## Compilation and analysis of past guidance provided to the Green Climate Fund COP 16 up until COP 20<sup>1</sup>

Thematic area	Decision number	Decision text	Policy, programme priority, eligibility criteria	Response by the GCF Board	Suggested status
Objectives and	guiding prin	aciples			
	Decision 3/CP.17, annex	1. Given the urgency and seriousness of climate change, the purpose of the Fund is to make a significant and ambitious contribution to the global efforts towards attaining the goals set by the international community to combat climate change.	Policy		On-going
		2. The Fund will contribute to the achievement of the ultimate objective of the United Nations Framework Convention on Climate Change (UNFCCC). In the context of sustainable development, the Fund will promote the paradigm shift towards low-emission and climate-resilient development pathways by providing support to developing countries to limit or reduce their greenhouse gas emissions and to adapt to the impacts of climate change, taking into account the needs of those developing countries particularly vulnerable to the adverse effects of climate change.	Policy		On-going
		3. The Fund will be guided by the principles and provisions of the Convention. The Fund will operate in a transparent and accountable manner guided by efficiency and effectiveness. The Fund will play a key role in channelling new, additional, adequate and predictable financial resources to developing countries and will catalyse climate finance, both public and private, and at the international and national levels. The Fund will pursue a country-driven approach and promote and strengthen engagement at the country level through effective involvement of relevant institutions and stakeholders. The Fund will be scalable and flexible and will be a	Policy	Approval of terms of reference for development of a communication strategy (2013)	Responded to / on-going

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<sup>&</sup>lt;sup>1</sup> This document contains a compilation and analysis of past guidance provided by Conference of the Parties (COP), the Subsidiary Bodies (SBs) and the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP) to the Green Climate Fund (GCF), starting from COP 16 up until COP 20. The decisions are reflected according to the respective relevant thematic areas, mirroring to the extent possible the categories used in the Governing Instruments for the GCF (decision 3/CP.17, annex). All decisions can be retrieved at: <a href="http://unfccc.int/3597.php">http://unfccc.int/3597.php</a>>.

Thematic area	Decision number	Decision text	Policy, programme priority, eligibility criteria	Response by the GCF Board	Suggested status
		continuously learning institution guided by processes for monitoring and evaluation. The Fund will strive to maximize the impact of its funding for adaptation and mitigation, and seek a balance between the two, while promoting environmental, social, economic and development co-benefits and taking a gender-sensitive approach.			
Governance and	d institution	al arrangements			
	Decision 4/CP.20, annex	6. Regarding the operating entities of the Financial Mechanism, the AC recommends the following actions for consideration by the COP:  (a) Inviting the Board of the GCF to consider the significant work undertaken under the Cancun Adaptation Framework and on the NAP process as it continues to provide the governance of the Fund;	Policy	<ul> <li>Aim to ensure that country projects and programmes build on and strengthen climate strategies, policies, including NAPs, NAPAs, and NAMAs (2015)</li> <li>Consider funding proposals' alignment with NAPs and other existing climate policies, in particular the 'country ownership' criterion (2015)</li> </ul>	Responded to / on-going
Relationship to the Conference of the Parties	Decision 1/CP.16	102. Decides to establish a Green Climate Fund, to be designated as an operating entity of the financial mechanism of the Convention under Article 11, with arrangements to be concluded between the Conference of the Parties and the Green Climate Fund to ensure that it is accountable to and functions under the guidance of the Conference of the Parties, to support projects, programmes, policies and other activities in developing country Parties using thematic funding windows;	Other	Approval of draft arrangements between the GCF and the COP (2013)	Responded to / on-going
	Decision 3/CP.17	<ul> <li>2. Approves the governing instrument for the Green Climate Fund annexed to this decision;</li> <li>3. Decides to designate the Green Climate Fund as an operating entity of the financial mechanism of the Convention, in accordance with Article 11 of the Convention, with arrangements to be concluded between the Conference of</li> </ul>	Other Other	Approval of draft arrangements between the GCF and the COP (2013)	Obsolete  Responded to / on-going

Thematic area	Decision number	Decision text	Policy, programme priority, eligibility criteria	Response by the GCF Board	Suggested status
		the Parties and the Fund at the eighteenth session of the Conference of the Parties to ensure that it is accountable to and functions under the guidance of the Conference of the Parties to support projects, programmes, policies and other activities in developing country Parties;	Policy		On-going
		4. <i>Notes</i> that the Green Climate Fund will be guided by the principles and provisions of the Convention;	Other		On-going
		5. Decides to provide guidance to the Board of the Green Climate Fund, including on matters related to policies, programme priorities and eligibility criteria and matters related thereto, taking into account the Board's annual reports to the Conference of the Parties on its activities;			
	Decision 3/CP.17, annex	4. The Fund will be designated as an operating entity of the financial mechanism under Article 11 of the Convention and will be accountable to and function under the guidance of the Conference of the Parties (COP).	Other		On-going
		5. The Fund will be governed and supervised by a Board that will have full responsibility for funding decisions.	Other		On-going
		6. Arrangements will be concluded between the COP and the Fund, consistent with Article 11 of the Convention, to ensure that the Fund is accountable to and functions under the guidance of the COP. In order to ensure accountability to the COP, pursuant to Article 11, paragraph 3, the Board will:	Other	• Approval of draft arrangements between the GCF and the COP (2013)	Responded to / on-going
		<ul><li>(a) Receive guidance from the COP, including on matters related to policies, programme priorities and eligibility criteria, and matters related thereto;</li><li>(b) Take appropriate action in response to the guidance received;</li><li>(c) Submit annual reports to the COP for its consideration and receive further guidance.</li></ul>			
	Decision 6/CP.18	6. <i>Decides</i> to provide initial guidance to the Green Climate Fund at its nineteenth session;	Other		Obsolete

Decision 7/CP.18	1. Recognizes that the provisions contained in Article 11, paragraph 3, and decision 3/CP.17 and the governing instrument of the Green Climate Fund contained in the annex to 3/CP.17 form the basis for arrangements between the Conference of the Parties and the Green Climate Fund to ensure that the Green Climate Fund is accountable to and functions under the guidance of the Conference of the Parties to support projects, programmes, policies and other activities in developing country Parties;	Other		On-going
	2. Requests the Standing Committee and the Board of the Green Climate Fund to develop arrangements between the Conference of the Parties and the Green Climate Fund in accordance with the governing instrument of the Green Climate Fund and Article 11, paragraph 3, for agreement by the Board and subsequent agreement by the Conference of the Parties at its nineteenth session.	Other	Approval of draft arrangements between the GCF and the COP (2013)	Obsolete
Decision 4/CP.19	7. Confirms that the provisions contained in Article 11 of the Convention, and decisions 11/CP.1, 1/CP.16 and 3/CP.17, and the governing instrument for the Green Climate Fund, and decision 6/CP.18, together with decision 5/CP.19, constitute the initial guidance for the Green Climate Fund;	Other		On-going
	8. <i>Decides</i> to adopt the following initial guidance on policies, programme priorities and eligibility criteria for the Green Climate Fund, as an operating entity of the financial mechanism of the Convention;			On-going
	9. <i>Requests</i> the Green Climate Fund:			
	(a) To balance the allocation of resources between adaptation and mitigation, and ensure an appropriate allocation of resources for other activities;	Programme priority	<ul> <li>Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation and mitigation, appropriate allocation of resources for other activities (2013)</li> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> <li>Board adopted initial guidelines</li> </ul>	Repetitive Responded to / on-going

(b) To pursue a country-driven approach;	Policy	for allocation of resources to aim for a 50:50 balance between mitigation and adaptation; also requested Secretariat to report annually on status of resources in respect of the allocation parameters; considering initial set of funding proposals at B.11 (2015)  • Adoption of the no-objection procedure; endorsement of initial best-practice guidelines for the establishment of NDAs and focal points; endorsement of the initial best-practice options for country coordination and multistakeholder engagement (2014)  • Decision on detailed programme of work on readiness and preparatory support outlines priority activities such as establishment of national designated authorities or focal	Repetitive Responded to / on-going
(c) In allocating resources for adaptation, the Green Climate Fund will take into account the urgent and immediate needs of developing countries that are particularly vulnerable to the adverse effects of climate change;	Policy	<ul> <li>points and strategic frameworks (2014)</li> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> <li>Decision on initial results management framework, including initial adaptation logic model and adoption of core indicator for adaptation;</li> </ul>	Responded to / on-going

			recognition of adaptation window as integral component of the fund; decision to undertake review of the initial modalities for the operation of the Fund's adaptation window no later than 3 years after the initial resource mobilization of the Fund; adoption of performance measurement framework, secretariat to further develop other indicators (2014)  • Secretariat communicated with UNFCCC secretariat and will consider experience of other implementing entity of the financial mechanism (2014)	
	10. <i>Confirms</i> that all developing country Parties to the Convention are eligible to receive resources from the Green Climate Fund;	Eligibility criteria	<ul> <li>Approval of all developing country Parties to Convention as eligible for funding (2015)</li> </ul>	Responded to / on-going
Decision /CP.19	2. Confirms that the arrangements between the Conference of the Parties and the Green Climate Fund shall be in conformity with the governing instrument for the Green Climate Fund, as contained in decision 3/CP.17, and Article 11, paragraph 3, of the Convention;	Other		Obsolete
	3. <i>Notes</i> that the Board of the Green Climate Fund has approved the arrangements, as transmitted by the Standing Committee on Finance, contained in annex XXI to the report on the 5th meeting of the Green Climate Fund Board, as contained in the report of the Green Climate Fund; <sup>2</sup>	Other		Obsolete
	4. <i>Agrees</i> to the arrangements between the Conference of the Parties and the Green Climate Fund contained in the annex, thereby bringing the arrangements into force;	Other		Obsolete

<sup>&</sup>lt;sup>2</sup> FCCC/CP/2013/6.

Decision	Preamble		On-going
5/CP.19, annex	Recalling Conference of the Parties (COP) decisions 1/CP.16, paragraph 102, and 3/CP.17, paragraphs 3–5, as well as the governing instrument for the Green Climate Fund (GCF), paragraphs 3–6.	Other	
	Recognizing that the provisions contained in Article 11, paragraph 3, of the Convention, decision 3/CP.17 and the governing instrument for the GCF contained in the annex to 3/CP.17 form the basis for arrangements between the COP and the GCF.	Other	
	The Conference of the Parties (hereinafter referred to as "the COP") to the United Nations Framework Convention on Climate Change (hereinafter referred to as "the Convention") and the Green Climate Fund (hereinafter referred to as the "GCF") hereby reach the following arrangements:		
Decision 5/CP.19, annex	Purpose of these arrangements  1. The purpose of these arrangements is to set out the working relationship between the COP and the GCF to ensure that the GCF is accountable to and functions under the guidance of the COP to support projects, programmes, policies and other activities in developing country Parties.	Other	On-going
Decision 5/CP.19,	Determination and communication of guidance from the Conference of the Parties		On-going
annex	2. The GCF shall receive guidance from the COP, including on matters related to policies, programme priorities and eligibility criteria.	Other	
	3. The COP will, after each of its sessions, communicate guidance to the GCF.	Other	
	4. The COP will provide guidance based, inter alia, upon a thorough consideration of the annual reports of the GCF.	Other	
	Conformity with guidance of the Conference of the Parties		
	5. The GCF will take appropriate actions in response to the guidance received and will report on such actions taken.	Other	

Decisi	$\epsilon$			On-going
5/CP.1	23. These arrangements can only be modified in writing by agreement between the COP and the GCF.	Other		
	24. These arrangements shall come into force upon agreement by the GCF and subsequent agreement by the COP.	Other		
	25. These arrangements may only be terminated in writing by agreement between the COP and the GCF.	Other		
Decisi 5/CP. annex		Other	Board endorsed selection process of heads of accountability units of GCF, including independent Evaluation Unit, which is in set up process and is not yet	Responded to / on-going
	<ul><li>21. The COP may commission an independent assessment of the overall performance of the GCF, including of the performance of the Board of the GCF, to coincide with periodic reviews of the financial mechanism.</li><li>22. The COP will invite feedback from the GCF in advance of making any conclusions or findings from the review or evaluation of the financial mechanism.</li></ul>	Other Other	operational (2015)	On-going On-going
Decisi 9/CP.2	0 1 0	Policy		On-going

	Decision 9/CP.20	7. Decides to consider the timing of guidance provided by the Conference of the Parties to the operating entities of the Financial Mechanism, especially that guidance which has resource implications vis-à-vis the replenishment cycles of the operating entities of the Financial Mechanism, in order to ensure that key guidance is fully considered in the programming strategies and policy recommendations associated with each replenishment period of the operating entities of the Financial Mechanism;	Other		On-going
Legal status	Decision 3/CP.17	11. <i>Decides</i> that the Green Climate Fund be conferred juridical personality and legal capacity and shall enjoy such privileges and immunities related to the discharge and fulfilment of its functions, in accordance with paragraphs 7 and 8 of the governing instrument;	Other		On-going
		12. <i>Invites</i> Parties, in line with the objectives set forth in paragraph 12 above, to submit to the Board expressions of interest for hosting the Green Climate Fund by 15 April 2012, based on the following criteria:	Other	• 6 expressions of interest (2012)	Obsolete
		(a) The ability to confer and/or recognize juridical personality and legal capacity to the Fund for the protection of its interests and the exercise of its functions, to give effect to paragraphs 7 and 8 of the governing instrument, including but not limited to the ability to contract, acquire and dispose of immovable and movable property, and to institute legal proceedings; (b) The ability to provide privileges and immunities to the Fund as are necessary for the fulfilment of its purposes, and to the officials of the Fund as are necessary for the independent exercise of their official functions in connection with the Fund; (c) Financial arrangements, administrative and logistical support to the Fund; (d) Any other information that the host country wishes to provide;			
		13. <i>Requests</i> the Board, following the receipt of expressions of interest, to conduct an open and transparent process for the selection of the host country, and to decide on a host country for endorsement by the Conference of the Parties at its eighteenth session, in accordance with paragraph 22 of the governing instrument;	Other	Decided to select by consensus Songdo, Incheon City, Republic of Korea (2012)	Obsolete
		14. <i>Also requests</i> the Board and the host country of the Green Climate Fund to develop, in accordance with paragraphs 7 and 8 of the governing	Other	Interim Secretariat finalized     Headquarters Agreement, Co-	Obsolete

	instrument, the legal and administrative arrangements for hosting the Fund, and to ensure that juridical personality and legal capacity are conferred to the Fund, and privileges and immunities as are necessary are granted to the Fund and its officials in an expeditious manner;		Chairs signed agreement (2013)	
Decision 3/CP.17, annex	7. In order to operate effectively internationally, the Fund will possess juridical personality and will have such legal capacity as is necessary for the exercise of its functions and the protection of its interests.	Other		On-going
	8. The Fund will enjoy such privileges and immunities as are necessary for the fulfilment of its purposes. The officials of the Fund will similarly enjoy such privileges and immunities as are necessary for the independent exercise of their official functions in connection with the Fund.	Other		On-going
Decision 6/CP.18	2. Expresses its gratitude to Germany, Mexico, Namibia, Poland, Republic of Korea and Switzerland for submitting their offers to host the Green Climate Fund;	Other		Obsolete
	3. Welcomes and endorses the consensus decision of the Board of the Green Climate Fund to select Songdo, Incheon, Republic of Korea as the host of the Green Climate Fund, on the basis of an open and transparent process;	Other		Obsolete
	4. <i>Requests</i> the Board of the Green Climate Fund and the Republic of Korea to conclude, in accordance with decision 3/CP.17, annex, paragraphs 7 and 8, the legal and administrative arrangements for hosting the Green Climate Fund, and to ensure that juridical personality and legal capacity are conferred to the Green Climate Fund, and the necessary privileges and immunities are granted to the Green Climate Fund and its officials in an expedited manner	Other	Interim Secretariat finalized Headquarters Agreement, Co- Chairs signed agreement (2013)	Obsolete
Decision 4/CP.19	3. <i>Also welcomes</i> the conclusion and entry into force of the Headquarters Agreement between the Green Climate Fund and the Government of the Republic of Korea;	Other	Interim Secretariat finalized     Headquarters Agreement, Co-     Chairs signed agreement (2013)	Obsolete
Decision 7/CP.20	20. Takes note of Green Climate Fund Board decision B.08/24 on the institutional linkage between the United Nations and the Green Climate Fund, and requests the Board of the Green Climate Fund to continue further deliberations on privileges and immunities, and to report on this matter to the Conference of the Parties at its twenty-first session (November–	Other	Apply Convention on the Privileges and Immunities of UN to GCF staff, with a more limited scope (2015)	Responded to / on-going

		December 2015); 21. <i>Urges</i> developing country Parties to enter into bilateral agreements with the Green Climate Fund based on the template to be approved by the Board of the Green Climate Fund, in order to provide privileges and immunities for the Fund, in accordance with Green Climate Fund Board decision B.08/24, paragraph (b);	Other	Approval of draft provisions when negotiating, signing, or developing agreements/arrangements, also domestic legal and policy frameworks of countries (2015)	Responded to / on-going
		22. <i>Requests</i> the Board of the Green Climate Fund to report biennially to the Conference of the Parties on the status of existing privileges and immunities with regard to its operational activities, starting at the twenty-first session of the Conference of the Parties;	Other	<ul> <li>Report on status of privileges and immunities at COP 21 and thereafter biennially (2015)</li> </ul>	Responded to / on-going
Rules of procedure of the Board	Decision 1/CP.16	103. Also decides that the Fund shall be governed by a Board of 24 members, comprising an equal number of members from developing and developed country Parties; representation from developing country Parties shall include representatives of relevant United Nations regional groupings and representatives of small island developing States and the least developed countries; each Board member shall have an alternate member; with alternate members entitled to participate in the meetings of the board only through the principal member, without the right to vote, unless they are serving as the member; during the absence of the member from all or part of a meeting of the Board, his or her alternate shall serve as the member;	Other		On-going
	Decision 3/CP.17, annex	1. Composition  9. The Board will have 24 members, composed of an equal number of members from developing and developed country Parties. Representation from developing country Parties will include representatives of relevant United Nations regional groupings and representatives from small island developing States (SIDS) and least developed countries (LDCs).  10. Each Board member will have an alternate member, with alternate	Other		On-going
		members entitled to participate in the meetings of the Board only through the principal member, without the right to vote, unless they are serving as the member. During the absence of the member from all or part of a meeting			

of the Board, his or her alternate will serve as the member.			
2. Selection of Board members	Other		On-going
11. The members of the Board and their alternates will be selected by their respective constituency or regional group within a constituency. Members of the Board will have the necessary experience and skills, notably in the areas of climate change and development finance, with due consideration given to gender balance.			- 5- 5
3. Term of membership	Other		On-going
12. Members and alternate members will serve for a term of three years and be eligible to serve additional terms as determined by their constituency.			
4. Chairmanship	Other		On-going
13. Two co-chairs of the Board will be elected by the Board members from within their membership to serve for a period of one year, with one being a member from a developed country Party and the other being a member from a developing country Party.			
5. Decision-making	Other		On-going
14. Decisions of the Board will be taken by consensus of the Board members. The Board will develop procedures for adopting decisions in the event that all efforts at reaching consensus have been exhausted.			
6. Quorum			On-going
15. A two-thirds majority of Board members must be present at a meeting to constitute a quorum.	Other		
7. Observers	Policy	• 4 active observers to participate	
16. The Board will make arrangements, including developing and operating accreditation processes, to allow for effective participation by accredited observers in its meetings. The Board will invite, to participate as active observers: two civil society representatives, one each from developing and developed countries, and two private sector representatives, one each from developing and developed countries.		in meetings (2012)  • Adoption of additional rules of procedure relating to observers and guidelines relating to the observer participation, accreditation of observer	Responded to / on-going

		8. Additional rules of procedure 17. Additional rules of procedures will be developed by the Board.	Other	organizations and participation of active observers (2013)  • Accreditation of 111 organizations (2013)  • Information on observers (2015)  • Co-Chairs' seats (2012)  • Inter alia: observers, composition, selection and term of Board membership, co-chairs, meetings, decision-making and voting, confidentiality and conflict of interest; information disclosure and participation of advisers (2013)	Responded to / on-going
Role and functions of the Board	Decision 3/CP.17, annex	18. The Board of the Fund will:  (a) Oversee the operation of all relevant components of the Fund; (b) Approve operational modalities, access modalities and funding structures; (c) Approve specific operational policies and guidelines, including for programming, project cycle, administration, and financial management; (d) Approve funding in line with the Fund's principles, criteria, modalities, policies and programmes; (e) Develop environmental and social safeguards and fiduciary principles and standards that are internationally accepted; (f) Develop criteria and application processes for the accreditation of implementing entities of the Fund and accredit implementing entities and withdraw such accreditation; (g) Establish subcommittees and panels and define their terms of reference, as appropriate; (h) Establish additional thematic windows and/or substructures to address specific activities, as appropriate; (i) Establish a framework for the monitoring and evaluation of performance and the financial accountability of activities supported by the Fund and any necessary external audits;	Other		On-going On-going

		(j) Review and approve the administrative budget of the Fund and arrange for performance reviews and audits;		<ul> <li>Approval of administrative budget (2012)</li> <li>Green Climate Fund Trust Fund Financial Report (2013)</li> <li>Section of 2014 report on administrative and financial performance (2014)</li> </ul>	Responded to / on-going
		(k) Appoint the Executive Director of the secretariat;		Appointment of Executive Director (2013)	Responded to / on-going
		(l) Appoint the head of the evaluation unit and the heads of all accountability units; (m) Receive guidance and take action in response to any guidance from the COP and prepare annual reports to the COP on its activities; (n) Develop working and coordination arrangements with other relevant bodies under the Convention and other relevant international institutions; (o) Select, appoint and enter into legal and administrative arrangements with the trustee;		• Approval of Agreement on Terms and Conditions for the Administration of the GCF Trust Fund (2013)	Responded to / on-going
		(p) Exercise such other functions as may be appropriate to fulfil the objectives of the Fund.			
Secretariat	Decision 1/CP.16	108. <i>Decides</i> that the operation of the Fund shall be supported by an independent secretariat;	Other		On-going
	Decision 3/CP.17	15. Further requests the Board to establish the independent secretariat of the Green Climate Fund in the host country in an expedited manner as soon as possible, in accordance with paragraph 19 of the governing instrument;	Other	Approval of establishment of GCF Secretariat (2013)	Obsolete
	Decision 3/CP.17	18. Recognizes the need to facilitate the immediate functioning of the Green Climate Fund and ensure its independence, requests the UNFCCC secretariat jointly with the Global Environment Facility secretariat to take the necessary administrative steps to set up the interim secretariat of the	Other	Establishment of Interim Secretariat (2012)	Obsolete

	Green Climate Fund as an autonomous unit within the UNFCCC secretariat premises without undue delay after the seventeenth session of the Conference of the Parties so that the interim secretariat can provide technical, administrative and logistical support to the Board until the independent secretariat of the Green Climate Fund is established;			
	19. <i>Decides</i> that the interim arrangements should terminate no later than the nineteenth session of the Conference of the Parties;	Other	Approval of establishment of GCF secretariat, interim arrangements to be terminated by	Obsolete
	20. Also decides that the interim secretariat shall be fully accountable to the Board and shall function under its guidance and authority, and that its head shall report to the Board;	Other	COP 19 (2013)	Obselete
	21. <i>Urges</i> the Board to move promptly to appoint the head of the interim secretariat;	Other		Obsolete
	22. <i>Decides</i> that the criteria for the selection of the head of the interim secretariat shall include, inter alia, expertise in the design or management of funds, relevant administrative and management experience, experience in or working with developing countries, and policy expertise;	Other		Obsolete
Decision	1. Establishment of the secretariat	Other		
3/CP.17, annex	19. The Fund will establish a secretariat, which will be fully independent. The secretariat will service and be accountable to the Board. It will have effective management capabilities to execute the day-to-day operations of the Fund.		Approval of establishment of GCF Secretariat (2013)	Obsolete
	20. The secretariat will be headed by an Executive Director with the necessary experiences and skills, who will be appointed by and be accountable to the Board. The Board will approve the job description and qualifications for the Executive Director. The Executive Director will be selected through a merit-based, open and transparent process.	Other	<ul> <li>Establishment of Executive Director Selection Committee (2012)</li> <li>Appointment of Executive Director (2013)</li> </ul>	Obsolete
	21. The secretariat will be staffed with professional staff with relevant experience. The staff selection will be managed by the Executive Director	Other		On-going

	and will be open, transparent and based on merit, taking into account geographical and gender balance.  22. The selection of the host country of the Fund will be an open and transparent process. The selection of the host country will be endorsed by the COP.	Other	Decided to select by consensus Songdo, Incheon City, Republic of Korea (2012)	Obsolete
	<ul><li>2. Functions</li><li>23. The secretariat will be responsible for the day-to-day operations of the Fund, providing administrative, legal and financial expertise. In particular, the secretariat will:</li></ul>	Other	<ul> <li>Information on secretariat activities in 2014 report (2014)</li> <li>Information on management and staff of the GCF (2015)</li> </ul>	Responded to / on-going
	<ul> <li>(a) Organize and execute all administrative duties;</li> <li>(b) Report information on the Fund's activities;</li> <li>(c) Liaise with members, implementing entities, and cooperating bilateral and multilateral institutions and agencies;</li> <li>(d) Prepare performance reports on the implementation of activities under the Fund;</li> <li>(e) Develop the work programme and annual administrative budget of the secretariat and trustee and submit them for approval by the Board;</li> <li>(f) Operationalize the project and programme cycle processes;</li> <li>(g) Prepare financial agreements related to the specific financing instrument to be concluded with an implementing entity;</li> <li>(h) Monitor the financial risks of the outstanding portfolio;</li> <li>(i) Work with the trustee to support the Board to enable it to carry out its responsibilities;</li> <li>(j) Carry out monitoring and evaluation functions;</li> <li>(k) Support the Board in arranging replenishment processes;</li> <li>(l) Establish and run effective knowledge management practices;</li> <li>(m) Perform any other functions assigned by the Board.</li> </ul>			
Decision 6/CP.18	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:			
	(d) To establish the independent secretariat of the Green Climate Fund in the host country in an expedited manner as soon as possible, in accordance with	Other	Approval of establishment of GCF Secretariat (2013)	Obsolete

	paragraph 19 of the governing instrument;			
Decision 6/CP.18	8. <i>Looks forward</i> to the appointment of the Executive Director of the Green Climate Fund in accordance with decision 3/CP.17;	Other	Appointment of Executive Director (2013)	Obsolete
Decision 6/CP.18	9. <i>Reaffirms</i> its decision that the interim arrangements <sup>3</sup> should terminate no later than the nineteenth session of the Conference of the Parties;	Other	Interim arrangements to be terminated by COP 19 (2013)	Obsolete
Decision 4/CP.19	<ol> <li>Welcomes the Board's decisions to establish the independent secretariat and the selection of Ms. Héla Cheikhrouhou as the Executive Director of the independent secretariat of the Green Climate Fund;</li> <li>Takes note of the termination of the interim arrangements for the operation of the interim secretariat and expresses its appreciation to the UNFCCC and Global Environment Facility secretariats for supporting the interim secretariat;</li> </ol>	Other Other	<ul> <li>Approval of establishment of GCF Secretariat (2013)</li> <li>Appointment of Executive Director (2013)</li> <li>Interim arrangements to be terminated by COP 19 (2013)</li> </ul>	Obsolete Obsolete
Decision 5/CP.19, annex	Cooperation between secretariats and representation in meetings of the governing bodies  18. The secretariat of the GCF and the secretariat of the Convention may, as necessary and subject to the direction of the Board of the GCF and the COP, respectively, cooperate and exchange views on matters relevant to the operation of the financial mechanism of the Convention, including implementation of these arrangements between the COP and the GCF, coordination with other international financing channels and participation of representatives at relevant meetings of the bodies.  19. The participation of representatives of the secretariat of the Convention in the meetings of the GCF, and of the secretariat of the GCF in the sessions of the COP, is to be governed by the rules of procedure of the GCF and the COP, respectively.	Other		On-going
Decision 7/CP.20	19. <i>Urges</i> the Green Climate Fund to ensure that staff selection is open, transparent and based on merit without discrimination, taking into account	Policy	• Status quo of GCF staff is 44 members, 45 % are female	Responded to / on-going

<sup>&</sup>lt;sup>3</sup> Decision 3/CP.17 paragraph 19.

		geographical and gender balance, in accordance with the administrative policies of the Green Climate Fund; <sup>4</sup>		while 55 % are male, and 61 % are from developing countries (2015)  • Encourage applications from women and citizens of developing countries (2015)	
Trustee	Decision 1/CP.16	104. Further decides that the Green Climate Fund shall have a trustee; the trustee for the Green Climate Fund shall have the administrative competence to manage the financial assets of the Green Climate Fund, maintain appropriate financial records and prepare financial statements and other reports required by the Board of the Green Climate Fund, in accordance with internationally accepted fiduciary standards;	Other	• Approval of Agreement on Terms and Conditions for the Administration of the GCF Trust Fund (2013)	Responded to / on-going
		105. Decides that the trustee shall administer the assets of the Green Climate Fund only for the purpose of, and in accordance with, the relevant decisions of the Green Climate Fund Board; the trustee shall hold the assets of the Green Climate Fund separate and apart from the assets of the trustee, but may commingle them for administrative and investment purposes with other assets maintained by the trustee; and the trustee shall establish and maintain separate records and accounts to identify the assets of the Green Climate Fund;	Other		On-going
		106. <i>Decides</i> that the trustee shall be accountable to the Green Climate Fund Board for the performance of its fiduciary responsibilities;	Other		On-going
		107. <i>Invites</i> the World Bank to serve as the interim trustee for the Green Climate Fund, subject to a review three years after operationalization of the Fund;	Other	World Bank accepted invitation, established a Financial Intermediary Fund for the GCF; Board approved Standard Provisions to apply to all contributions prior to the conclusions of the legal arrangements between the Interim Trustee and the Fund;	Obsolete

<sup>&</sup>lt;sup>4</sup> Green Climate Fund Board decision B.06/03, annex I.

Decision 3/CP.17	16. Invites the Board to select the trustee of the Green Climate Fund through an open, transparent and competitive bidding process in a timely manner to ensure that there is no discontinuity in trustee services;	Other	Approval of Transfer Agreement (2012)  Invitation to World Bank to continue to serve as Interim Trustee until appointment of permanent Trustee; process to appoint permanent Trustee should end no later than the end of 2017; secretariat to submit draft terms of reference for the review of the Interim Trustee, and to develop a methodology for an open, transparent and competitive bidding process to select a Trustee (2014)  Approval of Agreement on Terms and Conditions for the Administration of the GCF Trust Fund (2013)  Invitation to World Bank to continue to serve as Interim Trustee until appointment of permanent Trustee; process to appoint permanent Trustee should end no later than the end of 2017; secretariat to submit draft terms of reference for the review of the Interim Trustee, and to develop a methodology for an open, transparent and competitive bidding process to select a Trustee (2014)	Responded to / on-going
			• Invitation to World Bank to continue serving as Interim  Trustee until appointment of	

			permanent Trustee; process to appoint permanent Trustee should end no later end of 2017; to enable permanent Trustee to commence its contractual agreement with GCF no later than April 2018 (2015)	
Decision 3/CP.17, annex	24. The Fund will have a trustee with administrative competence to manage the financial assets of the Fund. The trustee will maintain appropriate financial records and will prepare financial statements and other reports required by the Board, in accordance with internationally accepted fiduciary standards.	Other		On-going
	25. The trustee will administer the assets of the Fund only for the purpose of, and in accordance with, the relevant decisions of the Board. The trustee will hold the assets of the Fund separate and apart from the assets of the trustee, but may commingle them for administrative and investment purposes with other assets maintained by the trustee. The trustee will establish and maintain separate records and accounts in order to identify the assets of the Fund.	Other		On-going
	26. The World Bank will serve as interim trustee for the Fund, subject to a review three years after the operationalization of the Fund.	Other	<ul> <li>Approval of Agreement on Terms and Conditions for the Administration of the GCF Trust Fund (2013)</li> <li>World Bank accepted invitation, established a Financial Intermediary Fund for the GCF; Board approved Standard Provisions to apply to all contributions prior to the conclusions of the legal arrangements between the Interim trustee and the Fund; Approval of Transfer Agreement (2012)</li> </ul>	Responded to / on-going

	27. The trustee will be accountable to the Board for the performance of its responsibilities as trustee for the Fund.	Other		On-going
Decision 6/CP.18	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:  (e) To select the trustee of the Green Climate Fund through an open, transparent and competitive bidding process in a timely manner to ensure that there is no discontinuity in trustee services;	Other	<ul> <li>Approval of Agreement on Terms and Conditions for the Administration of the GCF Trust Fund (2013)</li> <li>Invitation to World Bank to continue to serve as Interim Trustee until appointment of permanent Trustee; process to appoint permanent Trustee should end no later than the end of 2017; secretariat to submit draft terms of reference for the review of the Interim Trustee, and to develop a methodology for an open, transparent and competitive bidding process to select a Trustee (2014)</li> <li>Invitation to World Bank to continue serving as Interim Trustee until appointment of permanent Trustee; process to appoint permanent Trustee should end no later end of 2017; to enable permanent Trustee to commence its contractual agreement with GCF no later than April 2018 (2015)</li> </ul>	Repetitive Responded to / on-going

costs					
	Decision 3/CP.17, annex	28. The Fund will finance the operating costs of the Board, secretariat and trustee.	Other		On-going
	Decision 4/CP.19	5. Welcomes the adoption of the third administrative budget of the Green Climate Fund, which will enable the establishment of the independent secretariat in Songdo, Incheon City, Republic of Korea;	Other		Obsolete
Financial in	nputs				
	Decision 3/CP.17, annex	<ul><li>29. The Fund will receive financial inputs from developed country Parties to the Convention.</li><li>30. The Fund may also receive financial inputs from a variety of other sources, public and private, including alternative sources.</li></ul>	Policy	<ul> <li>Initial resource mobilization process to commence through initial resource mobilization process as soon as possible and transition subsequently to formal replenishment process (2013)</li> <li>Decision that 8 essential requirements for the Fund to receive, manage, programme and disburse financial resources have been met, commencement of the process to mobilize resources commensurate with the Fund's ambition; pledges to initial resource mobilization process US\$ 9,6 billion (2014)</li> </ul>	Responded to / on-going
	Decision 3/CP.17	9. Stresses the need to secure funding for the Green Climate Fund, taking into account paragraphs 29 and 30 of the governing instrument, to facilitate its expeditious operationalization, and requests the Board to establish the necessary policies and procedures, which will enable an early and adequate replenishment process;	Policy	<ul> <li>Initial resource mobilization process to commence through initial resource mobilization process as soon as possible and transition subsequently to formal replenishment process (2013)</li> <li>Decision that 8 essential requirements for the Fund to receive, manage, programme and disburse financial resources have</li> </ul>	Responded to / on-going

	Decision /CP.18	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:  (c) To secure funding for the Green Climate Fund, taking into account paragraphs 29 and 30 of the governing instrument, to facilitate its expeditious operationalization, and to establish the necessary policies and procedures to enable an early and adequate replenishment process;	Policy	been met, commencement of the process to mobilize resources commensurate with the Fund's ambition; pledges to initial resource mobilization process US\$ 9,6 billion (2014)  • Decisions on the approval of projects and programmes, preparations are ongoing for the Board to consider the first set of projects at 11 <sup>th</sup> meeting (2015)  • Aim to consider the arrangements for the formal replenishment of GCF with all interested contributors in line with decision B.05/17(2015)  • Initial resource mobilization process to commence through initial resource mobilization process as soon as possible and transition subsequently to formal replenishment process (2013)  • Decision that 8 essential requirements for the Fund to receive, manage, programme and disburse financial resources have been met, commencement of the process to mobilize resources commensurate with the Fund's ambition; pledges to initial resource mobilization process US\$ 9,6 billion (2014)  • Decisions on the approval of projects and programmes, preparations are ongoing for the	Repetitive Responded to / on-going
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			Board to consider the first set of projects at 11 <sup>th</sup> meeting (2015)  • Aim to consider the arrangements for the formal replenishment of GCF with all interested contributors in line with decision B.05/17(2015)	
Decision 4/CP.19	14. Underlines that initial resource mobilization should reach a very significant scale that reflects the needs and challenges of developing countries in addressing climate change;	Policy	<ul> <li>Decision that 8 essential requirements for the Fund to receive, manage, programme and disburse financial resources have been met, commencement of the process to mobilize resources commensurate with the Fund's ambition; pledges to initial resource mobilization process US\$ 9,6 billion (2014)</li> <li>Endorsement of policies for contribution to the GCF (2014)</li> <li>Secured pledges to initial resource mobilization of USD 10 billion, 25 countries signed contribution agreements for USD 5.76 billion equivalent (2015)</li> <li>Decision to urge countries to convert pledges into contribution arrangements, new contributions can be accepted by GCF on an ongoing basis (2015)</li> </ul>	Responded to / on-going
	15. <i>Invites</i> financial inputs from a variety of other sources, public and private, including alternative sources, for the Board's initial resource mobilization process;	Policy	ongoing outile (2010)	On-going

Decision	Determination and periodic review of funding necessary and available			On-going
5/CP.19, annex	17. In accordance with Article 11, paragraph 3(d), of the Convention, which calls for arrangements to determine in a predictable and identifiable manner the amount of funding necessary and available for the implementation of the Convention, and the conditions under which that amount shall be periodically reviewed:	Other		
	a) The COP will make assessments of the amount of funds that are necessary to assist developing countries in implementing the Convention, in order to help inform resource mobilization by the GCF; and			
	b) The GCF is to provide information on resource mobilization and the available financial resources, including any replenishment processes, in its annual reports to the COP.		<ul> <li>Initial resource mobilization process to commence through initial resource mobilization process as soon as possible and transition subsequently to formal replenishment process (2013)</li> <li>Decision that 8 essential requirements for the Fund to receive, manage, programme and disburse financial resources have been met, commencement of the process to mobilize resources commensurate with the Fund's ambition; pledges to initial resource mobilization process US\$ 9,6 billion (2014)</li> <li>Decisions on the approval of projects and programmes, preparations are ongoing for the Board to consider the first set of projects at 11<sup>th</sup> meeting (2015)</li> <li>Aim to consider the arrangements for the formal replenishment of GCF with all interested</li> </ul>	Responded to / on-going

			contributors (2015)	
Decision 7/CP.2	11	Other		Obsolete
	4. <i>Requests</i> the Green Climate Fund to ensure that the ongoing resource mobilization efforts are commensurate with the ambitions of the Fund, and <i>calls</i> for contributions by other developed country Parties, <sup>6</sup> as well as <i>invites</i> financial inputs from a variety of other sources, public and private, including alternative sources, <sup>7</sup> throughout the initial resource mobilization process;	Policy		On-going
	5. <i>Urges</i> the Green Climate Fund, the Interim Trustee, and contributors to confirm the pledges in the form of fully executed contribution agreements/arrangements, taking note that the commitment authority of the Green Climate Fund will become effective when 50 per cent of the contributions pledged by the November 2014 pledging session are reflected in fully executed contribution agreements/arrangements received by the secretariat no later than 30 April 2015 as provided for in Green Climate Fund Board decision B.08/13, annex XIX, paragraph 1(c);	Other	• 25 countries signed contributions agreements/arrangements, takes GCF above effectiveness threshold (2015)	Responded to / on-going
	6. <i>Notes</i> Green Climate Fund Board decisions, including the decisions made at its 8th meeting, regarding the formal replenishment process for the Fund;	Policy		Obsolete
Decisio 7/CP.2	1	Policy	<ul> <li>Developed initial proposal approval process and investment framework, B.09/05 (2015)</li> <li>A progress report on the strategic plan for the GCF, B.10/14(2015)</li> <li>Decision to prepare a document</li> </ul>	Responded to / on-going

<sup>&</sup>lt;sup>5</sup> Australia, Austria, Belgium, Canada, Colombia, Czech Republic, Denmark, Finland, France, Germany, Indonesia, Italy, Japan, Liechtenstein, Luxembourg, Mexico, Monaco, Mongolia, Netherlands, New Zealand, Norway, Panama, Peru, Republic of Korea, Spain, Sweden, Switzerland, United Kingdom of Great Britain and Northern Ireland, and United States of America.

<sup>6</sup> As per decision 4/CP.19, paragraph 13.

<sup>7</sup> As per decision 4/CP.19, paragraph 15.

<sup>8</sup> Green Climate Fund Board decision B.08/07, paragraph (l).

	methodologies for selecting programmes and projects that best achieve the objectives of the Fund; <sup>9</sup>		for understanding and defining alternative sources of financial inputs (2015)  • Develop policies and procedures for contributions from philanthropic foundations, and other non-public sources, B.08/13 (2015)  • Establishment of priorities, targets, tolerances and limits for the different risk categories and subcategories, to be addressed by accredited entities, B.10/08 (2015)  • Monitor the portfolio, and recommend needed actions, to align the portfolio composition with the initial results management framework no later than two years after the first funding decision B.09/02 (2015)	
Operational modalities  Decisior 3/CP.17	7. Also requests the Board to develop a transparent no-objection procedure to be conducted through national designated authorities referred to in paragraph 46 of the governing instrument annexed to this decision, in order to ensure consistency with national climate strategies and plans and a country driven approach and to provide for effective direct and indirect public and private sector financing by the Green Climate Fund. Further requests the Board to determine this procedure prior to approval of funding proposals by the Fund;	Policy	<ul> <li>Guidance to the Secretariat on policy matters regarding country ownership; opened a call to developing countries to start process of designating National Designated Authority (NDA) (2013)</li> <li>Adoption of the no-objection procedure; endorsement of initial best-practice guidelines for the establishment of NDAs and focal</li> </ul>	Responded to / on-going

<sup>&</sup>lt;sup>9</sup> Green Climate Fund Board decision B.07/03, paragraph (b).

			points; endorsement of the initial best-practice options for country coordination and multistakeholder engagement (2014)  • Decision on detailed programme of work on readiness and preparatory support outlines priority activities such as establishment of national designated authorities or focal points and strategic frameworks (2014)  • Decision to only consider funding proposals that are submitted with a formal letter of no-objection from the relevant NDA (2015)	
Decision 3/CP.17, annex	31. The Fund will provide simplified and improved access to funding, including direct access, basing its activities on a country-driven approach and will encourage the involvement of relevant stakeholders, including vulnerable groups and addressing gender aspects.  32. The Board will steer the Fund's operations so that they evolve with the Fund's scale and maturity and will exercise flexibility to allow the Fund to	Policy	<ul> <li>Adoption of the no-objection procedure; endorsement of initial best-practice guidelines for the establishment of NDAs and focal points; endorsement of the initial best-practice options for country coordination and multistakeholder engagement (2014)</li> <li>Launching a pilot phase for enhancing direct access to the GCF (2015)</li> </ul>	Responded to / on-going
	evolve over time and become the main global fund for climate change finance.			
Decision 6/CP.18	7. <i>Requests</i> the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:			

	(a) To develop a transparent no-objection procedure to be conducted through national designated authorities referred to in paragraph 46 of the governing instrument, <sup>10</sup> in order to ensure consistency with national climate strategies and plans and a country-driven approach and to provide for effective direct and indirect public and private-sector financing by the Green Climate Fund, and to determine this procedure prior to approval of funding proposals by the Fund;	Policy	<ul> <li>Guidance to the Secretariat on policy matters regarding country ownership; opened a call to developing countries to start process of designating National Designated Authority (NDA) (2013)</li> <li>Adoption of the no-objection procedure; endorsement of initial best-practice guidelines for the establishment of NDAs and focal points; endorsement of the initial best-practice options for country coordination and multistakeholder engagement (2014)</li> <li>Decision on detailed programme of work on readiness and preparatory support outlines priority activities such as establishment of national designated authorities or focal points and strategic frameworks (2014)</li> <li>Decision to only consider funding proposals that are submitted with a formal letter of no-objection from the relevant NDA (2015)</li> </ul>	Repetitive Responded to / on-going
4/CF		Policy	Adoption of the no-objection procedure; endorsement of initial best-practice guidelines for the establishment of NDAs and focal	Repetitive Responded to / on-going

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<sup>&</sup>lt;sup>10</sup> Decision 3/CP.17, annex.

				points; endorsement of the initial best-practice options for country coordination and multistakeholder engagement (2014)  • Decision on detailed programme of work on readiness and preparatory support outlines priority activities such as establishment of national designated authorities or focal points and strategic frameworks (2014)	
		<ul><li>16. <i>Requests</i> the Board of the Green Climate Fund:</li><li>(a) To consider important lessons learned on country-driven processes from other existing funds;</li></ul>	Policy	• Adoption of initial guiding framework for the Fund's accreditation process, the initial fiduciary principles and standards, the Performance Standards of the International Finance Corporation (IFC) (2014)	Responded to / on-going
Complementa rity and coherence	Decision 3/CP.17, annex	33. The Fund shall operate in the context of appropriate arrangements between itself and other existing funds under the Convention, and between itself and other funds, entities, and channels of climate change financing outside the Fund.  34. The Board will develop methods to enhance complementarity between the activities of the Fund and the activities of other relevant bilateral, regional and global funding mechanisms and institutions, to better mobilize the full range of financial and technical capacities. The Fund will promote coherence in programming at the national level through appropriate mechanisms. The Fund will also initiate discussions on coherence in climate finance delivery with other relevant multilateral entities.	Other	<ul> <li>Interim Secretariat to invite GEF secretariat, AF, Multilateral Fund for the Implementation of the Montreal Protocol and the Climate Investment Funds to take up observer status (2013)</li> <li>Interim Secretariat to informally engage with other external bodies by exchanging information as required (2013)</li> </ul>	Responded to / on-going
	Decision 7/CP.20	16. Also requests the Green Climate Fund to enhance its collaboration with existing funds under the Convention and other climate relevant funds in	Policy	• Interim Secretariat to invite GEF secretariat, AF, Multilateral Fund	Responded to / on-going

	order to enhance the complementarity and coherence of policies and programming at the national level;		for the Implementation of the Montreal Protocol and the Climate Investment Funds to take up observer status (2013)  Interaction with learning from funds under Convention and other climate relevant funds, e.g. indicating institutions accredited by GEF and AF as eligible to fast-track accreditation process of GCF (2015)  Adoption of International Finance Corporation's Performance Standards by GCF as its interim environmental and social safeguard (2015)  Contribution to meetings of the LEG in July 2015, where presented GCF results	
Decision 9/CP.20	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;	Policy	management framework (RMF) and monitoring and evaluation system (2015)	On-going
	Annex	Doliny	Donal considers immediate week	Dognandad
	51. The GEF and the GCF may consider collaborating in the use of funding pathways that may include the LDCF and the SCCF	Policy	Board considers immediate needs of developing countries that are vulnerable, including LDCs, SIDS and African States, collaborating with other funds (2015)	Responded to / on-going
	92. The operating entities of the Financial Mechanism and the funds under the	Policy	Interim Secretariat to invite GEF	Responded

		Convention should collaborate with the view to taking advantage of the complementarity of their respective policies and programmes. The operating entities of the Financial Mechanism should provide information on the progress made in ensuring the complementarity with the other sources of climate finance in their respective reports to the COP.		secretariat, AF, Multilateral Fund for the Implementation of the Montreal Protocol and the Climate Investment Funds to take up observer status (2013)	to / on-going
Eligibility	Decision 3/CP.17, annex	35. All developing country Parties to the Convention are eligible to receive resources from the Fund. The Fund will finance agreed full and agreed incremental costs for activities to enable and support enhanced action on adaptation, mitigation (including REDD-plus), 11 technology development and transfer (including carbon capture and storage), capacity-building and the preparation of national reports by developing countries.	Eligibility criteria	• Approval of all developing country Parties to Convention as eligible for funding (2015)	Responded to / on-going
		36. The Fund will support developing countries in pursuing project-based and programmatic approaches in accordance with climate change strategies and plans, such as low-emission development strategies or plans, nationally appropriate mitigation actions (NAMAs), national adaptation plans of action (NAPAs), national adaptation plans (NAPs) and other related activities.	Policy		Ongoing
	Decision 4/CP.19	10. <i>Confirms</i> that all developing country Parties to the Convention are eligible to receive resources from the Green Climate Fund;	Eligibility criteria	• Approval of all developing country Parties to Convention as eligible for funding (2015)	Repetitive Responded to / on-going
Funding windows and fund structure	Decision 3/CP.17, annex	37. The Fund will have thematic funding windows. Initially, the Fund will have windows for adaptation and mitigation. An integrated approach to funding mitigation and adaptation will be used to allow for cross-cutting projects and programmes.	Programme priority	<ul> <li>First set of project and programme proposals will be presented to B.11 (2015)</li> <li>Initial approval takes into</li> </ul>	Responded to / on-going
		38. The Board shall also ensure adequate resources for capacity-building and technology development and transfer. The Fund will also provide resources for innovative and replicable approaches.	Programme priority	consideration capacity-building and technology development in funding proposal assessment (2015)	Responded to / on-going
		39. The Board will consider the need for additional windows. The Board will have the authority to add, modify and remove additional windows and substructures or facilities as appropriate.	Programme priority	(====)	On-going

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Reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

Decision 7/CP.20	operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument; 12	Programme priority	<ul> <li>First set of project and programme proposals will be presented to B.11 (2015)</li> <li>Initial approval takes into consideration capacity-building and technology development in funding proposal assessment (2015)</li> <li>Launching a pilot phase for enhancing direct access to the GCF (2015)</li> </ul>	Responded to / on-going
Readiness and preparatory support 3/CP.17 annex		Programm e priority	<ul> <li>Decision to explore options for making short-term progress on readiness, including to initiate work on operationalizing a readiness phase (2013)</li> <li>Workshop on readiness and preparatory support (2013)</li> <li>Decision to provide readiness and preparatory support, definition of areas of support; secretariat to begin to advance readiness in developing countries in the context of its communication and outreach activities, and to prepare a detailed programme of work relating to provision of readiness and preparatory support (2013)</li> <li>US \$1 million committed (2014)</li> <li>Progressing in all five areas of readiness progamme (2015)</li> <li>Development of standardized packages in strengthening of NDAs or focal points (2015)</li> </ul>	Responded to / on-going

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<sup>&</sup>lt;sup>12</sup> Annex to decision 3/CP.17.

	Decision 4/CP.20, annex	6. Regarding the operating entities of the Financial Mechanism, the AC recommends the following actions for consideration by the COP:  (b) Inviting the Board of the GCF to engage with institutions that have started initiatives on countries' readiness to access GCF funding and exploring how more countries can benefit from such initiatives;	Policy	Approval to setup a coordination mechanism with these institutions (2015)	Responded to / on-going
	Decision 7/CP.20	12. Also requests the Board of the Green Climate Fund to accelerate the implementation of its work programme on readiness and preparatory support, ensuring that adequate resources are provided for its execution, including from the initial resource mobilization process, providing urgent support to developing countries, in particular the least developed countries, small island developing States and African States, led by their national designated authorities or focal points to build institutional capacities in accordance with Green Climate Fund Board decision B.08/11;	Programme priority	<ul> <li>Progressing in all five areas of readiness prorgamme (2015)</li> <li>Development of standardized packages in strengthening of NDAs or focal points (2015)</li> </ul>	Responded to / on-going
Private sector	Decision 3/CP.17, annex	<ul> <li>41. The Fund will have a private sector facility that enables it to directly and indirectly finance private sector mitigation and adaptation activities at the national, regional and international levels.</li> <li>42. The operation of the facility will be consistent with a country-driven approach.</li> <li>43. The facility will promote the participation of private sector actors in developing countries, in particular local actors, including small- and medium-sized enterprises and local financial intermediaries. The facility will also support activities to enable private sector involvement in SIDS and LDCs.</li> <li>44. The Board will develop the necessary arrangements, including access modalities, to operationalize the facility.</li> </ul>	Programme priority  Policy  Policy  Policy	<ul> <li>Board approved initial 20 accredited entities, 3 are private sectors, 8 have significant experience in working with private sector (2015)</li> <li>Board decided, at B.10/1, to establish two pilot programmes (2015)</li> </ul>	Responded to / on-going
	Decision 7/CP.20	9. Also requests the Board of the Green Climate Fund to accelerate the operationalization of the private sector facility by aiming to ensure that private sector entities and public entities with relevant experience in working with the private sector are accredited in 2015, expediting action to engage local private sector actors in developing country Parties, including small- and medium-sized enterprises in the least developed countries, small island developing States and African States, emphasizing a country-driven	Programme priority / Policy	<ul> <li>Board approved initial 20 accredited entities, 3 are private sectors, 8 have significant experience in working with private sector (2015)</li> <li>Board decided, at B.10/1, to establish two pilot programmes</li> </ul>	Responded to / on-going

		approach, expediting action to mobilize resources at scale, and developing a strategic approach to engaging with the private sector;		(2015)	
Access modalities and accreditation	Decision 3/CP.17, annex	45. Access to Fund resources will be through national, regional and international implementing entities accredited by the Board. Recipient countries will determine the mode of access and both modalities can be used simultaneously.	Policy		On-going
		46. Recipient countries may designate a national authority. This national designated authority will recommend to the Board funding proposals in the context of national climate strategies and plans, including through consultation processes. The national designated authorities will be consulted on other funding proposals for consideration prior to submission to the Fund, to ensure consistency with national climate strategies and plans.	Policy		
	Decision 4/CP.19	16. Requests the Board of the Green Climate Fund:  (a) To consider important lessons learned on country-driven processes from other existing funds;	Policy	<ul> <li>Adoption of initial guiding framework for the Fund's accreditation process, the initial fiduciary principles and standards, the Performance Standards of the International Finance Corporation (IFC) (2014)</li> <li>Agreement on a fast-track modality for the accreditation of institutions already accredited by the GEF, the AF, and the Director-General for Development and Cooperation – EuropeAid of the EC (2014); endorsement of the initial best practice guidelines for the establishment of NDAs and focal points, and the initial best-practice options for country coordination and multi stakeholder engagement (2014)</li> </ul>	Responded to / on-going

(b) To ensure that in its consideration and finalization of the Green Climate	Policy	Board has an Accreditation	Responded
Fund's access modalities, the Board takes into account the institutions		Panel and a Private Sector	to / on-going
already accredited by relevant institutions covered by the Board's agreement		Advisory Group and appointed an	
on the best practice fiduciary standards and principles and environmental		Independent Technical Advisory	
and social standards;		Panel (2015)	
		Board continues to draw on	
		appropriate expert and technical	
		advice from relevant thematic bodies under Convention(2015)	
		` /	
		• Adoption of guidelines relating to observer participation,	
		accreditation of observer	
		organizations (2015)	
		<ul> <li>Decision to engage a wider group</li> </ul>	
		of stakeholders, including	
		women, through a call for public	
		input (2015)	
		Develop a proposal to increase	
		the transparency of the	
		accreditation process (2015)	
		Adoption of GCF-wide gender	
		policy and action plan, to	
		enhance the participation of	
		women in activities, consistent	
		with Governing Instrument	
		(2015)	
		• Interaction with learning from	
		funds under Convention and	
		other climate relevant funds, e.g. indicating institutions accredited	
		by GEF and AF as eligible to	
		fast-track accreditation process of	
		GCF (2015)	
		• Adoption of International	
		Finance Corporation's	

				Performance Standards by GCF as its interim environmental and social safeguard (2015)  • Contribution to meetings of the LEG in July 2015, where presented its results management framework (RMF) and monitoring and evaluation system (2015)	
Direct access	Decision 3/CP.17, annex	47. Recipient countries will nominate competent subnational, national and regional implementing entities for accreditation to receive funding. The Board will consider additional modalities that further enhance direct access, including through funding entities with a view to enhancing country ownership of projects and programmes.	Policy	Launching a pilot phase for enhancing direct access to the GCF (2015)	Responded to / on-going
International access	Decision 3/CP.17, annex	48. Recipient countries will also be able to access the Fund through accredited international entities, including United Nations agencies, multilateral development banks, international financial institutions and regional institutions.	Policy		On-going
Accreditation	Decision 3/CP.17, annex	49. The Board will develop, manage and oversee an accreditation process for all implementing entities based on specific accreditation criteria that reflect the Fund's fiduciary principles and standards and environmental and social safeguards.	Policy		On-going
	Decision 7/CP.20	13. Encourages the timely implementation of the accreditation framework and requests the Board of the Green Climate Fund, in its implementation, to pay adequate attention to the priorities and needs of developing country Parties, including the least developed countries, small island developing States and African States, emphasizing the need to provide readiness support to those national and regional entities eligible for fast tracking that request it;	Policy	<ul> <li>Approved the initial 20 accredited entities to the GCF, with a balanced geographical representation (2015</li> <li>Emphasizing readiness support to national and regional entities especially those eligible for fast-tracking (2015)</li> <li>Approved a pilot phase enhancing direct access to the GCF to enhancing country</li> </ul>	Responded to / on-going

	Decision 9/CP.20	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;  Annex  58. The GCF would benefit from lessons learned on the accreditation process from other funds, particularly the GEF. In the case of the GEF, the goal of accreditation of 10 project agencies was only partially achieved. The GCF may consider building on existing systems of GEF intermediaries and implementing entities, and may in the process also consider providing financial assistance to support the accreditation of national entities in recipient countries that may need it.	Policy	<ul> <li>ownership (2015)</li> <li>Coordinating with NDAs and focal points, providing support to direct access entities to orient on the accreditation process (2015)</li> <li>Aim to support applications for accreditation received from subnational, national, regional public and private sector entities to ensure a balance of diversity in the list of entities considered for accreditation (2015)</li> <li>Eligibility of institutions that are accredited by GEF, AF, and DG DEVCO for accreditation to GCF under the fast-track accreditation process (2015)</li> <li>Provided support to 24 entities on accreditation and submission of applications for accreditation under direct access modality, of which 9 have been accredited to GCF (2015)</li> </ul>	Responded to / on-going
Allocation	Decision 1/CP.16	100. <i>Decides</i> that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, referred to in paragraph 102 below;	Other	001 (2010)	
	Decision 3/CP.17	8. <i>Requests</i> the Board to balance the allocation of the Green Climate Fund resources between adaptation and mitigation activities;	Programm e priority	• Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation	Responded to / on-going

			<ul> <li>and mitigation, appropriate allocation of resources for other activities (2013)</li> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> <li>Board adopted initial guidelines for allocation of resources to aim for a 50:50 balance between mitigation and adaptation; requested Secretariat to report annually on status of resources in respect of the allocation parameters; considering initial set of funding proposals at B.11 (2015)</li> </ul>	
Decision 3/CP.17, annex	50. The Board will balance the allocation of resources between adaptation and mitigation activities under the Fund and ensure appropriate allocation of resources for other activities.  51. A results-based approach will be an important criterion for allocating	Programm e priority  Policy	<ul> <li>Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation and mitigation, appropriate allocation of resources for other activities (2013)</li> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> </ul>	Responded to / on-going
	resources.  52. In allocating resources for adaptation, the Board will take into account the urgent and immediate needs of developing countries that are particularly vulnerable to the adverse effects of climate change, including LDCs, SIDS and African States, using minimum allocation floors for these countries as appropriate. The Board will aim for appropriate geographical balance.	Programm e priority / Policy	<ul> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> </ul>	On-going  Responded to / on-going

Decision 1/CP.18	64. Reiterates that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, and the request to the Board of the Green Climate Fund to balance the allocation of the resources of the Green Climate Fund between adaptation and mitigation activities;	Other / Programm e priority	<ul> <li>Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation and mitigation, appropriate allocation of resources for other activities (2013)</li> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> </ul>	Repetitive Responded to / on-going
Decision 6/CP.18	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:			
	(b) To balance the allocation of the resources of the Green Climate Fund between adaptation and mitigation activities;	Programme priority	<ul> <li>Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation and mitigation, appropriate allocation of resources for other activities (2013)</li> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> <li>Board adopted initial guidelines for allocation of resources to aim for a 50:50 balance between mitigation and adaptation; requested Secretariat to report annually on status of resources in respect of the allocation parameters; considering initial set of funding proposals at B.11 (2015)</li> </ul>	Repetitive Responded to / on-going

	Decision	9. <i>Requests</i> the Green Climate Fund:			
	4/CP.19	<ul> <li>(a) To balance the allocation of resources between adaptation and mitigation, and ensure an appropriate allocation of resources for other activities;</li> <li>(c) In allocating resources for adaptation, the Green Climate Fund will take into account the urgent and immediate needs of developing countries that are particularly vulnerable to the adverse effects of climate change;</li> </ul>	Programm e priority  Programm e priority / Policy	<ul> <li>Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation and mitigation, appropriate allocation of resources for other activities (2013)</li> <li>Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)</li> <li>Taking decisions on the approval of projects and programmes, preparations are ongoing for the Board to consider the first set of projects at 11<sup>th</sup> meeting (2015)</li> <li>Aim to consider the arrangements for the formal replenishment of GCF with all interested</li> </ul>	Repetitive Responded to / on-going
Programming and approval processes	Decision 3/CP.17, annex	53. The Fund will have a streamlined programming and approval process to enable timely disbursement. The Board will develop simplified processes for the approval of proposals for certain activities, in particular small-scale activities.	Policy	contributors in line with B.05/17 (2015)	On-going
Financial instru	ıments				
	Decision 3/CP.17, annex	54. The Fund will provide financing in the form of grants and concessional lending, and through other modalities, instruments or facilities as may be approved by the Board. Financing will be tailored to cover the identifiable additional costs of the investment necessary to make the project viable. The Fund will seek to catalyze additional public and private finance through its activities at the national and international levels.	Policy		On-going
		55. The Fund may employ results-based financing approaches, including, in particular for incentivizing mitigation actions, payment for verified results,	Policy		On-going

		where appropriate.			
		56. Financial management practices and financing agreements will be in keeping with the Fund's fiduciary principles and standards and environmental and social safeguards to be adopted by the Board. The Board will develop an appropriate risk management policy for funding and financial instruments.	Policy		On-going
Monitoring					
	Decision 3/CP.17, annex	57. The programmes and projects, as well as other activities, funded by the Fund will be regularly monitored for impact, efficiency and effectiveness in line with rules and procedures established by the Board. The use of participatory monitoring involving stakeholders will be encouraged.	Policy		On-going
		58. A results measurement framework with guidelines and appropriate performance indicators will be approved by the Board. Performance against these indicators will be reviewed periodically in order to support the continuous improvement of the Fund's impact, effectiveness and operational performance.	Policy		On-going
	Decision 7/CP.20	18. Requests the Board of the Green Climate Fund:  (a) To develop a monitoring and accountability framework in accordance with Green Climate Fund Board decision B.08/02;	Policy	• Provided guidance for the development of initial monitoring and accountability framework for accredited entities at B.11 (2015)	Responded to / on-going
Evaluation	•				
	Decision 3/CP.17, annex	59. There will be periodic independent evaluations of the performance of the Fund in order to provide an objective assessment of the results of the Fund, including its funded activities and its effectiveness and efficiency. The purpose of these independent evaluations is to inform decision-making by the Board and to identify and disseminate lessons learned. The results of the periodic evaluations will be published.	Policy		On-going
		60. To this end, the Board will establish an operationally independent evaluation unit as part of the core structure of the Fund. The head of the unit will be selected by, and will report to, the Board. The frequency and types of evaluation to be conducted will be specified by the unit in agreement with the Board.	Policy	• Adoption of terms of reference of the Independent Evaluation Unit (2014)	Responded to / on-going

	61. Reports of the Fund's independent evaluation unit will be provided to the COP for purposes of periodic reviews of the financial mechanism of the Convention.	Policy		On-going
	62. The COP may commission an independent assessment of the overall performance of the Fund, including Board performance.	Other		On-going
Fiduciary standards				
Decision 3/CP.17 annex		Policy		On-going
	64. The Fund will support the strengthening of capacities in recipient countries, where needed, to be able to meet the Fund's fiduciary principles and standards, based on modalities that will be established by the Board.	Policy		On-going
Decision 9/CP.20				
	Annex  25. As it monitors the use of its initial fiduciary standards and reviews those standards within the next three years, the GCF should consider maintaining consistency with the standards of the GEF.	Policy	<ul> <li>Board adopted its initial fiduciary standards based on standards of GEF, AF and DG DEVCO, and on best practices from most multilateral development bank; decided to review standards within three years (2015)</li> <li>Board requested Secretariat at B.10 to present relevant policies addressing fraud, corruption, and policies addressing anti-money laundering and countering the financing of terrorism issues (2015)</li> </ul>	Responded to / on-going

Environmental and so	ial safeguards			
Decis 3/CP anne	17, social safeguards, which shall be applied to all programmes and projects	Policy		On-going
	66. The Fund will support the strengthening of capacities in recipient countries, where needed, to enable them to meet the Fund's environmental and social safeguards, based on modalities that shall be developed by the Board.	Policy		
Deci: 9/CP	0 1 6			
	Annex			
	21. As the GCF is developing its own environment and social safeguards, it should consider consistency with the safeguards of the GEF.	Policy	Adoption of Performance Standards of International Finance Corporation as its interim environmental and social safeguards (ESS) (2015)	Responded to / on-going
	22. Since the GCF will also be using financial intermediaries such as commercial banks, it is recommended that the GCF also develop an appropriate oversight mechanism to ensure that the institutions to which these intermediaries will channel funding also comply with the environmental policies and social safeguards of the GCF.	Policy	Board provided guidance for development of initial monitoring and accountability framework for consideration at B.11, acting as an oversight mechanism to ensure that accredited entities and institutions comply with ESS of GCF; framework will be applicable to all accredited entities of GCF (2015)	Responded to / on-going

Accountability mechan	isms			
Decis 3/CP.	7, policy that will be developed by the Board.	Policy		On-going
annex	68. The Board will establish an independent integrity unit, to work with the secretariat and report to the Board, to investigate allegations of fraud and corruption in coordination with relevant counterpart authorities.	Policy		On-going
	69. The Board will establish an independent redress mechanism that will report to the Board. The mechanism will receive complaints related to the operation of the Fund and will evaluate and make recommendations.	Policy	Adoption of terms of reference of the independent redress mechanism (2014)	Responded to / on-going
Decis				On-going
5/CP.	6. These arrangements reaffirm that the Board of the GCF has full responsibility for funding decisions.	Other		
	7. The Board of the GCF has been mandated by paragraph 69 of the governing instrument to establish an independent redress mechanism that will report to the Board.	Policy	Adoption of terms of reference of the independent redress mechanism (2014)	Responded to / on-going
	8. The independent redress mechanism will be open, transparent and easil accessible and will address, inter alia, the reconsideration of funding decisions.	y Policy		On-going
	9. The GCF will include in its annual reports to the COP the recommendations of its independent redress mechanism, and any action taken by the Board of the GCF in response to those recommendations. The COP may provide additional guidance to clarify policies, programme priorities and eligibility criteria as they impact funding decisions.	Policy	Endorsed the selection process of heads of accountability units including that of the independent Redress Mechanism (2015)	Responded to / on-going
	10. Further modalities for the reconsideration of funding decisions as per Article 11, paragraph 3(b), will be developed appropriately once the independent redress mechanism is operational.	Policy		On-going
Decis 7/CP.		rt Policy	Endorsed the selection process of heads of accountability units	Repetitive Responded

		redress mechanism, if any, and any actions taken by the Board in response to those recommendations; 13		including that of the independent Redress Mechanism (2015)	to / on-going
Expert and tec	hnical advic	e			
	Decision 3/CP.17, annex	70. In carrying out its functions the Board will develop mechanisms to draw on appropriate expert and technical advice, including from the relevant thematic bodies established under the Convention, as appropriate.	Policy	Decision to, in the short-term, commence engagement with UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)     Board continues to draw on appropriate expert and technical advice from relevant thematic bodies under Convention(2015)	Responded to / on-going
Stakeholder in	put and part	icipation			
	Decision 3/CP.17, annex	71. The Board will develop mechanisms to promote the input and participation of stakeholders, including private-sector actors, civil society organizations, vulnerable groups, women and indigenous peoples, in the design, development and implementation of the strategies and activities to be financed by the Fund.	Policy		On-going
	Decision 4/CP.20, annex	5. Furthermore, the AC agreed to forward the following recommendations resulting from the workshop on best practices and needs of local and indigenous communities referred to in paragraph 45 of its report referred to in paragraph 1 above for consideration by the COP. The COP may wish to:			
		(b) Encourage the Adaptation Fund, the Global Environment Facility (GEF) and the GCF to enhance their consideration of local, indigenous and traditional knowledge and practices and their integration into adaptation planning and practices, as well as procedures for monitoring, evaluation and reporting.	Policy	• Funding proposal criteria of GCF aims to determine how best available technologies and practices, including indigenous people and local communities are considered (2015)	Responded to / on-going

<sup>&</sup>lt;sup>13</sup> In accordance with the annex to decision 5/CP.19, paragraph 9.

Decision 7/CP.20	11. <i>Requests</i> the Board of the Green Climate Fund to consider ways by which to further increase the transparency of its proceedings;	Policy	Board considered a comprehensive draft information disclosure policy at B.11, and participation of observers in Board meeting in 2016 (2015)	Responded to / on-going
Decision 7/CP.20	17. Further requests the Board of the Green Climate Fund to further enhance the participation of all stakeholders in accordance with paragraph 71 of the Governing Instrument and other relevant Board decisions;	Policy	<ul> <li>Adoption of guidelines relating to observer participation, accreditation of observer organizations (2015)</li> <li>Decision to engage a wider group of stakeholders, including women, through a call for public input (2015)</li> <li>Develop a proposal to increase the transparency of the accreditation process (2015)</li> <li>Adoption of GCF-wide gender policy and action plan, to enhance the participation of women in activities, consistent with Governing Instrument (2015)</li> </ul>	Responded to / on-going
Decision 9/CP.20	4. Encourages the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;  Annex  14. The GCF could build on the experience of and lessons learned from the GEF in terms of stakeholder involvement. In this regard, the GCF may consider establishing a robust consultative process with its observers in order to ensure that adequate and timely consultation is undertaken with respect to the development of its policies, procedures, guidelines, and, later on, during the implementation of programmes and projects of the Fund.	Policy		On-going

Termination of	the Fund				
	Decision 3/CP.17, annex	72. Termination of the Fund will be approved by the COP based on a recommendation of the Board.	Other		On-going
Technology dev	elopment ar	nd transfer			
Funding	Decision 3/CP.17, annex	35. All developing country Parties to the Convention are eligible to receive resources from the Fund. The Fund will finance agreed full and agreed incremental costs for activities to enable and support enhanced action on adaptation, mitigation (including REDD-plus), <sup>14</sup> technology development and transfer (including carbon capture and storage), capacitybuilding and the preparation of national reports by developing countries.	Eligibility criteria	Approval of all developing country Parties to Convention as eligible for funding (2015)	Responded to / on-going
	Decision 3/CP.17, annex	38. The Board shall also ensure adequate resources for capacity-building and technology development and transfer. The Fund will also provide resources for innovative and replicable approaches.	Programme priorities	Initial approval takes into consideration capacity-building and technology development in funding proposal assessment (2015)	Responded to / on-going
	Decision 7/CP.20	8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument; <sup>15</sup>	Programme priorities	<ul> <li>First set of project and programme proposals will be presented to B.11 (2015)</li> <li>Initial approval takes into consideration capacity-building and technology development in funding proposal assessment (2015)</li> <li>Launching a pilot phase for enhancing direct access to the GCF (2015)</li> </ul>	Responded to / on-going
Linkages and collaboration	Decision 3/CP.17	17. <i>Requests</i> the Board to initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as	Policy	Decision to, in the short-term, commence engagement with	Responded to / on-going

Reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

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	other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;		UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)  Co-Chairs corresponded with AC and TEC Chairs to decide on framework for cooperation (2014)  Board continues to draw on appropriate expert and technical advice from relevant thematic bodies under Convention(2015)	
Decision 1/CP.18	62. Agrees to further elaborate, at its twentieth session, the linkages between the Technology Mechanism and the financial mechanism of the Convention, taking into consideration the recommendations of the Board of the Green Climate Fund, developed in accordance with decision 3/CP.17, paragraph 17, and of the Technology Executive Committee, developed in accordance with decision 4/CP.17, paragraph 6;	Other	Board is yet to consider the recommendations hereby requested (2015)	Responded to / on-going
Decision 6/CP.18	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:  (f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;	Policy	<ul> <li>Decision to, in the short-term, commence engagement with UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)</li> <li>Co-Chairs corresponded with AC and TEC Chairs to decide on framework for cooperation (2014)</li> <li>Co-Chairs and Secretariat participated in meetings and events of thematic bodies of Convention and other climate funds, including SCF; AF; LEG;</li> </ul>	Repetitive Responded to / on-going

				TEC; ADP; GEF; CIF and MF for discussion on engagement (2015)	
	Decision 13/CP.18	7. Also encourages the Technology Executive Committee to continue to consult relevant institutional arrangements under the Convention, including the Adaptation Committee, the Standing Committee and the Board of the Green Climate Fund, and to initiate consultations with the advisory board of the Climate Technology Centre and Network as soon as it is established, in order to seek their views on and coordinate the proposed modalities of the Technology Executive Committee on linkages with other relevant institutional arrangements under the Convention; <sup>16</sup>	Other		On-going
Institutional arrangements	Decision 14/CP.18, annex II	5. Decides that the Advisory Board of the Climate Technology Centre and Network is hereby established, with the constitution contained in annex II to this decision and with the functions contained in decision 2/CP.17, annex VII, paragraphs 8 and 9  Annex II	Other		On-going
		1. The Advisory Board of the Climate Technology Centre and Network (CTCN), with the aim of achieving fair and balanced representation, shall constitute the following:			
		(c) One of the Co-Chairs, or a member designated by the Co-Chairs, of the Green Climate Fund Board in his/her official capacity as a Green Climate Fund representative; <sup>17</sup>			
	Decision 5/CP.19, annex II	3. Also adopts the rules of procedure of the Advisory Board of the Climate Technology Centre and Network, contained in annex II; Annex II	Other		Repetitive On-going
		III. Members 3. The Board of the CTCN, with the aim of achieving fair and balanced			

<sup>&</sup>lt;sup>16</sup> FCCC/SB/2012/2, annex. Relevance (TEC: Modalities for performing the functions as contained in decision 1/CP.16, paragraph 121, through close interaction with relevant thematic bodies established under the Convention with closely overlapping functions and/or possible activities, including, but not limited to, inter alia GCF Board)

<sup>17</sup> Relevance (One of the GCF Co-Chairs, or a member designated by the Co-Chairs, to represent the GCF Board in his/her official capacity)

		representation, shall constitute the following:		
		(c) One of the Co-Chairs, or a member designated by the Co-Chairs, of the Green Climate Fund Board in his/her official capacity as a Green Climate Fund representative;		
Information and reporting	Decision 2/CP.17	48. Further invites developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions:	Other	On-going
		<ul> <li>(a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions;</li> <li>(b) The source of the support, including, where applicable, the name of the developed country Parties in question and the executing entity channelling the support, including contact information;</li> <li>(c) The amount and type of support available, and whether it is financial (e.g. grant or facilitated loan), technology and/or capacity-building support;</li> <li>(d) The status of delivery;</li> <li>(e) The types of action that may be supported and the process for the provision of support;</li> </ul>		
		49. Invites Parties and entities referred to in paragraphs 46 and 48 above to provide the secretariat, subsequent to the matching of action with support, information on both internationally supported mitigation actions and associated support;		On-going
	Decision 16/CP.18	2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non–governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available	Other	Repetitive On-going

		and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;			
Adaptation					
Funding	Decision 1/CP.16	100. Decides that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, referred to in paragraph 102 below;	Other		On-going
	Decision 3/CP.17, annex	35. All developing country Parties to the Convention are eligible to receive resources from the Fund. The Fund will finance agreed full and agreed incremental costs for activities to enable and support enhanced action on adaptation, mitigation (including REDD-plus), <sup>18</sup> technology development and transfer (including carbon capture and storage), capacity-building and the preparation of national reports by developing countries.	Eligibility criteria / Programme priorities	Approval of all developing country Parties to Convention as eligible for funding (2015)	Responded to / on-going
	Decision 1/CP.18	64. <i>Reiterates</i> that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, and the request to the Board of the Green Climate Fund to balance the allocation of the resources of the Green Climate Fund between adaptation and mitigation activities;	Other / Programm e priorities	• Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation and mitigation, appropriate allocation of resources for other activities (2013)	Repetitive On-going
	Decision 3/CP.19	9. <i>Recalls</i> that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund;	Other	, ,	Repetitive On-going
	Decision 7/CP.20	8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument; <sup>19</sup>	Programme priorities	<ul> <li>First set of project and programme proposals will be presented to B.11 (2015)</li> <li>Initial approval takes into consideration capacity-building and technology development in</li> </ul>	Responded to / on-going

Reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

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				funding proposal assessment (2015)  • Launching a pilot phase for enhancing direct access to the GCF (2015)	
Linkages and collaboration	Decision 3/CP.17	17. Requests the Board to initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;	Policy	<ul> <li>Decision to, in the short-term, commence engagement with UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)</li> <li>Co-Chairs corresponded with AC and TEC Chairs to decide on framework for cooperation (2014)</li> <li>Co-Chairs and Secretariat participated in meetings and events of thematic bodies of Convention and other climate funds, including SCF; AF; LEG TEC; ADP; GEF; CIF and MF for discussion on engagement (2015)</li> <li>Board is yet to consider the recommendations hereby requested (2015)</li> </ul>	Responded to / on-going
	Decision 6/CP.18	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:  (f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;	Policy	<ul> <li>Decision to, in the short-term, commence engagement with UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)</li> <li>Co-Chairs corresponded with AC and TEC Chairs to decide on</li> </ul>	Repetitive Responded to / on-going

				framework for cooperation (2014)  Decision to, commence engagement with thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)  Co-Chairs outlined, incrosponding with SCF, LDC expert group, AC and TEC Chairs to decide on framework for cooperation (2014)	
National Adaptation Plans	Decision 12/CP.18	6. Also invites the operating entities of the financial mechanism of the Convention, bilateral and multilateral agencies and other relevant organizations, as appropriate, to take this decision into account when providing financial and technical support to developing country Parties in responding to decision 5/CP.17;	Programme priorities		On-going
	Decision 3/CP.20	11. Requests the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Green Climate Fund, as an operating entity of the Financial Mechanism, to consider how to best support developing country Parties in accessing funding from the Green Climate Fund for the process to formulate and implement national adaptation plans, and to report thereon to the Subsidiary Body for Implementation at its forty-second session.	Policy	Board participated in a teleconference with Co-Chairs of AF and representatives of the LEG to discuss the implementation of NAPs; exchanged information on access the resources of GCF, including Readiness and Preparatory Support Programme, and agreed to collaborate to explore options to support countries in development and implementation of NAPs (2015)	Responded to / on-going
	Decision 4/CP.20, annex	2. The AC recommends that the COP invite Parties, operating entities of the Financial Mechanism and relevant entities working on adaptation to take into account the following recommendations, which are based on the outcomes of the meeting of the task force on national adaptation plans (NAPs) referred to in paragraphs 38 and 39 of the report of the AC referred	Policy	Aim to ensure that country projects and programmes build on and strengthen its climate strategies, and policies including NAPs, NAPAs, and NAMAs;	Responded to / on-going

		to in paragraph 1 above:  (a) Recognizing the importance of raising awareness and buy-in for the NAP process by all stakeholders, in order to:  (i) Generate interest in, demand for and leadership of the NAP process at the national level;  (ii) Make available support for the NAP process better known;  (b) Improving coordination, collaboration and coherence among:  (i) Bilateral and multilateral agencies and institutions, including the operating entities of the Financial Mechanism;  (ii) Various national ministries;  (iii) Parties and regions, with a view to:  a. Enhancing the accessibility of NAP support;  b. Further understanding effective pathways to achieving the objectives of the NAP process, on the basis of experience;  c. Fostering coherence in the provision of support, including by better matching needs with support, involving more financial institutions in the NAP process and helping countries to prepare for accessing funding, including from the Green Climate Fund (GCF);  (c) Enhancing learning as stakeholders increasingly engage in the NAP process, particularly around aspects such as the role of institutional arrangements and monitoring and evaluation.		GCF Readiness Programme seeks to strengthen the institutional capacities of NDA (2015)  • Adoption of development the investment criteria; emphasizing need for funding proposals that are aligned with country NAPs; supporting country ownership (2015)  • Support the NAP process using several communication channels such as regional workshops aimed at fostering information sharing, and experience exchange; events of GCF at international conferences and forums (2015)  • Participation in regional workshops organized by UNFCCC on NAP process (2015)	
Monitoring and evaluation	Decision 4/CP.20, annex	3. In supporting the monitoring and evaluation of adaptation, the AC recommends that the COP invite Parties, operating entities of the Financial Mechanism and relevant entities working on adaptation to take into account the following recommendations:  (a) Monitoring and evaluation frameworks need to be appropriate, relevant to needs and tailored to country circumstances. A common set of global indicators is not useful, owing to the context-specific nature of adaptation; (b) National-level assessments can play a different role in measuring adaptive capacity from subnational or project-based assessments. National-level assessments could, for example, measure the degree of coordination and integration of adaptation in national priorities; (c) A positive learning environment, which encourages formal and informal learning, including peer-to-peer learning, and which encourages learning	Policy	The guidelines are under further development. This set of recommendations are into due consideration and will be reported on subsequently (2015)	Responded to / on-going

	from negative as well as positive experiences, is important; (d) Planning and allocation of resources, both technical and financial, are key for effective monitoring and evaluation systems.  4. In the context of the monitoring and evaluation of adaptation, the AC also recommends that the COP invite the Board of the GCF, with respect to its Results Management Framework, to consider:  (a) Keeping indicators simple; (b) Designing indicators that are qualitative as well as quantitative; (c) Designing indicators in such a way as to capture the progress that countries are able to make in integrating adaptation into their development and sectoral planning, policies and actions; (d) Giving countries sufficient flexibility to define their indicators in line with their national and local planning, strategies and priorities.	Policy	• Adoption of mitigation and adaptation performance measurement frameworks (PMFs) of GCF, embedded in the initial results management frameworks, and some of its indicators. Also, develop some of the PMF indicators that need refinement (2015)	Responded to / on-going
Decision 9/CP.20	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;  Annex			
	76. The GEF and the GCF may consider collaborating to harmonize impact indicators and set new norms around reporting practice, especially in the context of adaptation finance. Furthermore, the operationalization of the GCF results-based management framework presents an opportunity to make progress in this regard.	Policy	<ul> <li>Board adopted the mitigation and adaptation performance measurement frameworks         (PMFs) and its indicators at B.08, Also requested Secretariate for refinement some of indicators on adaptation (2015)</li> <li>Consultation of GEF in first phase of developing RMF/PMF indicators on both mitigation and adaptation, revision of indicators is ongoing, and consultations with GEF, including Independent Evaluation Office is carried out</li> </ul>	Responded to / on-going

				(2015)	
Institutional arrangements	Decision 16/CP.1 9	<ol> <li>Notes with appreciation the progress made by the Adaptation Committee in the implementation of its three-year workplan, in particular:</li> <li>(d) The establishment of the task force on national adaptation plans;<sup>20</sup></li> </ol>	Other		On-going
Mitigation					
Funding	Decision 3/CP.17, annex	35. All developing country Parties to the Convention are eligible to receive resources from the Fund. The Fund will finance agreed full and agreed incremental costs for activities to enable and support enhanced action on adaptation, mitigation (including REDD-plus), <sup>21</sup> technology development and transfer (including carbon capture and storage), capacity-building and the preparation of national reports by developing countries.	Eligibility criteria / Programme priority	Approval of all developing country Parties to Convention as eligible for funding (2015)	Responded to / on-going
	Decision 7/CP.20	8. Requests the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument; <sup>22</sup>	Programme priority	<ul> <li>First set of project and programme proposals will be presented to B.11 (2015)</li> <li>Initial approval takes into consideration capacity-building and technology development in funding proposal assessment (2015)</li> <li>Launching a pilot phase for enhancing direct access to the GCF (2015)</li> </ul>	Responded to / on-going
Information and reporting	Decision 2/CP.17	48. Further invites developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that	Other		On-going

The task force will include five members of the Adaptation Committee. In addition, the Adaptation Committee invites the LEG, the Standing Committee on Finance, the Technology Executive Committee and the Board of the GCF to each nominate one of their members to support the work of the task force. (FCCC/SB/2013/2, paragraphs 24 and 33, and annex I, including the terms of reference for the task force on national adaptation plans). Relevance (GCF Board to nominate one of their members to support the work of the task force.)

Reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

22 Annex to decision 3/CP.17.

	Decision 16/CP.1 8	are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions:  (a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions;  (b) The source of the support, including, where applicable, the name of the developed country Parties in question and the executing entity channelling the support, including contact information;  (c) The amount and type of support available, and whether it is financial (e.g. grant or facilitated loan), technology and/or capacity-building support;  (d) The status of delivery;  (e) The types of action that may be supported and the process for the provision of support;  49. Invites Parties and entities referred to in paragraphs 46 and 48 above to provide the secretariat, subsequent to the matching of action with support, information on both internationally supported mitigation actions and associated support;  2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non–governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;	Other	Repetitive On-going
Article 6	1		<u> </u>	
Funding	Decision 6/CP.18	6. <i>Invites</i> multilateral and bilateral institutions and organizations, including operating entities of the financial mechanism of the Convention, as appropriate, to provide financial resources to support the activities relating to the implementation of Article 6 of the Convention;	Programme priority	On-going

Capacity-buildi	ng				
Funding	Decision 3/CP.17, annex	35. All developing country Parties to the Convention are eligible to receive resources from the Fund. The Fund will finance agreed full and agreed incremental costs for activities to enable and support enhanced action on adaptation, mitigation (including REDD-plus), <sup>23</sup> technology development and transfer (including carbon capture and storage), capacity-building and the preparation of national reports by developing countries.	Eligibility criteria / Programme priority	Approval of all developing country Parties to Convention as eligible for funding (2015)	Responded to / on-going
	Decision 7/CP.20	8. <i>Requests</i> the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument; <sup>24</sup>	Programme priority	<ul> <li>First set of project and programme proposals will be presented to B.11 (2015)</li> <li>Initial approval takes into consideration capacity-building and technology development in funding proposal assessment (2015)</li> <li>Launching a pilot phase for enhancing direct access to the GCF (2015)</li> </ul>	Responded to / on-going
Information and reporting	Decision 2/CP.17	48. Further invites developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions:  (a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions;  (b) The source of the support, including, where applicable, the name of the developed country Parties in question and the executing entity channelling	Other		On-going

Reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

Annex to decision 3/CP.17.

		the support, including contact information; (c) The amount and type of support available, and whether it is financial (e.g. grant or facilitated loan), technology and/or capacity-building support; (d) The status of delivery; (e) The types of action that may be supported and the process for the provision of support;  49. Invites Parties and entities referred to in paragraphs 46 and 48 above to provide the secretariat, subsequent to the matching of action with support, information on both internationally supported mitigation actions and associated support;			On-going
	Decision 16/CP.1 8	2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non–governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;	Other		Repetitive On-going
Results-based fi	nance				
	Decision 9/CP.19	5. Encourages entities financing the activities referred to in decision 1/CP.16, paragraph 70, through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, including the Green Climate Fund in a key role, to collectively channel adequate and predictable results-based finance in a fair and balanced manner, taking into account different policy approaches, while working with a view to increasing the number of countries that are in a position to obtain and receive payments for results-based actions;	Programm e priority	<ul> <li>Adoption of the initial logic model for REDD+ result-based payments and the performance measurement framework for REDD+ results-based payments (2014)</li> <li>Further guidance to be followed as implementation of results-</li> </ul>	Responded to / on-going
		6. <i>Also encourages</i> the entities referred to in paragraph 5 above, when providing results-based finance, to apply the methodological guidance consistent with decisions 4/CP.15, 1/CP.16, 2/CP.17, 12/CP.17 and 11/CP.19 to 15/CP.19, as well as this decision, in order to improve the	Policy	based finance commences (2014)	On-going

	effectiveness and coordination of results-based finance;  7. <i>Requests</i> the Green Climate Fund, when providing results-based finance, to apply the methodological guidance consistent with decisions 4/CP.15, 1/CP.16, 2/CP.17, 12/CP.17 and 11/CP.19 to 15/CP.19, as well as this decision, in order to improve the effectiveness and coordination of results-based finance;	Policy		On-going
	8. Encourages entities financing the activities referred to in decision 1/CP.16, paragraph 70, through the wide variety of sources referred to in decision 2/CP.17, paragraph 65, to continue to provide financial resources to alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests;	Programm e priority	<ul> <li>Adoption of logic model and performance measurement framework for ex-post REDD+ results-based payments, which were developed based on the methodological guidance of the Warsaw Framework for REDD+ (2015)</li> <li>Develop methodologies for the indicators in the performance measurement framework that will be aligned methodological guidance provided by COP (2015)</li> </ul>	Responded to / on-going
Decision 7/CP.20	18. <i>Requests</i> the Board of the Green Climate Fund: (b) To consider decisions relevant to REDD-plus, <sup>25</sup> including decisions 1/CP.16, 2/CP.17, 12/CP.17 and decisions 9/CP.19, 10/CP.19, 11/CP.19, 12/CP.19, 13/CP.19, 14/CP.19 and 15/CP.19;	Policy	<ul> <li>Adoption of logic model and performance measurement framework for ex-post REDD+ results-based payments, which were developed based on the methodological guidance of the Warsaw Framework for REDD+ (2015)</li> <li>Develop methodologies for the indicators in the performance measurement framework that will</li> </ul>	Responded to / on-going

<sup>&</sup>lt;sup>25</sup> Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

				be aligned methodological guidance provided by COP (2015)	
Gender					
	Subsidiary Body for Implement ation, Thirty-	3. The SBI noted with appreciation efforts by United Nations organizations, multilateral, intergovernmental and other international and regional organizations, including the women and gender constituency, in relation to gender balance in the UNFCCC process and gender-sensitive climate policy.	Policy		On-going
	ninth session Gender and climate change	11. The SBI encouraged United Nations organizations and multilateral, intergovernmental and other international and regional organizations to further develop additional tools, knowledge, research and strategies for implementing gender-sensitive and responsive climate policy at the international, regional and country-driven approach at the national level.	Policy		
	Draft conclusions proposed by the Chair <sup>26</sup>	12. The SBI also encouraged the UNFCCC secretariat and other United Nations organizations and multilateral, intergovernmental and other international and regional organizations, when conducting capacity-building activities, to consider gender balance and encourage the participation of female delegates who are participating in the UNFCCC process.	Policy		
	Decision 9/CP.20	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;			
		Annex 18. In developing its own approach to gender mainstreaming, the GCF could build on the experience of the GEF. It is recommended that gender equality be integrated in the structure and organization of the GCF itself, and that gender-sensitive criteria be taken into account in funding approvals of the Fund.	Policy	Adoption of GCF-wide gender policy and action plan B.09/11, aiming to embed gender equality in structure of GCF; enhancing a gender-sensitive approach in operations of GCF, including design and implementation of	Responded to / on-going

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<sup>&</sup>lt;sup>26</sup> FCCC/SBI/2013/L.16

				activities to be financed (2015)	
ntended nationally	y determi	ned contributions			
	CP.19	2. <i>Decides</i> , in the context of its determination to adopt a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties at its twenty-first session (December 2015) and for it to come into effect and be implemented from 2020:	Programme priority		On-going
		(b) To invite all Parties to initiate or intensify domestic preparations for their intended nationally determined contributions, without prejudice to the legal nature of the contributions, in the context of adopting a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties towards achieving the objective of the Convention as set out in its Article 2 and to communicate them well in advance of the twenty-first session of the Conference of the Parties (by the first quarter of 2015 by those Parties ready to do so) in a manner that facilitates the clarity, transparency and understanding of the intended contributions, without prejudice to the legal nature of the contributions;  (c) To request the Ad Hoc Working Group on the Durban Platform for Enhanced Action to identify, by the twentieth session of the Conference of the Parties, the information that Parties will provide when putting forward their contributions, without prejudice to the legal nature of the contributions, referred to in paragraph 2(b) above;  (d) To urge and request developed country Parties, the operating entities of the financial mechanism and any other organizations in a position to do so to provide support for the related activities referred to in paragraphs 2(b) and 2(c) above as early as possible in 2014;			
	ecision /CP.20	15. <i>Reiterates</i> its call to developed country Parties, the operating entities of the Financial Mechanism and any other organizations in a position to do so to provide support for the preparation and communication of the intended nationally determined contributions of Parties that may need such support;	Programme priority		Repetitive On-going
eporting / informa	ation			ı	l
	ecision /CP.17	48. <i>Further invites</i> developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and	Other		On-going

	other public donors, and private and non-governmental organizations that are in position to do so, to submit to the secretariat, as appropriate, the following information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions:  (a) Whether the support available is for the preparation and/or implementation of nationally appropriate mitigation actions; (b) The source of the support, including, where applicable, the name of the developed country Parties in question and the executing entity channelling the support, including contact information; (c) The amount and type of support available, and whether it is financial (e.g. grant or facilitated loan), technology and/or capacity-building support; (d) The status of delivery; (e) The types of action that may be supported and the process for the provision of support;  49. Invites Parties and entities referred to in paragraphs 46 and 48 above to provide the secretariat, subsequent to the matching of action with support, information on both internationally supported mitigation actions and associated support;			
Decision 3/CP.17, Annex	61. Reports of the Fund's independent evaluation unit will be provided to the COP for purposes of periodic reviews of the financial mechanism of the Convention.	Other		On-going
Decision 6/CP.18	1. Takes note with appreciation of the first annual report of the Board of the Green Climate Fund to the Conference of the Parties;			Obsolete
	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:			
	(a) To develop a transparent no-objection procedure to be conducted through national designated authorities referred to in paragraph 46 of the governing instrument, <sup>27</sup> in order to ensure consistency with national climate strategies	Policy	Guidance to the Secretariat on policy matters regarding country ownership; opened a	Responded to / on-going

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Decision 3/CP.17, annex.

and plans and a country-driven approach and to provide for effective direct and indirect public and private-sector financing by the Green Climate Fund, and to determine this procedure prior to approval of funding proposals by the Fund;  (b) To balance the allocation of the resources of the Green Climate Fund between adaptation and mitigation activities;  (c) To secure funding for the Green Climate Fund, taking into account	Programme priority  Policy	•	call to developing countries to start process of designating National Designated Authority (NDA) (2013) Adoption of the no-objection procedure; endorsement of initial best-practice guidelines for the establishment of NDAs and focal points; endorsement of the initial best-practice options for country coordination and multistakeholder engagement (2014) Decision on detailed programme of work on readiness and preparatory support outlines priority activities such as establishment of national designated authorities or focal points and strategic frameworks (2014)  Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation and mitigation, appropriate allocation of resources for other activities (2013) Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)	Responded to / on-going
paragraphs 29 and 30 of the governing instrument, to facilitate its expeditious operationalization, and to establish the necessary policies and				

procedures to enable an early and adequate replenishment process;		
(d) To establish the independent secretariat of the Green Climate Fund in the host country in an expedited manner as soon as possible, in accordance with paragraph 19 of the governing instrument;	Other	Approval of establishment of GCF Secretariat (2013)  Responded to / on-going
(e) To select the trustee of the Green Climate Fund through an open, transparent and competitive bidding process in a timely manner to ensure that there is no discontinuity in trustee services;	Other	<ul> <li>Approval of Agreement on Terms and Conditions for the Administration of the GCF Trust Fund (2013)</li> <li>Invitation to World Bank to continue to serve as Interim Trustee until appointment of permanent Trustee; process to appoint permanent Trustee should end no later than the end of 2017; secretariat to submit draft terms of reference for the review of the Interim Trustee, and to develop a methodology for an open, transparent and competitive bidding process to select a Trustee (2014)</li> </ul>
(f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;	Policy	Decision to, in the short-term, commence engagement with UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)     Co-Chairs corresponded with AC and TEC Chairs to decide on framework for cooperation (2014)  Responded to / on-going

	10. Requests the Board of the Green Climate Fund to continue to include in its report to the Conference of the Parties the specific steps that it has undertaken to implement the request contained in paragraphs 5 and 7 above, as well as information on the status of financial contributions for the administrative budget of the Green Climate Fund, including the administrative costs of the Board of the Green Climate Fund and its interim secretariat;	Other	<ul> <li>Green Climate Fund Trust Fund Financial Report (2013)</li> <li>Section of 2014 report on administrative and financial performance (2014)</li> </ul>	Responded to / on-going
	15. Requests the Board of the Green Climate Fund to make available its annual reports to the Conference of the Parties as early as possible, and no later than 12 weeks prior to a session of the Conference of the Parties, for consideration by Parties;	Other	<ul> <li>Not been able to present its annual report to the COP within requested time period (2014)</li> <li>Board requested Secretariat to finalize the fourth report of the GCF to the COP no later than 12 weeks prior to COP 21, also to issue and submit to the UNFCCC secretariat an addendum to the fourth report of the GCF to the COP after B.11 and before COP 21(2015)</li> </ul>	Responded to / on-going
Decision 16/CP.1 8	2. Reiterates its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non–governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;	Other		Repetitive On-going
Decision 4/CP.19	1. <i>Takes note with appreciation</i> of the second annual report of the Board of the Green Climate Fund to the Conference of the Parties as contained in document FCCC/CP/2013/6;			Obsolete

	16. <i>Requests</i> the Board of the Green Climate Fund:  (c) To report to the Conference of Parties at its twentieth session on progress made in the implementation of this decision, as well as the implementation of decision 6/CP.18;	Other	• Report contains information on progress made in the implementation of decisions 6/CP.18 and 4/CP.19 (2014)	Responded to / on-going
Decision 5/CP.19	5. Requests the Board of the Green Climate Fund to report on the implementation of the arrangements referred to in paragraph 4 above in its annual reports to the Conference of the Parties, starting at the twentieth session of the Conference of the Parties (December 2014).	Other	<ul> <li>Reference to sections of 2014 report (2014)</li> <li>Reference to table 4 for progress made by GCF in the implementation of the arrangements between COP and GCF (2015)</li> </ul>	Responded to / on-going
Decision 5/CP.19, annex	Reconsideration of funding decisions  9. The GCF will include in its annual reports to the COP the recommendations of its independent redress mechanism, and any action taken by the Board of the GCF in response to those recommendations. The COP may provide additional guidance to clarify policies, programme priorities and eligibility criteria as they impact funding decisions.	Policy	Endorsed the selection process of heads of accountability units including that of the independent Redress Mechanism (2015)	Responded to / on-going
	Reports from the Green Climate Fund to the Conference of the Parties  11. The GCF is to submit annual reports to the COP for its consideration.  Such annual reports shall include information on the implementation of policies, programme priorities and eligibility criteria provided by the COP, including information on the extent to which COP guidance has been adhered to by the Board of the GCF.	Policy / Programme priority / Eligibility criteria	• Submission of fourth report of GCF to COP (2015)	Responded to / on-going
	<ul> <li>12. The GCF will include in its reports a synthesis of the different activities under implementation and a listing of the activities approved, as well as a financial report.</li> <li>13. The GCF will also include in its reports information on all activities financed by the GCF.</li> </ul>	Programme priority  Programme priority	• Decision to consider Readiness Programme activities and its initial funding proposals at the B.11 (2015)	to / on-going
	14. The GCF will indicate in its reports actions it has undertaken to balance the allocation of resources between adaptation and mitigation activities under the Fund.	Programme priority	• Initially make allocations under adaptation, mitigation, and the PSF, balance between adaptation	Responded to / on-going

		and mitigation, appropriate allocation of resources for other activities (2013)  • Adoption of initial parameters and guidelines for allocation of resources during the initial phase of the Fund (2014)  • Board adopted initial guidelines for allocation of resources to aim for a 50:50 balance between mitigation and adaptation; Also requested Secretariat to report annually on status of resources in respect of the allocation parameters; considering initial set of funding proposals at B.11 (2015)
15. The GCF will also include information on the development and implementation of mechanisms to draw on appropriate expert and technical advice, including from the relevant thematic bodies established under the Convention, as appropriate.	Policy	<ul> <li>Establishment of Accreditation and Independent Technical Advisory Panel; information on various forms of engagement (2014)</li> <li>Board has an Accreditation Panel and a Private Sector Advisory Group and appointed an Independent Technical Advisory Panel (2015)</li> <li>Board continues to draw on appropriate expert and technical advice from relevant thematic bedies undersoment (2015)</li> </ul>
16. The COP may request additional information from the GCF via its annual guidance.	Other	bodies underconvention(2015)
Review and evaluation of the financial mechanism		

	20. The reports of the GCF should include any reports of the independent evaluation unit, including for the purposes of the periodic reviews of the financial mechanism of the Convention.  Determination and periodic review of funding necessary and available  17. In accordance with Article 11, paragraph 3(d), of the Convention, which calls for arrangements to determine in a predictable and identifiable manner the amount of funding necessary and available for the implementation of the Convention, and the conditions under which that amount shall be periodically reviewed:  b) The GCF is to provide information on resource mobilization and the available financial resources, including any replenishment processes, in its annual reports to the COP.		<ul> <li>Approval of preparation of a resource mobilization strategy document (2013)</li> <li>Initial resource mobilization process to commence through initial resource mobilization process as soon as possible and transition subsequently to formal replenishment process (2013)</li> <li>Decision that 8 essential requirements for the Fund to receive, manage, programme and disburse financial resources have been met, commencement of the process to mobilize resources commensurate with the Fund's ambition; pledges to initial resource mobilization process US\$ 9,6 billion (2014)</li> </ul>	
Deci 7/CP		Other		Obsolete
	22. <i>Requests</i> the Board of the Green Climate Fund to report biennially to the Conference of the Parties on the status of existing privileges and immunities with regard to its operational activities, starting at the twenty-first session of	Other	Board requested the Secretariat to report on status of privileges and immunities at COP 21 and	Responded to / on-going

<sup>&</sup>lt;sup>28</sup> As contained in document FCCC/CP/2014/8.
<sup>29</sup> As contained in sections II and III of document FCCC/CP/2014/8.

	the Conference of the Parties;  23. <i>Also requests</i> the Board of the Green Climate Fund to make available its annual report in a timely manner and no later than 12 weeks prior to a session of the Conference of the Parties in accordance with decision 6/CP.18, paragraph 15, for due consideration by Parties;	Other	thereafter biennially (2015)  • Board requested Secretariat to finalize the fourth report of the GCF to the COP no later than 12 weeks prior to COP 21, also to issue and submit to the UNFCCC secretariat an addendum to the fourth report of the GCF to the	Repetitive Repetitive Responded to / on-going
	24. <i>Further requests</i> the Green Climate Fund to include in its annual report to the Conference of the Parties the recommendations of its independent redress mechanism, if any, and any actions taken by the Board in response to those recommendations; <sup>30</sup>	Policy	COP after B.11 and before COP 21(2015)  • Endorsed the selection process of heads of accountability units including that of the independent Redress Mechanism (2015)	Responded to / on-going
	25. <i>Requests</i> the Board of the Green Climate Fund to report to the Conference of the Parties at its twenty-first session on progress made in the implementation of this decision, as well as the elements and provisions of the guidance contained in decisions 3/CP.17, 6/CP.18, 4/CP.19 and 5/CP.19;	Other	• In fourth report of GCF to COP, there are three tables: 1A, 1B and 1C providing responses to individual guidance to the GCF as outlined in decision 7/CP.20 (2015)	Responded to / on-going
Operationalization				
Decision 3/CP.17	6. Requests the Board to operationalize the Fund in an expedited manner;	Other		On-going
Decision 1/CP.18	72. Requests the Board of the Green Climate Fund to expeditiously implement its 2013 workplan, with a view to making the Green Climate Fund operational as soon as possible to enable an early and adequate replenishment process;	Other	<ul> <li>Agreement on principles on elements of 2013 workplan (2012)</li> <li>Elements and indicative timeline the Board's work plan for 2014 (2013)</li> </ul>	Obsolete
Decision 6/CP.18	5. <i>Notes</i> the progress made by the Board of the Green Climate Fund and calls on the Board to ensure that the Green Climate Fund expeditiously	Other	Agreement on principles on elements of 2013 workplan	On-going

<sup>&</sup>lt;sup>30</sup> In accordance with the annex to decision 5/CP.19, paragraph 9.

	implements its workplan and develops the policies, eligibility criteria and programmes of the Green Climate Fund, taking into account the guidance of the Conference of the Parties with a view to making the Green Climate Fund operational as soon as possible; `  14. Requests the Board of the Green Climate Fund to expeditiously implement its 2013 workplan, with a view to making the Green Climate Fund operational as soon as possible, which will enable an early and adequate replenishment process;	Other	(2012) • Elements and indicative timeline the Board's work plan for 2014 (2013)	Obsolete
Decision 4/CP.19	6. <i>Notes</i> the progress made by the Board to ensure the operationalization of the Green Climate Fund, including the adoption of its 2014 workplan and the resource mobilization process;	Other	Workplan for 2015 not completed and agreed upon, recognition that Fund needs to	Obsolete
	11. Stresses the need to achieve full operationalization of the Green Climate Fund, and in this regard urges the Board to an expeditious implementation of its 2014 workplan, including elements of decision 6/CP.18;	Other	move into regular operational working mode (2014)	
	12. <i>Urges</i> the Board to finalize as soon as possible the essential requirements to receive, manage, programme and disburse financial resources in line with the approved workplan of the Board so that the Green Climate Fund can commence its initial resource mobilization process as soon as possible and transition subsequently to a formal replenishment process;	Policy	Decision that 8 essential requirements for the Fund to receive, manage, programme and disburse financial resources have been met, commencement of the process to mobilize resources commensurate with the Fund's ambition; pledges to initial resource mobilization process US\$ 9,6 billion (2014)	Obsolete
Decision 7/CP.20	2. Notes with appreciation the progress made by the Green Climate Fund, and the information contained thereon in the report of the Green Climate Fund, in particular the decision on the confirmation of the completion of the essential requirements and the commencement of the initial resource mobilization process;	Policy		Obsolete
	7. Welcomes Green Climate Fund Board decision B.08/07 to start taking decisions on the approval of projects and programmes no later than its 3rd meeting in 2015;	Policy	Board aims to start taking decisions on projects and programme proposals to later than B.11 (2015)	Responded to / on-going

	8. <i>Requests</i> the Board of the Green Climate Fund to accelerate the operationalization of the adaptation and mitigation windows, and to ensure adequate resources for capacity-building and technology development and transfer, consistent with paragraph 38 of the Governing Instrument; <sup>31</sup> 9. <i>Also requests</i> the Board of the Green Climate Fund to accelerate the operationalization of the private sector facility by aiming to ensure that private sector entities and public entities with relevant experience in working with the private sector are accredited in 2015, expediting action to engage local private sector actors in developing country Parties, including small- and medium-sized enterprises in the least developed countries, small island developing States and African States, emphasizing a country-driven approach, expediting action to mobilize resources at scale, and developing a strategic approach to engaging with the private sector;	Programme priority  Programme priority / Policy	<ul> <li>First set of project and programme proposals will be presented to B.11 (2015)</li> <li>Initial approval takes into consideration capacity-building and technology development in funding proposal assessment (2015)</li> <li>Launching a pilot phase for enhancing direct access to the GCF (2015)</li> <li>Approval of initial 20 accredited entities, 3 are private sectors, 8 have significant experience in working with private sector (2015)</li> <li>Decision to establish two pilot programmes B.10/11(2015)</li> </ul>	Responded to / on-going Responded to / on-going
Institutional linkages				
Decision 3/CP.17	17. <i>Requests</i> the Board to initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;	Policy	<ul> <li>Decision to, in the short-term, commence engagement with UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)</li> <li>Co-Chairs corresponded with AC and TEC Chairs to decide on framework for cooperation (2014)</li> </ul>	Responded to / on-going

<sup>&</sup>lt;sup>31</sup> Annex to decision 3/CP.17.

	Decision 6/CP.18	7. Requests the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:			
		(f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;	Policy	<ul> <li>Decision to, in the short-term, commence engagement with UNFCCC thematic bodies with a view to reassessing arrangements as Fund and bodies evolve (2013)</li> <li>Co-Chairs corresponded with AC and TEC Chairs to decide on framework for cooperation (2014)</li> </ul>	Repetitive Responded to / on-going
	Decision 7/CP.20	15. Requests the Board of the Green Climate Fund, when deciding its policies and programme priorities, to consider the information and lessons learned through engagement with other relevant bodies under the Convention, and other relevant international institutions;	Policy	• Adoption of applicable best practices from relevant bodies under the Convention, other relevant international institutions in and thematic bodies under the Convention (2015)	Responded to / on-going
Other					
Transitional Committee	Decision 1/CP.16	109. Also decides that the Green Climate Fund shall be designed by a Transitional Committee in accordance with the terms of reference contained in appendix III to this decision; the Transitional Committee shall have 40 members, with 15 members from developed country Parties and 25 members from developing country Parties as follows:	Other		Obsolete
		<ul> <li>(a) Seven members from Africa;</li> <li>(b) Seven members from Asia;</li> <li>(c) Seven members from Group of Latin America and the Caribbean;</li> <li>(d) Two members from small island developing States;</li> <li>(e) Two members from the least developed countries;</li> </ul>			
	Decision 3/CP.17	1. <i>Welcomes</i> the report of the Transitional Committee (FCCC/CP/2011/6 and Add.1), taking note with appreciation of the work of the Transitional Committee in responding to its mandate given in decision 1/CP.16, paragraph 109;	Other		Obsolete

ADP / WS 2	Decision 1/CP.20	19. Decides to continue the technical examination of opportunities with high mitigation potential, including those with adaptation, health and sustainable development co-benefits, in the period 2015–2020, by requesting the secretariat to:	Other		On-going
		(a) Organize a series of in-session technical expert meetings which: (ii) Build on and utilize the related activities of, and further enhance collaboration and synergies among, the Technology Executive Committee, the Climate Technology Centre and Network, the Durban Forum on capacity-building, the Executive Board of the clean development mechanism and the operating entities of the Financial Mechanism;			
Enabling environments	Decision 9/CP.20	4. <i>Encourages</i> the operating entities of the Financial Mechanism to address, as appropriate, these recommendations in their future work, particularly with regard to the complementarity between the operating entities of the Financial Mechanism;			
		Annex 69. There is ample room for the GCF to learn from the experiences of other funds in terms of improving the enabling environments in recipient countries. It can do this by linking investments with focused efforts to engage stakeholders within countries in programming, and by providing technical assistance and capacity-building so as to strengthen enabling environments – institutions, policies, and regulations – that support mitigation and adaptation actions in developing countries.	Policy	• Board adopted the investment criteria and indicative factors; e.g. "country ownership" and "needs of a recipient country" which helps building capacity to implement funded projects; This criteria aim at linking the fund of GCF with enabling environment (2015)	Responded to / on-going