

**Compilation and analysis of past guidance to the Global Environment Facility
COP 1 until COP 20¹ including SBI conclusions**

Thematic Area	Decision number	Decision text	<i>Suggested Status</i>
<i>General</i>			
Initial guidance on activities	11/CP.1	<p>1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(a) Regarding activities undertaken under Article 11 of the Convention, Within the framework of the financial mechanism:</p> <p>(i) The operating entity or entities should, in all funding decisions related to the financial mechanism, take into account Article 4.1, 4.7, 4.8, 4.9 and 4.10 of the Convention. In particular, in order to take full account of the specific needs and special situations of the least developed countries, funds allocated to their projects/programmes should be on a grant basis;</p> <p>(ii) Projects funded through the financial mechanism should be country-driven and in conformity with, and supportive of, the national development priorities of each country;</p> <p>(iii) The operating entity or entities should ensure that, with reference to activities involving transfer of technology, such technology is environmentally sound and adapted to suit local conditions;</p> <p>(iv) As far as possible, due consideration should be given to the following aspects concerning activities undertaken under the financial mechanism. Activities should be:</p> <ul style="list-style-type: none"> - Supportive of the national development priorities which contribute to a comprehensive national response to climate change; - Consistent with and supportive of the relevant provisions of internationally agreed programmes of action for sustainable development in line with the Rio Declaration and Agenda 21 and UNCED-related agreements; - Sustainable and lead to wider application; 	

¹ This compilation and analysis is an attempt to group the elements of guidance provided to the Global Environment Facility. It clusters those elements of guidance according to the categories identified by the GEF Evaluation Office in the fifth Overall Performance Study of the GEF, available at: https://www.thegef.org/gef/sites/thegef.org/files/EO/TD4_Relevance%20of%20the%20GEF%20to%20the%20Conventions.pdf

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		<p style="text-align: center;">- Cost-effective;</p> <p>(v) The operating entity or entities of the financial mechanism should strive to leverage other funds in support of the activities of developing country Parties to address climate change;</p> <p>In mobilizing funds, the operating entity or entities should provide all relevant information to developed country Parties and other Parties included in Annex II to the Convention, to assist them to take into full account the need for adequacy and predictability in the flow of funds. The entity or entities entrusted with the operation of the financial mechanism should take full account of the arrangements agreed with the Conference of the Parties, which, inter alia, shall include determination in a predictable and identifiable manner of the amount of funding necessary and available for the implementation of the Convention, as provided for in Article 11.3(d) of the Convention.</p>	
Memorandum of Understanding	Decision 6/CP.19	5. <i>Further requests</i> the Global Environment Facility to include, in its report to the Conference of the Parties at its twentieth session (December 2014), information on the modalities that it has established in response to paragraph 5 of the memorandum of understanding between the Conference of the Parties and the Council of the Global Environment Facility	
GEF Council	Decision 9/CP.10	2. <i>Urges</i> the Council of the Global Environment Facility to ensure that adequate funding is available to enable developing countries to meet their commitments under the Convention, taking into account Article 4, paragraph 7, as well as Article 11, paragraph 5, of the Convention which provides that developed country Parties may also provide through bilateral, regional and other multilateral channels financial resources relating to the implementation of the Convention by developing country Parties;	
Fifth replenishment of the GEF	Decision 3/CP.16	1. <i>Calls on</i> the Global Environment Facility to complete its reforms as early as possible in order to facilitate the successful implementation of the fifth replenishment cycle of the Global Environment Facility;	Obsolete
	Decision 9/CP.18	2. <i>Requests</i> the Global Environment Facility in the implementation of these reforms to give full information to countries, in particular in relation to the implications of these reforms on the activities conducted by the Global Environment Facility;	Obsolete
		1. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to submit to the Subsidiary Body for Implementation at its thirty-eighth session, through the secretariat, information on the status of resources available for programming in its fifth replenishment period, and on any possible contingency measures regarding the allocation of resources for implementing climate change projects;	Obsolete

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Sixth replenishment	Decision 6/CP.19	<p>8. <i>Emphasizes</i> the need for the Global Environment Facility to consider lessons learned from past replenishment periods in its deliberations on the strategy for the sixth replenishment in order to continue to increase the effectiveness of its operations;</p> <p>10. <i>Requests</i> the Global Environment Facility to give due consideration in its sixth replenishment period to funding for small island developing States and the least developed countries in order to enable them to address their urgent needs and to comply with their obligations under the Convention;</p>	Obsolete
Country dialogues	Decision 7/CP.13	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(a) To continue to take the necessary steps to enhance its country dialogues, including ensuring the clarity, transparency and timeliness in its communications with Parties on changes undertaken in the Global Environment Facility reform agenda;</p>	
National experts/consultants	Decision 2/CP.4	4. <i>Requests</i> the GEF to ensure that its implementing/executing agencies are made aware of Convention provisions and decisions adopted by the Conference of the Parties in the performance of their GEF obligations and are encouraged, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;	Repetitive
	Decision 6/CP.7	<p>2. <i>Invites</i> the GEF:</p> <p>(d) Further to encourage the use of national and regional experts and/or consultants to enhance project development and implementation; in this regard, it should make its list of national and regional experts and/or consultants publicly available;</p>	Repetitive
	Decision 7/CP.13	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(b) To inform the implementing/executing agencies of the Global Environment Facility of the relevant Convention provisions and decisions of the Conference of Parties in the performance of their Global Environment Facility obligations, and to encourage them, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;</p>	Repetitive
Green Climate Fund	Decision 1/CP.16	111. <i>Requests</i> the secretariat, in consultation with the President of the Conference of the Parties, to make arrangements enabling relevant United Nations agencies, international financial institutions and multilateral development banks, along with the secretariat and the Global Environment Facility, to second staff to support the	Obsolete

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		work of the Transitional Committee for the design phase of the Green Climate Fund;	
Report of the GEF	Decision 12/CP.1	4. <i>Invites</i> the Global Environment Facility in future reports to take fully into account relevant aspects of the modalities for the functioning of operational linkages between the Conference of the Parties and the operating entity or entities of the financial mechanism.	
	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (f) To submit the report of the Global Environment Facility to the Conference of the Parties within a time frame that would allow Parties to the Convention to examine the report carefully prior to the start of the sessions of the Conference of the Parties;	Obsolete
	Decision 9/CP.18	6. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to make its annual report to the Conference of the Parties available <u>as early as possible and no later than 14 weeks prior to a session of the Conference of the Parties</u> , for consideration by Parties;	
	Several decisions to the GEF	<i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to include in its annual report to the Conference of the Parties information on the steps that it has taken to implement the guidance provided in this decision.	Repetitive
	Decision 9/CP.20 Annex	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism; <u>Annex:</u> 28. The Evaluation Office of the GEF has found that the GEF is highly responsive to COP guidance, and that it has taken considerable steps to report to the COP in this regard. <u>The GEF is encouraged to continue to provide information on how it has responded to the guidance it has received via its report to the COP.</u> 92. The operating entities of the Financial Mechanism and the funds under the Convention should collaborate with the view to taking advantage of the complementarity of their respective policies and programmes. <u>The operating entities of the Financial Mechanism should provide information on the progress made in ensuring the complementarity with the other sources of climate finance in their respective reports to the COP.</u>	

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	Decision 6/CP.7	<p>2. <i>Invites</i> the GEF:</p> <p>(a) To continue its efforts to minimize the time between the approval of project concepts, the development and approval of the related projects, and the disbursement of funds by its implementing/executing agencies to the recipient countries of those projects;</p> <p>(b) Further to streamline its project cycle with a view to making project preparations simpler, more transparent and country-driven. In this regard, the project cycles of its implementing/executing agencies should be coordinated with the GEF project cycle;</p> <p>(c) To urge its implementing/executing agencies to be more responsive to requests for GEF assistance from developing country Parties for climate change related project activities aimed at implementing the guidance of the Conference of the Parties;</p>	<p>Repetitive</p> <p>Repetitive</p> <p>Repetitive</p>
	Decision 5/CP.8	<p>4. <i>Invites</i> the Global Environment Facility:</p> <p>(a) To review, in cooperation with the implementing and executing agencies as well as national focal points, its project cycle, with a view to making it simpler and more efficient, taking into account the lessons learned and the findings of the Global Environment Facility Monitoring and Evaluation Unit;</p> <p>(b) To continue its efforts to increase the administrative efficiency and cost-effectiveness of its operations in line with the recommendations of the Second Overall Performance Study of the Global Environment Facility and the Beijing Declaration;</p>	<p>Repetitive</p> <p>Obsolete</p>
	Decision 3/CP.12	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(a) To further simplify its procedures and improve the efficiency of the process through which developing country Parties receive funding for projects for the implementation of their commitments under Article 4, paragraph 1, of the Convention;</p>	
	Decision 2/CP.16	<p>1. <i>Takes note</i> of the findings of the Fourth Overall Performance Study, which was completed prior to the fifth replenishment, that:</p> <p>(h) There is scope to further simplify and streamline the Global Environment Facility procedures, particularly the project identification phase, and improve timeliness throughout the project cycle;</p> <p>4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including:</p> <p>(d) Further simplifying and improving its procedures, particularly those for the identification, preparation and approval of activities;</p>	<p>Repetitive</p> <p>Repetitive</p>

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	Decision 9/CP.17	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with managing the Least Developed Countries Fund:</p> <p>(a) To continue to provide information to the least developed countries to further clarify project baselines and the application for accessing funding from the Least Developed Countries Fund in order to develop and implement projects under national adaptation programmes of action to address the effects of climate change;</p> <p>(b) To support the development of a programmatic approach for the implementation of national adaptation programmes of action by those least developed country Parties that wish to do so;</p> <p>(c) To further explore opportunities to streamline the Least Developed Countries Fund project cycle, particularly during the project preparation stage;</p> <p>(d) To further improve the provision of information to least developed countries on the project development process for projects being considered under the Least Developed Countries Fund.</p>	
	6/CP.19	<p>15. <i>Invites</i> the Global Environment Facility and all of its implementing agencies and recipient countries to continue to work together to improve institutional arrangements, giving special consideration to expediting the project cycle;</p>	Repetitive
	SBI 15	<p>14. At its 3rd meeting, on 6 November, having considered a proposal made by the Chairman, the SBI the adopted the following conclusions:</p> <p>(b) The SBI also noted the important role that the GEF, as an operating entity of the financial mechanism of the Convention, plays in supporting efforts made by Parties to address the problems of climate change, and urged the GEF (a) to streamline its procedures in order to reduce the time between project approval and disbursement of funds and, in addition, (b) to encourage its implementing agencies to be more responsive to the requests of developing countries for financial and technical support;</p>	Redundant

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Implementing/ executing agencies	Decision 2/CP.4	4. <i>Requests</i> the GEF to ensure that its <u>implementing/executing agencies</u> are made aware of Convention provisions and decisions adopted by the Conference of the Parties in the performance of their GEF obligations and are encouraged, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;	Repetitive
	Decision 6/CP.7	2. <i>Invites</i> the GEF: (c) To urge its implementing/executing agencies to be more responsive to requests for GEF assistance from developing country Parties for climate change related project activities aimed at implementing the guidance of the Conference of the Parties;	Repetitive
	Decision 7/CP.13	1. Requests the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To inform the implementing/executing agencies of the Global Environment Facility of the relevant Convention provisions and decisions of the Conference of Parties in the performance of their Global Environment Facility obligations, and to encourage them, as a first priority, whenever possible, to use national experts/consultants in all aspects of project development and implementation;	Repetitive
	Decision 4/CP.14	1. Requests the Global Environment Facility: (e) To continue to encourage its implementing and executing agencies to perform their functions as efficiently and transparently as possible, in accordance with guidance of the Conference of the Parties;	
Knowledge management	Decision 2/CP.16	1. <i>Takes note</i> of the findings of the Fourth Overall Performance Study, which was completed prior to the fifth replenishment, that: (i) The Global Environment Facility needs a knowledge management strategy to improve learning and the sharing of best practices;	
	Decision 2/CP.16	4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including: (i) Strengthening its knowledge management approach to share best practices;	

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Transparency of review process	Decision 11/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To continue to enhance the transparency of the project review process throughout the project cycle;	Repetitive
	Decision 6/CP.19	16. <i>Encourages</i> the Global Environment Facility to continue to increase the overall transparency and openness of its operations;	Repetitive
	Decision 8/CP.20	6. <i>Also encourages</i> the Global Environment Facility to continue to increase the overall transparency and openness of its operations, particularly with regard to the disclosure of information on the status of the implementation of projects and programmes, the project-level accountability of its implementing agencies and with respect to the timely disbursement of funds, as well as the advice provided to countries on co-financing;	Repetitive
	Decision 9/CP.20 Annex	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism; <u>Annex:</u> 61. There is a recognized need to strengthen GEF project monitoring systems in order to be able to provide better information on the level of disbursement of approved funds. <u>The GEF should work with its agencies on establishing a standard definition of “disbursement” in order to generate a common understanding of the term within the GEF partnership and enhance transparency of its processes.</u>	
Reporting on results achieved	Decision 9/CP.20 Annex	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism; <u>Annex:</u> 76. The GEF and the GCF may consider collaborating to harmonize impact indicators and set new norms around reporting practice, especially in the context of adaptation finance. 79. There is evidence that good results and impacts have been achieved with the resources provided by the GEF. Efforts to harmonize and improve the methodologies for measuring the results and impacts of the supported activities need to continue.	

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<i>Eligibility criteria</i>			
Initial guidance on eligibility criteria	Decision 11/CP.1	<p>1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(c) Regarding eligibility criteria,</p> <p>Eligibility criteria shall apply to countries and to activities and shall be applied in accordance with Article 11.1, 11.2 and 11.3;</p> <p>(i) Regarding eligibility of countries, only countries that are Parties to the Convention would be eligible to receive funding upon entry into force of the Convention. In this context, only developing country Parties would be eligible to receive funding through the financial mechanism, in accordance with Article 4.3;</p> <p>(ii) Regarding eligibility of activities,</p> <ul style="list-style-type: none"> - Those activities related to obligations under Article 12.1 to communicate information for which the "agreed full costs" are to be met are eligible for funding; - Measures covered by Article 4.1 are eligible for funding through the financial mechanism in accordance with Article 4.3. Such measures should be agreed between the developing country Party and the international entity or entities referred to in Article 11.1, in accordance with Article 4.3; - In addition to the above, such measures would be eligible for financial support under Article 11.5. 	
	Decision 11/CP.2	<p>1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should:</p> <p>(a) In the initial period, implement strategies on enabling activities in accordance with decision 11/CP.1 which facilitate endogenous capacity-building, including data collection and archiving, consistent with the policy guidance, programme priorities and eligibility criteria provided to it by the Conference of the Parties;</p>	Obsolete

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<i>Policies</i>			
Access	Decision 2/CP.12	<p>1. <i>Requests</i> the Global Environment Facility:</p> <p>(b) To strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds;</p> <p>2. <i>Further requests</i> the Global Environment Facility to recognize and respond to the challenges faced by small island developing States and least developed countries in accessing Global Environment Facility funding highlighted in the third overall performance study of the Global Environment Facility;</p> <p>3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its thirteenth session on:</p> <p>(f) How it has recognized and responded to the challenges faced by least developed countries and small island developing States, as referred to in paragraph 2 above;</p> <p>(e) Steps taken to strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds;</p>	<p>Repetitive</p> <p>Obsolete</p> <p>Obsolete</p>
	Decision 6/CP.7	<p>2. <i>Invites</i> the GEF:</p> <p>(e) To give consideration to measures to increase opportunities available to developing country Parties for accessing GEF funds for climate change activities aimed at implementing the guidance of the Conference of the Parties, including a review of the adequacy of the number of implementing/executing agencies available to deliver GEF programmes and projects;</p>	
	Decision 5/CP.9	<p>5. <i>Requests</i> the entity entrusted with the operation of the fund to arrange expedited access to the Special Climate Change Fund in keeping with current practices of the Global Environment Facility, taking into account the need for adequate resources to implement eligible activities, programmes and measures;</p>	
	Decision 6/CP.9	<p>3. <i>Requests</i> the entity to take into account, inter alia, the following elements when developing operational guidelines for funding of the implementation of national adaptation programmes of action:</p> <p>(b) Equitable access by least developed country Parties to funding for the implementation of national adaptation programmes of action;</p>	
	Decision 7/CP.10	<p>3. <i>Urges</i> the Global Environment Facility to continue its work in improving the <u>access</u> to, and visibility of, opportunities for funding Article 6 activities and in providing information on Article 6 activities in its reports to the Conference of the Parties;</p>	Repetitive
	Decision	2. <i>Urges</i> the Global Environment Facility, on matters relating to Article 6 of the Convention, to continue	Repetitive

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8/CP.10	its work in <u>improving access</u> to, and visibility of, opportunities for funding Article 6 activities;	
Decision 3/CP.11	5. <i>Requests</i> the Global Environment Facility to develop flexible modalities that ensure balanced access to resources given the level of funds available, in accordance with decision 6/CP.9;	
Decision 2/CP.12	1. <i>Requests</i> the Global Environment Facility: (b) To strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds; 2. <i>Further requests</i> the Global Environment Facility to recognize and respond to the challenges faced by small island developing States and least developed countries in accessing Global Environment Facility funding highlighted in the third overall performance study of the Global Environment Facility; 3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its thirteenth session on: (e) Steps taken to strengthen work on awareness-raising on Global Environment Facility programmes and procedures in order to assist developing countries to access Global Environment Facility funds;	Repetitive Obsolete Repetitive
Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (e) To continue to improve access to Global Environment Facility funds, as highlighted in the Third Overall Performance Study of the Global Environment Facility, for those countries that are particularly vulnerable to the adverse effects of climate change;	Obsolete
Decision 4/CP.14	1. <i>Requests</i> the Global Environment Facility: (d) To continue to improve access for all developing countries, in particular least developed countries, small island developing States and countries in Africa, to Global Environment Facility resources;	Repetitive
Decision 2/CP.16	4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including: (e) Ensuring that access to resources is expeditious and timely;	Repetitive
Decision 3/CP.16	3. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for activities related to Article 6 of the Convention;	Repetitive
Decision 7/CP.16	5. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for Article 6 related activities;	Repetitive

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	Decision 10/CP.18	5. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund: (c) To further facilitate access to the Least Developed Countries Fund by the least developed countries;	
	Decision 12/CP.18	1. <i>Decides</i> to provide the following guidance to the Global Environment Facility, as the operating entity of the financial mechanism of the Convention for the operation of the Least Developed Countries Fund, to enable activities for the preparation of the national adaptation plan process by the least developed country Parties. The operating entity is requested: (c) To encourage a flexible approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed country Parties in response to national needs and circumstances;	
	Decision 6/CP.19	14. <i>Encourages</i> the Global Environment Facility to finalize the accreditation of new project agencies and assess the possibilities for further expanding the direct access modality;	
	Decision 9/CP.20	6. <i>Notes</i> , however, that the least developed countries and small island developing States still experience challenges in accessing the resources from the Global Environment Facility;	
	SBI 32	52. The SBI recommended that the COP, at its sixteenth session, request the GEF to finalize any remaining operational procedures to ensure the timely disbursement of funds for those Parties that decide to access resources for the preparation of their national communications through direct access.	Redundant
	SBI 40	71. The SBI invited the GEF to continue to explore ways of simplifying access to the LDCF.	Redundant

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Resource Allocation Framework (RAF)	Decision 5/CP.11	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to include in its regular report to the Conference of the Parties information on:</p> <p>(a) The initial application of the Resource Allocation Framework to resources allocated in the fourth replenishment of the Global Environment Facility that is operational from July 2006, focusing on the climate change focal area;</p> <p>(b) How the Resource Allocation Framework is likely to affect funding available to developing countries for the implementation of their commitments under the Convention;</p>	<p>Obsolete</p> <p>Obsolete</p>
	Decision 3/CP.12	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(c) To give a detailed report on the resources available to each developing country Party in the initial implementation of the resource allocation framework, including a list of activities funded with these resources during this initial period in the climate change focal area;</p>	Obsolete
	Decision 4/CP.14	<p>1. <i>Requests</i> the Global Environment Facility:</p> <p>(a) To fully address issues raised over the implementation of the Resource Allocation Framework;</p>	Obsolete
	Decision 2/CP.16	<p>1. <i>Takes note</i> of the findings of the Fourth Overall Performance Study, which was completed prior to the fifth replenishment, that:</p> <p>(e) The Resource Allocation Framework has hindered the access of group countries to the Global Environment Facility, particularly in relation to climate change, which may explain some of the discontent of the climate change community with the Global Environment Facility;</p>	Obsolete

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Co-financing	Decision 3/CP.11	3. <i>Requests</i> the Global Environment Facility to develop a co-financing scale for supporting activities identified in national adaptation programmes of action, taking into account the circumstances of least developed countries;	
	Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (b) To explore options to address concerns of developing country Parties on requirements for leveraging additional funds for projects;	
	Decision 4/CP.14	1. <i>Requests</i> the Global Environment Facility: (b) To provide information on a regular basis on the composition and objective of the co-financing for projects funded by the Global Environment Facility;	
	Decision 6/CP.19	3. <i>Requests</i> the Global Environment Facility to clarify the concept of co-financing and its application in the projects and programmes of the Global Environment Facility;	
	Decision 8/CP.20	6. <i>Also encourages</i> the Global Environment Facility to continue to increase the overall transparency and openness of its operations, particularly with regard to the disclosure of information on the status of the implementation of projects and programmes, the project-level accountability of its implementing agencies and with respect to the timely disbursement of funds, as well as the advice provided to countries on co-financing; 10. <i>Encourages</i> the Global Environment Facility to improve the communication of its co-financing policy so that it is better understood and appropriately applied by accredited project agencies and the implementing agencies of the Global Environment Facility, while acknowledging the potential impacts of this policy on developing country Parties, in particular the least developed countries, small island developing States, and African States;	
Country Ownership	Decision 2/CP.16	4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including: (c) Enhancing modalities which reinforce country ownership and improve the allocation of resources; (f) Enabling country-level programming, where appropriate;	
	Decision 6/CP.19	12. <i>Encourages</i> the Global Environment Facility to continue with its voluntary National Portfolio Formulation Exercise, which has been proved to enhance coordination and coherence at the national level;	

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Gender	Decision 8/CP.20	<p>7. Welcomes the gender mainstreaming policy of the Global Environment Facility;</p> <p>8. Requests the Global Environment Facility to ensure that gender mainstreaming is implemented both within its portfolio and within its structure;</p>	
Programming			
Initial guidance on Programming	Decision 11/CP.1	<p>1. Decides to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(b) Regarding programme priorities,</p> <p>(i) Priority should be given to the funding of agreed full costs (or agreed full incremental costs, as appropriate) incurred by developing country Parties in complying with their obligations under Article 12.1 and other relevant commitments under the Convention. In the initial period, emphasis should be placed on enabling activities undertaken by developing country Parties, such as planning and endogenous capacity-building, including institutional strengthening, training, research and education, that will facilitate implementation, in accordance with the Convention, of effective response measures;</p> <p>(ii) In this context, activities aimed at strengthening research and technological capabilities for the implementation of the Convention in developing country Parties should be supported through international and intergovernmental efforts. Such support would include networking and the training of experts and, as appropriate, institutional development;</p> <p>(iii) Emphasis should also be placed on improving national public awareness and education on climate change and response measures;</p> <p>(iv) The operating entity or entities should finance the formulation by developing country Parties of nationally determined programmes to address climate change issues which are in accordance with national development priorities. To facilitate the formulation of these programmes, it should finance capacity-building and all other activities related to the formulation, management and regular updating of these programmes, which should, as far as possible, be comprehensive;</p> <p>(v) The operating entity or entities should, in accordance with the policies, programme priorities and eligibility criteria as established by the Conference of the Parties, be available to assist, if so requested, in the implementation of the national programmes adopted by developing country</p>	

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		<p>Parties;</p> <p>(vi) In the implementation of these national programmes, the operating entity or entities should support agreed activities to mitigate climate change, as referred to in the Convention, in particular in Article 4.1, consistent with Article 4.3.</p>	
Agreed full costs	Decision 11/CP.1	<p>1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism:</p> <p>(b) Regarding programme priorities,</p> <p>(i) Priority should be given to the funding of agreed full costs (or agreed full incremental costs, as appropriate) incurred by developing country Parties in complying with their obligations under Article 12.1 and other relevant commitments under the Convention. In the initial period, emphasis should be placed on enabling activities undertaken by developing country Parties, such as planning and endogenous capacity-building, including institutional strengthening, training, research and education, that will facilitate implementation, in accordance with the Convention, of effective response measures;</p>	
	Decision 11/CP.2	<p>1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should:</p> <p>(b) In providing the financial resources needed by developing country Parties to meet the agreed full incremental costs of implementing measures covered by Article 4.1 of the Convention in accordance with Article 4.3, take steps to facilitate this provision of financial resources, including the enhancement of transparency and the flexible and pragmatic application of its concept of incremental costs on a case-by-case basis;</p> <p>(c) Together with its implementing agencies, expedite the approval and the disbursement of financial resources to meet the agreed full costs incurred by the developing country Parties in complying with their obligations under Article 12.1 of the Convention, in accordance with Article 4.3, and in particular for the initial and subsequent preparation of national communications of non-Annex I Parties. In this regard, the guidelines and format adopted by the Conference of the Parties at its second session on the preparation of initial national communications by non-Annex I Parties contained in decision 10/CP.2 shall form the basis for the funding of</p>	<p>Repetitive</p> <p>Repetitive</p>

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	<p>communications from non-Annex I Parties under Article 12.1 of the Convention;</p> <p>(e) When funding the agreed full costs for the preparation of national communications from non-Annex I Parties, finance the agreed full incremental costs of projects related to other commitments contained in the Convention only upon request of the Party concerned;</p>	Repetitive
Decision 2/CP.4	<p>1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to:</p> <p>(d) Meet the agreed full costs of preparing initial and subsequent national communications, in accordance with Articles 4.3 and 12.5 of the Convention and decision 11/CP.2, paragraph 1(d), by maintaining and enhancing relevant national capacity, so as to prepare <u>the initial and second national communications</u> which will take into account experiences, including gaps and problems identified in previous national communications, and guidelines established by the Conference of the Parties. Guidance on subsequent national communications will be provided by the Conference of the Parties;</p>	Obsolete
Decision 6/CP.8	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(a) On matters relating to national communications:</p> <p>(i) Provide financial resources at an appropriate level to cover the requirements of the guidelines annexed to decision 17/CP.8, in an expedited manner, by an operating entity of the financial mechanism of the Convention, on an <u>agreed full cost</u> basis for the preparation of national communications, as well as capacity-building activities relating to the preparation of national communications pursuant to decision 2/CP.7, and in particular paragraphs 1 (c), 3, 4 and 5 of decision 6/CP.7;</p> <p>(ii) Continue to provide funding to Parties that have initiated the process of preparing second national communications and received funding under the expedited procedures or on an agreed full cost basis prior to the approval of the guidelines annexed to decision 17/CP.8;</p>	<p>Repetitive</p> <p>Repetitive</p>
Decision 3/CP.12	<p>2. Invites the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(a) To further simplify its procedures and improve the efficiency of the process by which Parties not included in Annex I to the Convention receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to <u>meet the agreed full costs</u> incurred by developing country Parties in complying with these obligations;</p>	Repetitive
Decision 7/CP.13	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p>	

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	(g) To continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;	Repetitive
Decision 4/CP.14	1. <i>Requests</i> the Global Environment Facility: (f) To ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of Parties not included in the Annex I (non-Annex I Parties) plan to initiate the preparation of their third or fourth national communications <u>by the end of the fourth replenishment</u> of the Global Environment Facility;	Obsolete
Decision 3/CP.16	4. <i>Requests</i> the Global Environment Facility: (c) To work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations, and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;	Repetitive
Decision 11/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (a) To continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between the enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle; (c) To clarify the concept of additional costs as applied to different types of adaptation projects under the Least Developed Countries Fund and the Special Climate Change Fund which seek to respond to climate change risks;	Repetitive
SBI 24	42. The SBI recommended that the COP, at its twelfth session (November 2006), invite the GEF to further simplify its procedures and improve the efficiency of the process by which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations.	Redundant

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SBI 27	<p>35. The SBI further recommended that the COP, at its thirteenth session, request the GEF to:</p> <p>(a) Continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;</p> <p>(b) Take into consideration the request contained in paragraph 35 (a) above in its planned mid-term review in 2008;</p> <p>(c) Work with its implementing agencies to continue to simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;</p> <p>(d) Refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national communications, in the light of paragraph 35 (a) to (c) above;</p>	<p>Redundant</p> <p>Obsolete</p> <p>Repetitive</p>
SBI 28	<p>21. The SBI recalled the request by the COP in decision 7/CP.13 that the GEF continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.</p> <p>23. The SBI looked forward to being provided with information in the report of the GEF to the COP at its fourteenth session on the specific steps that the GEF has taken to implement the guidance given in decision 7/CP.13, paragraphs 1 and 2, especially on the request contained in paragraph 22 above, on additional guidance relating to national communications from non-Annex I Parties.</p>	<p>Redundant</p> <p>Obsolete</p>
SBI 29	<p>24. The SBI <u>reiterated the request of the Conference of the Parties (COP) at its thirteenth session</u> to the GEF to continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.</p> <p>25. The SBI recommended that the COP, at its fourteenth session, request the GEF to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of non-Annex I Parties plan to initiate the preparation of their third or fourth national communications by the end of the fourth replenishment of the GEF (GEF 4).</p> <p>26. The SBI <u>reiterated the request of the COP at its thirteenth session to the GEF</u> to refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national</p>	<p>Repetitive</p> <p>Redundant</p> <p>Repetitive</p>

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	<p>communications.</p> <p>29. The SBI reiterated the request of the COP, at its thirteenth¹⁰ session, to the GEF to work with its implementing agencies to continue to simplify their procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations.</p> <p>30. The SBI recommended that the COP, at its fourteenth session, invite the GEF to inform its implementing agencies of the guidelines for the preparation of national communications from non-Annex I Parties and of relevant provisions of the Convention, in particular its Article 4, paragraph 3, on the provision of new and additional financial resources to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.</p>	<p>Repetitive</p> <p>Redundant</p>
SBI 30	<p>20. The SBI took note of the proposal from the GEF secretariat to reallocate funds from its fourth replenishment. It urged the GEF, in accordance with decision 4/CP.14, to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of non-Annex I Parties plan to initiate the preparation of their third or fourth national communications by the end of the fourth replenishment of the GEF.</p>	<p>Repetitive</p>
SBI 32	<p>39. The SBI encouraged the GEF, in accordance with decision 4/CP.14, to continue to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, and for the preparation of third and, where appropriate, subsequent national communications.</p>	<p>Repetitive</p>
SBI 33	<p>51. The SBI further recommended that the COP, at its sixteenth session, request the GEF to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle.</p>	<p>Redundant</p>
SBI 35	<p>42. The SBI recommended that the COP, at its seventeenth session, request the GEF to continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed</p>	<p>Redundant</p>

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		full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle.	
	SBI 36	59. The SBI encouraged the GEF, in accordance with decision 4/CP.14, to continue to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.	Repetitive
	SBI 37	37. The SBI encouraged the GEF, in accordance with decision 4/CP.14, to continue to ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.	Repetitive
Incremental costs	Decision 11/CP.1	1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism: (e) Regarding agreed full incremental costs, The various issues of incremental costs are complex and difficult and further discussion on the subject is therefore needed. The application of the concept of agreed full incremental costs should be flexible, pragmatic and on a case-by-case basis. Guidelines in this regard will be developed by the Conference of the Parties at a later stage on the basis of experience.	
	Decision 11/CP.2	1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (e) When funding the agreed full costs for the preparation of national communications from non-Annex I Parties, finance the agreed full incremental costs of projects related to other commitments contained in the Convention only upon request of the Party concerned;	Repetitive
	Decision 2/CP.4	3. <i>Encourages</i> the GEF to: (c) Make the process for the determination of incremental costs more transparent, and its application more pragmatic;	
	Decision 5/CP.8	4. <i>Invites</i> the Global Environment Facility: (c) To continue to make the concept of agreed incremental costs and global benefits more understandable, recognizing that the process for determining incremental costs should be transparent, flexible and pragmatic, consistent with the Beijing Declaration;	Repetitive
	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:	

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		(c) To continue to simplify and streamline the application of the incremental cost principle, building on its recent reforms and taking into account lessons learned on the constraints in resource mobilization by developing countries;	
Country-Specific needs	Decision 11/CP.2	1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (d) Consider country-specific needs and other approaches which may be used for several countries with similar needs, upon request, and take into account that the preparation of national communications is a continuing process;	
Article 6 – Public awareness and education	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (f) Assist in developing, strengthening and/or improving national activities for public awareness and education on climate change and response measures, in full accordance with Article 6 of the Convention and decision 11/CP.1, paragraph 1(b)(iii), and taking into account, where appropriate, relevant GEF operational programmes;	Repetitive
	Decision 6/CP.8	1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should: (d) On matters relating to Article 6: provide financial resources to non-Annex I Parties, in particular the least developed country Parties and the small island developing States among them, in accordance with decisions 11/CP.1 and 6/CP.7, to support the implementation of the work programme on Article 6 of the Convention contained in the annex to decision 11/CP.8;	Repetitive
	Decision 4/CP.9	2. <i>Requests</i> the Global Environment Facility: (a) To continue its support for education, training and public awareness activities relating to climate change;	Repetitive
	Decision 7/CP.10	3. <i>Urges</i> the Global Environment Facility to continue its work in improving the access to, and visibility of, opportunities for funding Article 6 activities and in providing information on Article 6 activities in its reports to the Conference of the Parties;	Repetitive
	Decision 8/CP.10	2. <i>Urges</i> the Global Environment Facility, on matters relating to Article 6 of the Convention, to continue its work in improving access to, and visibility of, opportunities for funding Article 6 activities;	Repetitive
	Decision 3/CP.12	2. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:	Repetitive

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	<p>(d) To produce simple guidelines on how to enhance activities relating to Article 6 in project proposals when applying for Global Environment Facility funding;</p> <p>3. <i>Urges</i> the Global Environment Facility to provide further funding, in a more timely manner, to the developing country Parties, in particular the least developed countries and small island developing States, to allow these countries to implement activities relating to Article 6 and the New Delhi work programme;</p>	
Decision 7/CP.13	<p>4. <i>Requests</i> the Global Environment Facility to continue to provide, as appropriate, financial resources to developing country Parties, in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9 and 7/CP.10, to support the <u>implementation of the New Delhi work programme</u> on Article 6 of the Convention and to regularly report to the Conference of the Parties on the activities it has supported.</p>	Repetitive /Obsolete
Decision 3/CP.16	<p>3. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for activities related to Article 6 of the Convention;</p>	Repetitive
Decision 7/CP.16	<p>5. <i>Urges</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to increase access to funding for Article 6 related activities;</p>	Repetitive
Decision 15/CP.18	<p>6. <i>Invites</i> multilateral and bilateral institutions and organizations, including operating entities of the financial mechanism of the Convention, as appropriate, to provide financial resources to support the activities relating to the implementation of Article 6 of the Convention;</p> <p>7. <i>Requests</i> the Global Environment Facility to continue to provide financial resources to Parties not included in Annex I to the Convention, in particular African countries, the least developed countries and small island developing States, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9, 7/CP.10, 3/CP.12, 7/CP.13, 3/CP.16 and 11/CP.17, to support the implementation of the work programme and to regularly report to the Conference of the Parties on the activities it has supported;</p>	Repetitive
SBI 15	<p>14. At its 3rd meeting, on 6 November, having considered a proposal made by the Chairman, the SBI the adopted the following conclusions:</p> <p>(f) The SBI also took note of the conclusions of the Subsidiary Body for Scientific and Technological Advice relating to the financial requirements for the implementation of Article 6 of the Convention, urging the GEF to make financial resources available for this purpose.</p>	Repetitive
SBI 20	<p>49. The SBI further noted that decision 4/CP.9 requested the GEF, as the entity responsible for operating the financial mechanism of the Convention, to “continue its support for education, training and public</p>	

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	awareness activities relating to climate change”. The SBI encouraged non-Annex I Parties to take this into account when submitting to the GEF their projects relating to their national communications, to promote the implementation of Article 6. It requested the secretariat to collaborate with the GEF secretariat to ensure the dissemination of information on the availability of such support.	Repetitive
SBI 21	61. The SBI welcomed the progress of work in implementing activities within the scope and in line with the guiding principles of the New Delhi work programme on Article 6 of the Convention, as reported by Parties in their national communications and other relevant documents. It took note of the financial requirements for their implementation, and urged the GEF and other bilateral and multilateral agencies to continue to make financial resources available for Article 6 activities.	Repetitive
SBI 23	74. The SBI also noted that the financial resources made available through various means, such as for national communications and other enabling activities, and for implementing the New Delhi work programme, were not commensurate with the needs and concerns identified by Parties. The SBI urged Annex II Parties, other Annex I Parties in a position to do so, and the GEF and other bilateral and multilateral agencies, to continue to support financially the implementation of activities relating to Article 6 of the Convention.	Repetitive
SBI 25	67. The SBI recommended that the COP, at its twelfth session: (a) Invite the GEF to produce simple guidelines on how to enhance activities relating to Article 6 in project proposals when applying for GEF funding; (b) Recall Article 4, paragraph 1, and Article 6 of the Convention, as well as decisions 11/CP.1, 2/CP.4, 6.CP/8 and 11/CP.8 and urge the GEF to provide further funding, in a more timely manner, to the developing country Parties, in particular the least developed countries and SIDS, to allow these countries to implement activities relating to Article 6 and the New Delhi work programme.	Redundant
SBI 34	68. The SBI further invited the GEF and its implementing agencies to provide to the SBI at its thirty-fifth session information on resources made available for the implementation of Article 6 related activities for consideration at its thirty-sixth session. 70. The SBI recalled the invitation made by the COP in decision 7/CP.16 to all Parties and international organizations to enhance support provided to the national focal points on Article 6 of developing countries, in particular the LDCs and SIDS, through the provision of information, materials, training of trainers programmes and regional and national projects on topics relating to education, training and public awareness. In this context, the SBI encouraged Parties in a position to do so, as well as the GEF and its implementing agencies, to provide financial and technical assistance to developing countries, in particular the LDCs, to enhance their use of, and access to, CC:iNet.	Obsolete Repetitive

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		72. The SBI encouraged the GEF, as an operating entity of the financial mechanism of the Convention, in accordance with decision 7/CP.16, to work on increasing access to funding for Article 6 related activities.	Repetitive
New Delhi work programme	Decision 11/CP.8	6. <i>Requests</i> the Global Environment Facility to provide financial resources to Parties not included in Annex I of the Convention (non-Annex I Parties), in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1 and 6/CP.7, to support the implementation of the work programme;	Obsolete
	Decision 7/CP.13	4. <i>Requests</i> the Global Environment Facility to continue to provide, as appropriate, financial resources to developing country Parties, in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9 and 7/CP.10, to support the implementation of the New Delhi work programme on Article 6 of the Convention and to regularly report to the Conference of the Parties on the activities it has supported.	
	Decision 9/CP.13	5. <i>Requests</i> the Global Environment Facility to continue to provide, as appropriate, financial resources to Parties not included in Annex I to the Convention (non-Annex I Parties), in particular the least developed countries and small island developing States among them, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9 and 7/CP.10, to support the implementation of the work programme and to regularly report to the Conference of the Parties on the activities it has supported;	Obsolete
Capacity-building	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (g) Support capacity-building for: (i) The assessment of technology needs to fulfil the commitments of developing countries under the Convention, the identification of sources and suppliers of these technologies, and the determination of modalities for the acquisition and absorption thereof; (ii) Country-driven activities and projects to enable Parties not included in Annex I to the Convention (non-Annex I Parties) to design, evaluate and manage these projects; (iii) Strengthening the capacity of non-Annex I Parties to host projects, including from project formulation and development to their implementation; (iv) Facilitating national/regional access to the information provided by international centres and networks, and for working with those centres for the dissemination of information, information services, and transfer of environmentally sound technologies and know-how in support of the Convention;	
	Decision 10/CP.5	1. <i>Decides</i> that (a) Financial and technical support for capacity-building activities for implementing the Convention in	

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	developing countries, in particular the least developed countries and the small island developing States, should be provided through the financial mechanism and through bilateral and multilateral agencies, as appropriate;	
Decision 2/CP.7	<p>5. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to report on its progress in support of the implementation of the framework on Capacity-building in its reports to the Conference of the Parties;</p> <p>6. <i>Urges</i> the operating entity of the financial mechanism to adopt a streamlined and expedited approach in financing activities within this framework;</p>	
Decision 6/CP.7	<p>1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF, as an operating entity of the financial mechanism, should provide financial resources to developing country Parties, in particular the least developed and the small island developing States among them, for the following activities, including those identified in paragraph 7 of decision 5/CP.7:</p> <p>(i) Building the capacity, including, where appropriate, institutional capacity, for preventive measures, planning, preparedness for disasters related to climate change, including in particular, contingency planning for droughts and floods in areas prone to extreme weather events;</p> <p>3. <i>Urges</i> the GEF to adopt a streamlined and expedited approach to financing activities within the framework for capacity-building in developing countries (non-Annex I Parties) contained in decision 2/CP.7;</p>	<p>Repetitive</p> <p>Repetitive</p>
Decision 6/CP.8	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(b) On matters relating to capacity-building: further the prompt implementation of decision 2/CP.7, taking into account paragraphs 3, 4 and 5 of decision 6/CP.7, and also take into account decisions 2/CP.7, 3/CP.7 and 6/CP.7 when developing its “Elements of strategic collaboration and a framework for Global Environment Facility action for capacity-building on the global environment” to be presented to the Council of the Global Environment Facility in May 2003;</p>	Obsolete
Decision 3/CP.9	<p>1. <i>Requests</i> the Global Environment Facility to include, in its report to the tenth session of the Conference of the Parties:</p> <p>(a) Information on the implementation of the strategic approach to enhancing capacity-building in response to decisions 2/CP.7 and 3/CP.7;</p> <p>(b) Information on its support for the implementation of the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention.</p>	Obsolete
Thematic	Decision	Decision text
		<i>Suggested Status</i>

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Area	number		
	Decision 4/CP.9	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(b) On matters relating to capacity-building:</p> <p>(i) Continue to provide financial support to non-Annex I Parties, in accordance with decision 6/CP.7, for the implementation of the capacity-building framework annexed to decision 2/CP.7;</p> <p>(ii) Take into account, in its work relating to the development of capacity-building performance indicators for the climate change focal area, the capacity-building framework annexed to decision 2/CP.7, and undertake this work in consultation with the Convention secretariat;</p> <p>(iii) Provide financial support to countries with economies in transition, within its mandate, for the implementation of the capacity-building framework annexed to decision 3/CP.7;</p>	<p>Repetitive</p> <p>Repetitive</p>
	Decision 2/CP.10	<p>3. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to take into account the key factors identified in paragraph 1 of this decision in the context of the projects of the operating entity of the financial mechanism, when supporting capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;</p> <p>6. <i>Requests</i> the Global Environment Facility to include in its report to the Conference of Parties, at its eleventh session (November–December 2005), information on how it is responding to this decision;</p>	<p>Obsolete</p> <p>Obsolete</p>
	Decision 3/CP.10	<p>2. <i>Invites</i> the Global Environment Facility within its mandate, Parties included in Annex II to the Convention (Annex II Parties), and multilateral, bilateral and other international organizations that are in a position to do so, to provide financial support for capacity-building activities in Parties with economies in transition, as outlined in decision 3/CP.7;</p> <p>3. <i>Invites</i> the Global Environment Facility within its mandate and its implementing agencies, Annex II Parties and multilateral, bilateral and other international organizations to provide information regarding opportunities for technical and financial support for capacity-building activities in Parties with economies in transition;</p> <p>9. <i>Invites</i> the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international organizations, to provide information for the review of decision 3/CP.7.</p>	<p>Repetitive</p> <p>Repetitive</p> <p>Obsolete</p>

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Thematic Area	Decision number	Decision text	Suggested Status
	Decision 8/CP.10	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to take into account, on matters relating to capacity-building, the key factors identified in paragraph 1 of decision 2/CP.10 in the context of projects of the operating entity of the financial mechanism, when supporting capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;	
	Decision 4/CP.12	5. <i>Reiterates</i> its request to the Global Environment Facility, as an operating entity of the financial mechanism, to take into account the key factors for capacity-building as laid out in paragraph 1 of decision 2/CP.10 when supporting capacity-building activities in developing countries;	Repetitive
	Decision 4/CP.14	5. <i>Further reiterates</i> its request to the Global Environment Facility to make continued efforts to provide adequate financial resources to support the implementation of capacity-building activities consistent with decision 2/CP.7;	Repetitive
	Decision 2/CP.16	3. <i>Decides</i> that the Global Environment Facility has provided and should continue to enhance its support to developing countries in: (b) Strengthening national capacity-building;	
	Decision 2/CP.17	152. <i>Encourages</i> the relevant bodies established under the Convention, including, inter alia, the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention, the Least Developed Countries Expert Group and the Global Environment Facility as an operating entity of the financial mechanism, to continue to elaborate and carry out work on capacity-building in an integrated manner, as appropriate, within their respective mandates;	
	Decision 21/CP.18	3. <i>Invites</i> Parties included in Annex II to the Convention and other Parties in a position to do so, the Global Environment Facility within its mandate, multilateral and bilateral agencies, other international organizations, and the private sector, or any further arrangements as appropriate, to continue to provide support for capacity-building activities in those countries with economies in transition that are currently receiving support;	Repetitive
	SBI 17	35. At its 7th meeting, on 29 October, having considered a proposal by the Chair, the SBI adopted the following conclusions: (f) The SBI invited the GEF and relevant international organizations to provide, by 15 April 2003, information on progress in the implementation of capacity-building projects and programmes responding to the framework contained in decision 2/CP.7, for consideration at its eighteenth session;	Obsolete

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 23	87. The SBI reiterated that capacity-building activities undertaken by the GEF should be guided by decisions 2/CP.7 and 2/CP.10 and emphasized that the response of the GEF to the framework for capacity-building as set out in decision 2/CP.7 should be provided in a timely manner. The SBI noted with appreciation that 153 countries were engaged in the national capacity self-assessments (NCSAs) as at September 2005, but noted that some countries were not yet engaged in this process and encouraged the GEF to continue to provide the required financial resources to ensure that the remaining eligible developing countries are engaged in the NCSAs by SBI 24 (May 2006).	Obsolete
	SBI 29	84. The SBI further took note of the report of the GEF and the support that the GEF has provided for capacity-building activities. The SBI recommended that the COP reiterate its request to the GEF to make continued efforts to provide adequate financial resources to support the implementation of capacity-building activities consistent with decision 2/CP.7.	Redundant
Enabling activities	Decision 11/CP.2	1. <i>Decides</i> to adopt the following guidance to the Global Environment Facility, as the interim operating entity of the financial mechanism of the Convention. In this regard, the Global Environment Facility should: (a) In the initial period, implement strategies on enabling activities in accordance with decision 11/CP.1 which facilitate endogenous capacity-building, including data collection and archiving, consistent with the policy guidance, programme priorities and eligibility criteria provided to it by the Conference of the Parties;	
National Programmes	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (e) Assist them with studies leading to the preparation of national programmes to address climate change, compatible with national plans for sustainable development, in accordance with Article 4.1(b) of the Convention and paragraph 13 of the annex to decision 10/CP.2;	
	Decision 5/CP.8	4. <i>Invites</i> the Global Environment Facility: (d) To strengthen efforts to promote consistency of Global Environment Facility activities with national priorities and to integrate them into national planning frameworks, such as national sustainable development strategies and poverty reduction strategies;	

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Thematic Area	Decision number	Decision text	Suggested Status
National Communications	Decision 11/CP.2	2. <i>Invites</i> developing country Parties that are interested in receiving assistance for enabling activities, in particular for the preparation of national communications in accordance with Article 12 of the Convention, to avail themselves of the financial resources being made available from the financial mechanism for such purposes;	
	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (d) Meet the agreed full costs of preparing initial and subsequent national communications, in accordance with Articles 4.3 and 12.5 of the Convention and decision 11/CP.2, paragraph 1(d), by maintaining and enhancing relevant national capacity, so as to prepare the initial and second national communications which will take into account experiences, including gaps and problems identified in previous national communications, and guidelines established by the Conference of the Parties. Guidance on subsequent national communications will be provided by the Conference of the Parties; 2. <i>Requests</i> the GEF to continue to provide, and developing country Parties to avail themselves of, funding to translate, reproduce, disseminate and make available their initial national communications electronically;	Repetitive Obsolete
	Decision 12/CP.4	1. <i>Decides</i> : (d) To ensure that issues and concerns identified by non-Annex I Parties in their <u>initial communications</u> are brought to the attention of the Global Environment Facility (GEF) and, through it, as appropriate, its implementing agencies when undertaking the comprehensive review of enabling activities projects;	Obsolete
	Decision 8/CP.5	1. <i>Decides</i> : (d) That all Parties that have submitted their initial national communications before the adoption of revised guidelines for national communications, and wish to start the preparation of their second national communications before the seventh session of the Conference of the Parties, may do so using the initial guidelines; that the Global Environment Facility (GEF) shall provide funding for the preparation of the second national communications of such Parties, following the guidance to the GEF set out in decisions 11/CP.2 and 2/CP.4; and that Parties which start to prepare their second national communications after adoption of the revised guidelines shall use the revised guidelines;	Obsolete

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Thematic Area	Decision number	Decision text	Suggested Status
	Decision 6/CP.8	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(a) On matters relating to national communications:</p> <p>(i) Provide financial resources at an appropriate level to cover the requirements of the guidelines annexed to decision 17/CP.8, in an expedited manner, by an operating entity of the financial mechanism of the Convention, on an agreed full cost basis for the preparation of national communications, as well as capacity-building activities relating to the preparation of national communications pursuant to decision 2/CP.7, and in particular paragraphs 1 (c), 3, 4 and 5 of decision 6/CP.7;</p> <p>(ii) Continue to provide funding to Parties that have initiated the process of preparing second national communications and received funding under the expedited procedures or on an agreed full cost basis prior to the approval of the guidelines annexed to decision 17/CP.8;</p>	Obsolete
	Decision 4/CP.9	<p>1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should:</p> <p>(a) On matters relating to national communications:</p> <p>(i) Closely monitor the performance of the global project to support the preparation of national communications, including its effectiveness and efficiency, and continue to ensure that implementation of this project is consistent with the guidance provided by the Conference of the Parties;</p> <p>(ii) Provide finance in a timely manner for the preparation of national communications by Parties not included in Annex I to the Convention (non-Annex I Parties) whose project activities are not covered by the global project;</p>	Obsolete Obsolete
	Decision 1/CP.10	7. <i>Requests</i> the Global Environment Facility to expand support for the elaboration of adaptation strategies as part of the national communication process in non-Annex I Parties	
	Decision 8/CP.10	5. <i>Requests</i> the Global Environment Facility to expand support for the elaboration of adaptation strategies as part of the national communication process in Parties not included in Annex I to the Convention;	Repetitive

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Thematic Area	Decision number	Decision text	Suggested Status
	Decision 3/CP.12	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(e) To provide financing to Parties not included in Annex I to the Convention that received the top-up fund but did not conduct their technology needs assessments, to enable these Parties to conduct their <u>technology needs assessments as part of their second national communications</u>, and to provide these funds to Parties not included in Annex I to the Convention that have conducted their technology needs assessments but need to update them, also as part of their second national communications, in addition to the amount approved for the preparation of their second national communications;</p> <p>2. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(b) To provide updated information on the operational procedures for the expedited financing of national communications from Parties not included in Annex I to the Convention, for consideration by the Subsidiary Body for Implementation at its twenty-sixth session;</p>	Obsolete
	Decision 7/CP.13	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(i) To work with its implementing agencies to continue to simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;</p> <p>(j) To refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties <u>that are in the process of preparing their third and, where appropriate, fourth national communications</u>, in the light of paragraph 1 (g)-(i) above;</p> <p>(l) To ensure, together with its implementing agencies, that the analysis of project proposals for the financing of second and subsequent national communications is consistent with the guidelines for the preparation of national communications from non-Annex I Parties;</p> <p>2. <i>Invites</i> the Global Environment Facility:</p> <p>(a) To continue to provide information on funding for projects identified in the national communications of non-Annex I Parties</p>	<p>Repetitive</p> <p>Repetitive</p> <p>Repetitive</p>

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Decision 4/CP.14	<p>1. <i>Requests</i> the Global Environment Facility: (f) To ensure, as a top priority, that sufficient financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention, noting and welcoming that a number of Parties not included in the Annex I (non-Annex I Parties) plan to initiate the preparation of their third or fourth national communications <u>by the end of the fourth replenishment of the Global Environment Facility</u>;</p> <p>2. <i>Invites</i> the Global Environment Facility to inform its implementing agencies of the guidelines for the preparation of national communications from non-Annex I Parties and of relevant provisions of the Convention, in particular its Article 4, paragraph 3, on the provision of new and additional financial resources to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention;</p> <p>3. <i>Reiterates</i> the following requests made by the Conference of the Parties at its thirteenth session to the Global Environment Facility: (a) To continue to ensure that financial resources are provided to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention; (b) To refine, as appropriate, operational procedures to ensure the timely disbursement of funds to meet the agreed full costs incurred by those non-Annex I Parties that are in the process of preparing their third and, where appropriate, fourth national communications; (c) To assist, as appropriate, non Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2; (d) To work with its implementing agencies to continue to simplify their procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations;</p> <p>4. <i>Also reiterates</i> the invitation made by the Conference of the Parties at its thirteenth session to the Global Environment Facility to continue to provide information on funding for projects that have been identified in the national communications of non Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved;</p>	<p>Repetitive/ Obsolete?</p> <p>Repetitive</p> <p>Repetitive</p> <p>Repetitive</p> <p>Repetitive</p> <p>Repetitive</p>
Decision 3/CP.16	4. <i>Requests</i> the Global Environment Facility:	

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	<p>(a) To continue to provide funds for technical support for the preparation of national communications of Parties not included in Annex I to the Convention (non-Annex I Parties), similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications;</p> <p>(b) To ensure that the expedited process under the operational procedures continues to provide timely disbursement of funds to non-Annex I Parties for the preparation of their national communications;</p> <p>(c) To work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations, and to avoid gaps between enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;</p> <p>(d) To finalize any remaining operational procedures to ensure the timely disbursement of funds for those Parties that decide to access resources for the preparation of their national communications through direct access;</p> <p>(e) To provide detailed information on funding for projects that have been identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.</p>	<p>Obsolete</p> <p>Repetitive</p> <p>Repetitive</p>
<p>Decision 11/CP.17</p>	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:</p> <p>(a) To continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which Parties not included in Annex I to the Convention (non-Annex I Parties) receive funding to meet their obligations under Article 12, paragraph 1, of the Convention, with the aim of ensuring the timely disbursement of funds to meet the agreed full costs incurred by developing country Parties in complying with these obligations and to avoid gaps between the enabling activities of current and subsequent national communications, recognizing that the process of preparation of national communications is a continuous cycle;</p>	<p>Repetitive</p>

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 11	<p>51. At its 7th meeting, on 1 November, having considered a proposal by the Chairman, the SBI adopted the following conclusions:</p> <p>(d) The SBI noted with appreciation the information provided by the Global Environment Facility (GEF) secretariat, including the details of its financial support, namely the dates of disbursement of funds for enabling activity projects for the preparation of initial national communications by non-Annex I Parties (FCCC/SBI/1999/INF.8), and requested the GEF secretariat to continue providing this information on the effective availability of funds for enabling activity projects;</p> <p>(e) The SBI noted the list of projects submitted by Parties not included in Annex I to the Convention in accordance with Article 12.4 of the Convention (FCCC/SBI/1999/INF.4/Add.1), and recommended that these projects should be brought to the attention of the GEF and, as appropriate, other bilateral and multilateral financing institutions through the established channels for such assistance;</p> <p>(f) The SBI requested clarification from the GEF on how it intends to proceed with the implementation of the list of projects submitted by Parties not included in Annex I to the Convention in accordance with Article 12.4 of the Convention (FCCC/SBI/1999/INF.4 and Add.1);</p> <p>(h) The SBI recommended that any project concept notes contained in the above report which are developed into full project proposals by interested countries should be submitted to the GEF for consideration, in accordance with decision 10/CP.2, annex, paragraph 13;</p>	<p>Obsolete</p> <p>Obsolete</p> <p>Obsolete</p>
	SBI 13-2	<p>37. At its 9th meeting, on 18 November, having considered a proposal by the Chairman, the SBI adopted the following conclusions:</p> <p>(c) The SBI noted with appreciation the information provided by the operating entity of the financial mechanism, the Global Environment Facility (GEF), regarding the details of its financial support, namely the dates of disbursement of funds for enabling activity projects for the preparation of initial national communications by non-Annex I Parties (FCCC/SBI/2000/INF.8), and <u>requested the GEF to continue providing information on the effective availability of funds for enabling activity projects;</u></p>	<p>Repetitive</p>
	SBI 15	<p>14. At its 3rd meeting, on 6 November, having considered a proposal made by the Chairman, the SBI the adopted the following conclusions:</p> <p>(c) The SBI recalled the relevant provisions of decisions 2/CP.4 and 8/CP.5 and urged the GEF to facilitate the provision of financial support to non-Annex I Parties requesting funds for the preparation of their second national communications;</p> <p>d) The SBI further noted the concerns expressed by some Parties regarding the adequacy of GEF assistance to programmes supporting the preparation of national communications in non-Annex I Parties;</p>	<p>Repetitive</p>

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 21	36. The SBI welcomed the information made available by the GEF Secretariat on support for the preparation of initial and subsequent national communications. It invited the GEF to continue to provide such information on projects of non-Annex I Parties that have yet to submit their initial national communications, and on subsequent national communications, including second national communications that have been completed.	Obsolete?
	SBI 24	40. The SBI took note of document FCCC/SBI/2006/INF.1 and welcomed the information made available by the GEF on the financial support provided for the preparation of initial and subsequent national communications from non-Annex I Parties. <u>It invited the GEF to continue to provide information on activities of non-Annex I Parties relevant to the status of the preparation of their national communications. It also looked forward to receiving, in addition to the dates of approval, information on the dates of disbursement of funds, and requested the secretariat to report this information to the SBI at its twenty-fifth session (November 2006).</u>	Obsolete?
	SBI 25	31. The SBI invited the GEF to continue to provide information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds, for consideration by the SBI at its twenty-seventh session (December 2007). 34. The SBI recommended that the COP, at its twelfth session, invite the GEF to provide updated information on the operational procedures for the expedited financing of national communications from non-Annex I Parties, for consideration by the SBI at its twenty-sixth session.	Repetitive / Obsolete? Redundant
	SBI 26	35. The SBI invited the GEF to provide, in its report to the COP at its thirteenth session, detailed and current information on the modalities and source of funding for the preparation of second and subsequent national communications, and information on, and an explanation of, any changes to GEF procedures relating to the financing of national communications, for consideration by the SBI at its twenty-seventh session.	Obsolete
	SBI 27	31. The SBI invited the GEF to continue to provide information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds, for consideration by the SBI at its twenty-eighth session. 36. The SBI further recommended that the COP, at its thirteenth session, invite the GEF to: (a) Continue to provide information on funding for projects identified in the national communications of non-Annex I Parties ¹⁷ in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved;	Obsolete Repetitive

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SBI 28	19. The SBI invited the GEF to continue to provide information, and to ensure that it is detailed and complete, on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds, for consideration by the SBI at its twenty-ninth session.	Repetitive / Obsolete
SBI 29	22. The SBI invited the GEF to continue to provide information, ensuring that it is detailed and complete, on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds, for consideration by the SBI at its thirtieth session.	Repetitive
SBI 30	16. The SBI invited the GEF to continue to provide information, ensuring that it is detailed, accurate, timely and complete, on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds, for consideration by the SBI at its thirty-first session.	Repetitive
SBI 32	34. The SBI invited the GEF to continue to provide information, ensuring that it is detailed, accurate, timely and complete, on its activities relating to the preparation of national communications from non-Annex I Parties, including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to provide information on the approximate date of completion of the draft national communications and the approximate date of submission of the national communications to the secretariat for consideration by the SBI at its thirty-third session. 35. The SBI invited the GEF to provide detailed, accurate, timely and complete information on the outcomes of the most recent GEF Assembly related to the national communications from non-Annex I Parties, in accordance with Article 11 of the Convention, including on the implications of the System for Transparent Allocation of Resources on the funding of national communications, and on modalities and procedures established by the GEF to ensure that financial resources are provided, in an efficient and timely manner, to meet the agreed full costs incurred by developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention. 38. The SBI noted that some non-Annex I Parties expressed concerns about the way the GEF implementing agencies are disbursing funds for national communications, and invited the GEF to include in its report to the COP at its sixteenth session the specific steps it has taken to respond to these concerns.	Repetitive Repetitive Obsolete

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 33	<p>43. The SBI invited the GEF to provide detailed, accurate, timely and complete information on the most recent GEF Council related to the national communications of non-Annex I Parties, in accordance with Article 11 of the Convention, especially on modalities and procedures established by the GEF to ensure that financial resources are provided, in an efficient and timely manner, to meet the agreed full costs incurred by all developing country Parties in complying with their obligations under Article 12, paragraph 1, of the Convention.</p> <p>44. It further invited the GEF to continue to provide information, ensuring that it is detailed, accurate, timely and complete, on its activities relating to the preparation of national communications from non-Annex I Parties, including information on the dates of approval of funding and the disbursement of funds. It also invited the GEF to provide information on the approximate date of completion of the draft national communications and an approximate date of submission of the national communications to the secretariat, for consideration by the SBI at its thirty-fourth session.</p> <p>49. The SBI recommended that the COP, at its sixteenth session, request the GEF to continue to provide funds for technical support for the preparation of national communications of non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications.</p> <p>50. It also recommended that the COP, at its sixteenth session, request the GEF to ensure that the expedited process under the operational procedures continues to provide a timely disbursement of funds to non-Annex I Parties for the preparation of their national communications.</p>	<p>Repetitive</p> <p>Repetitive</p> <p>Redundant</p> <p>Redundant</p>
	SBI 35	<p>38. The SBI invited the GEF to continue to provide detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, in accordance with decision 10/CP.2, paragraph 1(b), including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to continue to provide information on the approximate date of completion of the draft national communications and an approximate date of submission of the national communications to the secretariat for consideration by the SBI at its thirty-sixth session.</p> <p>41. The SBI reiterated its recommendation to the COP at its sixteenth session to request the GEF to continue to provide funds for technical support for the preparation of national communications of non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications. It invited the GEF to report on this matter in its report to the COP at its eighteenth session.</p>	<p>Repetitive</p> <p>Repetitive</p>

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Thematic Area	Decision number	Decision text	Suggested Status
Project proposals identified in the national communications	Decision 5/CP.11	2. <i>Requests</i> the Global Environment Facility, in accordance with Article 12, paragraph 4, of the Convention, and decision 11/CP.1, to assist, if so requested, Parties not included in Annex I to the Convention in formulating and developing project proposals identified in their national communications, when Parties are formulating their national programmes to address climate change issues;	
	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (k) To assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2;	Repetitive
	Decision 4/CP.14	3. <i>Reiterates</i> the following requests made by the Conference of the Parties at its thirteenth session to the Global Environment Facility: (c) To assist, as appropriate, non Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2;	Repetitive
	Decision 3/CP.16	4. <i>Requests</i> the Global Environment Facility: (e) To provide detailed information on funding for projects that have been identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.	Repetition
	SBI 21	40. The SBI invited the GEF, in accordance with the policies, programme priorities and eligibility criteria established by the COP, as well as other bilateral and multilateral assistance programmes, to assist, if so requested, non-Annex I Parties in formulating and developing project proposals identified in accordance with Article 12, paragraph 4, of the Convention.	Redundant
	SBI 22	26. The SBI recommended that the COP, at its eleventh session, in the context of additional guidance to an operating entity of the financial mechanism, request the GEF, in accordance with Article 12, paragraph 4, of the Convention, and decision 11/CP.1, to assist, if so requested, non-Annex I Parties in formulating and developing project proposals identified in their national communications, when Parties are formulating their national programmes to address climate change issues.	Redundant

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 26	36. The SBI reiterated that non-Annex I Parties may request assistance from the GEF in formulating and developing project proposals identified in their national communications (decision 5/CP.11, para. 2), in accordance with Article 12, paragraph 4, of the Convention.	Redundant
	SBI 27	34. The SBI reiterated that non-Annex I Parties may request assistance from the GEF in formulating and developing project proposals identified in their national communications, in accordance with Article 12, paragraph 4, of the Convention. 35. The SBI further recommended that the COP, at its thirteenth session, request the GEF to: (e) Assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2; (f) Ensure, together with its implementing agencies that the analysis of project proposals for the financing of second and subsequent national communications is consistent with the guidelines for the preparation of national communications from Parties not included in Annex I to the Convention.	Redundant Redundant Redundant
	SBI 28	25. The SBI recalled the invitation by the COP, at its thirteenth session, to the GEF to continue to provide information on funding for projects identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention, and subsequently submitted and approved.	Repetitive
	SBI 29	27. The SBI also reiterated the request of the COP at its thirteenth session to the GEF to assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2. 28. The SBI further reiterated the request of the COP, at its thirteenth session, to invite the GEF to continue to provide information on funding for projects that have been identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.	Repetitive/ Redundant Redundant
	SBI 30	19. The SBI reiterated the request to the GEF made by the COP in its decisions 7/CP.13 and 4/CP.14 to assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2. It invited the GEF to report on such submitted or approved project proposals in its report to the COP at its fifteenth session.	Redundant

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 32	37. The SBI recalled the request to the GEF made by the COP in its decisions 7/CP.13 and 4/CP.14, to assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2. It invited the GEF to report on such submitted or approved project proposals in its report to the COP at its sixteenth session.	Repetitive / Redundant
	SBI 33	45. The SBI recalled the request to the GEF made by the COP in its decisions 7/CP.13 and 4/CP.14 to assist, as appropriate, non-Annex I Parties in formulating and developing project proposals identified in their national communications, in accordance with Article 12, paragraph 4, of the Convention and decision 5/CP.11, paragraph 2. 53. It also recommended that the COP, at its sixteenth session, request the GEF to provide detailed information on funding for projects that have been identified in the national communications of non-Annex I Parties in accordance with Article 12, paragraph 4, of the Convention and subsequently submitted and approved.	Repetitive / Redundant Redundant
	SBI 34	45. The SBI invited the GEF to continue to provide detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, in accordance with decision 10/CP.2, paragraph 1(b), including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to continue to provide information on the approximate date of completion of the draft national communications and an approximate date of submission of the national communications to the secretariat, for consideration by the SBI at its thirty-fifth session. 49. The SBI reiterated its recommendation to the COP, at its sixteenth session, to request the GEF to continue to provide funds for technical support for the preparation of national communications of non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their national communications.	Repetitive Repetitive
	SBI 36	56. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, in accordance with decision 10/CP.2, paragraph 1(b), including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to continue providing information on the approximate date of completion of the draft national communications and an approximate date of submission to the secretariat of the national communications, for consideration by the SBI at its thirty-seventh session.	Repetitive

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Thematic Area	Decision number	Decision text	<i>Suggested Status</i>
	SBI 37	34. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, in accordance with decision 10/CP.2, paragraph 1(b), including information on the dates of approval of funding and disbursement of funds. It also invited the GEF to continue providing information on the approximate date of completion of the draft national communications and an approximate date of submission to the secretariat of the national communications, for consideration by the SBI at its thirty-eighth session.	Repetitive
	SBI 39	33. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of the approval of funding and the disbursement of funds. It also invited the GEF to continue providing information on the approximate date of completion of the draft national communications, and an approximate date of submission to the secretariat of the national communications, for consideration at SBI 41 (December 2014).	Repetitive
	SBI 41	30. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of approvals of funding and disbursement of funds. It also invited the GEF to continue providing information on an approximate date of completion of the draft national communications and an approximate date of submission to the secretariat of the national communications, for consideration at SBI 43 (November–December 2015).	Repetitive

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Thematic Area	Decision number	Decision text	Suggested Status
Biennial update reports	Decision 2/CP.17	44. <i>Urges</i> and requests the Global Environment Facility to make available support to non-Annex I Parties preparing their first biennial update reports as early as possible in 2012 and on the basis of agreed full-cost funding;	
	Decision 9/CP.18	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (a) To make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e); (b) To provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs;	
SBI 36		54. The SBI recommended that the COP, at its eighteenth session, request the GEF to make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e).	Redundant
		55. The SBI reiterated the request to the GEF made by the COP in decision 2/CP.17, paragraph 44, to make available support to non-Annex I Parties preparing their BURs as early as possible in 2012 and on the basis of agreed full-cost funding.	Repetitive
		57. The SBI also invited the GEF to provide, in its report to the COP at its eighteenth session, detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of request and approval of funding, as well as disbursement of funds. 60. The SBI recommended that the COP, at its eighteenth session, request the GEF to provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs. It invited the GEF to report on this matter in its report to the COP at its nineteenth session.	Redundant

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 37	<p>33. The SBI noted with concern that as of 1 October 2012 the GEF secretariat had only received four requests for support to prepare BURs. The SBI, recalling decision 2/CP.17, paragraph 41(d), reiterated its urging of non-Annex I Parties to submit, as applicable, their requests to the GEF for support for the preparation of their first BUR, in a timely manner. It also encouraged the GEF agencies to facilitate the preparation and submission of project proposals from non-Annex I Parties for the preparation of their BURs.</p> <p>35. The SBI further invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding and approval of funding, as well as of disbursement of funds, for consideration by the SBI at its thirty-eighth session.</p> <p>39. The SBI recommended that the COP at its eighteenth session request the GEF to provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs. It invited the GEF to report on this matter in its report to the COP at its nineteenth session.</p>	<p>Repetitive</p> <p>Repetitive</p> <p>Redundant</p>
	SBI 39	<p>34. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of the request for funding, approval of funding, disbursement of funds as well as an approximate date of submission to the secretariat of BURs, for consideration at SBI 40 (June 2014).</p> <p>35. The SBI noted that as at 11 November 2013 the GEF secretariat had received 30 requests for support to prepare BURs. Recalling decision 2/CP.17, paragraph 41(d), the SBI encouraged the non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner.³¹ In addition, <u>it encouraged the GEF implementing agencies to continue facilitating the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.</u></p> <p>36. Recalling decision 9/CP.18, the SBI encouraged the GEF to make support available to non-Annex I Parties for preparing their subsequent BURs in a timely manner, taking fully into account decision 2/CP.17, paragraph 41(a) and (e). The SBI also recalled decision 2/CP.17, which decided that enhanced support for the preparation of BURs should be ensured by developed country Parties and other developed Parties included in Annex II to the Convention by means of resources, in accordance with Article 4, paragraph 3, of the Convention, on the basis of agreed full-cost funding.</p>	<p>Repetitive</p> <p>Repetitive</p>

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 40	<p>26. The SBI took note of the information provided by the secretariat of the GEF on the financial support provided by the GEF for the preparation of biennial update reports (BURs) by Parties not included in Annex I to the Convention (non-Annex I Parties). <u>The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding, approval of funding and disbursement of funds, as well as the amount of funding disbursed to non-Annex I Parties for the preparation of BURs, and the amount of funding provided for the preparation of national communications during the same period, for consideration at SBI 41.</u></p> <p>27. The SBI noted that as at 4 June 2014 the GEF secretariat had received 36 requests for support to prepare BURs. Recalling decision 2/CP.17, paragraph 41(d), the SBI urged non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner. In addition, <u>it encouraged the GEF implementing agencies to continue facilitating the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.</u></p> <p>28. The SBI <u>invited the GEF to provide, in its report to COP 20,</u> detailed information on the funding available under its latest replenishment to non-Annex I Parties for the preparation of national communications and BURs, and the total amount of funding available in its climate change focal area.</p> <p>29. The SBI recommended that COP 20 request the GEF to continue to work with its implementing agencies to further simplify its procedures and improve the effectiveness and efficiency of the process through which non-Annex I Parties receive funding to meet their obligations under Article 12, paragraph 1, of the Convention.</p> <p>30. The SBI, recalling decision 9/CP.18, reiterated its encouragement of the GEF to make support available to non-Annex I Parties for preparing their subsequent BURs in a timely manner, taking fully into account decision 2/CP.17, paragraph 41(a) and (e).</p>	<p>Repetitive / Obsolete?</p> <p>Repetitive</p> <p>Obsolete</p> <p>Redundant</p> <p>Repetitive</p>
	SBI 41	<p>31. The SBI further invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of requests for funding, approvals of funding and disbursement of funds, as well as an approximate date of submission to the secretariat of the BURs, for consideration at SBI 42.</p> <p>34. Recalling decision 2/CP.17, paragraph 41(d), the SBI reiterated its urging of the non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner. <u>In addition, it encouraged GEF agencies to continue to facilitate the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.</u></p>	<p>Repetitive</p> <p>Repetitive</p>

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		35. The SBI invited the GEF to provide, in its report to COP 21 (November–December 2015), information on the procedures available to facilitate access by non-Annex I Parties to funding for the preparation of their national communications and multiple BURs with one application.	
Energy efficiency	Decision 2/CP.12	1. <i>Requests</i> the Global Environment Facility: (d) To continue its promotion of energy efficiency projects;	
Carbon capture and storage	Decision 5/CP.11	3. <i>Requests</i> the Global Environment Facility to consider whether supporting carbon capture and storage technologies, in particular related capacity-building activities, would be consistent with its strategies and objectives, and if so, how they could be incorporated within its operational programmes;	
Land use and land-use change projects	Decision 2/CP.12	1. <i>Requests</i> the Global Environment Facility: (c) To explore options for undertaking land use and land-use change projects within the climate change focal area of the Global Environment Facility, in light of past experience;	
Results-based finance / REDD+	Decision 2/CP.17	68. <i>Encourages</i> the operating entities of the financial mechanism of the Convention to provide results-based finance for the actions referred to in decision 1/CP.16, paragraph 73;	
	Decision 9/CP.19	5. <i>Encourages</i> entities financing the activities referred to in decision 1/CP.16, paragraph 70, through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, including the Green Climate Fund in a key role, to collectively channel adequate and predictable results-based finance in a fair and balanced manner, taking into account different policy approaches, while working with a view to increasing the number of countries that are in a position to obtain and receive payments for results-based actions;	
Nationally appropriate mitigation action (NAMAs)	Decision 2/CP.17	48. <i>Further invites</i> developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions: (a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions;	
	Decision 1/CP.18	22. <i>Reiterates</i> its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building	Repetitive

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		support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 38;	
	Decision 16/CP.18	2. <i>Reiterates</i> its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;	
Response Measures	Decision 1/CP.10	15. <i>Recalls</i> paragraph 19 of decision 5/CP.7 that mandated support for the implementation of activities included in paragraphs 22–29 through the Global Environment Facility (in accordance with decision 6/CP.7), the Special Climate Change Fund (in accordance with decision 7/CP.7), and other bilateral and multilateral sources; 21. <i>Invites</i> the Global Environment Facility and other bilateral and multilateral sources to provide feedback to the Conference of the Parties, at its twelfth session (November 2006), on activities undertaken in response to decision 5/CP.7, paragraphs 22–29 (in accordance with decisions 6/CP.7 and 7/CP.7), with a view to the Conference of the Parties adopting a decision on further action at its thirteenth session;	
Technology Needs Assessment	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (b) Enable them, in light of their social and economic conditions and taking into account state-of-the-art environmentally sound technologies, to identify and submit to the Conference of the Parties their prioritized technology needs, especially as concerns key technologies needed in particular sectors of their national economies conducive to addressing climate change and minimizing its adverse effects;	
	Decision 4/CP.9	1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should: (c) On matters relating to transfer of technology, continue to support enabling activities relating to technology needs assessments;	
	Decision 3/CP.12	2. <i>Invites</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (c) When providing support for technology needs assessments, in accordance with decisions 4/CP.9 and 5/CP.9, to take into account actions to address barriers and constraints as well as the creation of enabling environments and capacity gaps, identified by the Parties not included in Annex I to the Convention and as contained in document	

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Decision 11/CP.17	2. <i>Invites</i> the Global Environment Facility, in the context of technology needs assessments, to continue to provide financial support to other non-Annex I Parties as appropriate to conduct or update their technology needs assessments, noting the availability of the updated Handbook for Conducting Technology Needs Assessments for Climate Change	
Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (e) To provide financing to Parties not included in Annex I to the Convention that received the top-up fund but did not conduct their technology needs assessments, to enable these Parties to conduct their technology needs assessments as part of their second national communications, and to provide these funds to Parties not included in Annex I to the Convention that have conducted their technology needs assessments but need to update them, also as part of their second national communications, in addition to the amount approved for the preparation of their second national communications;	Repetitive
Decision 11/CP.17	1. <i>Decides</i> to adopt the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention contained in the annex to this decision as part of the outcome of the technology transfer consultative process (decision 4/CP.4) and the Buenos Aires Plan of Action (decision 1/CP.4);	Obsolete?
Decision 6/CP.19	11. <i>Also requests</i> the Global Environment Facility to support, within its mandate, the implementation of country-driven projects identified in the technology needs assessments prepared by developing country Parties;	
SBI 30	70. The SBI noted the interim report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer. The SBI requested the GEF to expedite this work, particularly in providing financial support for developing country Parties in conducting and updating their technology needs assessments and in acting on the results. The SBI invited the GEF and its implementing agencies to promote, when providing support under the Poznan strategic programme on technology transfer, the use of the updated handbook <i>Conducting Technology Needs Assessments for Climate Change</i> by non-Annex I Parties. <u>The SBI looked forward to receiving a further progress report by the GEF on this programme at its thirty-first session, as requested by decision 2/CP.14.</u>	Obsolete?
SBI 36	198. The SBI acknowledged the support provided by the GEF to assist non-Annex I Parties in conducting their technology needs assessments (TNAs). The SBI recalled decision 11/CP.17, paragraph 2, which invited the GEF, in the context of TNAs, to continue to provide financial support to other non-Annex I Parties, as	Repetitive

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		appropriate, to conduct or update their TNAs.	
	SBI 40	145. The SBI invited the GEF to further support the implementation of the results of TNAs, including technology action plans and project ideas prepared by non-Annex I Parties.	Repetitive
Technology transfer	Decision 4/CP.7	1. <i>Decides</i> to adopt the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention contained in the annex to this decision as part of the outcome of the technology transfer consultative process (decision 4/CP.4) and the Buenos Aires Plan of Action (decision 1/CP.4);	Obsolete
		3. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to provide financial support for the implementation of the annexed framework through its climate change focal area and the special climate change fund established under decision 7/CP.7;	Obsolete
	Decision 6/CP.8	1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should: (c) On matters relating to transfer of technologies: provide financial resources to non-Annex I Parties, in particular the least developed country Parties and the small island developing States among them, in accordance with decision 4/CP.7, through its climate change focal area and the Special Climate Change Fund established under decision 7/CP.7, for the implementation of the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention, contained in the annex to decision 4/CP.7;	Obsolete
	Decision 4/CP.9	1. <i>Decides</i> that the Global Environment Facility, as an operating entity of the financial mechanism, should: (c) On matters relating to transfer of technology, continue to support enabling activities relating to technology needs assessments;	Repetitive
	Decision 5/CP.9	3. <i>Decides further</i> that resources from the Special Climate Change Fund shall be used to fund technology transfer activities, programmes and measures that are complementary to those currently funded by the Global Environment Facility taking into account national communications or any other relevant documents in accordance with decision 4/CP.7 and its annex containing the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention, in the following priority areas: (a) Implementation of the results of technology needs assessments; (b) Technology information;	

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	(c) Capacity-building for technology transfer; (d) Enabling environment	
Decision 3/CP.12	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (d) To continue to provide financial support for the implementation of the technology transfer contained in the annex to decision 4/CP.7, including new sub-themes, through its climate change focal area and the Special Climate Change Fund established under decision 7/CP.7;	
Decision 3/CP.13	2. <i>Adopts</i> the set of actions, for consideration by the Expert Group on Technology Transfer in formulating its future work programmes, as set out in the recommendations for enhancing the technology transfer framework contained in annex I to this decision, and agrees that these activities would complement the actions in the technology transfer framework; 10. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to provide financial support for the technology transfer framework, and complemented by the set of actions referred to in paragraph 2.	Obsolete
Decision 4/CP.13	3. <i>Requests</i> the Global Environment Facility, as an operational entity of the financial mechanism under the Convention, in consultation with interested Parties, international financial institutions, other relevant multilateral institutions and representatives of the private financial community, to elaborate a strategic programme to scale up the level of investment for technology transfer to help developing countries address their needs for environmentally sound technologies, specifically considering how such a strategic programme might be implemented along with its relationship to existing and emerging activities and initiatives regarding technology transfer <u>and to report on its findings to the twenty-eighth session of the Subsidiary Body for Implementation for consideration by Parties;</u> 10. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to provide financial support to developing countries for the implementation of the technology transfer framework;	Obsolete?
Decision 4/CP.14	1. <i>Requests</i> the Global Environment Facility: (c) To continue to enhance action on mitigation and, as appropriate, adaptation, in developing country Parties, including to promote, facilitate and finance, as appropriate, transfer of, or access to, environmentally sound technologies and know-how;	

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Thematic Area	Decision number	Decision text	Suggested Status
	SBI 35	<p>96. The SBI acknowledged the support provided by the GEF for the implementation of technology transfer pilot projects and for the conduct of technology needs assessments (TNAs). <u>It further acknowledged the progress made in implementing the long-term programme on technology transfer. Furthermore, it urged the GEF and Parties to expedite the process for the early implementation of projects submitted before 30 September 2009.</u></p> <p>97. The SBI encouraged non-Annex I Parties to develop and submit project proposals, particularly for technologies for adaptation, to the GEF in line with elements of the long-term programme on technology transfer described in document FCCC/SBI/2011/14, annex, paragraphs 46 and 47. <u>The SBI invited the GEF to raise awareness of the long-term programme on technology transfer.</u></p>	Obsolete
	SBI 37	<p>122. The SBI acknowledged the support provided by the GEF to assist 36 non-Annex I Parties in conducting their technology needs assessments (TNAs). <u>It reiterated decision 11/CP.17, paragraph 2, in which the GEF was invited to continue to provide financial support to other non-Annex I Parties, as appropriate, to conduct or update their TNAs. It invited the GEF to report on its support provided to other non-Annex I Parties to conduct or update their TNAs in its report to the COP at its nineteenth session.</u></p>	Obsolete?
Poznan Strategic Programme on Technology transfer	Decision 2/CP.14	<p>1. <i>Welcomes</i> the Poznan strategic programme on technology transfer, as a step towards scaling up the level of investment in technology transfer in order to help developing countries address their needs for environmentally sound technologies, and recognizes the contribution that this strategic programme could make to enhancing technology transfer activities under the Convention;</p> <p>2. <i>Requests</i> the Global Environment Facility:</p> <p>(a) To promptly initiate and expeditiously facilitate the preparation of projects for approval and implementation under the strategic programme referred to in paragraph 1 above in order to help developing countries address their needs for environmentally sound technologies;</p> <p>(b) To collaborate with its implementing agencies in order to provide technical support to developing countries in preparing or updating, as appropriate, their technology needs assessments using the updated handbook for conducting technology needs assessments for climate change published by the United Nations Development Programme, to be made available in early 2009 in collaboration with the Expert Group on Technology Transfer, the UNFCCC secretariat and the Climate Technology Initiative;</p> <p>(c) To consider the long-term implementation of the strategic programme, including: addressing the gaps identified in current operations of the Global Environment Facility that relate to investment in the transfer of environmentally sound technologies; leveraging private-sector investment; and promoting innovative project development activities;</p>	

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	(d) To report on the progress made in carrying out the activities referred to in paragraph 2 (a-c) above to the Conference of the Parties at its sixteenth session, in addition to providing interim reports to the Subsidiary Body for Implementation at its thirtieth and thirty-first sessions, with a view to assessing its progress and future direction in order to help inform Parties in their consideration of long-term needs for implementation of the strategic programme.	
SBI 31	67. The SBI noted the second interim report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer. The SBI invited the GEF to provide a report on the progress made on the implementation of this programme at its thirty-second session, including on the long-term aspects of the Poznan strategic programme.	Obsolete
SBI 32	102. The SBI noted the report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer. The SBI looked forward to receiving, at its thirty-third session, the report on the progress made in carrying out this programme, with a view to assessing its progress and future direction in order to help inform Parties in their consideration of long-term needs for implementation of the strategic programme, in accordance with decision 2/CP.14. The SBI invited the GEF to provide half-yearly progress reports on the implementation of the activities receiving support under the Poznan strategic programme on technology transfer, which could be posted on the technology information clearing house TT:CLEAR.	Obsolete
SBI 34	137. The SBI recalled the conclusions of its thirty-second session inviting the GEF to provide half-yearly progress reports on the implementation of the activities receiving support under the Poznan strategic programme. It invited the GEF to provide reports on the progress made in carrying out its activities under the Poznan strategic programme, including its long-term implementation, for consideration by the SBI at its thirty-fifth and subsequent sessions, for the duration of the Poznan strategic programme.	Repetitive
SBI 36	196. The SBI noted the support provided by the GEF for the implementation of technology transfer pilot projects under the Poznan strategic programme and the views expressed by Parties on the need to balance support for mitigation and adaptation projects. <u>The SBI invited the GEF to enhance the balance between adaptation and mitigation projects in the long-term implementation of the Poznan strategic programme, including by enhancing its outreach and awareness-raising efforts on funding opportunities for projects related to technologies for adaptation.</u> The SBI recalled its conclusion at its thirty-fifth session encouraging non-Annex I Parties to submit project proposals, particularly for technologies for adaptation, to the GEF in line with the elements of the long-term programme described in document FCCC/SBI/2011/14, annex, paragraphs 46 and 47. 197. The SBI invited the GEF and its implementing agencies to expedite the process for the implementation and completion of the remaining technology transfer pilot projects submitted in 2009 for support under the Poznan strategic programme. 199. The SBI recalled the conclusion at its thirty-fourth session inviting the GEF to provide reports on the	

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		<p>progress made in carrying out its activities under the Poznan strategic programme, including its long-term implementation, for consideration by the SBI reports to further elaborate on:</p> <p>(a) The activities undertaken by the GEF to enhance its outreach and awareness-raising efforts on funding opportunities for projects related to technologies for adaptation, in order to address the need for balanced support for projects related to technologies for adaptation;</p>	Repetitive
Climate Technology Centre and Network	Decision 2/CP.17	140. <i>Requests</i> the Global Environment Facility to support the operationalization and activities of the Climate Technology Centre and Network without prejudging any selection of the host.	
	SBI 35	99. The SBI invited the GEF to support the operationalization and activities of the Climate Technology Centre and Network without prejudging any selection of the host.	Redundant
	SBI 36	190. The SBI stressed decision 2/CP.17, paragraph 140, requesting the GEF to support the operationalization and activities of the CTCN without prejudging any selection of the host.	Redundant
	SBI 37	124. The SBI invited the GEF to consult with the CTCN, through its advisory board, on the support the GEF will provide for the work of the CTCN and to report on the findings of those consultations to the COP at its nineteenth session.	Redundant
	SBI 40	<p>139. The SBI invited the GEF to continue to consult with the Advisory Board of the CTCN on the support that the GEF will provide for the implementation of the five-year programme of work of the CTCN and to report on its findings for consideration at SBI 41.</p> <p>141. The SBI recalled its conclusions at SBI 39, namely the need for the GEF to align the further implementation of the element of the Poznan strategic programme on support for climate technology centres and a climate technology network with the operationalization and activities of the CTCN, taking into account decision 2/CP.17, paragraph 140,50 and to consult with the Advisory Board of the CTCN on this matter and report on its findings for consideration at SBI.</p>	<p>Repetitive / redundant</p> <p>Repetitive</p>
	SBI 41	87. The SBI noted the consultations between the GEF and the Advisory Board of the Climate Technology Centre and Network (CTCN) and the progress made on aligning the implementation of the element of the Poznan strategic programme related to support for climate technology centres and a climate technology network with the operationalization and activities of the CTCN, which were carried out in response to an invitation from SBI 40. It also noted the areas of collaboration between the regional technology transfer and finance centres supported by the GEF under the Poznan strategic programme and the CTCN <u>and invited the GEF to report on this collaboration as part of its future progress reports.</u>	
Private sector	Decision	3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its thirteenth	

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	2/CP.12	session on: (d) Efforts to engage the private sector in providing resources to address climate change;	
	Decision 2/CP.16	4. <i>Requests</i> the Global Environment Facility to continue improving its modalities to increase the responsiveness, effectiveness and efficiency of its support, including: (h) Promoting private-sector financing and investment to address climate change activities;	
Stage I, II and III (Adaptation)	Decision 11/CP.1	1. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria to the operating entity or entities of the financial mechanism: (d) Regarding adaptation, the following policies, programme priorities and eligibility criteria should apply: (i) Adaptation to the adverse effects of climate change, as defined by the Convention, will require short, medium and long term strategies which should be cost effective, take into account important socio-economic implications, and should be implemented on a stage-by-stage basis in developing countries that are Parties to the Convention. In the short term, the following stage is envisaged: - Stage I: Planning, which includes studies of possible impacts of climate change, to identify particularly vulnerable countries or regions and policy options for adaptation and appropriate capacity-building; (ii) In the medium and long term, the following stages are envisaged for the particularly vulnerable countries or regions identified in Stage I: - Stage II: Measures, including further capacity-building, which may be taken to prepare for adaptation, as envisaged by Article 4.1(e); - Stage III: Measures to facilitate adequate adaptation, including insurance, and other adaptation measures as envisaged by Article 4.1(b) and 4.4; (iii) Based on the outputs of the Stage I studies, as well as other relevant scientific and technical studies, such as those of the Intergovernmental Panel on Climate Change (IPCC), and any emerging evidence of the adverse effects of climate change, the Conference of the Parties may decide that it has become necessary to implement the measures and activities envisaged in Stages II and III, consistent with the relevant conclusions of the Committee and with the provisions of the Convention; (iv) Funding for the implementation of such adaptation measures and activities would be provided as follows: - For Stage I, the Conference of the Parties at its first session, shall entrust to the Global Environment Facility (GEF), the interim operating entity of the financial mechanism, the task of meeting the agreed full costs of the activities required by Article 12.1 of the Convention. This would include meeting the agreed full costs of relevant adaptation activities undertaken in the context of the formulation of national communications; such activities may include studies of the possible impacts of climate change, identification of options for implementing the adaptation provisions (especially the obligations contained in Article 4.1(b) and 4.1(e) of the	

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	<p>Convention), and relevant capacity-building;</p> <ul style="list-style-type: none"> - If it is decided in accordance with paragraph (iii) above, that it has become necessary to implement the measures envisaged in Stages II and III, the Parties included in Annex II to the Convention will provide funding to implement the adaptation measures envisaged in these stages in accordance with their commitments contained in Article 4.3 and 4.4 of the Convention; - In its review of the financial mechanism of the Convention under Article 11.4, the Conference of the Parties, taking into account studies conducted and options for adaptation identified during Stage I, any emerging evidence of the adverse effects of climate change, as well as the relevant conclusions reached by the Committee and its own decisions on this issue, must decide on the channel or channels, under Article 11 of the Convention, to be used for the funding referred to in the preceding subparagraph, to implement the adaptation measures envisaged in Stages II and III. 	
Decision 2/CP.4	<p>1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to:</p> <p>(a) Implement adaptation response measures under Article 4.1 of the Convention for adaptation activities envisaged in decision 11/CP.1, paragraph 1(d)(ii) (Stage II activities) in particularly vulnerable countries and regions identified in Stage I activities, and especially in countries vulnerable to climate-related natural disasters, taking into account their preparatory adaptation planning frameworks in priority sectors, the completion of Stage I activities, and in the context of their national communications;</p>	
Decision 5/CP.7	<p>7. <i>Decides</i> that the implementation of the following activities shall be supported through the Global Environment Facility (in accordance with decision 6/CP.7) and other bilateral and multilateral sources:</p> <p>(a) Information and methodologies:</p> <ul style="list-style-type: none"> (i) Improving data collection and information gathering, as well as their analysis, interpretation and dissemination to end-users; (ii) Integrating climate change considerations into sustainable development planning; (iii) Providing training in specialized fields relevant to adaptation such as climate and hydroclimate studies, geographical information systems, environmental impact assessment, modelling, integrated coastal zone management, soil and water conservation and soil restoration; (iv) Strengthening existing and, where needed, establishing national and regional systematic observation and monitoring networks (sea-level rise, climate and hydrological monitoring stations, fire hazards, land degradation, floods, cyclones and droughts); (v) Strengthening existing and, where needed, establishing national and regional centres and institutions for the provision of research, training, education and scientific and technical support in specialized fields relevant 	

	<p>to climate change, utilizing information technology as much as possible;</p> <p>(vi) Strengthening existing and, where needed, establishing national and regional research programmes on climate variability and climate change, oriented towards improving knowledge of the climate system at the regional level, and creating national and regional scientific capability;</p> <p>(vii) Supporting education and training in, and public awareness of, climate change related issues, for example through workshops and information dissemination</p> <p>(b) Vulnerability and adaptation:</p> <p>(i) Supporting enabling activities for vulnerability and adaptation assessment;</p> <p>(ii) Enhancing technical training for integrated climate change impact and vulnerability and adaptation assessments across all relevant sectors, and environmental management related to climate change;</p> <p>(iii) Enhancing capacity, including institutional capacity, to integrate adaptation into sustainable development programmes;</p> <p>(iv) Promoting the transfer of adaptation technologies;</p> <p>(v) Establishing pilot or demonstration projects to show how adaptation planning and assessment can be practically translated into projects that will provide real benefits, and may be integrated into national policy and sustainable development planning, on the basis of information provided in the national communications from non-Annex I Parties and/or other relevant sources, and of the staged approach endorsed by the Conference of the Parties in its decision 11/CP.1;</p> <p>(vi) Supporting capacity building, including institutional capacity, for preventive measures, planning, preparedness of disasters relating to climate change, including contingency planning, in particular, for droughts and floods in areas prone to extreme weather events;</p> <p>(vii) Strengthening existing and, where needed, establishing early warning systems for extreme weather events in an integrated and interdisciplinary manner to assist developing country Parties, in particular those most vulnerable to climate change;</p> <p>19. <i>Decides</i> that the implementation of the activities included in paragraphs 25 to 32 below shall be supported through the Global Environment Facility (in accordance with decision 6/CP.7), the special climate change fund (in accordance with decision 7/CP.7), and other bilateral and multilateral sources;</p>	
Decision 6/CP.7	<p>1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF, as an operating entity of the financial mechanism, should provide financial resources to developing country Parties, in particular the least developed and the small island developing States among them, for the following activities, including those identified in paragraph 7 of decision 5/CP.7:</p> <p>(a) Strengthening, in particularly vulnerable countries and regions identified in stage I activities and especially countries vulnerable to climate-related natural disasters, the implementation of country-driven stage</p>	

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		II adaptation activities, pursuant to decision 2/CP.4, paragraph 1 (a), that build upon work done at the national level, either in the context of national communications or of in-depth national studies, including national adaptation programmes of action (NAPAs);	
	Decision 8/CP.10	4. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, to make available further financial and technical resources to implement the actions identified in paragraph 7 of decision 5/CP.7; 7. <i>Invites</i> the Global Environment Facility to provide feedback to the Conference of the Parties, at its twelfth session (November 2006), on activities undertaken in response to decision 5/CP.7, paragraphs 22–29 (in accordance with decisions 6/CP.7 and 7/CP.7), with a view to the Conference of the Parties adopting a decision on further action at its thirteenth session (November 2007).	Obsolete
	Decision 2/CP.12	1. <i>Requests</i> the Global Environment Facility: (a) To give due priority to adaptation activities in accordance with the guidance provided by the Conference of the Parties;	
Systematic observation	Decision 2/CP.4	1. <i>Decides</i> that, in accordance with Articles 4.3, 4.5 and 11.1 of the Convention, the GEF should provide funding to developing country Parties to: (c) Build capacity for participation in systematic observational networks to reduce scientific uncertainties relating to the causes, effects, magnitude and timing of climate change, in accordance with Article 5 of the Convention;	
	Decision 11/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (d) To continue to provide financial resources to developing countries for strengthening existing and, where needed, establishing national and regional systematic observation and monitoring networks under the Least Developed Countries Fund and the Special Climate Change Fund;	Repetitive
	SBI 35	59. The SBI recommended that the COP, at its seventeenth session, request the GEF, as an operating entity of the financial mechanism of the Convention, under its mandate for the LDCF and the SCCF, to continue to provide financial resources to developing countries to strengthen existing and, where needed, establish national and regional systematic observation and monitoring networks.	Redundant
Global observing systems	Decision 4/CP.9	3. <i>Invites</i> the Global Environment Facility to give appropriate consideration to addressing the priority needs identified by non-Annex I Parties in their regional action plans relating to global observing systems for climate, noting the existence of other bilateral and multilateral agencies and mechanisms that support global climate observing systems.	

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Strategic priority on adaptation	Decision 4/CP.9	2. <i>Requests</i> the Global Environment Facility: (b) To operationalize the new strategic priority in the climate change focal area (Piloting an operational approach to adaptation) as soon as possible;	Obsolete?
	Decision 8/CP.10	3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and at subsequent sessions on how activities identified in paragraph 6 of decision 1/CP.10 have been supported, and the barriers, obstacles and opportunities presented, through: (a) The strategic priority “Piloting an Operational Approach to Adaptation” (b) The small grants programme (c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility;	Obsolete
	Decision 7/CP.13	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention: (d) To take fully into account lessons learned in the strategic priority Piloting an Operational Approach to Adaptation, including the application of incremental cost, to help inform on how the Global Environment Facility could best support climate adaptation activities;	
Buenos Aires Programme of work	Decision 1/CP.10	5. <i>Decides</i> to further the implementation of actions under decision 5/CP.7, paragraph 7, including through: (a) Information and methodologies (i) Improving data collection and information gathering, and the analysis, interpretation and dissemination of such data and information to end-users, under decision 5/CP.7, paragraph 7 (a) (i), within and by Parties not included in Annex I to the Convention (non-Annex I Parties) which are vulnerable to the adverse effects of climate change, including through the enhancement of systematic observation and monitoring networks in countries with observation stations that feed into the Global Climate Observing System and through increased data sharing between Parties, particularly among Parties included in Annex I to the Convention (Annex I Parties) and among non-Annex I Parties; (ii) Strengthening in-country capacity-building for generating, managing, processing and analysing data sets, for improving the quality of analytical tools, and for disseminating the results of these efforts in sectors that can contribute to climate change impact analysis, including through the development and strengthening of in-country modelling tools to assess the adverse effects of climate change and drivers of regional climate trends;	Obsolete

	<p>(iii) Providing additional training in each specialized field relevant to adaptation identified in decision 5/CP.7, paragraph 7 (a) (iii), in order to create and sustain in-country capacity, including through overseas training, scholarship programmes and workshops, according to needs identified by Parties;</p> <p>(iv) Improving the availability of General Circulation Models, including their outputs and results, and providing training and financial and technical assistance to non-Annex I Parties for the development and application of downscaling tools at regional and national levels;</p> <p>(v) Strengthening institutions and centres through targeted research programmes under decision 5/CP.7, paragraph 7 (a) (v) and (vi), to address the adverse effects of climate change in vulnerable sectors;</p> <p>(vi) Supporting education and training in, and public awareness of, issues relating to climate change under decision 5/CP.7, paragraph 7 (a) (vii), as well as stakeholder participation in key sectors;</p> <p>(b) Vulnerability and adaptation</p> <p>(i) Carrying out pilot and demonstration projects under decision 5/CP.7, paragraph 7 (b) (v), in particular to take forward adaptation projects identified in national communications and other relevant sources, including activities that strengthen adaptive capacity;</p> <p>(ii) Enhancing technical training for integrated climate change impact and vulnerability assessment across all relevant sectors, and for environmental management relating to climate change under decision 5/CP.7, paragraph 7 (b) (ii);</p> <p>(iii) Promoting the transfer of technologies for adaptation under decision 5/CP.7, paragraph 7 (b) (iv), on an urgent basis in priority sectors, including agriculture and water resources, for example through the exchange of experiences and lessons learned in enhancing resilience to the adverse effects of climate change in key sectors;</p> <p>(iv) Building capacity, including institutional capacity, for preventive measures, planning, preparedness and management of disasters relating to climate change, including contingency planning, in particular for droughts and floods and extreme weather events, in accordance with decision 5/CP.7, paragraphs 7 (b) (vi) and 8 (c);</p> <p>6. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and subsequent sessions on how the above-mentioned activities have been supported, and the barriers, obstacles and opportunities presented, through:</p> <p>(a) The strategic priority “Piloting an Operational Approach to Adaptation”</p> <p>(b) The small grants programme</p> <p>(c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility</p> <p>(d) The Least Developed Countries Fund and efforts to finance the preparation of national adaptation</p>	
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		programmes of action (e) The Special Climate Change Fund;	
	Decision 8/CP.10	3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and at subsequent sessions on how activities identified in paragraph 6 of decision 1/CP.10 have been supported, and the barriers, obstacles and opportunities presented, through: (a) The strategic priority “Piloting an Operational Approach to Adaptation” (b) The small grants programme (c) Efforts to address adaptation in the climate change focal area and to mainstream it into other focal areas of the Global Environment Facility (d) The Least Developed Countries Fund and efforts to finance the preparation of national adaptation programmes of action	Obsolete?
Least developed countries programme	Decision 5/CP.7	12. <i>Decides</i> that a least developed countries fund shall be established (in accordance with decision 7/CP.7), to be operated by an entity entrusted with the operation of the financial mechanism, under the guidance of the Conference of the Parties, to support the work programme for the least developed countries. This work programme shall include, inter alia, the preparation and implementation of national adaptation programmes of action;	
	Decision 5/CP.14	6. <i>Invites</i> the Global Environment Facility and its agencies to consider the views of, and any concerns expressed by, Parties regarding their experiences with the Global Environment Facility and its agencies in relation to the provision of financial and technical support for the preparation and implementation of national adaptation programmes of action and related elements of the least developed countries work programme, as contained in documents FCCC/SBI/2007/32, FCCC/SBI/2008/14 and FCCC/SBI/2008/MISC.8; 8. <i>Invites</i> the Global Environment Facility to raise awareness of the need for adequate and predictable resources under the Least Developed Countries Fund to allow full implementation of the least developed countries work programme, in particular national adaptation programmes of action;	Obsolete?
	Decision 5/CP.16	1. <i>Reiterates</i> its request to the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund, in parallel to supporting the ongoing implementation of national adaptation programmes of action, to facilitate the implementation of the remaining elements of the least developed countries work programme;	Repetitive
	Decision 9/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with managing the Least Developed Countries Fund:	

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		<p>(a) To continue to provide information to the least developed countries to further clarify project baselines and the application for accessing funding from the Least Developed Countries Fund in order to develop and implement projects under national adaptation programmes of action to address the effects of climate change;</p> <p>(b) To support the development of a programmatic approach for the implementation of national adaptation programmes of action by those least developed country Parties that wish to do so;</p> <p>(c) To further explore opportunities to streamline the Least Developed Countries Fund project cycle, particularly during the project preparation stage;</p> <p>(d) To further improve the provision of information to least developed countries on the project development process for projects being considered under the Least Developed Countries Fund.</p>	
	Decision 10/CP.20	<p>7. <i>Invites</i> the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to continue to support the remaining activities contained in the least developed countries work programme;</p> <p>9. <i>Invites</i> the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to include, in its annual report to the Conference of the Parties, information on specific actions that it has undertaken to implement the remaining elements of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, with a view to the Conference of the Parties determining, at its twenty-first session (November–December 2015), appropriate further guidance to be provided to the Global Environment Facility;</p>	
National adaptation programmes of action / Least Developed Countries Fund	Decision 5/CP.7	12. <i>Decides</i> that a least developed countries fund shall be established (in accordance with decision 7/CP.7), to be operated by an entity entrusted with the operation of the financial mechanism, under the guidance of the Conference of the Parties, to support the work programme for the least developed countries. This work programme shall include, inter alia, the preparation and implementation of national adaptation programmes of action;	Repetitive
	Decision 8/CP.8	2. <i>Requests</i> the Global Environment Facility, including its implementing agencies, to ensure the speedy release and disbursement of funds and timely assistance for the preparation of national adaptation programmes of action;	
	Decision 6/CP.9	<p>2. <i>Requests</i> the entity to support the implementation of national adaptation programmes of action as soon as possible after their completion;</p> <p>3. <i>Requests</i> the entity to take into account, inter alia, the following elements when developing operational guidelines for funding of the implementation of national adaptation programmes of action:</p>	Obsolete?

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	<p>(a) Ensuring a country-driven approach, in line with national priorities, which ensures cost-effectiveness and complementarity with other funding sources;</p> <p>(b) Equitable access by least developed country Parties to funding for the implementation of national adaptation programmes of action;</p> <p>(c) Criteria for supporting activities on an agreed full-cost basis, taking account of the level of funds available;</p> <p>(d) Guidelines for expedited support;</p> <p>(e) Urgency and immediacy of adapting to the adverse effects of climate change;</p> <p>(f) Prioritization of activities;</p>	
Decision 8/CP.10	<p>3. <i>Requests</i> the Global Environment Facility to report to the Conference of the Parties at its eleventh session (November–December 2005) and at subsequent sessions on how activities identified in paragraph 6 of decision 1/CP.10 have been supported, and the barriers, obstacles and opportunities presented, through:</p> <p>(d) The Least Developed Countries Fund and efforts to finance the preparation of national adaptation programmes of action</p>	Obsolete?
Decision 3/CP.11	<p>2. <i>Decides</i> that full-cost funding shall be provided by the Least Developed Countries Fund to meet the additional costs of activities to adapt to the adverse effects of climate change as identified and prioritized in the national adaptation programmes of action;</p> <p>9. <i>Requests</i> the Global Environment Facility to ensure the separation of the administration and activities of the Trust Fund of the Global Environment Facility and the Least Developed Countries Fund;</p>	
Decision 5/CP.14	<p>1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund:</p> <p>(a) To work with its agencies to improve communication with least developed country Parties and to speed up the process through, for instance, establishing a time frame within which least developed country Parties can access funding and other support for the preparation and implementation of projects identified in national adaptation programmes of action;</p> <p>(b) To assist, as appropriate, and in collaboration with its agencies and the Least Developed Countries Expert Group, the remaining least developed country Parties that have not submitted their national adaptation programmes of action, in completing and submitting their national adaptation programmes of action as soon as possible;</p> <p>2. <i>Also requests</i> the Global Environment Facility, in parallel to supporting the ongoing implementation of the national adaptation programmes of action, to facilitate the implementation of the remaining elements of the</p>	Repetitive

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	<p>least developed countries work programme;</p> <p>3. <i>Invites</i> the Global Environment Facility to inform its agencies of relevant provisions of the Convention and decisions of the Conference of the Parties on the operation of the Least Developed Countries Fund, in order to allow the agencies to take these into account in fulfilling their Global Environment Facility obligations;</p> <p>6. <i>Invites</i> the Global Environment Facility and its agencies to consider the views of, and any concerns expressed by, Parties regarding their experiences with the Global Environment Facility and its agencies in relation to the provision of financial and technical support for the preparation and implementation of national adaptation programmes of action and related elements of the least developed countries work programme, as contained in documents FCCC/SBI/2007/32, FCCC/SBI/2008/14 and FCCC/SBI/2008/MISC.8;</p> <p>8. <i>Invites</i> the Global Environment Facility to raise awareness of the need for adequate and predictable resources under the Least Developed Countries Fund to allow full implementation of the least developed countries work programme, in particular national adaptation programmes of action;</p> <p>11. <i>Also requests</i> the Global Environment Facility to include, in its reports to the Conference of the Parties, information on steps it has taken to implement this decision, for consideration by the Conference of the Parties at subsequent sessions;</p>	<p>Obsolete</p> <p>Repetitive</p>
Decision 2/CP.16	<p>5. <i>Decides</i> that the Global Environment Facility should continue to provide and enhance support for the implementation of adaptation activities, including the implementation of national adaptation programmes of action, through the Least Developed Countries Fund and the Special Climate Change Fund;</p>	Repetitive
Decision 5/CP.16	<p>1. <i>Reiterates</i> its request to the Global Environment Facility, as an operating entity of the financial mechanism of the Convention operating the Least Developed Countries Fund, in parallel to supporting the ongoing implementation of national adaptation programmes of action, to facilitate the implementation of the remaining elements of the least developed countries work programme;</p> <p>2. <i>Also reiterates</i> its request to the Global Environment Facility to work with its agencies to improve communication with least developed country Parties and to speed up the process by, for instance, establishing a time frame within which least developed country Parties can access funding and other support for the preparation and implementation of projects identified in their national adaptation programmes of action;</p> <p>3. <i>Requests</i> the Global Environment Facility to provide funding from the Least Developed Countries Fund to least developed country Parties, upon request, to enable the update of their national adaptation programmes of action with a view to further improving their quality, to facilitate the integration of least developed countries adaptation actions into development planning and to reflect increased adaptation knowledge and changed priorities in the countries;</p>	<p>Repetitive</p> <p>Repetitive</p>

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	8. <i>Further requests</i> the Global Environment Facility to include, in its reports to the Conference of the Parties, information on specific steps it has taken to implement this decision, for consideration by the Conference of Parties at its subsequent sessions;	Repetitive
Decision 5/CP.17	22. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for least developed countries Parties, while maintaining progress for the least developed countries work programme, which includes the national adaptation programmes of action;	
Decision 9/CP.17	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with managing the Least Developed Countries Fund: (a) To continue to provide information to the least developed countries to further clarify project baselines and the application for accessing funding from the Least Developed Countries Fund in order to develop and implement projects under national adaptation programmes of action to address the effects of climate change; (b) To support the development of a programmatic approach for the implementation of national adaptation programmes of action by those least developed country Parties that wish to do so; (c) To further explore opportunities to streamline the Least Developed Countries Fund project cycle, particularly during the project preparation stage; (d) To further improve the provision of information to least developed countries on the project development process for projects being considered under the Least Developed Countries Fund	Repetitive Repetitive Repetitive
Decision 10/CP.18	5. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund: (a) To continue to support all activities contained in the least developed countries work programme; (b) To continue mobilizing resources to ensure the full implementation of the least developed countries work programme, including the implementation of the elements of the work programme other than national adaptation programmes of action, through, inter alia, capacity-building to improve coordination at different levels of government and across sectors in order to improve project performance in the least developed country Parties, with regard to the implementation of the Convention; (c) To further facilitate access to the Least Developed Countries Fund by the least developed countries; (d) To further enhance a country-driven process for the implementation of national adaptation programme of action projects and the implementation of programmatic approaches; (e) To continue raising awareness of the need for adequate and predictable resources under the Least Developed Countries Fund to allow for the full implementation of the least developed countries work programme, in particular national adaptation programmes of action, as outlined in decision 5/CP.14, paragraph 8;	Repetitive Repetitive

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	(f) To enhance communication with its implementing agencies on the updated operational guidelines for the Least Developed Countries Fund;	Repetitive
Decision 9/CP.20 Annex	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism; <u>Annex:</u> 51. The GEF and the GCF may consider collaborating in the use of funding pathways that may include the LDCF and the SCCF.	
Decision 10/CP.20	10. <i>Requests</i> the Global Environment Facility, as an operating entity of the Financial Mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to enhance communication with its implementing agencies and to encourage its implementing agencies to enhance their communication with countries to facilitate a timely implementation of other elements of the least developed countries work programme including national adaptation programmes of action.	Repetitive
SBI 31	57. The SBI invited the GEF, in its capacity as the entity entrusted with the operation of the LDCF, to support, when sufficient voluntary funding has not been provided from bilateral sources, the organization, under the guidance of the LEG, of four regional workshops in 2010 to provide training and technical support to LDCs in order to advance the process of implementation of NAPAs.	
National adaptation plans	22. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for least developed countries Parties, while maintaining progress for the least developed countries work programme, which includes the national adaptation programmes of action; 25. <i>Further invites</i> the Global Environment Facility, as an operating entity of the financial mechanism for the operation of the Least Developed Countries Fund, <u>to submit information to the Subsidiary Body for Implementation, through the secretariat, by 13 February 2012, on how they could enable activities undertaken as part of the national adaptation plan process in least developed country Parties, for compilation by the secretariat into a miscellaneous document for consideration by the Subsidiary Body for Implementation at its thirty-sixth session;</u> 31. <i>Invites</i> the operating entities of the financial mechanism of the Convention, bilateral and multilateral organizations and other institutions as appropriate, to provide financial and technical support to developing country Parties to plan, prioritize and implement their national adaptation planning measures, consistent with decision 1/CP.16 and relevant provisions of the Convention;	Repetitive Obsolete
Decision	1. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the	

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9/CP.18	<p>Convention:</p> <p>(c) Through the Special Climate Change Fund, to consider how to enable activities for the preparation of the national adaptation plan process for interested developing country Parties that are not least developed country Parties, as it requested the Global Environment Facility, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for the least developed country Parties in decision 5/CP.17, paragraph 22;</p> <p>5. <i>Also urges</i> developed country Parties to mobilize financial support for the national adaptation plan process for interested developing country Parties that are not least developed country Parties through bilateral and multilateral channels, including through the Special Climate Change Fund, in accordance with decision 1/CP.16, as it urged developed country Parties to mobilize financial support for the national adaptation plan process for least developed country Parties in decision 5/CP.17, paragraph 21;3</p>	
Decision 12/CP.18	<p>1. <i>Decides</i> to provide the following guidance to the Global Environment Facility, as the operating entity of the financial mechanism of the Convention for the operation of the Least Developed Countries Fund, to enable activities for the preparation of the national adaptation plan process by the least developed country Parties. The operating entity is requested:</p> <p>(a) As a first step under the national adaptation plan process, to provide funding from the Least Developed Countries Fund, to meet the agreed full cost, as appropriate, of activities to enable the preparation of the national adaptation plan process as described in the elements contained in paragraphs 2–6 of the initial guidelines for the formulation of national adaptation plans in the annex to decision 5/CP.17;</p> <p>(b) To provide support for the national adaptation plan process, while maintaining support for the least developed countries work programme, including national adaptation programmes of action;</p> <p>(c) To encourage a flexible approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed country Parties in response to national needs and circumstances;</p> <p>3. <i>Requests</i> the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, through the Special Climate Change Fund, to consider how to enable activities for the preparation of the national adaptation plan process for interested developing country Parties that are not least developed country Parties, as it requested the Global Environment Facility, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for the least developed country Parties in decision 5/CP.17, paragraph 22;</p>	<p>Repetitive</p> <p>Repetitive</p>
Decision 4/CP.20	<p>4. <i>Requests</i> Parties, operating entities of the Financial Mechanism and other relevant entities working on adaptation to consider the recommendations contained in chapter V of the report of the Adaptation Committee,</p>	

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	<p>as included in the annex; <u>Annex:</u> 2. The AC recommends that the COP invite Parties, operating entities of the Financial Mechanism and relevant entities working on adaptation to take into account the following recommendations, which are based on the outcomes of the meeting of the task force on national adaptation plans (NAPs) referred to in paragraphs 38 and 39 of the report of the AC referred to in paragraph 1 above:</p> <p>(a) Recognizing the importance of raising awareness and buy-in for the NAP process by all stakeholders, in order to:</p> <p>(i) Generate interest in, demand for and leadership of the NAP process at the national level;</p> <p>(ii) Make available support for the NAP process better known;</p> <p>(b) Improving coordination, collaboration and coherence among:</p> <p>(i) Bilateral and multilateral agencies and institutions, including the operating entities of the Financial Mechanism;</p> <p>(ii) Various national ministries;</p> <p>(iii) Parties and regions, with a view to:</p> <p>a. Enhancing the accessibility of NAP support;</p> <p>b. Further understanding effective pathways to achieving the objectives of the NAP process, on the basis of experience;</p> <p>c. Fostering coherence in the provision of support, including by better matching needs with support, involving more financial institutions in the NAP process and helping countries to prepare for accessing funding, including from the Green Climate Fund (GCF);</p> <p>(c) Enhancing learning as stakeholders increasingly engage in the NAP process, particularly around aspects such as the role of institutional arrangements and monitoring and evaluation.</p>	
	<p>Decision 6/CP.19 4. <i>Also requests</i> the Global Environment Facility to further specify the steps that it has undertaken in response to the request contained in decision 9/CP.18, paragraph 1(c);</p>	
	<p>SBI 37 82. The SBI noted the identification by the LEG of support needs of the LDCs for the formulation and implementation of NAPs,⁵³ and urged the GEF, its agencies and other relevant organizations to take the identified support needs into account in their efforts to support LDC Parties in undertaking the NAP process.</p>	
Special Climate Change Fund	<p>Decision 5/CP.7 8. <i>Decides</i> that the implementation of the following activities shall be supported through the special climate change fund (in accordance with decision 7/CP.7) and/or the adaptation fund (in accordance with decision 10/CP.7), and other bilateral and multilateral sources:</p>	

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	<p>(a) Starting to implement adaptation activities promptly where sufficient information is available to warrant such activities, inter alia, in the areas of water resources management, land management, agriculture, health, infrastructure development, fragile ecosystems, including mountainous ecosystems, and integrated coastal zone management;</p> <p>(b) Improving the monitoring of diseases and vectors affected by climate change, and related forecasting and early-warning systems, and in this context improving disease control and prevention;</p> <p>(c) Supporting capacity building, including institutional capacity, for preventive measures, planning, preparedness and management of disasters relating to climate change, including contingency planning, in particular, for droughts and floods in areas prone to extreme weather events;</p> <p>(d) Strengthening existing and, where needed, establishing national and regional centres and information networks for rapid response to extreme weather events, utilizing information technology as much as possible;</p>	
Decision 5/CP.9	5. <i>Requests</i> the entity entrusted with the operation of the fund to arrange expedited access to the Special Climate Change Fund in keeping with current practices of the Global Environment Facility, taking into account the need for adequate resources to implement eligible activities, programmes and measures;	Repetitive
Decision 1/CP.12	<p>5. <i>Requests</i> the entity entrusted with the operation of the Special Climate Change Fund to continue to adhere strictly to the decisions of the Conference of the Parties in the operationalization of the Special Climate Change Fund;</p> <p>6. <i>Requests</i> the entity entrusted with the operation of the Special Climate Change Fund to continue its efforts to mobilize resources, in addition to those already pledged, to support the funding of eligible project activities under the Special Climate Change Fund;</p>	

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Thematic Area	Decision number	Decision text	Suggested Status
Intended nationally determined contributions	Decision 1/CP.19	<p>2. <i>Decides</i>, in the context of its determination to adopt a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties at its twenty-first session (December 2015) and for it to come into effect and be implemented from 2020:</p> <p>(b) To invite all Parties to initiate or intensify domestic preparations for their intended nationally determined contributions, without prejudice to the legal nature of the contributions, in the context of adopting a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties towards achieving the objective of the Convention as set out in its Article 2 and to communicate them well in advance of the twenty-first session of the Conference of the Parties (by the first quarter of 2015 by those Parties ready to do so) in a manner that facilitates the clarity, transparency and understanding of the intended contributions, without prejudice to the legal nature of the contributions;</p> <p>(c) To request the Ad Hoc Working Group on the Durban Platform for Enhanced Action to identify, by the twentieth session of the Conference of the Parties, the information that Parties will provide when putting forward their contributions, without prejudice to the legal nature of the contributions, referred to in paragraph 2(b) above;</p> <p>(d) To urge and request developed country Parties, the operating entities of the financial mechanism and any other organizations in a position to do so to provide support for the related activities referred to in paragraphs 2(b) and 2(c) above as early as possible in 2014;</p>	
	1/CP.20	<p>15. <i>Reiterates</i> its call to developed country Parties, the operating entities of the Financial Mechanism and any other organizations in a position to do so to provide support for the preparation and communication of the intended nationally determined contributions of Parties that may need such support;</p>	