

**Fifth meeting of the Executive Committee of the Warsaw International Mechanism for
Loss and Damage associated with Climate Change Impacts
Bonn, Germany, 21–24 March 2017**

Reference document for item 6:

**Development of activities for the five-year rolling workplan, taking into account, inter alia, relevant
inputs made by Parties and relevant organizations**

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About this document

Part I of this reference document includes a general structure, guiding questions and some of the relevant key elements, based on previous COP decisions, for the facilitated discussion for the Excom’s consideration on provisional annotated agenda item 6 ‘Development of activities of the five-year rolling workplan’. Part II of this reference document will contain a synthesis of inputs by Parties and relevant organizations submitted in response to a call by the COP by its decision 3/CP.22.¹

¹ A synthesis of inputs will be issued separately at a later date due to the belated submissions. Inputs received are available at <<http://unfccc.int/10064>>. The page will be updated on a rolling basis.



1. The COP, in its decision 3/CP.22, approved the indicative framework for the five-year rolling workplan of the Excom² as the basis for developing corresponding activities, starting at the first meeting of the Excom in 2017, taking into account relevant inputs provided by Parties and relevant organizations.³
2. The co-chairs, with the assistance by the secretariat, made provisions for a facilitated discussion to take place on Day 2 and 3 of Excom 5 as part of the consideration of item 6 of the provisional annotated agenda. The facilitated discussion should advance the development of comprehensive and strategic activities for the five-year rolling workplan, in a participatory way.
3. The facilitated discussion will be moderated by Mr. Leon Charles, and a general structure of that discussion is contained in Box 1 below.
4. A non-exhaustive list of potential future work, compiled by the Excom at its last meeting and referred to in paragraphs 17 and 19 of the provisional annotated agenda, is contained in Part I Annex II.

BOX 1. General structure and guiding questions

Day 1

I. Setting the scene

This introductory part will involve, inter alia, a quick reflection on 'GIVENS' on the WIM and its Excom, based on the previous COP decisions and reports of the Excom (see Annex I of this note).

II. Lessons learned from the initial 2-year workplan

1. What are the main achievements of the initial two-year workplan?
2. What modalities contributed to these achievements?
3. What pitfalls could be avoided in future work based on experiences from the initial two-year workplan?

III. Strategic objectives for the five-year rolling workplan

This part will aim to 'unpack' what implementing the three functions of the WIM means by, inter alia, addressing the following questions:

4. What is the bigger picture that the WIM is working towards?
5. What are the 'impacts' of each strategic workstream in the context of this „bigger picture“, which the Excom should strive to achieve in X, Y and Z time horizon?
6. What do corresponding long-term success indicators for each workstreams look like?
7. How does the Excom maximize the work of the Excom's substructures in ways which they contribute to these long-term success indicators in the implementation of the 5-year rolling workplan? (e.g. Task force on displacement, expert group on risk management)

Day 2

IV. Getting down to the specifics: Developing activities that builds towards long-term success indicators

V. Clarifying process around the implementation of the workplan and refining the output from the facilitated session

² FCCC/SB/2016/3, Annex I.

³ Decision 3/CP.22, paragraph 3.

Annex I: 'Givens' based on the previous COP decisions⁴

The Warsaw International Mechanism, inter alia,

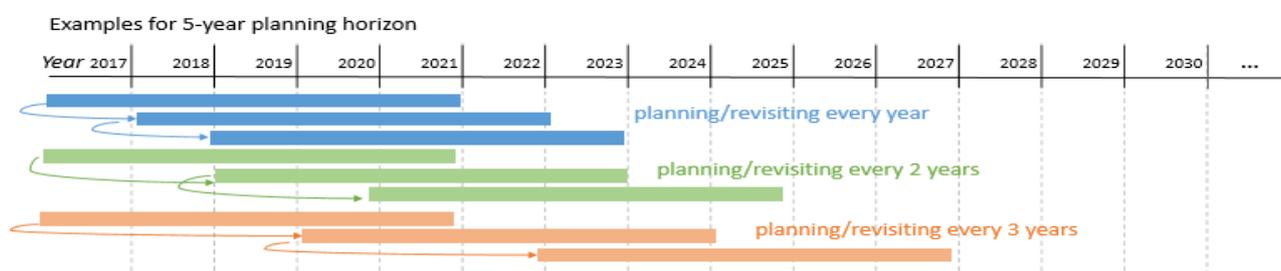
- Addresses loss and damage associated with impacts of climate change, including extreme events and slow onset events, in developing countries that are particularly vulnerable to the adverse effects of climate change (2/CP.19, para 1)
- Shall fulfil the role under the Convention of promoting the implementation of approaches to address loss and damage associated with impacts of climate change, pursuant to decision 3/CP.18, in a comprehensive, integrated and coherent manner (2/CP.19, para 5)
- Has **continuous nature** (1/CP.21, para 47)
- Has **catalytic and pioneering nature** in promoting the implementation of approaches to address loss and damage (3/CP.22, preamble para 6)
- Shall **collaborate** with existing bodies and expert groups under the Agreement, as well as relevant organizations and expert bodies outside the Agreement (Art.8.5)
- **Complements, draws upon the work of and involves**, as appropriate, existing bodies and expert groups under the Convention, as well as on that of relevant organizations and expert bodies outside the Convention, at all levels (2/CP.19, para 6)
- In exercising its functions, it, *inter alia*, **facilitates support of actions; improves coordination** of the relevant work; **convenes meetings; promotes the development of and compiles, analyses, synthesizes and reviews information; provides technical guidance and support; makes recommendations** (2/CP.20, para 7)

The Excom, inter alia,

- **Guides the implementation of the functions** of the WIM (2/CP.19, para 2, 2/CP.20, para 3)
- **Evaluates progress** towards implementing its five-year rolling workplan on an interim basis (3/CP.22, para 7)

The five-year rolling workplan, inter alia,

- **Implements the three functions** of the WIM (2016 Excom report to the COP, Annex I, para 1)
- To include:
 - A strategic workstream to guide the implementation of WIM's function of enhancing action and support (3/CP.22, para 4);
 - Relevant work for advancing the operationalization of the mandates ensuing from decision 1/CP.21, paragraphs 48 and 49 (3/CP.22, para 6)
- The indicative framework as contained in Annex I of the 2016 report of the Excom is the basis for developing corresponding activities, taking into account relevant inputs provided by Parties and relevant organizations



⁴ A compilation of non-exhaustive elements below aims to facilitate Excom's discussions by providing a common point of departure for the Excom's discussions.

Annex II: A non-exhaustive list of potential future work compiled at Excom 4

Slow onset events	<ul style="list-style-type: none"> • Assessment of state of knowledge and recommendations for further work • Identification of gaps and needs based in part on inputs and outcomes of the 8th Research Dialogue and organizations capable of plugging gaps and fulfilling needs • Awareness raising campaign • Consideration of organizing an Expo on SOEs • Considering the IPCC's special report on 1.5C and its findings and the implications for loss and damage • Integration of assessment on SOEs into NAP processes • Complementing the Sendai work on extreme events and SOEs (e.g. build upon a recently published compendium) • Listing new research on loss and damage and volume of projects implemented, including definition of loss and damage
Non-economic losses	<ul style="list-style-type: none"> • Proposed activities by the Expert Group on NELs • Use of the clearing house and other relevant modalities to disseminate experiences, knowledge, lessons learned and good practice • Rising awareness of NELs • Consideration of the summary note (section containing recommendations) of the NELs side event (Expert Group on NELs)
Comprehensive risk management approaches	<ul style="list-style-type: none"> • Development and enhancement of the clearing house on risk transfer and proposed activities by the technical expert panel to: <ul style="list-style-type: none"> ○ Oversee development of standardized guidelines for risk assessments at the national level including for the preparation of asset inventories ○ Design pilot projects to test these guidelines and assist Parties in assessing and analyzing risks in one or two priority sectors • Coordination with regional and global research programmes to facilitate capacity building for the observation and risk assessment in the context of loss and damage • Analysis of information gathered from the two questionnaires under Action Area 5 of the initial 2-year workplan and follow up activities to determine countries needs with respect to climate change and loss and damage risk assessments <ul style="list-style-type: none"> ○ Paper incorporating the analysis and disseminate followed up by regional workshops to develop specific follow up actions and funding sources for work in this area ○ As part of the workshops, identify the impacts from which recovery/rehabilitation is possible and the approaches that facilitate recovery/rehabilitation • Identification of the impacts for which recovery and rehabilitation is not possible and which will result in permanent loss and identify approaches for dealing with this permanent loss • For the technical expert panel to prepare a report for gaps and needs for implementation of comprehensive risk management approaches • Integration of risk assessment into NAP processes
Migration, displacement and human mobility	<ul style="list-style-type: none"> • Proposed activities by the task force on displacement • Consideration of the recommendations flowing from the technical meeting on migration, displacement and human mobility and design appropriate activities to take these forward
Financial instruments, tools and approaches	<ul style="list-style-type: none"> • Further collaboration with the SCF to take up the recommendations from SCF Forum <ul style="list-style-type: none"> ○ Technical paper on challenges and needs arising from the Forum • Follow up to the SCF Forum to identify areas where there are gaps in financial products and services in developing countries vulnerable to climate change and make recommendations for filling these gaps, including the identification of institutions capable of filling these gaps • Identification of costs and benefits of using the various financial tools / instruments available

	<ul style="list-style-type: none">• Pilot projects to demonstrate the use of these tools in different contexts, in order to test approaches, identify good practice and reflect on lessons learned• Exploring funding possibilities/mechanisms to implement measures to avert, minimize and address loss and damage under the Convention• Exploring feasibility and requirements for a partnership system with the private sector to facilitate the implementation of measures to avert, minimize and address loss and damage under the guidance of the WIM• Invite the SCF to undertake further work on financial tools to address the risks of L&D, based on the outcome of the 2016 SCF Forum, including exploring ways of scaling up and replicating good practices
Other	<ul style="list-style-type: none">• Strategy to engage with other relevant bodies (objective) to guide our engagement (e.g. how to further engage with SCF)• Platform to facilitate collaboration and coherence and to help draw on the work of other bodies and groups• Technical paper that synthesizes ongoing work on L&D• Catalytic role of Excom to support processes (Sendai/SDGs); complement and draw upon the Sendai process• Mapping extent of damages and losses; accounting systems for loss and damage