

**Submission by Sudan on behalf of the Africa Group:  
Intended Nationally Determined Contributions under the ADP**

**Expectations going to the COP 20 in Lima**

1. The African Group clarifies that all actions envisaged under the ADP shall be in full conformity with the Convention and its provisions, thus respecting the differentiation between developed and developing countries, and clearly following the agreed responsibilities of all parties, in particular those related to providing adequate, predictable and sustainable means of implementation to allow for the full participation of developing countries and in particular African countries in the global effort to achieve the objective of the Convention, both with regards to Adaptation and Mitigation in line with different articles of the Convention including Articles 3.1 and 3.2 .
2. Our understanding of contributions is informed by the following: Decision 1/CP.19 is premised on enhanced implementation of the Convention envisaged in Decision 1/CP.17, hence any interpretation should be tested against the provisions of the Convention;
  - a. . "[...] intended nationally determined contributions [...]" as set out in Paragraph 2(b) of Decision 1/CP.19, the use of the word "intended" suggests provisional nature of the contributions; and
  - b. "[...] towards achieving the objective of the Convention as set out in Article 2 [...]" in Paragraph 2(b) suggests a need for assessment for adequacy of Article 4 commitments on mitigation, adaptation, finance, and technology
3. Hence the ADP has to identify information that Parties "[...] will provide when putting forward their contributions [...]"; Paragraph 2(c) suggests a multilaterally informed process that is "nationally determined" and consistent with the sovereignty of states, hence all inscribed contributions will avertedly be determined by Parties themselves, and informed by the multilateral process in line with Paragraph 2(c) of Decision 1/CP.19.
4. Furthermore, "contributions are towards achieving the objective of the Convention", Decision 1/CP.19, whilst the temperature goal and its review as agreed in Decision 1/CP.16. The

multilateral process should be about: understanding the contributions not only on the mitigation part but also with regards to provisions of finance and technology transfer from Annex II to non-Annex II countries, of which some of the transparency provisions of the agreed outcome would be useful, and; assessing their adequacy and fairness in contribution in line with the principles and provisions of the Convention, as such a role for a principle-based reference framework that is also informed by Articles 3.1 and 4.7 of the Convention.

5. We view the ex-ante information as an integral element of the 2015 Agreement for now and beyond, as such support for domestic preparatory process in Paragraph 2(d), should be elaborated further in the context of Article 12 and 4.3 with regards to full cost support of this process. In Lima we must therefore adopt decisions covering at least guidelines/process towards adoption of rules for (i) ex ante transparency, (ii) ex ante assessment of the contributions, and (iii) ex ante provisions of support, (iv) including their review, which would form an integral part of the agreement.
6. The form of contributions will be informed by the legal nature of the 2015 Agreement, and more importantly the review of their implementation and compliance provisions, central to which is the differentiated nature of the commitments for various Parties in line with Article 4 of the Convention.
7. An indicative list of minimum information for reflecting nationally determined contributions; those contributions include the provision of support to be provided by Annex II countries (developed countries) to allow for more informed contributions from developing countries, but not limited to, that requires initial discussion and elaboration in ADP 2-5, June 2014, for final adoption in Lima, as presented in tabular format below, page 3 and 4.
8. The Group understands that the new legal agreement is about enhancing the implementation of commitments in Article 4, based on multilaterally agreed rules and following Articles 3.1 and 4.7 of the Convention. It is further our view that the agreement should be effective and fair through an ex-ante assessment, based on a principle based reference framework, with contributions towards achieving the objective of Article 2 of the Convention.

Type of commitment/ Associated rules set	Mitigation		Adaptation	
	<i>Annex I (Art 3.1, 4.1 and 4.2)</i>	<i>Non-Annex I (Art 4.1, 4.7)</i>	<i>Annex II (Art 4.1 and 4.4)</i>	<i>Non-Annex I (Art 4.1, 4.7)</i>
Form of commitment	<ul style="list-style-type: none"> <li>Absolute and Economy wide emission reduction commitments (covering all sectors and gases)</li> <li>Zero carbon emission pathways</li> </ul>	<ul style="list-style-type: none"> <li>Relative emission reduction through Nationally Appropriate Mitigation Actions</li> </ul>	<ul style="list-style-type: none"> <li>Commitment to support, in line with aggregate mitigation ambition</li> <li>Types of support, e.g. grants, bilateral funding, etc.</li> <li>Delivery mechanism, channel for the support</li> <li>Sectors and geographies covered by the various types of support</li> </ul>	<ul style="list-style-type: none"> <li>Guidelines for adaptation planning through NAPs/NAPAs, including, <ul style="list-style-type: none"> <li>Climate impacts, assumptions</li> <li>Nationally determined adaptation options and costs</li> <li>Programmes, projects per sector</li> <li>Definition of adaptation needs</li> <li>Guidelines for recognition of own adaptation efforts</li> </ul> </li> </ul>
Counting	<ul style="list-style-type: none"> <li>Use of the latest IPCC GHG Inventory Guidelines</li> </ul>	<ul style="list-style-type: none"> <li>IPCC GHG Inventory Guidelines as appropriate for developing countries</li> </ul>	<ul style="list-style-type: none"> <li>MRV Guidelines for transparency of the support (to be developed)</li> </ul>	<ul style="list-style-type: none"> <li>Guidelines on the use of IPCC and Regional downscaled models to understand climate impacts</li> <li>World Bank project development guidelines</li> <li>Conditional and unconditional contributions and voluntary option for recognition</li> </ul>
Accounting	<ul style="list-style-type: none"> <li>Market, how is double accounting treated</li> <li>Non-market options for delivery on contributions</li> <li>Applicable LULUCF rules</li> <li>Framework for accounting and assessment of ICIs</li> <li>Rules pertaining to offset and joint implementation mechanism</li> </ul>	<ul style="list-style-type: none"> <li>Market, how is double accounting treated</li> <li>Non-market options for delivery on contributions</li> <li>Applicable LULUCF rules</li> <li>Framework for accounting and assessment of ICIs</li> <li>Rules pertaining to offset and Joint Implementation Mechanism</li> </ul>	<ul style="list-style-type: none"> <li>Source / type of finance &amp; tech support,</li> <li>Assumptions with regard to leveraged finance</li> </ul>	<ul style="list-style-type: none"> <li>NAP/NAPA guidelines</li> </ul>
Assessment	<ul style="list-style-type: none"> <li>Quantification of global carbon budget at the start of any commitment period to meet the agreed global goal</li> <li>Individual consideration of contributions (individual assessment of each AI Party contribution in meeting the goal)</li> <li>Party submission of its proposals for fairness indicators as part of a principle-based reference framework</li> <li>Ex ante assessment for adequacy and fairness consideration, including minimum threshold for mitigation towards its Required Fair Effort</li> <li>Assumptions made of level of contribution</li> </ul>	<ul style="list-style-type: none"> <li>Aggregate consideration of contributions (aggregate assessment of Total NAI Parties contribution)</li> <li>Consideration of quantum and availability of support from All Parties to NAI Parties</li> <li>Consideration of NAI Parties additional ambition after ex-ante consideration of All Parties level of available support</li> <li>Party submission of its proposals for fairness indicators as part of a principle-based reference framework</li> <li>Adequacy and fairness consideration as part of the principle based reference framework</li> </ul>	<ul style="list-style-type: none"> <li>Ex ante assessment of adaptation needs in relation to mitigation ambition</li> <li>Contribution in relation to the global goal for adaptation</li> </ul>	<ul style="list-style-type: none"> <li>Preparedness and plans for adaptation action</li> <li>Adaptation investments for recognition</li> </ul>
Compliance - automatic adjustment of commitments	<ul style="list-style-type: none"> <li>Facilitative compliance as in KP, IAR</li> </ul>	<ul style="list-style-type: none"> <li>ICA</li> </ul>	<ul style="list-style-type: none"> <li>Facilitative framework to be developed under finance and technology mechanism</li> </ul>	<ul style="list-style-type: none"> <li>Sharing of best practise and experience</li> <li>Facilitative framework for adaptation action for recognition</li> </ul>

Type of commitment/ Associated rules set	Capacity building		Finance		Technology Development and Transfer	
	<i>Annex II (Art 4.1 and 4.4)</i>	<i>Non-Annex I (Art 4.1)</i>	<i>Annex II (Art 4.1,4.3 and 4.4)</i>	<i>Non-Annex I (Art 4.1)</i>	<i>Annex II (Art 4.1, 4.4 and 4.5)</i>	<i>Non-Annex I (Art 4.1)</i>
Form of commitment	<ul style="list-style-type: none"> <li>Type of support targeted for capacity building, e.g. finance, technical assistance, etc.</li> </ul>	<ul style="list-style-type: none"> <li>Capacity building support needs</li> <li>Capacity building initiatives</li> </ul>	<ul style="list-style-type: none"> <li>Type and source of finance, e.g. grant, loan, leveraged private sector finance</li> <li>Sectors, regions, as applicable</li> <li>Delivery mechanism, channel</li> <li>Quantified targets for financial support provided to developing countries</li> </ul>	<ul style="list-style-type: none"> <li>NAPA/NAPs investments for recognition</li> <li>NAMA investments for recognition</li> <li>Cooperative initiatives with other Parties</li> </ul>	<ul style="list-style-type: none"> <li>Technology support and sectors covered by the technology</li> <li>Form of technology support, e.g. finance, technology licensing, etc</li> <li>Quantified targets for technology transfer and its channels</li> </ul>	<ul style="list-style-type: none"> <li>Technology Needs Assessment</li> <li>Technology Action Plans</li> </ul>
Counting	<ul style="list-style-type: none"> <li>Guidelines and indicators for capacity building</li> <li>Applied burden sharing process</li> </ul>	<ul style="list-style-type: none"> <li>Guidelines and indicators for capacity building</li> </ul>	<ul style="list-style-type: none"> <li>MRV Guidelines for pledged contributions</li> <li>Applied burden sharing process</li> </ul>	<ul style="list-style-type: none"> <li>MRV guidelines for recognition</li> </ul>	<ul style="list-style-type: none"> <li>Technology contribution valuation and MRV guidelines</li> <li>Applied burden sharing process</li> </ul>	<ul style="list-style-type: none"> <li>Programme, project implementation</li> <li>Technology valuation for recognition and MRV guidelines</li> </ul>
Accounting	<ul style="list-style-type: none"> <li>Source / type of finance &amp; tech support,</li> <li>Assumptions</li> </ul>	<ul style="list-style-type: none"> <li>Indicators for implemented initiatives</li> </ul>	<ul style="list-style-type: none"> <li>Assumptions in quantifying level of support</li> </ul>	<ul style="list-style-type: none"> <li>Assumptions in quantifying the level of investment</li> </ul>	<ul style="list-style-type: none"> <li>Assumptions in quantifying the level of support</li> </ul>	<ul style="list-style-type: none"> <li>Assumptions in quantifying the level of effort for recognition</li> </ul>
Assessment	<ul style="list-style-type: none"> <li>Assessment of effectiveness of support</li> </ul>	<ul style="list-style-type: none"> <li>Assessment of effectiveness in implementation</li> </ul>	<ul style="list-style-type: none"> <li>Expression of commitment in relation to required global effort defined in the principle based reference framework</li> <li>Minimum support thresholds for Annex II Parties</li> </ul>	<ul style="list-style-type: none"> <li>Contribution to the required global effort, including any cooperative actions supported</li> </ul>	<ul style="list-style-type: none"> <li>Expression of commitment in relation to the required global effort</li> <li>Minimum threshold for technology support by Annex II Parties</li> </ul>	<ul style="list-style-type: none"> <li>Recognition of technological contribution as part of the Parties relative fair effort</li> </ul>
Compliance - automatic adjustment of commitments	<ul style="list-style-type: none"> <li>Review and further guidance by the Capacity Building Committee to be established</li> </ul>	<ul style="list-style-type: none"> <li>Sharing of best practise and experience</li> </ul>	<ul style="list-style-type: none"> <li>Facilitative framework to be developed</li> <li>Review of the disbursed finance</li> </ul>	<ul style="list-style-type: none"> <li>Sharing of progress and best practise</li> </ul>	<ul style="list-style-type: none"> <li>Facilitative framework to be developed</li> </ul>	<ul style="list-style-type: none"> <li>Sharing of experiences and best practise</li> </ul>