

Draft elements for APA agenda item 6

Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:

- a) Identification of the sources of input for the global stocktake
- b) Development of the modalities of the global stocktake

Informal note by the co-facilitators – final version

These draft elements have been prepared by the co-facilitators of the negotiations on this agenda item under their own responsibility, on the basis of the deliberations by Parties at this session and the views they have submitted. These elements are preliminary and should not be considered as final in any way; they are offered as a basis for work and do not prejudge further work or prevent Parties from expressing their views at any time. It is recognized that the outcome of deliberations on this item will form part of the overall outcome under the Paris Agreement Work Programme.

I. Introduction / mandate / purpose

Article 14 of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement in order to assess the collective progress towards achieving its purpose and its long-term goals (the global stocktake), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter, unless otherwise decided by the CMA.

The COP requested the APA to identify the sources of input for the global stocktake, to develop its modalities and to report to the COP, with a view to the COP making a recommendation to CMA 1 for consideration and adoption.

At this fourth part of the resumed first session, Parties have had a total of nine hours of informal consultations on this agenda item. In addition to working extensively on developing building blocks for a possible negotiating text under this agenda item, Parties also dedicated two hours of meeting time to discuss what it means to conduct the GST in the light of equity and spent some time on discussing the nature of the GST outcome in relation to climate action and moving forward.

The left column below reflects our understanding of possible headings and subheadings for the building blocks that Parties can further develop as the consideration of this matter progresses. The right column reflects our understanding of the issues, options, concepts and views that have been presented by Parties in their submissions, inputs and statements at this and previous sessions.¹ The content of the columns does not necessarily reflect consensus among Parties and is without prejudice to future negotiations among Parties on this matter. The building blocks can be combined in various ways to construct elements of the text. The final outcome might also not include some of the building blocks as they may not be needed.

In preparing the building blocks we focused on capturing our understanding of the key elements of Parties' proposals. This note seeks to reflect the range of views and options expressed by Parties with regard to various

¹ The written inputs provided for this session are available at <http://unfccc.int/10159.php>. The submissions from Parties on this agenda item for this and previous sessions are available at <http://www4.unfccc.int/submissions/SitePages/sessions.aspx?showOnlyCurrentCalls=1&populateData=1&expectedsubmissionfrom=Parties&focalBodies=APA>.

items in the left column below without prejudice to further work to identify commonalities and differences in the views of Parties.

While, in our view, many important details of specific proposals made in submissions and interventions by Parties at this and previous sessions² have not been included at this stage, we believe that the current building blocks will allow Parties to add these details during the next steps, with views expressed over the course of next year having equal validity.

II. Modalities

Overarching elements

- [Guiding ...]
 - Recall Articles 14 and 2 and other relevant Articles of PA and paragraphs of decision 1/CP.21
 - The GST will be guided by three general questions: where are we; where we want to go; and how to get there?
 - Relevant principles and characteristics and in the light of equity and best available science
 - ...
- [Equity]
 - The GST will give full consideration to equity in terms of process, themes and outcome (mitigation, adaptation and finance flows and means of implementation and support, ...), in a cross-cutting manner and will be informed by best available science (equity will inform how Parties will consider fairness and ambition in their NDCs in nationally determined manner)
 - ...
- [Overall process]
 - [Governance]
 - The GST will be conducted by the CMA with the assistance of:
 - the SBI and SBSTA, which will establish a joint contact group on this matter
 - Technical/crosscutting workstreams (mitigation, adaptation, finance flows and means of implementation and support, crosscutting, ...) will be established under the guidance of the SBs
 - SED under the SBSTA and SBI
 - a subsidiary body similar to the SED, which will be established by CMA
 - The GST will be supported by expert inputs from relevant constituted bodies (AC, LEG, TEC+CTCN, SCF, PCCB)
 - The GST will be conducted in an effective and efficient manner, avoiding duplication of work, taking into account the results of relevant work conducted under the Convention, PA and KP
 - ...
 - [Timing and duration]
 - The GST is a process lasting:

Views:

 - for more than half a year and less than one year/ at least one year/ 12 to 18 months
 - adequate time to conduct the GST in a comprehensive manner with fixed milestones along the way to ensure completion of each GST
 - short, in order to avoid undue burden on Parties, and concise in order to ensure durability and resilience of the process
 - not more than six months in total and should be kept to a minimum
 - ...
- [Structure (phases/activities)]
 - The GST process will consist of:

Activity A - a preparatory phase | information gathering and compilation | technical input

² See footnote 1.

Activity B - a technical phase | technical consideration of inputs, take stock, assess collective progress and prepare outputs | technical consideration

Activity C - a political phase | consideration of outputs | closure of the GST – Outcome partially overlapping/running in parallel/sequential

- ...
- [Management of inputs]
 - Prepare and consider the information sources identified for the GST, taking into account the information needs for addressing the overall questions, with a view to ensuring a balanced consideration of the information taking into account the comprehensive scope of the GST and in the light of equity and best available science
 - Parties to prepare and consider the information sources identified for the GST
 - ...
- [Support for effective and equitable participation]
 - The GST will be conducted in a transparent manner and with full participation of Parties and non-Parties stake holders, provision of adequate funding for the participation and representation of eligible developing countries in in all activities under the GST, meetings, technical dialogues, workshops, round tables and sessions of the Subsidiary Bodies and CMA that are part of the GST process
 - Developed country Parties to mobilise capacity-building support for effective participation by LDCs, SIDS and other developing countries
 - The level of participation, in particular in the exchange of views, should be determined by the Parties concerned
 - ...
- [Adjustment of modalities]
 - Have the possibility to refine elements of the overall GST process on the basis of experience gained, including from the FD2018, after the first and subsequent GSTs
 - ...
- [Post GST / during the ambition cycle events]
 - *Placeholder* on possible events outside the CMA or UNFCCC during the course of the ambition cycle
 - Invite Parties to submit their NDCs at a special event organized by the Secretary General of UN

[...]

Activity A³ - Preparatory phase | Information gathering and compilation | Technical input

[Aim]

- To gather and compile the information for GST

[Timing and duration]

- *Activity A* should start

Views:

- in 2021 or 2022 to ensure the adequate and timely consideration of the input from AR6
- before or after the SB sessions of the year in which the GST will be conducted, bearing in mind that Parties and relevant stakeholders may start to prepare for the GST several years earlier
- in 2023 with sufficient time for preparing the information for GST
- ...
- For the first GST, *activity A* should run continuously from 2021 (or previous year to be agreed if pre-2020 inputs could be considered) and should end no later than [six][X] months before the CMA in 2023, unless critical information that requires consideration emerges after the cut-off date
- Special provisions for the first GST
- Should allow for adequate time with a deadline for preparing the inputs
- ...

³ Can be combined with *Activity B*.

[Preparing inputs]

- [Call for inputs]
 - Invite Parties, constituted bodies under the Convention and the Paris Agreement, UN Agencies, IPCC and other scientific bodies, regional groups, civil society organizations and other identified sources of input, including on equity, to provide input
 - The deadline for submission of information could be one month before the plenary of the relevant session of the CMA, in order to give Parties enough time to digest these inputs
 - Inputs should be ready at least 2 months prior to their consideration
 - Sources of inputs should be limited to Parties, constituted bodies under the convention and Paris Agreement
 - Parties would be able to upload their submissions online
 - ...
- [Compilation and synthesis]
 - Request:
 - Views:
 - the SBSTA and SBI/secretariat to compile information for *Activity B* the year in advance
 - the secretariat to collate information into an agreed format and make it available on a GST web platform
 - the secretariat to upload all submissions and other sources of input via UNFCCC website using a dedicated GST portal 1 to 2 months prior the CMA session
 - ...
- [Identification of gaps and call for additional inputs]
 - Invite the SBSTA and SBI to identify potential information gaps and, where necessary and feasible, make requests for additional input, bearing in mind the cut-off date for *Activity A* and the need to consider critical information
 - Synchronize the identification of information gaps with the IPCC AR6
 - ...
- [Guidance for preparing inputs]
 - Invite SBSTA to develop guidance for preparing inputs for GST, including common format to capture information
 - Invite Co-facilitators and secretariat prepare guidance on how the information will fit into the technical considerations
 - Constituted/responsible bodies will define the format [and content] of their input
 -
- [Outputs]
 - [...]
 - The secretariat to collate the input, in balanced manner by themes, into an agreed format and make it available on a GST web platform
 - How the information gathered is passed to *Activity B* and what are the information gaps and how they will be filled in

Activity B⁴ - Technical phase | Technical consideration of inputs, take stock, assess collective progress and prepare outputs | Technical Consideration

[Aim]

- Build a strong foundation for *Activity C* by considering all inputs in a facilitative, transparent and comprehensive manner
- Inform Parties in updating and enhancing in a nationally determined manner, their actions and support as well as in enhancing international cooperation for climate action
- ...

[Timing and duration]

- Could commence at the SB sessions in the year prior to the stocktake year and conclude prior to the *Activity C*
- At the SB session in the year in which the GST will be conducted
- In conjunction with the CMA of the year in which GST will be conducted

⁴ Can be combined with *Activity A*.

- Will start the year(s) prior to the stocktake year (organizing events in 2022)
 - 12-6 months before any “due”; needs to be over prior to the CMA in 2023
 - To make effective use of time, this activity could run in parallel with *Activity A*
 - ...
- [Structure]
- *Activity B* will comprise a series of:
 - technical dialogues under the technical workstreams
 - technical/thematic workshops/roundtables under the joint contact group (e.g., thematic roundtables on climate action; climate support; and impact of climate action including the impact of response measures)
 - A technical committee
 - ...
- [Practical arrangements]
- SBI and SBSTA chairs will appoint two co-facilitators [per workstream] to organize the technical dialogue (e.g., facilitate (only if new info will be produced on the basis of inputs), moderate, and contribute to their work)
 - SBI and SBSTA will designate the SBs Chairs and Co-Chairs to moderate thematic roundtables
 - SBI and SBSTA chairs will facilitate within each technical dialogue discussions among Parties, including the participation from observers
 - The joint contact group will organize the work of *Activity B* (e.g., deadline for submission of the inputs; additional inputs and themes; schedules for the events; keynote speakers, further preparatory work)
 - A technical committee will be established to assess and review the information
 - ...
- [Guidance]
- Substantive ToR/guidance for each workstream/theme (e.g., specific questions to be addressed)
 - Substantive guidance for thematic roundtables should be developed by Parties
 - ...
- [Consideration of inputs]
- All the inputs and topics should be discussed in a, balanced, holistic and comprehensive manner, in particular the linkage among various issues, with a balanced allocation of time between workstreams/themes
- [...]
- [Outputs]
- The Co-facilitators will summarize each technical dialogue in factual reports
 - Workshops/thematic roundtable reports will be prepared for consideration by the joint contact group
 - Synthesis reports will be prepared for each element of the scope (themes)
 - The report of the SED for consideration by the joint contact group
 - Should be neutral without recommendations / should provide clarification in terms of technical advice/recommendations for *Activity C*
 - ...
- [Participation]
- The *Events* will be open, inclusive, transparent and facilitative and will allow Parties to engage and discuss with IPCC and other experts and relevant non-state stakeholders outside the UNFCCC and to consider inputs and assess the collective efforts
 - ...
- [Placeholder for relevant ongoing work]
- Operationalization of the advice from SBSTA on how the assessments of IPCC could inform the GST
 - Modalities developed by AC and LEG pursuant to Article 7.14 and decision 1/CP.21 (note that these modalities could be also be relevant for *Activity A* and *C*)

- ...
- [...]
- Activity C - Political phase | Consideration of outputs | Closure of the GST - Outcome**
- [Timing and duration]
- Will be held at the CMA session in the year when the GST will end
 - During the closing Plenary of CMA
 - The GST should be ramped-up and closed before the closing plenary of the CMA
 - ...
- [Practical arrangements]
- Define the roles of the Presidencies / latest four out-going Presidencies of the four sessions of COP/CMA
 - The GST will be closed by CMA Presidency during the high-level segment
 - The GST will be opened early in the CMA and be carried out over the entirety of the session
 - ...
- [Structure]
- A dedicated political ministerial segment at its session in 2023 and every five years thereafter
 - A high-level dialogue or a high-level roundtable(s), where Ministers discuss the implications of the findings from the *Activity B*
 - A final statement on the GST by the CMA Presidency
 - ...
- [Presentation and consideration of inputs]
- The output of the Activity B will be presented and discussed at the Events, including adaptation, mitigation and means of implementation and support
- [Output]
- Outputs include identified gaps, possible measures and good practices to enhance action
 - CMA will provide a summary of key messages and recommendations for strengthening action and scaling up support in accordance with identified needs
 - The Presidencies will provide a summary of key messages from *Activity C*, including key political messages and general policy recommendations from Events
 - Adopt a decision / formal declaration agreed by all Parties or final statement by the Presidency and co-moderators and closing interventions by the participants in the last plenary of the joint contact group / decided by the Presidency...

III. Sources of input

- [Guiding...]
- (similar to modalities)
- [Existing sources of inputs]
- Reconfirm 1/CP21, para 99
 - Recall relevant provisions of PA on enhanced transparency framework, adaptation communication, ...
- [non- exhaustive list]
- Submissions from Parties, national communications, biennial reports Parties, national inventories, reports on international consultation and analysis, international analysis and review, and other relevant reports from Parties and processes under the Convention and from constituted bodies, relevant for the GST, including information on best practices and experiences and lessons learned.
 - *Placeholder* for other sources of input (information that is reported, communicated or both) – generic, mitigation-specific, adaptation-specific (Adaptation Communication), means of implementation and support (separated by finance, technology, capacity building)
 - Other relevant reports from United Nations agencies and other international organizations
 - Information from regional groups and institutions

- ...
- [Other inputs (information needs)]
 - Add to 1/CP21, para 99:
 - Parties' long-term low greenhouse gas emission development strategies as described in 1/CP.21 Paragraph 35, presented in an aggregated format
 - Information on finance flows in relation to 2.1(c)
 - Information on regional impacts provided by agencies from the respective regions (will complement information on status of adaptation efforts)
 - Information on loss and damage
 - Information on social and economic impacts of response measures and on economic diversification and adaptation co-benefits
 - Information for all themes in the light of equity (including on equity indicators and frameworks)
 - Information related to equitable access to sustainable development, historical responsibilities, development gaps between north and south, sustainable development including SDGs, leadership by developed countries in achieving low emission and climate resilient development, poverty eradication, food security, job creation, and social justice in developing countries, climate refugees and displaced people
 - ...
- [Additional sources of inputs]
 - [Management of sources of inputs]
 - SBSTA will review the list at its session in May/June 2021 and agree to update the list prior to each GST
 - CMA will update the non-exhaustive list prior to each GST, as appropriate, taking into account best available science, importance of leveraging national level reporting, and the value of authoritative third-Party analysis
 - CMA will complement the non-exhaustive list prior to each GST, unless critical information that requires consideration emerges after the cut-off date
 - ...
 - [Guidance for identifying additional sources of inputs]
 - The information from any source of input should be:
 - Appropriate to assess collective implementation and or progress and address the information needs of the GST
 - Directly relevant to the technical stream under discussion
 - Presented in a concise, easily digestible and accessible format
 - Open access
 - Of high standard of quality and integrity (current, drawing from relevant expertise, and peer reviewed where appropriate)
 - Technical in nature
 - ...