# AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION UNDER THE CONVENTION

Fourteenth session (third part) Panama City, 1–7 October 2011

## WORK OF THE AWG-LCA CONTACT GROUP

Agenda item 3.2.2

Nationally appropriate mitigation actions by developing country Parties

version of 18 November 2011 @ 18.00

Revised non-paper by the co-facilitators

# I. Possible elements of a draft decision on the Registry

Recalling paragraphs 53-59 of Decision 1/CP.16, [in which the Conference of the Parties (COP), through its decision 1/CP.16<sup>1</sup>, [decided to set up] called for the setting up of a registry to record nationally appropriate mitigation actions seeking international support, to facilitate matching of finance, technology and capacity-building support for these actions, and to record information submitted by Parties for the purpose of recognition in a separate section of the registry. [The COP also decided to recognize mitigation actions of developing country Parties in a separate section of the registry.]

*Recognizing* the need for support for implementation of nationally appropriate mitigation actions;

- 1. Decides that the registry will be developed as a [dynamic, user-friendly] web-based [searchable] platform [for the purpose of carrying out the functions identified in Decision 1/CP.16] [that:
  - (a) facilitates matching of support to individual mitigation actions;
- (b) recognizes [recorded] nationally appropriate mitigation actions by recording them in a section of the registry upon request.]

[Option to paragraph 1 above:

Given the matching of support function, the Registry should be part of the Financial Mechanism of the Convention and should operate under the Standing Committee on Finance.

- 2. [Participation in the registry, including the submission of information to the registry, will be voluntary, will depend on availability of information and do not entail any commitment.]
- 3. [The registry [should] [will] be structured in a manner that enables the full range of diversity of nationally appropriate mitigation actions to be reflected.]

<sup>&</sup>lt;sup>1</sup> Decision 1/CP.16, paragraph 53.

# II. Registry

## A. Recording NAMAs seeking international support

- 4. [One part of the registry will record regularly updated information provided by Parties on] Requests the secretariat, pursuant to paragraph 56 of decision 1/CP.16, to record and regularly update in the registry information provided by the Parties on:
  - (a) [Individual] nationally appropriate mitigation actions seeking international support;
  - (b) Support available from developed country Parties for these actions;
  - (c) Support provided for individual nationally appropriate mitigation actions.
- 5. [Subsequent to matching of support with actions, the registry will record both supported mitigation actions and associated support].

## B. Facilitate matching of actions with support

6. [The registry will facilitate matching of action with support through provision of information on nationally appropriate mitigation actions seeking support and information on support available. It will [help] [be able] to connect proponents of mitigation actions and potential sources of support via a simple, searchable web-based platform that lists mitigation actions seeking support as well as support available.]

[Option to paragraph 6 above:

The [Green Climate Fund] [Financial Mechanism] may, in accordance with guidelines to be adopted by the COP, disburse funds for implementation of individual mitigation actions submitted to the registry. The registry will generate reports, as per information on nationally appropriate mitigation actions seeking support submitted by developing country Parties, to facilitate matching of support.

7. [For the function of facilitating matching of support with action] [The following information on action and support [should be] [will be] provided in agreed template form [needed]:

#### 1. Information on action

- 8. [Invites] Developing country Parties [may] [will] [are invited to] to submit [through the] [to secretariat] the following information, as appropriate, on individual nationally appropriate mitigation actions seeking international support, inter alia using the template included in Annex Y:
  - (a) A description of the mitigation action, including type of activity and location;
- (b) [A description of the anticipated implementing agency or agencies, including contact information].
  - (c) Estimated [incremental] costs of the mitigation action;
- (d) Estimated emission [reductions] [outcome] [the mitigation result] [or other indicators of implementation] [based on the understanding that the commitment is to implement the action and not to the outcome];
  - (e) The expected time frame for implementation;
- (f) The nature, type and amount of support requested and details of any domestic or international support already in place or secured;
- (g) Support requirements and the type of support (technology, finance and capacity building). [The technology [transfer][requested]];
- (h) As appropriate [capacity-building support required to implement the mitigation action, and an estimate of the full agreed costs of activities enabling the action;]
  - (i) Co- benefits for local sustainable development, if information exists;

- (j) Costs incurred in formulating the actions.
- 9. [Developing country Parties may also submit information on their mitigation actions/goals if they wish.]

#### 2. Information on support

- 10. Invites developed country Parties, other UNFCCC bodies, the entity or entities entrusted with the operation of the financial mechanism, and multilateral, bilateral or other public, private or non-governmental organizations that are in position to do so [and other sources of funding] [will] [are invited to] to submit through the secretariat the following information, as appropriate, on finance, technology and capacity building support available and/or provided for nationally appropriate mitigation actions, inter alia, using the template included in Annex Z:
  - (a) Name of the source of support, including contact information;
- (b) Name of the executing agency or the organization channeling the fund, including contact information;
- (c) Amount of support available, including type of support or description of services and information on the currency;
- (d) Type of [finance] [support] (grant, facilitated loan, [equity investment], capacity building/technical assistance or other);
- (e) Types of actions that may be supported and eligibility criteria [of such actions] or process for provision of support, including any criteria relating to the type of activity eligible for support, location, scale of emission reductions or time frame for implementation, if applicable;
  - (f) Status of delivery, related future timeframe and individual actions supported;
  - (g) [Whether the support available is categorized as official development aid];
  - (h) [Amount contributed by the private sector.]
- 11. To maximize opportunities for [support] [matching], all other sources from developed country Parties [potential donors], including bilateral, regional, multilateral, public and private, are encouraged to also submit information on support available to assist developing countries in preparation and implementation of nationally appropriate mitigation actions seeking support.
- 12. [Information on support submitted to the registry should, when relevant, [will] be organized and presented to [create a useful resource] describe sources of support according to the thematic areas and geographical areas they support, links to their eligibility criteria and project cycle descriptions, as well as information about projects recently financed.]
- 13. It is important to ensure that information inputs and flow from both developed and developing country Parties should be of a manageable size for operation of the registry.

### C. Recognition of NAMAs

14. [Requests the secretariat, pursuant to paragraph 59 (a) (b) and (c) of decision 1/CP.16, to record in a separate part of the registry] following information on [domestically funded] [domestically funded and internationally supported] mitigation actions submitted by developing country Parties for the purpose of their recognition, upon the request by developing country Parties. Based on national circumstances, non-Annex I Parties are encouraged to provide, to the extent their capacities allow, information on programmes and measures implemented or planned² which contribute to mitigating climate change by addressing anthropogenic emissions by sources and removals by sinks of all green house gases not controlled by the Montreal Protocol, including, as appropriate, relevant information by key sectors on methodologies, scenarios, results, measures and institutional arrangements:

<sup>&</sup>lt;sup>2</sup> Such as measures being considered by the government for future implementation

- 14 bis. [Invites developing country Parties] [to communicate their mitigation actions as per paragraph[s] 59 [(a),] (b) [and (c)] of decision 1/CP.16 in the format specified in paragraph 18 below, and clarifying source of funding planned or expected, through the secretariat, including, inter alia, the following information on their mitigation actions: [The secretariat will regularly update document FCCC/AWGLCA/2011/INF.1 on this basis.]
  - (a) A description of the mitigation action;
  - (b) Estimate cost of the mitigation action;
  - (c) Estimated emission reductions outcome and [or] [other indicators];
  - (d) Anticipated time-frame for implementation;
  - (e) [Co-benefits to local sustainable development, if information exists.]
- 15. The secretariat will regularly update document FCCC/AWGLCA/2011/INF.1 for all actions and information submitted pursuant to paragraph 59 (a) (b) and (c) of decision 1/CP.16.

#### Option to paragraph 15 above:

Only nationally appropriate mitigation actions submitted by non - Annex I Parties expressly for the purpose of inclusion in the registry shall be so included by the Secretariat. Document FCCC/AWGLCA/2011/INF.1 shall be updated when new aggregate nationally appropriate mitigation actions have been submitted by developing country Parties pursuant to paragraph 50 of the Cancun Agreements.

#### D. Role of the secretariat

- 16. The secretariat will support implementation of the above functions of the registry.
- 17. [The matching facility of the registry will be maintained by a small team within the secretariat. The team will provide the following specific functions to support the facilitating aspect of the registry:
- (a) Provide direct support to developing countries who request information and guidance on the available support.
- (b) Act as a liaison between developing countries seeking support and the donors, funds and facilities providing a list of available support.
- (c) Serve as advisors specializing in regions and/or thematic areas, and to respond personally to developing country requests for information and guidance on the available support.]
- 18. Requests the secretariat to set up a registry prototype, based on the guidance given by Parties including in decisions 1/CP.16 and 1/CP.17, that records information submitted in accordance with paragraphs 8 and 10 above to facilitate the matching of support to nationally appropriate mitigation actions by thirty-sixth session of the SBI to enable a pilot phase and facilitate further discussion. This shall include the development of a NAMA format that could be used and/or modified by Parties that choose to do so.. In setting up the registry prototype, the secretariat should ensure that:
  - (a) Information in the registry is presented in a simple, public and easy to access format;
- (b) The design allows users to search the registry contents in order to best facilitate matching;
  - (c) The design minimizes ongoing data management and maintenance requirements;
- 19. *Decides* to continue the work programme for the development of modalities and guidelines for facilitation of support to nationally appropriate mitigation actions through a registry with a view to:
  - (a) Finalizing modalities and guidance on the format and content of inputs to the registry, registry use and accessibility, and arrangements to enhance matching of actions to support by registry users by the ;
- (b) Establishing arrangements for the modification, updating and maintenance of the registry by the Secretariat, to ensure that registry content remain current;

- (c) Determining on the need for a review of the registry prototype and templates for inputs, including through consultation with Parties and other registry users on the registry's effectiveness.
- 20. The Subsidiary Body for Implementation at its [thirty-fifth] [thirty-sixth] session will further elaborate the modalities and functions of the registry with a view to adopting a decision at the eighteenth session of the COP.