

## **Workshop on lessons learned from relevant Funds and Institutions**

### **Concept note**

#### **I. Objective of the workshop**

1. To assess lessons learned from a number of relevant Funds and Institutions with a view to guiding key design choices on governance and operations of the Green Climate Fund (GCF).

#### **II. Review of relevant background information for relevant funds and institutions (prior to the workshop)**

2. We need to build a state of the art Fund and change the way we do business. This has been one of the most recurring themes at the Transitional Committee (TC) deliberation during its first meeting. The design of the GCF will, therefore, draw upon the experiences and lessons learned from relevant existing climate, development and specialized Funds and Institutions. It is useful therefore to assess the elements of these funds and institutions, including their respective Objective/Scope; Governance; Operational Model; Monitoring and Evaluation in terms of what may be applicable to the design of the GCF. In order to develop such an assessment, it would be useful to: 1) collect basic information from the fund managers through a survey questionnaire; and 2) take stock of lessons learned by reviewing independent evaluations and other literature, supplemented by any readily available information from key stakeholders to the funds and institutions. The information received from the funds managers and other literature will be put up on the Transitional Committee website. The stocktaking exercise and assessment would provide useful background information to TC members and facilitate more substantive discussion during the workshop.

##### **A. Undertake a survey of relevant funds and institutions**

3. In order to compare and contrast, draw lessons, and assess applicability to GCF, it will be important to have fundamental information for a number of relevant funds. There are over 40 relevant funds and institutions, and in order to be practical a cross-section will be drawn in order to focus on climate funding, innovative specialized funds, funds that engage private and public sector, regional representation, and funds with similar sizes as that anticipated for GCF Funds. This has resulted in requests to 27 Funds/Institutions to provide information through a survey questionnaire. The questionnaire identifies key information to be provided by the fund managers of these funds and institutions. The co-facilitators, with support from the TSU will, consolidate the results of the survey into a matrix and supplement as necessary with information available in the literature and on websites. The consolidated information will be circulated to the potential workshop participants by the co-facilitators.

##### **B. Assessment of relevant funds and institutions on key issues related to provision of resources**

4. This assessment will be conducted through a literature review of existing evaluation and analysis of relevant funds and institutions (e.g. independent evaluation reports, review papers and other reports). It is intended to provide useful lessons learned from operations of these funds and institutions, building on years of evaluation and analysis, including views from the beneficiaries and other stakeholders of these funds.

5. The co-facilitator of the workshop will provide a snapshot of the survey conducted, drawing upon the presentation and make suggestions on the elements for discussion and out of the box thinking.

### **III. Workshop**

6. The one day pre-meeting workshop will have two sessions. The TC members, their advisors and selected number of stakeholders and observers will be invited to participate in the workshop. A draft agenda for the workshop is enclosed as an annex to this note.

#### **Session 1**

7. Representatives from a selected number of funds and institutions will be invited to make presentations (15 minutes each) to describe their respective Fund's/Institution's (i) governance structure and institutional arrangements, (ii) business model, and (iii) access modalities. Prior to concluding their presentations, these institutions will also provide a summary of feedback, where appropriate, from their beneficiaries and other stakeholders on accessibility of the fund including the delivery time from their respective institutions.

8. A suggested list of funds and institutions to be invited include, Global Environment Facility (GEF), Climate Investment Funds (CIF), International Development Association (IDA), Adaptation Fund (AF), Multilateral Fund, and the Global Fund. The choice made in extending invitation to the Funds/Institutions has been made due to the three broad categories of business/governance models, which include a Fund fully integrated with Multilateral Development Banks (IDA), partially integrated or partnership (such as GEF, CIF) and the Independent model (HIV Fund and AF).

9. These presentations will be followed by panel discussions of civil society representatives and private sector representatives providing their respective views on the same elements i.e. governance structure, business model, access modality of that Fund/Institution based on their experience and/or analysis done by them.

10. Following three presentations from Funds/Institutions, TC members and/or their advisors will be able to address specific questions to the presenters with the aim of identifying the key design elements that may be applicable to the TC's work on the design of the Green Climate Fund

11. This session aims at providing participants with a comprehensive view of design elements that may be relevant to the process of establishing the Green Climate Fund and would help assist the Transitional Committee in identifying unique elements that distinguish the GCF from other multilateral climate funds.

#### **Session 2**

12. Mr. Farrukh Khan (co-facilitator) will provide an overview of Session 1 discussions and will propose issues for discussion (out of box thinking) for the design of the GCF, building on the presentation from the morning session and taking into account the written input from various stakeholders including the TC members. This would be followed by a focused discussion on these issues.

13. This session would encourage open discussion among TC members to identify the key elements of existing funds which are of greatest importance to them to be included in the design of the GCF. This final analysis would feed into the four Workstreams as priority issues to be raised at TC 2.

Annex

**Provisional agenda for the workshop on lessons learned  
from relevant Funds and Institutions**

**Tokyo, Japan  
12 July 2011**

<b>9:00-9:15</b>	<b>Opening of the workshop - Introduction by the co-facilitators</b>
<b>9:15-9:30</b>	<b>Presentation</b> Adaptation Fund
<b>9:30-9:45</b>	<b>Presentation</b> Climate Investment Funds
<b>9:45-10:00</b>	<b>Presentation</b> Global Environment Facility
<b>10:00-10:30</b>	<b>Questions and comments by the TC members</b>
	<b>Working tea/coffee</b>
<b>10:30-10:45</b>	<b>Presentation</b> Global Fund for Aids, Tuberculosis and Malaria
<b>10:45-11:00</b>	<b>Presentation</b> International Development Association
<b>11:00-11:15</b>	<b>Presentation</b> Multilateral Fund for the Montreal Protocol
<b>11:15-12:15</b>	<b>CSO panel</b>
<b>12:15-13:00</b>	<b>Private sector panel</b>
<b>13:00-14:30</b>	<b>Lunch</b>
<b>14:30-15:00</b>	<b>Questions and comments by the TC members</b>
<b>15:00-15:15</b>	<b>Session 1: Presentation by the co-facilitator (Mr. Farrukh Khan)</b>
<b>15:15-17:15</b>	<b>Session 2: Open discussion</b>
<b>17:15-17:30</b>	<b>Closure of the Workshop: Way forward/concluding remarks by the co-facilitator</b>