor the performance of the global project to support the preparation of national communications, including its effectiveness and efficiency, and continue to ensure that implementation of this project is consistent with the guidance provided by the Conference of the Parties;</p> <p>(ii) Provide finance in a timely manner for the preparation of national communications by Parties not included in Annex I to the Convention (non-Annex I Parties) whose project activities are not covered by the global project;</p>	Operational Procedures for the Expedited Financing of National Communications from Non-Annex I Parties have been circulated in November 2003 after the Council approved expedited support for second national communications in May 2003.	Obsolete Responded to
Programme Priorities	Decision 1/CP.10	7. <i>Requests</i> the Global Environment Facility to expand support for the elaboration of adaptation strategies as part of the national communication	This guidance was addressed by the GEF in the context of its NCSP.	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		process in non-Annex I Parties		
<i>Programme Priorities</i>	Decision 8/CP.10	5. <i>Requests</i> the Global Environment Facility to expand support for the elaboration of adaptation strategies as part of the national communication process in Parties not included in Annex I to the Convention;	This guidance was addressed by the GEF in the context of its NCSP.	Repetitive Responded to
<i>Programme Priorities</i>	Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (e) To provide financing to Parties not included in Annex I to the Convention that received the top-up fund but did not conduct their technology needs assessments, to enable these Parties to conduct their <u>technology needs assessments as part of their second national communications</u> , and to provide these funds to Parties not included in Annex I to the Convention that have conducted their technology needs assessments but need to update them, also as part of their second national communications, in addition to the amount approved for the preparation of their second national communications;	Many countries received support as a follow-up of the initial National Communications that were partially used for TNAs.	Obsolete Responded to
<i>Other</i>		2. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To provide updated information on the operational procedures for the expedited financing of national communications from Parties not included in Annex I to the Convention, for consideration by the Subsidiary Body for Implementation at its twenty-sixth session;	<i>Provided in FCCC/SBI/2007/INF.2.</i>	Obsolete Responded to
<i>Programme Priorities</i>	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (g) To continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;	The GEF has responded on the availability of resources for national communications under GEF-4 as well as the support provided to developing country Parties in preparing their second national communications.	Repetitive Responded to / Ongoing
<i>Other</i>		(h) To take into consideration the request contained in paragraph 1 (g) above in its planned mid-term review in 2008;	To simplify procedures, the GEF took a programmatic approach for Second National Communications, whereby an envelope of resources had been approved by the Council, with delegated approval authority to the implementing agencies with regard to	Obsolete
<i>Other</i>		(i) To work with its implementing agencies to continue to simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet		Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		the agreed full costs incurred by developing country Parties in complying with these obligations;	individual country proposals.	Repetitive Responded to / Ongoing
Other		(j) To refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties <u>that are in the process of preparing their third and, where appropriate, fourth national communications,</u> in the light of paragraph 1 (g)-(i) above;	One of the key reforms implemented under GEF-4 was the opportunity for direct consultation between a country and GEF Secretariat in developing project proposals.	Repetitive Responded to / Ongoing
Other		(l) To ensure, together with its implementing agencies, that the analysis of project proposals for the financing of second and subsequent national communications is consistent with the guidelines for the preparation of national communications from non-Annex I Parties;	Proposals for NCs are always reviewed to ensure they are consistent with guidelines for preparation of NCs.	Repetitive Responded to / Ongoing
Programme Priorities	Decision 4/CP.14	1. <i>Requests</i> the Global Environment Facility: (f) To ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of Parties not included in the Annex I (non-Annex I Parties) plan to initiate the preparation of their third or fourth national communications <u>by the end of the fourth replenishment of the Global Environment Facility;</u>	As of June 2009, 143 non-Annex I Parties have received GEF funding for the preparation of their NCs to the UNFCCC. Argentina had submitted its Second NC in March 2008 while Mexico submitted its Third NC in November 2006.	Repetitive Obsolete Responded to
Other		2. <i>Invites</i> the Global Environment Facility to inform its implementing agencies of the guidelines for the preparation of national communications from non-Annex I Parties and of relevant provisions of the Convention, in particular its Article 4, paragraph 3, on the provision of new and additional financial resources to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;	<i>GEF Secretariat communicates with Agencies via monthly meetings and reports and provides training to Agency staff.</i>	Repetitive Responded to
Programme Priorities		3. <i>Reiterates</i> the following requests made by the Conference of the Parties at its thirteenth session to the Global Environment Facility: (a) To continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;	<i>See GEF Response to paragraph 1(f) above.</i>	Repetitive Responded to / Ongoing
Other		(b) To refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where	<i>See GEF Response to Decision 11/CP.17, paragraph 1(a) on page 45</i>	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		appropriate, fourth national communications; (d) To work with its implementing agencies to continue to simplify their procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;	<i>of this document.</i> <i>See GEF Response to Decision 11/CP.17, paragraph 1(a) on page 45 of this document.</i>	Repetitive Responded to / Ongoing
Programme Priorities	Decision 3/CP.16	4. <i>Requests</i> the Global Environment Facility: (a) To continue to provide funds for technical support for the preparation of national communications of Parties not included in Annex I to the Convention (non-Annex I Parties), similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications;	All requests for support on NCs have been approved since GEF inception. The GEF has also contacted each recipient country to provide an update in writing on financing options available for National Communications.	Repetitive Responded to / Ongoing
Other		(b) To ensure that the expedited process under the operational procedures continues to provide timely disbursement of funds to non-Annex I Parties for the preparation of their national communications;	<i>See GEF Response to (d) below.</i>	Responded to
Other		(c) To work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations, and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;	<i>See GEF Response to Decision 11/CP.17, paragraph 1(a) below.</i>	Repetitive Responded to / Ongoing
Other		(d) To finalize any remaining operational procedures to ensure the timely disbursement of funds for those Parties that decide to access resources for the preparation of their national communications through direct access;	Supports all requests for National Communication. Each country is eligible for up to \$500,000 and can work with agencies or through direct access	Responded to
Other	Decision 11/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:	Has established expedited procedures for an enabling activity project to meet	Repetitive Responded to /

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		(a) To continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between the enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;	obligation under UNFCCC. Has expanded options for countries to access resources for NCs including (i) working with GEF Agency (ii) being part of UNEP umbrella project (iii) by direct access (iv) through national allocation as a full-sized project	Ongoing
	Decision 8/CP.20	12. <i>Requests</i> the Global Environment Facility to continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention receive funding to meet their obligations under Article 12, paragraph 1, of the Convention;	GEF is exploring ways to further simplify its procedures. Has funded 315 NCs and BURs with \$378.2 million in funding from GEF Trust Fund since inception.	Responded to / Ongoing
Programme Priorities	SBI 11	51. At its 7 th meeting, on 1 November, having considered a proposal by the Chairman, the SBI adopted the following conclusions: (e) The SBI noted the list of projects submitted by Parties not included in Annex I to the Convention in accordance with Article 12.4 of the Convention (FCCC/SBI/1999/INF.4/Add.1), and recommended that these projects should be brought to the attention of the GEF and, as appropriate, other bilateral and multilateral financing institutions through the established channels for such assistance; (f) The SBI requested clarification from the GEF on how it intends to proceed with the implementation of the list of projects submitted by Parties not included in Annex I to the Convention in accordance with Article 12.4 of the Convention (FCCC/SBI/1999/INF.4 and Add.1); (h) The SBI recommended that any project concept notes contained in the above report which are developed into full project proposals by interested countries should be submitted to the GEF for consideration, in accordance with decision 10/CP.2, annex, paragraph 13;	<i>Has supported all requests for NCs (See GEF Response for Decision 3/CP.16, paragraph 4(a) above)</i>	Obsolete Responded to
Other	SBI 11,13-20, 21, 25, 27, 28, 29,30	The SBI invited the GEF to continue to provide information, and to ensure that it is detailed and complete, on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds, for consideration by the SBI at	<i>Has provided information to each session of the SBI.</i>	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
	(with little or no variation)	its XXX session.		
Programme Priorities	SBI 15	14. At its 3rd meeting, on 6 November, having considered a proposal made by the Chairman, the SBI the adopted the following conclusions: (c) The SBI recalled the relevant provisions of decisions 2/CP.4 and 8/CP.5 and urged the GEF to facilitate the provision of financial support to non-Annex I Parties requesting funds for the preparation of their second national communications; (d) The SBI further noted the concerns expressed by some Parties regarding the adequacy of GEF assistance to programmes supporting the preparation of national communications in non-Annex I Parties;	See GEF Response to Decision 8/CP.5 paragraph 1(d) on page 41 of this document.	Obsolete Repetitive Responded to
Other	SBI27	35. The SBI further recommended that the COP, at its thirteenth session, request the GEF to: (f) Ensure, together with its implementing agencies that the analysis of project proposals for the financing of second and subsequent national communications is consistent with the guidelines for the preparation of national communications from Parties not included in Annex I to the Convention.	See GEF Response to decision 7/CP.13, para 1(l) on page 43 of this document.	Redundant Responded to
Other	SBI 25	34. The SBI recommended that the COP, at its twelfth session, invite the GEF to provide updated information on the operational procedures for the expedited financing of national communications from non-Annex I Parties, for consideration by the SBI at its twenty-sixth session.	See GEF Response to decision 3/CP.12, para 2(b) on page 42 of this document.	Redundant Responded to
Other	SBI 26	35. The SBI invited the GEF to provide, in its report to the COP at its thirteenth session, detailed and current information on the modalities and source of funding for the preparation of second and subsequent national communications, and information on, and an explanation of, any changes to GEF procedures relating to the financing of national communications, for consideration by the SBI at its twenty-seventh session.	Has included information in GEF Report to COP13.	Obsolete Responded to
Other	SBI32	35. The SBI invited the GEF to provide detailed, accurate, timely and complete information on the outcomes of the most recent GEF Assembly related to the national communications from non-Annex I Parties, in accordance with Article 11 of the Convention, including on the implications of the System for Transparent Allocation of Resources on the funding of national	Has included information in GEF Report to COP16.	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		communications, and on modalities and procedures established by the GEF to ensure that financial resources are provided, in an efficient and timely manner, to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention. 38. The SBI noted that some non-Annex I Parties expressed concerns about the way the GEF implementing agencies are disbursing funds for national communications, and invited the GEF to include in its report to the COP at its sixteenth session the specific steps it has taken to respond to these concerns.	<i>Has included information in GEF Report to COP16.</i>	Obsolete Responded to
Other	SBI 32, 33, 34, 35, 36, 37, 39, 41, 42 (with little or no variation)	34. The SBI invited the GEF to continue to provide information, ensuring that it is detailed, accurate, timely and complete, on its activities relating to the preparation of national communications from non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to provide information on the approximate date of completion of the draft national communications and the approximate date of submission of the national communications to the secretariat for consideration by the SBI at its XXX session.	<i>Has provided information to each session of the SBI.</i>	Repetitive Responded to / Ongoing
Other	SBI 33	43. The SBI invited the GEF to provide detailed, accurate, timely and complete information on the most recent GEF Council related to the national communications of non-Annex I Parties, in accordance with Article 11 of the Convention, especially on modalities and procedures established by the GEF to ensure that financial resources are provided, in an efficient and timely manner, to meet the agreed full costs incurred by all developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention. 49. The SBI recommended that the COP, at its sixteenth session, request the GEF to continue to provide funds for technical support for the preparation of national communications of non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications.	<i>Has included information in GEF Report to COP16.</i> <i>See GEF response to decision 3/CP.16, paragraph 4(a) on page 45 of this document.</i>	Repetitive Responded to Redundant Responded to
Programme Priorities		50. It also recommended that the COP, at its sixteenth session, request the GEF to ensure that the expedited process under the operational procedures continues to provide a timely disbursement of funds to non-Annex I Parties for	<i>See GEF response to decision 3CP.16, paragraph 4(b) on page 45 of this</i>	Redundant Responded to
Other				

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		the preparation of their national communications.	<i>document.</i>	
<i>Programme Priorities</i>	SBI 34	49. The SBI reiterated its recommendation to the COP, at its sixteenth session, to request the GEF to continue to provide funds for technical support for the preparation of national communications of non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications.	<i>See GEF response to decision 3/CP.16, paragraph 4(a) on page 45 of this document.</i>	Repetitive Responded to
<i>Programme Priorities</i>	SBI 35	41. The SBI reiterated its recommendation to the COP at its sixteenth session to request the GEF to continue to provide funds for technical support for the preparation of national communications of non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications. It invited the GEF to report on this matter in its report to the COP at its eighteenth session.	<i>See GEF response to decision 3/CP.16, paragraph 4(a) on page 45 of this document. Information is included in GEF Report to COP18.</i>	Repetitive Responded to
<i>Other</i>	SBI 40	29. The SBI recommended that COP 20 request the GEF to continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention.	<i>See GEF response to decision 8/CP.20, paragraph 12 on page 46 of this document.</i>	Redundant Responded to
Project proposals identified in the national communications <i>Programme Priorities</i>	Decision 5/CP.11	2. <i>Requests</i> the Global Environment Facility, in accordance with Article 12, paragraph 4, of the Convention, and decision 11/CP.1, to assist, if so requested, Parties not included in Annex I to the Convention in formulating and developing project proposals identified in their national communications, when Parties are formulating their national programmes to address climate change issues;	Agencies are making special effort to advise countries on the practicality of obtaining funding for projects identified in the second and subsequent NCs that are suitable for funding. Adoption of the RAF will impose discipline on programming that should make it easier for countries to obtain	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
			funding for priority projects identified as part of the NC projects.	
<i>Programme Priorities</i>	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (k) To assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2;	<i>See GEF Response to decision 4/CP.14 3(c) below.</i>	Repetitive Responded to / Ongoing
<i>Other</i>		2. <i>Invites</i> the Global Environment Facility: (a) To continue to provide information on funding for projects identified in the national communications of non-Annex I Parties	Information on all the projects approved in the reporting period is included in the GEF Report to the COP.	Responded to / Ongoing
<i>Programme Priorities</i>	Decision 4/CP.14	2. <i>Also reiterates</i> the invitation made by the Conference of the Parties at its thirteenth session to the Global Environment Facility to continue to provide information on funding for projects that have been identified in the national communications of non Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved;	<i>See GEF Response to Decision 7/CP.13, paragraph 2(a) above.</i>	Repetitive Responded to / Ongoing
<i>Other</i>		3. <i>Reiterates</i> the following requests made by the Conference of the Parties at its thirteenth session to the Global Environment Facility: (c) To assist, as appropriate, non Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2;	Through GEF agencies, provides assistance to countries in formulating project proposals identified in NCs.	Repetitive Responded to / Ongoing
<i>Programme Priorities</i>	Decision 3/CP.16	4. <i>Requests</i> the Global Environment Facility: (e) To provide detailed information on funding for projects that have been identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.	<i>See GEF response to decision 7/CP.13, paragraph 2(a) above.</i>	Repetitive Responded to / Ongoing
<i>Other</i>	SBI 21, 22, 27, 29, 30, 32, 33 (with little or no	19. The SBI reiterated the request to the GEF made by the COP in its decisions 7/CP.13 and 4/CP.14 to assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention	<i>See GEF response to decision 5/CP.11, paragraphs 2, decision 7/CP.13 paragraph 2(a), and decision 4/CP.14, paragraph 3(c) above.</i>	Redundant / Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other	variation)	and decision 5/CP.11, paragraph 2. It invited the GEF to report on such submitted or approved project proposals in its report to the COP at its XXX session.		
	SBI 27, 28, 29, 33 (with little or no variation)	The SBI recalled the invitation by the COP, at its thirteenth session, to the GEF to continue to provide information on funding for projects identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention, and subsequently submitted and approved.	See GEF response to decision 7/CP.13, paragraph 2(a) above.	Redundant/ Repetitive Responded to / Ongoing
Biennial update reports				
Programme Priorities	Decision 2/CP.17	44. Urges and requests the Global Environment Facility to make available support to non-Annex I Parties preparing their first biennial update reports as early as possible in 2012 and on the basis of agreed full-cost funding;	<ul style="list-style-type: none"> • Distributed policy guidelines for financing BURs in 2012 which provided that non-Annex I Parties can access \$352,000 through a GEF agency or direct access. 	Responded to
Programme Priorities	Decision 9/CP.18	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: <p>(a) To make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e);</p> <p>(b) To provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs;</p>	<ul style="list-style-type: none"> • System for Transparent Allocation of Resources (STAR) can be used for additional support required for BURs, including subsequent ones. • GEF Council approved project in 2012 that supports non-Annex I Parties to strengthen their technical and institutional capacities to ensure effective preparation of the BURs. 	Responded to / Ongoing
Programme Priorities	SBI 36	54. The SBI recommended that the COP, at its eighteenth session, request the GEF to make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e).	See GEF response to decision 9/CP.18, paragraph 1(a) above	Redundant Responded to / Ongoing
Programme Priorities		55. The SBI reiterated the request to the GEF made by the COP in decision 2/CP.17, paragraph 44, to make available support to non-Annex I Parties preparing their BURs as early as possible in 2012 and on the basis of agreed full-cost funding.	See GEF response for decision 2/CP.17, paragraph 44 above.	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		57. The SBI also invited the GEF to provide, in its report to the COP at its eighteenth session, detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of request and approval of funding, as well as disbursement of funds.	<i>Included in GEF report to COP18.</i>	Obsolete Responded to
Programme Priorities		60. The SBI recommended that the COP, at its eighteenth session, request the GEF to provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs. It invited the GEF to report on this matter in its report to the COP at its nineteenth session.	<i>See GEF response to decision 9/CP.18, paragraph 1(b) above.</i>	Redundant Responded to / Ongoing
Programme Priorities	SBI 37	33. The SBI noted with concern that as of 1 October 2012 the GEF secretariat had only received four requests for support to prepare BURs. The SBI, recalling decision 2/CP.17, paragraph 41(d), reiterated its urging of non-Annex I Parties to submit, as applicable, their requests to the GEF for support for the preparation of their first BUR, in a timely manner. It also encouraged the GEF agencies to facilitate the preparation and submission of project proposals from non-Annex I Parties for the preparation of their BURs.	<i>GEF supports the preparation of project proposals.</i>	Responded to / Ongoing
Other		35. The SBI further invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding and approval of funding, as well as of disbursement of funds, for consideration by the SBI at its thirty-eighth session.	<i>Provided in FCCC/SBI/2013/INF.8</i>	Repetitive Obsolete Responded to
Programme Priorities		39. The SBI recommended that the COP at its eighteenth session request the GEF to provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs. It invited the GEF to report on this matter in its report to the COP at its nineteenth session.	<i>See GEF Response to Decision 9/CP.18, paragraph 1(b) on page 51 of this document.</i>	Redundant Responded to / Ongoing
Other	SBI 39	34. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of the request for funding, approval of funding, disbursement of funds as well as an approximate date of submission to	<i>Provided in FCCC/SBI/2014/INF.7</i>	Repetitive/ Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		the secretariat of BURs, for consideration at SBI 40 (June 2014).		
Programme Priorities		<p>35. The SBI noted that as at 11 November 2013 the GEF secretariat had received 30 requests for support to prepare BURs. Recalling decision 2/CP.17, paragraph 41(d), the SBI encouraged the non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner.³¹ In addition, <u>it encouraged the GEF implementing agencies to continue facilitating the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.</u></p> <p>36. Recalling decision 9/CP.18, the SBI encouraged the GEF to make support available to non-Annex I Parties for preparing their subsequent BURs in a timely manner, taking fully into account decision 2/CP.17, paragraph 41(a) and (e). The SBI also recalled decision 2/CP.17, which decided that enhanced support for the preparation of BURs should be ensured by developed country Parties and other developed Parties included in Annex II to the Convention by means of resources, in accordance with Article 4, paragraph 3, of the Convention, on the basis of agreed full-cost funding.</p>	<p><i>Information is not available.</i></p> <p><i>See GEF response to decision 9/CP.18, paragraph 1(a) on page 51 of this document.</i></p>	<p>Repetitive Ongoing</p> <p>Responded to / Ongoing</p>
Other	SBI 40	<p>26. The SBI took note of the information provided by the secretariat of the GEF on the financial support provided by the GEF for the preparation of biennial update reports (BURs) by Parties not included in Annex I to the Convention (non-Annex I Parties). <u>The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding, approval of funding and disbursement of funds, as well as the amount of funding disbursed to non-Annex I Parties for the preparation of BURs, and the amount of funding provided for the preparation of national communications during the same period, for consideration at SBI 41.</u></p> <p>27. The SBI noted that as at 4 June 2014 the GEF secretariat had received 36 requests for support to prepare BURs. Recalling decision 2/CP.17, paragraph 41(d), the SBI urged non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner. In addition, <u>it encouraged the GEF implementing agencies to continue facilitating the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.</u></p>	<p><i>Provided in FCCC/SBI/2014/INF.22</i></p> <p><i>Information is not available.</i></p>	<p>Repetitive Obsolete Responded to</p> <p>Repetitive Ongoing</p>

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		28. The SBI invited the GEF to provide, in its report to COP 20, detailed information on the funding available under its latest replenishment to non-Annex I Parties for the preparation of national communications and BURs, and the total amount of funding available in its climate change focal area.	Provided in GEF Report to COP20	Obsolete Responded to
Programme Priorities		30. The SBI, recalling decision 9/CP.18, reiterated its encouragement of the GEF to make support available to non-Annex I Parties for preparing their subsequent BURs in a timely manner, taking fully into account decision 2/CP.17, paragraph 41(a) and (e).	See GEF Response to Decision 9/CP.18, paragraph 1(a) on page 51 of this document.	Repetitive Responded to / Ongoing
Other	SBI 41	31. The SBI further invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding, approvals of funding and disbursement of funds, as well as an approximate date of submission to the secretariat of the BURs, for consideration at SBI 42.	Provided in FCCC/SBI/2015/INF.7	Repetitive Responded to
Other		34. Recalling decision 2/CP.17, paragraph 41(d), the SBI reiterated its urging of the non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner. <u>In addition, it encouraged GEF agencies to continue to facilitate the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.</u>	Since its report to COP20, the GEF has supported 48 additional countries in their preparation of NCs and BURs.	Repetitive Responded to
Other		35. The SBI invited the GEF to provide, in its report to COP 21 (November–December 2015), information on the procedures available to facilitate access by non-Annex I Parties to funding for the preparation of their national communications and multiple BURs with one application.	Currently there are 4 options to access GEF resources for NC and BURs: (i) Parties can work with a GEF agency of their choice (ii) Parties can be part of an UNEP umbrella project for NCs (iii) Parties can access up to \$500, 000 via direct access (iv) Parties that wish to do FSPs and require additional resources can use STAR allocation.	Responded to
Energy efficiency				
Programme Priorities	Decision 2/CP.12	1. Requests the Global Environment Facility:	Energy efficiency projects accounted for more than 43 percent of allocation form GEF Trust Fund during reporting	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		(d) To continue its promotion of energy efficiency projects;	period for 2007.	
Carbon capture and storage <i>Programme Priorities</i>	Decision 5/CP.11	3. <i>Requests</i> the Global Environment Facility to consider whether supporting carbon capture and storage technologies, in particular related capacity-building activities, would be consistent with its strategies and objectives, and if so, how they could be incorporated within its operational programmes;	The GEF Secretariat requested Scientific and Technology Advisory Panel (STAP) to provide input and advice. STAP concluded that the GEF could maintain a watching brief on CCS developments and that it could support awareness-raising and capacity building activities.	Responded to / Ongoing
Land use and land-use change projects <i>Programme Priorities</i>	Decision 2/CP.12	1. <i>Requests</i> the Global Environment Facility: (c) To explore options for undertaking land use and land-use change projects within the climate change focal area of the Global Environment Facility, in light of past experience;	Opened strategic program on LULUCF under which countries interested in participating could allocate resources from climate change focal area.	Responded to / Ongoing
Results-based finance / REDD+ <i>Programme Priorities</i>	Decision 2/CP.17	68. <i>Encourages</i> the operating entities of the financial mechanism of the Convention to provide results-based finance for the actions referred to in decision 1/CP.16, paragraph 73;	The GEF has provided significant resources for mitigation actions in the forest sector under climate change mitigation's LULUCF objective and under cross-focal area SFM/REDD+ program.	Responded to / Ongoing
<i>Programme Priorities</i>	Decision 9/CP.19	5. <i>Encourages</i> entities financing the activities referred to in decision 1/CP.16, paragraph 70, through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, including the Green Climate Fund in a key role, to collectively channel adequate and predictable results-based finance in a	<i>See GEF response for Decision2/CP.17 paragraph 68 above.</i>	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		fair and balanced manner, taking into account different policy approaches, while working with a view to increasing the number of countries that are in a position to obtain and receive payments for results-based actions;		
Nationally appropriate mitigation action (NAMAs) <i>Programme Priorities</i>	Decision 2/CP.17	48. <i>Further invites</i> developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions: (a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions;	<i>See GEF response for Decision 16/CP.18 paragraph 2 below.</i>	Responded to / Ongoing
<i>Programme Priorities</i>	Decision 16/CP.18	2. <i>Reiterates</i> its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;	GEF has been providing support for NAMAs through regular financing of mitigation projects. Under GEF-5, countries access resources through STAR allocations. GEF Secretariat has held several consultations with the UNFCCC Secretariat about how the GEF can provide information on support available and/or provided in line with development of NAMA registry prototype.	Repetitive Responded to / Ongoing
Response Measures <i>Programme Priorities</i>	Decision 1/CP.10	15. <i>Recalls</i> paragraph 19 of decision 5/CP.7 that mandated support for the implementation of activities included in paragraphs 22–29 through the Global Environment Facility (in accordance with decision 6/CP.7), the Special Climate	Much of the GEF assistance for renewable energy projects has supported research and development,	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other Programme Priorities		Change Fund (in accordance with decision 7/CP.7), and other bilateral and multilateral sources; 21. <i>Invites</i> the Global Environment Facility and other bilateral and multilateral sources to provide feedback to the Conference of the Parties, at its twelfth session (November 2006), on activities undertaken in response to decision 5/CP.7, paragraphs 22–29 (in accordance with decisions 6/CP.7 and 7/CP.7), with a view to the Conference of the Parties adopting a decision on further action at its thirteenth session;	highlighting opportunities for diversification of supply in energy sector During reporting period [2004], 18 renewable energy projects were funded, and some of the projects were based in countries with economies that are highly dependent on fossil fuels	Responded to
	Decision 8/CP.10	7. <i>Invites</i> the Global Environment Facility to provide feedback to the Conference of the Parties, at its twelfth session (November 2006), on activities undertaken in response to decision 5/CP.7, paragraphs 22–29 (in accordance with decisions 6/CP.7 and 7/CP.7), with a view to the Conference of the Parties adopting a decision on further action at its thirteenth session (November 2007).	See GEF Response to Decision 1/CP.10, paragraph 15 above.	Repetitive Responded to
Technology Needs Assessment Programme Priorities	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (b) Enable them, in light of their social and economic conditions and taking into account state-of-the-art environmentally sound technologies, to identify and submit to the Conference of the Parties their prioritized technology needs, especially as concerns key technologies needed in particular sectors of their national economies conducive to addressing climate change and minimizing its adverse effects;	Approved national enabling activity projects covering 21 countries during reporting period [1998].	Responded to / Ongoing
	Decision 4/CP.9	1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should: (c) On matters relating to transfer of technology, continue to support enabling activities relating to technology needs assessments;	Provided assistance for technology needs assessments to enabling activities for the first national communications through additional financing known as top-ups; around 100 non-Annex I countries received funding for top-ups (June 2004).	Responded to / Ongoing
	Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the	Has provided support for both countries that have never received	Repetitive Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>financial mechanism of the Convention:</p> <p>(e) To provide financing to Parties not included in Annex I to the Convention that received the top-up fund but did not conduct their technology needs assessments, to enable these Parties to conduct their technology needs assessments as part of their second national communications, and to provide these funds to Parties not included in Annex I to the Convention that have conducted their technology needs assessments but need to update them, also as part of their second national communications, in addition to the amount approved for the preparation of their second national communications;</p> <p>2. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(c) When providing support for technology needs assessments, in accordance with decisions 4/CP.9 and 5/CP.9, to take into account actions to address barriers and constraints as well as the creation of enabling environments and capacity gaps, identified by the Parties not included in Annex I to the Convention and as contained in document FCCC/SBSTA/2006/INF.1.</p>	<p>support for TNAs and countries that have received support but wish to update TNAs.</p> <p><i>Information is not available.</i></p>	
Programme Priorities	Decision 11/CP.17	2. <i>Invites</i> the Global Environment Facility, in the context of technology needs assessments, to continue to provide financial support to other non-Annex I Parties as appropriate to conduct or update their technology needs assessments, noting the availability of the updated Handbook for Conducting Technology Needs Assessments for Climate Change	Included TNA support in Long-Term Program on Technology Transfer; approved stand-alone national projects in 2012 that include TNA activities.	Repetitive Responded to / Ongoing
Programme Priorities	Decision 6/CP.19	11. <i>Also requests</i> the Global Environment Facility to support, within its mandate, the implementation of country-driven projects identified in the technology needs assessments prepared by developing country Parties;	GEF Secretariat encourages development of projects consistent with TNAs by systematically checking for consistency during review of project proposals.	Responded to / Ongoing
Other	SBI 30	70. The SBI noted the interim report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer. The SBI requested the GEF to expedite this work, particularly in providing financial support for developing country Parties in conducting and updating their technology needs assessments and in acting on the results. The SBI invited the GEF and its implementing agencies to promote, when providing support under the Poznan strategic programme on technology transfer, the use of the updated	<p>TNA project providing support to 35-45 countries in developing or updating TNAs was implemented by UNEP starting in 2009.</p> <p><i>Progress report to be found in FCCC/SBI/2009/14</i></p>	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		handbook <i>Conducting Technology Needs Assessments for Climate Change</i> by non-Annex I Parties. <u>The SBI looked forward to receiving a further progress report by the GEF on this programme at its thirty-first session, as requested by decision 2/CP.14.</u>		
Programme Priorities	SBI 36	198. The SBI acknowledged the support provided by the GEF to assist non-Annex I Parties in conducting their technology needs assessments (TNAs). The SBI recalled decision 11/CP.17, paragraph 2, which invited the GEF, in the context of TNAs, to continue to provide financial support to other non-Annex I Parties, as appropriate, to conduct or update their TNAs.	Participation in TNA project marked 36 countries during reporting period. Project Steering Committee established to assess progress and plan upcoming activities	Repetitive Responded to / Ongoing
Programme Priorities	SBI 37	122. The SBI acknowledged the support provided by the GEF to assist 36 non-Annex I Parties in conducting their technology needs assessments (TNAs). <u>It reiterated decision 11/CP.17, paragraph 2, in which the GEF was invited to continue to provide financial support to other non-Annex I Parties, as appropriate, to conduct or update their TNAs. It invited the GEF to report on its support provided to other non-Annex I Parties to conduct or update their TNAs in its report to the COP at its nineteenth session.</u>	Approved project in 2013 supporting additional TNAs focusing on 24 low- and medium-income countries	Repetitive Responded to / Ongoing
Programme Priorities	SBI 40	145. The SBI invited the GEF to further support the implementation of the results of TNAs, including technology action plans and project ideas prepared by non-Annex I Parties.	TNA projects also support preparation of Technology Actions Plans (TAPs); of 36 participating countries to project, 30 finalized and submitted TAP reports.	Repetitive Responded to / Ongoing
Programme Priorities	SBI 42	3. The SBI also invited the GEF to provide financial support to Parties not included in Annex I to the Convention (non-Annex I Parties) that have not yet conducted their technology needs assessments (TNAs) under the Poznan strategic programme so that they may do so, in accordance with decision 11/CP.17, paragraph 2. The SBI recalled its invitation to the GEF at SBI 40 to provide support for the implementation of the results of TNAs, including technology action plans and project ideas.	The GEF Secretariat, through various outreach channels such as Extended Constituency Workshops (ECWs), National Dialogues and communications with Agencies, informs on support opportunities related to TNAs. The GEF-6 Strategy sets forth that SIDS and LDCs are eligible for CCM funding for TNAs, in addition to their STAR allocation under the GEF Trust Fund. The GEF has supported, and will	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
			continue to support, all GEF-eligible countries to develop NCs and BURs, which include TNA support activities.	
Technology transfer				
Programme Priorities	Decision 4/CP.7	<p>1. <i>Decides</i> to adopt the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention contained in the annex to this decision as part of the outcome of the technology transfer consultative process (decision 4/CP.4) and the Buenos Aires Plan of Action (decision 1/CP.4);</p> <p>3. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to provide financial support for the implementation of the annexed framework through its climate change focal area and the special climate change fund established under decision 7/CP.7;</p>	<p>Almost all GEF climate change projects provide some transfer of technologies while some projects specifically emphasize technology transfer.</p> <p>SCCF has window for technology transfer.</p>	Obsolete Responded to
Programme Priorities	Decision 6/CP.8	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(c) On matters relating to transfer of technologies: provide financial resources to non-Annex I Parties, in particular the least developed country Parties and the small island developing States among them, in accordance with decision 4/CP.7, through its climate change focal area and the Special Climate Change Fund established under decision 7/CP.7, for the implementation of the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention, contained in the annex to decision 4/CP.7;</p>	Most GEF projects in climate change area continue to include transfer of technology.	Obsolete Repetitive Responded to
Programme Priorities	Decision 4/CP.9	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(c) On matters relating to transfer of technology, continue to support enabling activities relating to technology needs assessments;</p>	<i>See GEF Response for same paragraph on P.57 of this document.</i>	Responded to / Ongoing
Programme Priorities	Decision 5/CP.9	3. <i>Decides further</i> that resources from the Special Climate Change Fund shall be used to fund technology transfer activities, programmes and measures that are complementary to those currently funded by the Global Environment Facility taking into account national communications or any other relevant	<i>SCCF has window for technology transfer.</i>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		documents in accordance with decision 4/CP.7 and its annex containing the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention, in the following priority areas: (a) Implementation of the results of technology needs assessments; (b) Technology information; (c) Capacity-building for technology transfer; (d) Enabling environment		
Programme Priorities	Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (d) To continue to provide financial support for the implementation of the technology transfer contained in the annex to decision 4/CP.7, including new sub-themes, through its climate change focal area and the Special Climate Change Fund established under decision 7/CP.7;	Has continued to support projects providing technology transfer through resources provided to mitigation and adaptation projects supported under Trust Fund Prepared program with UNEP to facilitate technology transfer using SCCF resources.	Responded to / Ongoing
Programme Priorities	Decision 3/CP.13	2. <i>Adopts</i> the set of actions, for consideration by the Expert Group on Technology Transfer in formulating its future work programmes, as set out in the recommendations for enhancing the technology transfer framework contained in annex I to this decision, and agrees that these activities would complement the actions in the technology transfer framework; 10. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to provide financial support for the technology transfer framework, and complemented by the set of actions referred to in paragraph 2.	<i>See GEF Response to Decision 4/CP.7, paragraph 3 on page 70 of this document.</i>	Obsolete Responded to
Other	Decision 4/CP.13	3. <i>Requests</i> the Global Environment Facility, as an operational entity of the financial mechanism under the Convention, in consultation with interested Parties, international financial institutions, other relevant multilateral institutions and representatives of the private financial community, to elaborate a strategic programme to scale up the level of investment for technology transfer to help developing countries address their needs for environmentally sound technologies, specifically considering how such a strategic programme might be implemented along with its relationship to existing and emerging activities and initiatives regarding technology transfer <u>and to report on its findings to the twenty-eighth session of the Subsidiary Body for Implementation for</u>	<i>Submitted report on elaboration of a strategic programme to scale up the level of investment in the transfer of environmentally sound technologies (GEF/C.34/5.Rev.1).</i>	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Programme Priorities		<p><u>consideration by Parties;</u></p> <p>10. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to provide financial support to developing countries for the implementation of the technology transfer framework;</p>	Supports technology transfer through TNAs, technology transfer pilot projects, and dissemination of technologies and practices.	Responded to
Programme Priorities	Decision 4/CP.14	<p>1. Requests the Global Environment Facility:</p> <p>(c) To continue to enhance action on mitigation and, as appropriate, adaptation, in developing country Parties, including to promote, facilitate and finance, as appropriate, transfer of, or access to, environmentally sound technologies and know-how;</p>	Has continued to support projects providing technology transfer through resources provided to mitigation and adaptation projects under Trust Fund.	Responded to / Ongoing
Other	SBI 35	<p>96. The SBI acknowledged the support provided by the GEF for the implementation of technology transfer pilot projects and for the conduct of technology needs assessments (TNAs). It further acknowledged the <u>progress made in implementing the long-term programme on technology transfer.</u> Furthermore, it urged the GEF and Parties to expedite the process for the early implementation of projects submitted before 30 September 2009.</p>	See GEF Response for SBI 36 Conclusion, paragraph 197 on page 75 of this document.	Responded to (See GEF Response for SBI 36, paragraph 197)
Policies		<p>97. The SBI encouraged non-Annex I Parties to develop and submit project proposals, particularly for technologies for adaptation, to the GEF in line with elements of the long-term programme on technology transfer described in document FCCC/SBI/2011/14, annex, paragraphs 46 and 47. <u>The SBI invited the GEF to raise awareness of the long-term programme on technology transfer.</u></p>	Presented technology transfer efforts at a variety of meetings including side events; updates website regularly with information on long-term programme.	Responded to
Poznan Strategic Programme on Technology transfer				
Programme Priorities	Decision 2/CP.14	<p>2. Requests the Global Environment Facility:</p> <p>(a) To promptly initiate and expeditiously facilitate the preparation of projects for approval and implementation under the [Poznan Strategic Programme] in order to help developing countries address their needs for</p>	<p>Circulated call for proposals for technology transfer pilot projects to focal points in 2009, which led to the selection of 14 proposals.</p> <p>Approved TNA project in 2009</p>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>environmentally sound technologies;</p> <p>(b) To collaborate with its implementing agencies in order to provide technical support to developing countries in preparing or updating, as appropriate, their technology needs assessments using the updated handbook for conducting technology needs assessments for climate change published by the United Nations Development Programme, to be made available in early 2009 in collaboration with the Expert Group on Technology Transfer, the UNFCCC secretariat and the Climate Technology Initiative;</p> <p>(c) To consider the long-term implementation of the strategic programme, including: addressing the gaps identified in current operations of the Global Environment Facility that relate to investment in the transfer of environmentally sound technologies; leveraging private-sector investment; and promoting innovative project development activities;</p> <p>(d) To report on the progress made in carrying out the activities referred to in paragraph 2 (a-c) above to the Conference of the Parties at its sixteenth session, in addition to providing interim reports to the Subsidiary Body for Implementation at its thirtieth and thirty-first sessions, with a view to assessing its progress and future direction in order to help inform Parties in their consideration of long-term needs for implementation of the strategic programme.</p>	<p>supporting 36 countries in preparing their TNA and Technology Action Plan; project used methodologies in revised TNA Handbook.</p> <p>Submitted a Long-Term Program on Technology Transfer to COP16</p> <p><i>Reports provided (FCCC/SBI/2009/3; FCCC/SBI/2009/14; GEF Report to 16)</i></p>	
Other	SBI 31	67. The SBI noted the second interim report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer. The SBI invited the GEF to provide a report on the progress made on the implementation of this programme at its thirty-second session, including on the long-term aspects of the Poznan strategic programme.	<i>FCCC/SBI/2010/4</i>	Obsolete Responded to
Other	SBI 32	102. The SBI noted the report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer. The SBI looked forward to receiving, at its thirty-third session, the report on the progress made in carrying out this programme, with a view to assessing its progress and future direction in order to help inform Parties in their consideration of long-term needs for implementation of the strategic programme, in accordance with decision 2/CP.14. The SBI invited the GEF to provide half-yearly progress reports on the implementation of the activities receiving support under the Poznan strategic programme on technology transfer, which could be posted on	<i>FCCC/SBI/2010/25</i> <i>Reports provided half-yearly and posted on TT: CLEAR.</i>	Obsolete Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		the technology information clearing house TT:CLEAR.		
Other	SBI 34	137. The SBI recalled the conclusions of its thirty-second session inviting the GEF to provide half-yearly progress reports on the implementation of the activities receiving support under the Poznan strategic programme. It invited the GEF to provide reports on the progress made in carrying out its activities under the Poznan strategic programme, including its long-term implementation, for consideration by the SBI at its thirty-fifth and subsequent sessions, for the duration of the Poznan strategic programme.	<i>Reports provided for consideration at each session of SBI.</i>	Repetitive Responded to / Ongoing
Policies	SBI 36	196. The SBI noted the support provided by the GEF for the implementation of technology transfer pilot projects under the Poznan strategic programme and the views expressed by Parties on the need to balance support for mitigation and adaptation projects. <u>The SBI invited the GEF to enhance the balance between adaptation and mitigation projects in the long-term implementation of the Poznan strategic programme, including by enhancing its outreach and awareness-raising efforts on funding opportunities for projects related to technologies for adaptation.</u> The SBI recalled its conclusion at its thirty-fifth session encouraging non-Annex I Parties to submit project proposals, particularly for technologies for adaptation, to the GEF in line with the elements of the long-term programme described in document FCCC/SBI/2011/14, annex, paragraphs 46 and 47.	Has been facilitating technology transfer for adaptation through multi-trust fund projects combining GEF Trust Fund and SCCF resources; consistently increasing support to technology transfer for adaptation	Responded to / Ongoing
Programme Priority		197. The SBI invited the GEF and its implementing agencies to expedite the process for the implementation and completion of the remaining technology transfer pilot projects submitted in 2009 for support under the Poznan strategic programme.	<i>11 Projects have been implemented. (FCCC/SBI/2015/INF.4)</i>	Repetitive Responded to
Other		199. The SBI recalled the conclusion at its thirty-fourth session inviting the GEF to provide reports on the progress made in carrying out its activities under the Poznan strategic programme, including its long-term implementation, for consideration by the SBI reports to further elaborate on: (a) The activities undertaken by the GEF to enhance its outreach and awareness-raising efforts on funding opportunities for projects related to technologies for adaptation, in order to address the need for balanced support for projects related to technologies for adaptation;	<i>Reports provided for consideration at each session of SBI.</i>	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Climate Technology Centre and Network <i>Programme Priorities</i>	Decision 2/CP.17	140. <i>Requests</i> the Global Environment Facility to support the operationalization and activities of the Climate Technology Centre and Network without prejudging any selection of the host.	Countries wishing to establish a national centre/network were invited to do so by utilizing existing GEF allocations. GEF Council approved regional and national projects for climate technology transfer and financing centers in both 2011 and 2012.	Responded to
<i>Programme Priorities</i>	SBI 35	99. The SBI invited the GEF to support the operationalization and activities of the Climate Technology Centre and Network without prejudging any selection of the host.	<i>See GEF Response for Decision 2/CP.17, paragraph 140 above.</i>	Redundant Responded to
<i>Programme Priorities</i>	SBI 36	190. The SBI stressed decision 2/CP.17, paragraph 140, requesting the GEF to support the operationalization and activities of the CTCN without prejudging any selection of the host.	Various piloting and technology transfer projects at the national level were approved during reporting period.	Redundant Responded to
<i>Programme Priorities</i>	SBI 37	124. The SBI invited the GEF to consult with the CTCN, through its advisory board, on the support the GEF will provide for the work of the CTCN and to report on the findings of those consultations to the COP at its nineteenth session.	<i>Findings of consultation included in addendum of GEF Report to COP19.</i>	Redundant Responded to
<i>Programme Priorities</i>	SBI39	137. The SBI invited the GEF to continue to consult with the CTCN, through its Advisory Board and UNEP as the host of the Climate Technology Center, on the support that the GEF will provide for the work of the CTCN and to report on the concrete results of the consultations at SBI 40 (June 2014).	During the reporting period, the GEF Secretariat consulted with the CTCN on numerous occasions and reported on concrete results of the consultation at SBI 40 (FCCC/SBI/2014/INF.3).	Repetitive/ Redundant Responded to
<i>Programme Priorities</i>	SBI 40	139. The SBI invited the GEF to continue to consult with the Advisory Board of the CTCN on the support that the GEF will provide for the implementation of the five-year programme of work of the CTCN and to report on its findings for consideration at SBI 41.	<i>Both included in GEF Report to COP20.</i>	Repetitive / redundant Responded to
<i>Programme Priorities</i>		141. The SBI recalled its conclusions at SBI 39, namely the need for the		Repetitive

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		GEF to align the further implementation of the element of the Poznan strategic programme on support for climate technology centres and a climate technology network with the operationalization and activities of the CTCN, taking into account decision 2/CP.17, paragraph 140,50 and to consult with the Advisory Board of the CTCN on this matter and report on its findings for consideration at SBI.		Responded to
Programme Priorities	SBI 41	87. The SBI noted the consultations between the GEF and the Advisory Board of the Climate Technology Centre and Network (CTCN) and the progress made on aligning the implementation of the element of the Poznan strategic programme related to support for climate technology centres and a climate technology network with the operationalization and activities of the CTCN, which were carried out in response to an invitation from SBI 40. It also noted the areas of collaboration between the regional technology transfer and finance centres supported by the GEF under the Poznan strategic programme and the CTCN <u>and invited the GEF to report on this collaboration as part of its future progress reports.</u>	Information provided in FCCC/SBI/2015/INF.4	Responded to / Ongoing
Programme Priorities	SBI 42	2. The SBI also welcomed the collaboration between the Climate Technology Centre and Network (CTCN) and the regional technology transfer and finance centres supported by the GEF under the Poznan strategic programme. It invited the GEF to provide more detailed information on its ongoing collaboration with the CTCN in its future progress reports.	GEF Report to COP21 provides detailed information on GEF's ongoing collaboration with CTCN, especially at the level of project financing.	Repetitive Responded to / Ongoing
Private sector				
Policies	Decision 2/CP.12	3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its thirteenth session on: (d) Efforts to engage the private sector in providing resources to address climate change;	Launched GEF Public Private Partnership (PPP) Initiative in 2007 to facilitate strategic engagement of private sector in GEF's efforts; information included in GEF Report to COP13	Responded to
Policies	Decision 2/CP.16	4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including: (h) Promoting private-sector financing and investment to address climate	Developed new strategy for private sector engagement during GEF-5 to use private sector set-aside of \$80 million. GEF-6 Strategy includes private sector	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		change activities;	engagement as one of the top priorities	
Stage I, II and III (Adaptation) <i>Programme Priorities</i>	Decision 11/CP.1	<p>1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(d) Regarding adaptation, the following policies, programme priorities and eligibility criteria should apply:</p> <p>(i) Adaptation to the adverse effects of climate change, as defined by the Convention, will require short, medium and long term strategies which should be cost effective, take into account important socio-economic implications, and should be implemented on a stage-by-stage basis in developing countries that are Parties to the Convention. In the short term, the following stage is envisaged:</p> <ul style="list-style-type: none"> - Stage I: Planning, which includes studies of possible impacts of climate change, to identify particularly vulnerable countries or regions and policy options for adaptation and appropriate capacity-building; <p>(ii) In the medium and long term, the following stages are envisaged for the particularly vulnerable countries or regions identified in Stage I:</p> <ul style="list-style-type: none"> - Stage II: Measures, including further capacity-building, which may be taken to prepare for adaptation, as envisaged by Article 4.1(e); - Stage III: Measures to facilitate adequate adaptation, including insurance, and other adaptation measures as envisaged by Article 4.1(b) and 4.4; <p>(iii) Based on the outputs of the Stage I studies, as well as other relevant scientific and technical studies, such as those of the Intergovernmental Panel on Climate Change (IPCC), and any emerging evidence of the adverse effects of climate change, the Conference of the Parties may decide that it has become necessary to implement the measures and activities envisaged in Stages II and III, consistent with the relevant conclusions of the Committee and with the provisions of the Convention;</p> <p>(iv) Funding for the implementation of such adaptation measures and activities would be provided as follows:</p> <ul style="list-style-type: none"> - For Stage I, the Conference of the Parties at its first session, shall entrust to the Global Environment Facility (GEF), the interim operating entity of the financial mechanism, the task of meeting the agreed full costs of the 	Stage I and II activities have been funded as climate change enabling activities.	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>activities required by Article 12.1 of the Convention. This would include meeting the agreed full costs of relevant adaptation activities undertaken in the context of the formulation of national communications; such activities may include studies of the possible impacts of climate change, identification of options for implementing the adaptation provisions (especially the obligations contained in Article 4.1(b) and 4.1(e) of the Convention), and relevant capacity-building;</p> <p>- If it is decided in accordance with paragraph (iii) above, that it has become necessary to implement the measures envisaged in Stages II and III, the Parties included in Annex II to the Convention will provide funding to implement the adaptation measures envisaged in these stages in accordance with their commitments contained in Article 4.3 and 4.4 of the Convention;</p> <p>- In its review of the financial mechanism of the Convention under Article 11.4, the Conference of the Parties, taking into account studies conducted and options for adaptation identified during Stage I, any emerging evidence of the adverse effects of climate change, as well as the relevant conclusions reached by the Committee and its own decisions on this issue, must decide on the channel or channels, under Article 11 of the Convention, to be used for the funding referred to in the preceding subparagraph, to implement the adaptation measures envisaged in Stages II and III.</p>		
<i>Programme Priorities</i>	Decision 2/CP.4	<p>1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to:</p> <p>(a) Implement adaptation response measures under Article 4.1 of the Convention for adaptation activities envisaged in decision 11/CP.1, paragraph 1(d)(ii) (Stage II activities) in particularly vulnerable countries and regions identified in Stage I activities, and especially in countries vulnerable to climate-related natural disasters, taking into account their preparatory adaptation planning frameworks in priority sectors, the completion of Stage I activities, and in the context of their national communications;</p>	Funding for Stage II adaptation activities will be made available to particularly vulnerable countries and regions. In making available such resources, GEF will follow COP guidance to be provided on subsequent national communications.	Responded to
<i>Programme Priorities</i>	Decision 5/CP.7	<p>7. <i>Decides</i> that the implementation of the following activities shall be supported through the Global Environment Facility (in accordance with decision 6/CP.7) and other bilateral and multilateral sources:</p> <p>(a) Information and methodologies:</p>	As of reporting period [2001], funds had been used by recipient countries to conduct voluntary vulnerability and adaptation assessments within context of national communications. Two	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>(i) Improving data collection and information gathering, as well as their analysis, interpretation and dissemination to end-users;</p> <p>(ii) Integrating climate change considerations into sustainable development planning;</p> <p>(iii) Providing training in specialized fields relevant to adaptation such as climate and hydroclimate studies, geographical information systems, environmental impact assessment, modelling, integrated coastal zone management, soil and water conservation and soil restoration;</p> <p>(iv) Strengthening existing and, where needed, establishing national and regional systematic observation and monitoring networks (sea-level rise, climate and hydrological monitoring stations, fire hazards, land degradation, floods, cyclones and droughts);</p> <p>(v) Strengthening existing and, where needed, establishing national and regional centres and institutions for the provision of research, training, education and scientific and technical support in specialized fields relevant to climate change, utilizing information technology as much as possible;</p> <p>(vi) Strengthening existing and, where needed, establishing national and regional research programmes on climate variability and climate change, oriented towards improving knowledge of the climate system at the regional level, and creating national and regional scientific capability;</p> <p>(vii) Supporting education and training in, and public awareness of, climate change related issues, for example through workshops and information dissemination</p> <p>(b) Vulnerability and adaptation:</p> <p>(i) Supporting enabling activities for vulnerability and adaptation assessment;</p> <p>(ii) Enhancing technical training for integrated climate change impact and vulnerability and adaptation assessments across all relevant sectors, and environmental management related to climate change;</p> <p>(iii) Enhancing capacity, including institutional capacity, to integrate adaptation into sustainable development programmes;</p> <p>(iv) Promoting the transfer of adaptation technologies;</p> <p>(v) Establishing pilot or demonstration projects to show how adaptation planning and assessment can be practically translated into projects that will provide real benefits, and may be integrated into national policy and sustainable development planning, on the basis of information provided in the national</p>	<p>regional enabling activity projects related to stage I as well as 6 projects on Stage II activities had been approved.</p>	

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>communications from non-Annex I Parties and/or other relevant sources, and of the staged approach endorsed by the Conference of the Parties in its decision 11/CP.1;</p> <p>(vi) Supporting capacity building, including institutional capacity, for preventive measures, planning, preparedness of disasters relating to climate change, including contingency planning, in particular, for droughts and floods in areas prone to extreme weather events;</p> <p>(vii) Strengthening existing and, where needed, establishing early warning systems for extreme weather events in an integrated and interdisciplinary manner to assist developing country Parties, in particular those most vulnerable to climate change;</p> <p>19. <i>Decides</i> that the implementation of the activities included in paragraphs 25 to 32 below shall be supported through the Global Environment Facility (in accordance with decision 6/CP.7), the special climate change fund (in accordance with decision 7/CP.7), and other bilateral and multilateral sources;</p>		
Programme Priorities	Decision 6/CP.7	<p>1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF, as an operating entity of the financial mechanism, should provide financial resources to developing country Parties, in particular the least developed and the small island developing States among them, for the following activities, including those identified in paragraph 7 of decision 5/CP.7:</p> <p>(a) Strengthening, in particularly vulnerable countries and regions identified in stage I activities and especially countries vulnerable to climate-related natural disasters, the implementation of country-driven stage II adaptation activities, pursuant to decision 2/CP.4, paragraph 1 (a), that build upon work done at the national level, either in the context of national communications or of in-depth national studies, including national adaptation programmes of action (NAPAs);</p>	As of reporting period [2001], 6 projects with stage II Activities had been approved; they were also funded as climate change enabling activities within the context of national communications.	Responded to / Ongoing
Programme Priorities	Decision 8/CP.10	<p>4. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to make available further financial and technical resources to implement the actions identified in paragraph 7 of decision 5/CP.7;</p>	<p>Three avenues for funding activities on the adverse impacts of climate change: Strategic Priority on Adaptation (SPA), LDCF, SCCF\</p> <p>Through SPA, GEF has prioritized \$50 million from Trust Fund to implementation of projects that</p>	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		7. Invites the Global Environment Facility to provide feedback to the Conference of the Parties, at its twelfth session (November 2006), on activities undertaken in response to decision 5/CP.7, paragraphs 22–29 (in accordance with decisions 6/CP.7 and 7/CP.7), with a view to the Conference of the Parties adopting a decision on further action at its thirteenth session (November 2007).	generate global environmental benefits. LDCF, with an initial contribution of \$32.9 million, addresses immediate adaptation needs of LDCs. SCCF, with an initial contribution of \$34 million gives adaptation top priority and addresses adaptation in key development sectors such as water, agriculture, health. Information provided in GEF Report to COP 13	Obsolete Responded to
Programme Priorities	Decision 2/CP.12	1. Requests the Global Environment Facility: (a) To give due priority to adaptation activities in accordance with the guidance provided by the Conference of the Parties;	Reporting period saw dramatic increase in GEF funding to adaption activities from both LDCF and SCCF.	Responded to / Ongoing
Systematic observation	Decision 2/CP.4	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (c) Build capacity for participation in systematic observational networks to reduce scientific uncertainties relating to the causes, effects, magnitude and timing of climate change, in accordance with Article 5 of the Convention;	Made available additional funds under its enabling activity projects as a direct response to COP4 guidance.	Responded to / Ongoing
Programme Priorities	Decision 11/CP.17	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (d) To continue to provide financial resources to developing countries for strengthening existing and, where needed, establishing national and regional systematic observation and monitoring networks under the Least Developed Countries Fund and the Special Climate Change Fund;	Has funded relevant projects and programs financed under the LDCF and SCCF(i.e. approved 9 full-sized projects on strengthening weather and climate monitoring, data collection and early-warning systems in sub-Saharan Africa, requesting \$41 million under	Repetitive Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
			the LDCF)	
Programme Priorities	SBI 35	59. The SBI recommended that the COP, at its seventeenth session, request the GEF, as an operating entity of the financial mechanism of the Convention, under its mandate for the LDCF and the SCCF, to continue to provide financial resources to developing countries to strengthen existing and, where needed, establish national and regional systematic observation and monitoring networks.	See GEF Response for Decision 11/CP.17, paragraph 1(d) above	Redundant Responded to / Ongoing
Global observing systems Programme Priorities	Decision 4/CP.9	3. Invites the Global Environment Facility to give appropriate consideration to addressing the priority needs identified by non-Annex I Parties in their regional action plans relating to global observing systems for climate, noting the existence of other bilateral and multilateral agencies and mechanisms that support global climate observing systems.	Information is not available.	Ongoing
Strategic priority on adaptation Programme Priorities	Decision 6/CP.7	1. Decides that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF, as an operating entity of the financial mechanism, should provide financial resources to developing country Parties, in particular the least developed and the small island developing States among them, for the following activities, including those identified in paragraph 7 of decision 5/CP.7: (b) Establishing pilot or demonstration projects to show how adaptation planning and assessment can be practically translated into projects that will provide real benefits, and may be integrated into national policy and sustainable development planning, on the basis of information provided in the national communications, or of in-depth national studies, including NAPAs, and of the staged approach endorsed by the Conference of the Parties in its decision 11/CP.1;	Strategic Priority on Adaptation (SPA) was approved in 2003 as a \$50 million allocation within the GEF Trust Fund to finance pilot and demonstration projects.	Responded to
Programme Priorities	Decision 4/CP.9	2. Requests the Global Environment Facility:	Circulated guidelines in May 2004 on how new strategic priority on piloting	Obsolete/ Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		(b) To operationalize the new strategic priority in the climate change focal area (Piloting an operational approach to adaptation) as soon as possible;	an operational approach to adaptation will be implemented.	
Programme Priorities	Decision 8/CP.10	3. Requests the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and at subsequent sessions on how activities identified in paragraph 6 of decision 1/CP.10 have been supported, and the barriers, obstacles and opportunities presented, through: (a) The strategic priority “Piloting an Operational Approach to Adaptation” (b) The small grants programme (c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility;	Included in GEF Report to COP11	Obsolete Responded to
Programme Priorities	Decision 7/CP.13	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (d) To take fully into account lessons learned in the strategic priority Piloting an Operational Approach to Adaptation, including the application of incremental cost, to help inform on how the Global Environment Facility could best support climate adaptation activities;	Pilot program was evaluated by the independent GEF Office of Evaluation to allow for lessons learned and challenges and opportunities to be taken into account	Responded to
Buenos Aires Programme of work	Decision 1/CP.10	6. Requests the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and subsequent sessions on how the [activities under the Buenos Aires programme of work] have been supported, and the barriers, obstacles and opportunities presented, through: (a) The strategic priority “Piloting an Operational Approach to Adaptation” (b) The small grants programme	Included in GEF Report to COP11.	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
<i>Programme Priorities</i>		(c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility (d) The Least Developed Countries Fund and efforts to finance the preparation of national adaptation programmes of action (e) The Special Climate Change Fund;		
	Decision 8/CP.10	3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and at subsequent sessions on how activities identified in paragraph 6 of decision 1/CP.10 have been supported, and the barriers, obstacles and opportunities presented, through: (a) The strategic priority “Piloting an Operational Approach to Adaptation” (b) The small grants programme (c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility (d) The Least Developed Countries Fund and efforts to finance the preparation of national adaptation programmes of action	<i>See GEF response to decision 1/CP.10, paragraph 6 above.</i>	Obsolete/ Repetitive Responded to
Least developed countries programme				
<i>Programme Priorities</i>	Decision 5/CP.7	12. <i>Decides</i> that a least developed countries fund shall be established (in accordance with decision 7/CP.7), to be operated by an entity entrusted with the operation of the financial mechanism, under the guidance of the Conference of the Parties, to support the work programme for the least developed countries. This work programme shall include, inter alia, the preparation and implementation of national adaptation programmes of action;	Fund was established and operational guidelines for funding for preparation of NAPAs were issued in April 2002	Responded to
<i>Other</i>	Decision 5/CP.14	6. <i>Invites</i> the Global Environment Facility and its agencies to consider the views of, and any concerns expressed by, Parties regarding their experiences with the Global Environment Facility and its agencies in relation to the	<i>See GEF Response for same paragraph on page 79 of this document.</i>	Obsolete

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Programme Priorities		provision of financial and technical support for the preparation and implementation of national adaptation programmes of action and related elements of the least developed countries work programme, as contained in documents FCCC/SBI/2007/32, FCCC/SBI/2008/14 and FCCC/SBI/2008/MISC.8; 8. <i>Invites</i> the Global Environment Facility to raise awareness of the need for adequate and predictable resources under the Least Developed Countries Fund to allow full implementation of the least developed countries work programme, in particular national adaptation programmes of action;	<i>See GEF Response to Decision 10/CP.18, 5(e) on p.82 of this document.</i>	Repetitive Responded to
Programme Priorities	Decision 5/CP.16	1. <i>Reiterates</i> its request to the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund, in parallel to supporting the ongoing implementation of national adaptation programmes of action, to facilitate the implementation of the remaining elements of the least developed countries work programme;	<i>See GEF Response to same paragraph on p.80 of this document.</i>	Repetitive Ongoing
Programme Priorities	Decision 9/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with managing the Least Developed Countries Fund: (a) To continue to provide information to the least developed countries to further clarify project baselines and the application for accessing funding from the Least Developed Countries Fund in order to develop and implement projects under national adaptation programmes of action to address the effects of climate change; (b) To support the development of a programmatic approach for the implementation of national adaptation programmes of action by those least developed country Parties that wish to do so; (c) To further explore opportunities to streamline the Least Developed Countries Fund project cycle, particularly during the project preparation stage; (d) To further improve the provision of information to least developed countries on the project development process for projects being considered under the Least Developed Countries Fund.	Prepared information document (GEF/LDCF.SCCF.12/INF.04) to clarify concept of baseline projects Organized Familiarization Seminars and Expanded Constituency Workshops, providing opportunity to enhance communication and facilitate LDC access to resources Invited and approved programmatic approaches GEF Secretariat reviewed program principles of LDCF in 2012, coinciding with broader effort to streamline project cycle	Responded to
Programme	Decision	7. <i>Invites</i> the Global Environment Facility, as an operating entity of the	FSP 'Building capacity for LDCs to	Responded to /

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Priorities	10/CP.20	Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to continue to support the remaining activities contained in the least developed countries work programme;	participate effectively in intergovernmental climate change processes' (\$4.5 million) was launched in March 2015. The project will focus on the elements of the LDC work program on (i) "[s]trengthening existing and, where needed, establishing, national climate change secretariats and/or focal ..."; and (ii) "[p]roviding training, on an ongoing basis, in negotiating skills and language, where needed, to develop the capacity of negotiators ...".	Ongoing
Other		9. Invites the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to include, in its annual report to the Conference of the Parties, information on specific actions that it has undertaken to implement the remaining elements of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, with a view to the Conference of the Parties determining, at its twenty-first session (November–December 2015), appropriate further guidance to be provided to the Global Environment Facility;	<p>With regard to public awareness, LDCF projects systematically incorporate activities that "[promote] public awareness [...] to ensure the dissemination of information on climate change issues" (decision 5/CP.7, paragraph 14 [a]). Of the 121 FSPs and medium-sized projects (MSPs) that had, as at April 16, 2015, been endorsed or approved, 78 are providing training to more than 590,000 people in 41 LDCs.</p> <p>The "development and transfer of technology, particularly adaptation technology" (decision 5/CP.7, paragraph 14 [b]) represents an important means through which LDCF projects implement NAPA priorities. All NAPA implementation projects contribute towards the demonstration, deployment and/or dissemination of</p>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
			<p>clearly identifiable adaptation technologies; and many also strengthen the enabling environments for technology transfer through policy and regulatory reform. During GEF-5, 20 per cent of LDCF financing was identified as directly targeting the transfer of adaptation technology.</p> <p>The GEF, through the LDCF, provides considerable support towards “strengthening [...] the capacity of meteorological and hydrological services ... to support implementation of national adaptation programmes of action”. Hydro-meteorological and climate information services are featured as a priority in several NAPAs and, as a result, 11 per cent of all LDCF financing, or \$98.4 million, has been directed towards enhancing such services as a priority. Altogether hydro-meteorological and climate information services are supported through 63 projects in 37 LDCs, with total associated funding approvals amounting to \$326.6 million.</p>	
National adaptation programmes of action / Least Developed Countries Fund <i>Programme</i>	Decision 5/CP.7	12. <i>Decides</i> that a least developed countries fund shall be established (in accordance with decision 7/CP.7), to be operated by an entity entrusted with the	<i>See GEF Response to same paragraph on p.74 of this document</i>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Priorities		operation of the financial mechanism, under the guidance of the Conference of the Parties, to support the work programme for the least developed countries. This work programme shall include, inter alia, the preparation and implementation of national adaptation programmes of action;		
Programme Priorities	Decision 8/CP.8	2. <i>Requests</i> the Global Environment Facility, including its implementing agencies, to ensure the speedy release and disbursement of funds and timely assistance for the preparation of national adaptation programmes of action;	<i>SEE GEF Response to Decision 5/CP.14, paragraph 1(b) on page 79 of this document.</i>	Responded to / Ongoing
Programme Priorities	Decision 6/CP.9	2. <i>Requests</i> the entity to support the implementation of national adaptation programmes of action as soon as possible after their completion;	As of November 2011, 46 countries with approved NAPAs had submitted proposals for implementation projects and 42 had had their projects approved.	Responded to
Policies		3. <i>Requests</i> the entity to take into account, inter alia, the following elements when developing operational guidelines for funding of the implementation of national adaptation programmes of action: (a) Ensuring a country-driven approach, in line with national priorities, which ensures cost-effectiveness and complementarity with other funding sources; (b) Equitable access by least developed country Parties to funding for the implementation of national adaptation programmes of action; (c) Criteria for supporting activities on an agreed full-cost basis, taking account of the level of funds available; (d) Guidelines for expedited support; (e) Urgency and immediacy of adapting to the adverse effects of climate change; (f) Prioritization of activities;	LDCF programming paper approved in 2006 contains these elements	Obsolete Responded to
Other	Decision 8/CP.10	3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and at subsequent sessions on how activities identified in paragraph 6 of decision 1/CP.10 have been supported, and the barriers, obstacles and opportunities presented, through: (d) The Least Developed Countries Fund and efforts to finance the	<i>Included in GEF Report to COP11</i>	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		preparation of national adaptation programmes of action		
Programme Priority	Decision 3/CP.11	2. <i>Decides</i> that full-cost funding shall be provided by the Least Developed Countries Fund to meet the additional costs of activities to adapt to the adverse effects of climate change as identified and prioritized in the national adaptation programmes of action;	LDCF funds full cost of adaptation for NAPA projects	Responded to
Other		9. <i>Requests</i> the Global Environment Facility to ensure the separation of the administration and activities of the Trust Fund of the Global Environment Facility and the Least Developed Countries Fund;	LDCF Programming Paper approved in May 2006 sets administration and programming separate from GEF Trust Fund	Responded to
Other	Decision 5/CP.14	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund: (a) To work with its agencies to improve communication with least developed country Parties and to speed up the process through, for instance, establishing a time frame within which least developed country Parties can access funding and other support for the preparation and implementation of projects identified in national adaptation programmes of action; (b) To assist, as appropriate, and in collaboration with its agencies and the Least Developed Countries Expert Group, the remaining least developed country Parties that have not submitted their national adaptation programmes of action, in completing and submitting their national adaptation programmes of action as soon as possible;	<i>See GEF Response to Decision 5/CP.16, paragraph 2 on page 80 of this document</i> 51 LDCs have accessed \$12.20 million in support of the preparation of their NAPAs (Sep. 2014)	Repetitive Responded to Responded to
Programme Priorities				
Programme Priorities				
Other		2. <i>Also requests</i> the Global Environment Facility, in parallel to supporting the ongoing implementation of the national adaptation programmes of action, to facilitate the implementation of the remaining elements of the least developed countries work programme;	<i>See GEF Response to 1(b) above.</i>	Repetitive Responded to
Other		3. <i>Invites</i> the Global Environment Facility to inform its agencies of relevant provisions of the Convention and decisions of the Conference of the Parties on the operation of the Least Developed Countries Fund, in order to allow the agencies to take these into account in fulfilling their Global Environment Facility obligations;	<i>See GEF Response to Decision 10/CP.20, paragraph 10 on page 83 of this document.</i>	Ongoing
Other		6. <i>Invites</i> the Global Environment Facility and its agencies to consider the views of, and any concerns expressed by, Parties regarding their experiences	GEF has been working with its agencies to improve communication	Obsolete

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Policies		with the Global Environment Facility and its agencies in relation to the provision of financial and technical support for the preparation and implementation of national adaptation programmes of action and related elements of the least developed countries work programme, as contained in documents FCCC/SBI/2007/32, FCCC/SBI/2008/14 and FCCC/SBI/2008/MISC.8;	with LDCs and to increase the number of projects which are submitted for CEO endorsement.	Repetitive Responded to
Other		8. <i>Invites</i> the Global Environment Facility to raise awareness of the need for adequate and predictable resources under the Least Developed Countries Fund to allow full implementation of the least developed countries work programme, in particular national adaptation programmes of action;	<i>See GEF Response to Decision 10/CP.18, 5(e) on p.82 of this document.</i>	Responded to
		11. <i>Also requests</i> the Global Environment Facility to include, in its reports to the Conference of the Parties, information on steps it has taken to implement this decision, for consideration by the Conference of the Parties at subsequent sessions;	<i>Included in GEF Report to COP15.</i>	
Programme Priorities	Decision 2/CP.16	5. <i>Decides</i> that the Global Environment Facility should continue to provide and enhance support for the implementation of adaptation activities, including the implementation of national adaptation programmes of action, through the Least Developed Countries Fund and the Special Climate Change Fund;	<i>See GEF Response to Decision 5/CP.16, paragraph 2 below.</i>	Repetitive Responded to / Ongoing
Programme Priorities	Decision 5/CP.16	1. <i>Reiterates</i> its request to the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund, in parallel to supporting the ongoing implementation of national adaptation programmes of action, to facilitate the implementation of the remaining elements of the least developed countries work programme;	Of 46 countries with approved NAPAs, 42 countries had had their first projects approved as of November 2011. Elements of LDC work program are integrated in many projects and programs of LDCF including public awareness of climate change impact and appropriate adaptation measures (75% of projects), transfer and adoption of adaptation technology etc. GEF Secretariat has participated in regional training workshops as an opportunity to provide information to LEG.	Repetitive Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		2. <i>Also reiterates</i> its request to the Global Environment Facility to work with its agencies to improve communication with least developed country Parties and to speed up the process by, for instance, establishing a time frame within which least developed country Parties can access funding and other support for the preparation and implementation of projects identified in their national adaptation programmes of action;	Streamlined project cycle adopted under the LDCF in 2010, providing a max of 18 months for preparation of full size projects and allowing a one-step approval process for medium sized projects.	Repetitive Responded to
Programme Priorities		3. <i>Requests</i> the Global Environment Facility to provide funding from the Least Developed Countries Fund to least developed country Parties, upon request, to enable the update of their national adaptation programmes of action with a view to further improving their quality, to facilitate the integration of least developed countries adaptation actions into development planning and to reflect increased adaptation knowledge and changed priorities in the countries;	GEF had not received requests to support update of NAPAs at the end of 2011.	Repetitive Ongoing
Other		8. <i>Further requests</i> the Global Environment Facility to include, in its reports to the Conference of the Parties, information on specific steps it has taken to implement this decision, for consideration by the Conference of Parties at its subsequent sessions;	<i>Included in GEF report to COP17</i>	Repetitive Responded to
Programme Priorities	Decision 5/CP.17	22. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for least developed countries Parties, while maintaining progress for the least developed countries work programme, which includes the national adaptation programmes of action;	<i>See GEF Response for Decision12/CP.18, paragraph 1(b) on p.84 of this document.</i>	Repetitive Responded to
Programme Priorities	Decision 9/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with managing the Least Developed Countries Fund: (a) To continue to provide information to the least developed countries to further clarify project baselines and the application for accessing funding from the Least Developed Countries Fund in order to develop and implement projects under national adaptation programmes of action to address the effects of climate change; (b) To support the development of a programmatic approach for the implementation of national adaptation programmes of action by those least	<i>See GEF Response for same paragraphs on p.75 of this document.</i>	Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>developed country Parties that wish to do so;</p> <p>(c) To further explore opportunities to streamline the Least Developed Countries Fund project cycle, particularly during the project preparation stage;</p> <p>(d) To further improve the provision of information to least developed countries on the project development process for projects being considered under the Least Developed Countries Fund</p>		
Programme Priorities	Decision 10/CP.18	<p>5. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund:</p> <p>(a) To continue to support all activities contained in the least developed countries work programme;</p> <p>(b) To continue mobilizing resources to ensure the full implementation of the least developed countries work programme, including the implementation of the elements of the work programme other than national adaptation programmes of action, through, inter alia, capacity-building to improve coordination at different levels of government and across sectors in order to improve project performance in the least developed country Parties, with regard to the implementation of the Convention;</p>	<p>Described approach towards supporting elements of LDC work program that have yet to be addressed in document GEF/LDCF.SCCF.13/INF.05</p>	<p>Repetitive Ongoing</p>
Policies		<p>(c) To further facilitate access to the Least Developed Countries Fund by the least developed countries;</p> <p>(d) To further enhance a country-driven process for the implementation of national adaptation programme of action projects and the implementation of programmatic approaches;</p>	<p>1 LDCs have accessed \$12.20 million in support of the preparation of their NAPAs (June. 2014) LDCs accessed \$863.79 million for 158 projects in support of implementation of NAPAs (Sep. 2014); GEF has supported programmatic approaches through the LDCF</p>	<p>Repetitive Responded to / Ongoing</p>
Policies		<p>(e) To continue raising awareness of the need for adequate and predictable resources under the Least Developed Countries Fund to allow for the full implementation of the least developed countries work programme, in particular national adaptation programmes of action, as outlined in decision 5/CP.14, paragraph 8;</p>	<p>Raised awareness in the context of preparation of updated GEF Programming Strategy for Adaptation for programming resources from Jul. 1, 2014 to June 30, 2018</p>	<p>Responded to</p>
Policies		<p>(f) To enhance communication with its implementing agencies on the</p>	<p>GEF Secretariat communicates with Agencies via monthly meetings and</p>	<p>Responded to</p>
Other				

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		updated operational guidelines for the Least Developed Countries Fund;	reports and provision of training to Agency staff	
Other	Decision 9/CP.20 Annex	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism; <u>Annex:</u> 51. The GEF and the GCF may consider collaborating in the use of funding pathways that may include the LDCF and the SCCF.	Secretariat personnel at the technical and managerial levels are in constant communication on a wide range of topics.	Ongoing
Other	Decision 10/CP.20	10. <i>Requests</i> the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to enhance communication with its implementing agencies and to encourage its implementing agencies to enhance their communication with countries to facilitate a timely implementation of other elements of the least developed countries work programme including national adaptation programmes of action.	The GEF Secretariat has communicated to its implementing agencies the request of the COP and encouraged a timely implementation of other elements of the least developed countries work program, including the NAPAs.	Repetitive Responded to
Other	SBI 31	57. The SBI invited the GEF, in its capacity as the entity entrusted with the operation of the LDCF, to support, when sufficient voluntary funding has not been provided from bilateral sources, the organization, under the guidance of the LEG, of four regional workshops in 2010 to provide training and technical support to LDCs in order to advance the process of implementation of NAPAs.	<i>Information is not available.</i>	Obsolete
National adaptation plans				
Programme Priorities	Decision 5/CP.17	22. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for least developed countries Parties, while maintaining progress for the least developed countries work programme, which includes the national adaptation programmes of action;	<i>See GEF response to decision 12/CP.18, paragraph 1(b) on p.84 of this document</i>	Repetitive Responded to
Other		25. <i>Further invites</i> the Global Environment Facility, as an operating entity of the financial mechanism for the operation of the Least Developed Countries Fund, to submit information to the Subsidiary Body for Implementation, through	<i>Information provided in FCCC/SBI/2012/MISC.3</i>	Obsolete Responded to

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Other		<p>the secretariat, by 13 February 2012, on how they could enable activities undertaken as part of the national adaptation plan process in least developed country Parties, for compilation by the secretariat into a miscellaneous document for consideration by the Subsidiary Body for Implementation at its thirty-sixth session;</p> <p>31. Invites the operating entities of the financial mechanism of the Convention, bilateral and multilateral organizations and other institutions as appropriate, to provide financial and technical support to developing country Parties to plan, prioritize and implement their national adaptation planning measures, consistent with decision 1/CP.16 and relevant provisions of the Convention;</p>	See response for Decision12/CP.18, paragraph 3 below.	Responded to
Programme Priorities	Decision 9/CP.18	<p>1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(c) Through the Special Climate Change Fund, to consider how to enable activities for the preparation of the national adaptation plan process for interested developing country Parties that are not least developed country Parties, as it requested the Global Environment Facility, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for the least developed country Parties in decision 5/CP.17, paragraph 22;</p>	See GEF Response to Decision12/CP.18, paragraph 3 below.	Repetitive Responded to
Programme Priorities	Decision 12/CP.18	<p>1. Decides to provide the following guidance to the Global Environment Facility, as the operating entity of the financial mechanism of the Convention for the operation of the Least Developed Countries Fund, to enable activities for the preparation of the national adaptation plan process by the least developed country Parties. The operating entity is requested:</p> <p>(a) As a first step under the national adaptation plan process, to provide funding from the Least Developed Countries Fund, to meet the agreed full cost, as appropriate, of activities to enable the preparation of the national adaptation plan process as described in the elements contained in paragraphs 2–6 of the initial guidelines for the formulation of national adaptation plans in the annex to decision 5/CP.17;</p> <p>(b) To provide support for the national adaptation plan process, while maintaining support for the least developed countries work programme,</p>	<p>Objectives, principles, scope, and modalities for GEF support through LDCF and SCCF of preparation of NAP process approved in June 2013 (GEF/LDCF.SCCF.14/06)</p> <p>Support provided by GEF for NAP differ from LDCF financing towards</p>	<p>Repetitive Responded to</p> <p>Repetitive Responded to</p>

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Policy		including national adaptation programmes of action; (c) To encourage a flexible approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed country Parties in response to national needs and circumstances;	preparation of NAPAs in objective and scope Adopted flexible approach, tailored to diverse needs in document above (GEF/LDCF/SCCF.14/06) <i>See GEF Response for 1(a)</i>	Responded to
Programme Priorities		3. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, through the Special Climate Change Fund, to consider how to enable activities for the preparation of the national adaptation plan process for interested developing country Parties that are not least developed country Parties, as it requested the Global Environment Facility, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for the least developed country Parties in decision 5/CP.17, paragraph 22;		Repetitive Responded to
Programme Priorities	Decision 4/CP.20	4. <i>Requests</i> Parties, operating entities of the Financial Mechanism and other relevant entities working on adaptation to consider the recommendations contained in chapter V of the report of the Adaptation Committee, as included in the annex; <u>Annex:</u> 2. The AC recommends that the COP invite Parties, operating entities of the Financial Mechanism and relevant entities working on adaptation to take into account the following recommendations, which are based on the outcomes of the meeting of the task force on national adaptation plans (NAPs) referred to in paragraphs 38 and 39 of the report of the AC referred to in paragraph 1 above: (a) Recognizing the importance of raising awareness and buy-in for the NAP process by all stakeholders, in order to: (i) Generate interest in, demand for and leadership of the NAP process at the national level; (ii) Make available support for the NAP process better known; (b) Improving coordination, collaboration and coherence among: (i) Bilateral and multilateral agencies and institutions, including the operating entities of the Financial Mechanism;	During the reporting period the GEF Secretariat attended two regional training workshops organized by the NAP Global Support Program (NAP GSP) in partnership with the Least Developed Countries Expert Group (LEG), where it shared information on the support provided through the LDCF and the SCCF towards the NAP process. Similar contributions were made at other meetings. LDCF-financed NAP GSP has continued to foster partnerships with a growing number of bilateral and multi-lateral agencies that provide financial and technical support towards the NAP process. The GEF Secretariat attended the second meeting of the NAP Task Force of the Adaptation Committee,	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>(ii) Various national ministries;</p> <p>(iii) Parties and regions, with a view to:</p> <p>a. Enhancing the accessibility of NAP support;</p> <p>b. Further understanding effective pathways to achieving the objectives of the NAP process, on the basis of experience;</p> <p>c. Fostering coherence in the provision of support, including by better matching needs with support, involving more financial institutions in the NAP process and helping countries to prepare for accessing funding, including from the Green Climate Fund (GCF);</p> <p>(c) Enhancing learning as stakeholders increasingly engage in the NAP process, particularly around aspects such as the role of institutional arrangements and monitoring and evaluation.</p>	<p>where considerable emphasis was placed on coordination and coherence in the support provided.</p> <p>The GEF Programming Strategy on Adaptation to Climate Change (document GEF/LDCF.SCCF.16/03) and the associated, updated results-based management framework for adaptation to climate change (document GEF/LDCF.SCCF.17/05) are closely aligned with the recommendations of the AC. The FY 2014 Annual Monitoring Review of the LDCF and the SCCF (document GEF/LDCF.SCCF.18/04) describes portfolio-level outcomes and lessons that are highly relevant to the NAP process.</p>	
Programme Priorities	Decision 6/CP.19	4. Also requests the Global Environment Facility to further specify the steps that it has undertaken in response to the request contained in decision 9/CP.18, paragraph 1(c);	Elaborated in GEF report to COP20, including approval of an SCCF grant amounting to \$4.93 million in 2014 towards project on assisting non-LDC developing countries' advance on NAPs	Responded to
Programme Priorities	SBI 37	82. The SBI noted the identification by the LEG of support needs of the LDCs for the formulation and implementation of NAPs, and urged the GEF, its agencies and other relevant organizations to take the identified support needs into account in their efforts to support LDC Parties in undertaking the NAP process.	Information is not available.	Ongoing
Special Climate Change Fund Programme Priorities	Decision 5/CP.7	<p>8. Decides that the implementation of the following activities shall be supported through the special climate change fund (in accordance with decision 7/CP.7) and/or the adaptation fund (in accordance with decision 10/CP.7), and other bilateral and multilateral sources:</p> <p>(a) Starting to implement adaptation activities promptly where sufficient</p>	SCCF has a window for adaptation	Responded to / Ongoing

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
		<p>information is available to warrant such activities, inter alia, in the areas of water resources management, land management, agriculture, health, infrastructure development, fragile ecosystems, including mountainous ecosystems, and integrated coastal zone management;</p> <p>(b) Improving the monitoring of diseases and vectors affected by climate change, and related forecasting and early-warning systems, and in this context improving disease control and prevention;</p> <p>(c) Supporting capacity building, including institutional capacity, for preventive measures, planning, preparedness and management of disasters relating to climate change, including contingency planning, in particular, for droughts and floods in areas prone to extreme weather events;</p> <p>(d) Strengthening existing and, where needed, establishing national and regional centres and information networks for rapid response to extreme weather events, utilizing information technology as much as possible;</p>		
<i>Policies</i>	Decision 5/CP.9	5. <i>Requests</i> the entity entrusted with the operation of the fund to arrange expedited access to the Special Climate Change Fund in keeping with current practices of the Global Environment Facility, taking into account the need for adequate resources to implement eligible activities, programmes and measures;	<i>See GEF Response to Decision 1/CP.12, paragraph 5 below.</i>	Responded to
<i>Other</i>	Decision 1/CP.12	5. <i>Requests</i> the entity entrusted with the operation of the Special Climate Change Fund to continue to adhere strictly to the decisions of the Conference of the Parties in the operationalization of the Special Climate Change Fund;	Programming document to implement COP guidance for the SCCF was approved in October 2007.	Responded to
<i>Policies</i>		6. <i>Requests</i> the entity entrusted with the operation of the Special Climate Change Fund to continue its efforts to mobilize resources, in addition to those already pledged, to support the funding of eligible project activities under the Special Climate Change Fund;	Cumulative pledges to the SCCF amounted to \$347.71 million (August 2014)	Ongoing
Intended nationally determined contributions				

Compilation and analysis of past guidance to the Global Environment Facility – COP 1 until COP 20

Thematic Area	Decision number	Decision text	GEF Response	Suggested Status
Programme Priorities	Decision 1/CP.19	<p>2. <i>Decides</i>, in the context of its determination to adopt a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties at its twenty-first session (December 2015) and for it to come into effect and be implemented from 2020:</p> <p>(d) To urge and request developed country Parties, the operating <u>entities of the financial mechanism</u> and any other organizations in a position to do so to provide support for the activities [related] to [the preparations for intended nationally determined contributions without prejudice to the legal nature of the contributions, in the context of adopting a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties] as early as possible in 2014;</p>	Component has been added to Global Support Program for NCs and BURs to provide technical assistance to countries to prepare INDCs; has approved projects for 7 countries as of June 2014	Repetitive Responded to / Ongoing
Programme Priorities	1/CP.20	<p>15. <i>Reiterates</i> its call to developed country Parties, the operating entities of the Financial Mechanism and any other organizations in a position to do so to provide support for the preparation and communication of the intended nationally determined contributions of Parties that may need such support;</p>	Participated in various meetings and workshops to encourage countries to utilize available GEF resources; has approved projects supporting 45 countries in preparing their INDCs as of June 2015.	Repetitive Responded to / Ongoing