

**Sixth meeting of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts
Bonn, Germany, 11–13 October 2017**

Reference document for item 5:

Development of activities for the five-year rolling workplan of the Executive Committee

Strategic outlook developed at Excom 5

1. Loss and Damage incorporated into global and national policy and practice

- a) Developed & improved risk management in developing countries
- b) Enhanced coherence and synergy
- c) Monitoring and evaluation not only of Excom activities, results, and outcomes, but also of developments outside Excom/outside WIM/outside UNFCCC

2. Focus on vulnerable people/communities/developing countries/ecosystems

- a) Human face ... voice of vulnerable communities

3. Better equipped to avert, minimize and address Loss and Damage

- a) People+ecosystems have the capacity to understand what impacts are coming + what options exist to address the impacts + the capacity to implement them
- b) Bridging science, policy and practice
- c) Reduced impact of climate change on vulnerable people
- d) Create awareness, understanding and buy-in
- e) Provides/identifies + mobilise/activate sources of support

4. Effective systems for delivering effective action and support

- a) Effective action to avert, minimize, address loss and damage
- b) References to real impact on the ground

Working draft of the five-year rolling workplan of the Executive Committee

Placement and wording of inputs contained in the tables below are indicative and without prejudice to their final placement or wording. Those activities continuing from the initial two-year workplan are also contained.

Undertaking of activities is intended to take place in different timeframes over the next five-year period (e.g. first two years, 3-5 years, etc.). Accordingly, the level of associated details contained in the tables below varies.

Strategic workstream (A): SLOW ONSET EVENTS (SOEs)					
#	Activities	Expected results	Possible approaches for implementation (Potential modalities)	Indicative inputs needed	Indicative Excom meeting(s) to consider the activity
1	<p>Continue the ongoing work of Action area 3(d) and(e) of the initial two year workplan to “Assess and develop recommendations to improve the state of knowledge to understand, and capacity to address, slow onset events and their impacts, including the capacity of regional agencies; and identify follow up actions as appropriate”.</p> <p>In addition:</p> <ul style="list-style-type: none"> Continuously updating the database of organizations working on SOEs and their current efforts, including institutional arrangements for comprehensive risk management (CRM); Assessing the scope of work being undertaken on SOEs, as reported by partners in the SOEs database; Assessing regional impacts of SOEs and identifying how to address potential gaps in the capacity of regional agencies to assist countries in addressing the impacts of SOEs; Identifying relevant approaches to bridge the gaps and developing a catalogue of those approaches to avert, minimize and address SOEs 	<p>State of knowledge and capacity assessed</p> <p>Recommendations provided</p>	<p>Regional workshops in collaboration with other stakeholders</p> <p>Call for submissions</p> <p>Collaboration with relevant stakeholders</p>	<p>Submissions</p> <p>Information from database</p>	Excom 7 and onwards
2	Establish a technical expert panel/group, to improve the knowledge base and develop recommendations for approaches to address SOEs	Technical expert group/panel established	<p>Invite relevant experts; develop TOR; convene meetings of experts; reports back from expert group; gather results of test cases and pilot projects undertaken by others; awareness-raising campaign; Expo</p>	<p>Excom decisions</p> <p>List of experts</p>	Excom 7-8
3	Organize a technical meeting, jointly coordinated by the TEG-CRM and the SOE expert panel/group, with a focus on approaches in relation to recovery and rehabilitation and permanent loss	Increased knowledge on approaches in relation to recovery, rehabilitation and permanent loss	Invitations for collaboration	TOR for meeting	Excom 8
4	Facilitate integration of information of potential loss and damage associated with SOEs into national planning and policy making processes	<p>National plans and policies are informed by relevant information</p> <p>Tools promoted</p>	Development of tools to facilitate the integration	Input from relevant bodies, experts, Parties, meetings	Excom 9

Strategic workstream (B): NON-ECONOMIC LOSSES (NELs)					
#	Activities	Expected results	Approach for implementation (Potential modalities)	Indicative inputs needed	Indicative Excom meeting(s) to consider the activity
1	Continue the work of the expert group established by the Executive Committee to develop inputs and recommendations to enhance data on and knowledge of reducing the risk of and addressing non-economic losses, including how to factor these into the planning and elaboration of measures to address loss and damage associated with the adverse effects of climate change. The Expert Group is established, in the first instance, for a period of two years to execute the activities listed below.	Expert group re-established; advice, inputs and recommendations to the Excom	Invite experts; develop TOR; convene meetings of experts; reports back from expert group	Decisions of the Excom	Excom 7 and onwards
2	Develop an awareness-raising strategy, and related knowledge products/outreach material/key messages	Enhanced visibility and understanding of NELs	Group of champions and other relevant actors, as appropriate, with NELs Expert Group: side events; workshops organized by Partners, as appropriate; knowledge products; etc.	Group of champions, with NELs Expert Group: side events; workshop; knowledge products; etc.	Excom 8
3	Foster existing and/or build new partnerships and otherwise cooperate with relevant stakeholders engaged in work on NELs	Enhancing catalytic role of WIM	Invitations for collaboration, including key issues and guiding questions; expert workshop/seminar, high-level events, as appropriate	List of relevant experts	Excom 7
4	The NELs expert group to work jointly with the Task Force on Displacement (TFD) to develop guidelines for averting, minimizing and addressing NELs in the context of human mobility				
5	Invite the Expert Group to: (a) Collect and synthesize information on available tools to assess NELs; (b) Disseminate or otherwise make available information from (a), including through the UNFCCC website				
6	Invite partners to coordinate related capacity building events at regional and national level to identify capacity needs and support with a view to supporting the efforts of developing countries to assess and address NELs				

Strategic workstream (C): COMPREHENSIVE RISK MANAGEMENT (CRM)

#	Activities	Expected results	Approach for implementation (Potential modalities)	Indicative inputs needed	Indicative Excom meeting(s) to consider the activity
1	<p>Action Area 2, activity (b) of initial two-year workplan (Identify gaps and identify or develop methodologies to be used by national governments to enhance knowledge and understanding of comprehensive risk management approaches, including issues related to finance, data, technology, regulatory environments and capacity-building, which may feed into NAPs and other relevant processes, as appropriate): Technical Expert Group on comprehensive risk management and transformational approaches undertake Action Area 2, activity (b) of the initial two-year workplan (<i>agreed at Excom 4</i>)</p> <p>Establish a technical expert group to enhance knowledge and understanding of comprehensive risk management approaches, including issues related to finance, data, technology, regulatory environments and capacity-building</p>	Technical group agreed and established	Secretariat to assist with invitation and convening	<p>Final approval of members from Excom</p> <p>Resources to support expert group meetings</p>	Excom 6
2	Technical expert group to collect feedback and provide advice on revisions to the compendium on comprehensive risk management	Revised compendium	<p>Secretariat to assist technical expert group to solicit feedback on the compendium</p> <p>Secretariat to receive and compile feedback</p> <p>Secretariat to facilitate Technical Expert Group meetings and collate its advice and revisions</p>	<p>Commission call for feedback – under advice from Technical Expert Group</p> <p>Collation of feedback</p> <p>Facilitate Technical Expert Group meeting and advice on compendium revision</p>	Excom 9
3	The Technical Expert Group be invited to identify gaps and identify or develop methodologies to be used by national governments to enhance knowledge and understanding of comprehensive risk management approaches, including issues related to finance, data, technology, regulatory environments and capacity-building, which may feed into NAPs and other relevant processes, as appropriate	Revised compendium	Technical Expert Group to identify gaps and identify or develop methodologies and review questionnaires	<p>Questionnaire returns and summary</p> <p>The group should also use the results of the questionnaire sent out in April 2017 and August 2016 to inform where the compendium of risk management can be strengthened (the questionnaire asked about the systems and/or processes currently in place for conducting and coordinating analyses of climate risk and loss and damage associated with the adverse effects of climate change, taking into account extreme and slow onset events)</p>	Excom 9

4	The TEG be invited to consult with other relevant experts to review the paper and consolidate experiences and lessons learned, and identify priority areas for increasing capacity and investment	Review synthesis paper	TEG to identify priority areas for increasing capacity and investment	Recommendations and summary	Excom 9
5	The Excom and TEC agree on specific actions for collaboration considering especially: (a) Development of a joint policy brief, for example on the area of technologies for coastal zones; (b) Collaboration on identifying technical experts who can contribute to expert groups and events/meetings organized by both bodies; (c) Exchange of inputs and advice between the two bodies to enhance their work. One example is to continue the dialogue with regard to how enhanced measures of preparedness and resilience-building could help reduce and avert loss and damage	Terms of reference for joint work produced. To include: 1. Scope and methods for information exchange between the two groups 2. Mechanism to collaboratively identify technical experts to assist with work related to loss and damage 3. Method to agree on whether a joint policy brief would be useful, and if so produce a term of reference for its production, including identifying authors	Group of champions from WIM and TEC to discuss draft terms of reference produced by the secretariats of the WIM and the TEC	Champions chosen Draft terms of reference produced	Excom 7
6	Coordinate with regional and global research programmes and organizations, as appropriate, such as the WMO, to facilitate capacity-building for enhanced observation and risk assessment in the context of Loss and Damage	Identification of relevant regional and global research programmes in a position to facilitate capacity-building for observation and risk assessment in the context of loss and damage. Collaboration with the WMO on ways to facilitate capacity-building for enhanced observations and risk assessment in the context of Loss and Damage. Enhanced capacities for observation and risk assessment.	The TEG-CRM, working with the Excom, to identify an initial list of relevant regional and global research programmes and organizations that provide capacity-building for observation and risk assessment and take stock of current capacity-building efforts on observation and risk assessment. The TEG-CRM to collaborate with the relevant research programmes and organizations to facilitate capacity-building for observation and risk assessment.		Excom 8
7	Identify how the clearing house for risk transfer can be used to support the work of the Excom and the TEG-CRM and how the clearing house for risk transfer can be enhanced	Enhanced understanding of risk transfer mechanisms Enhanced understanding of how the clearing house contributes to supporting the work of the Excom and the TEG-CRM Improved understanding of the specific needs related to risk transfer mechanisms (through analysis of the topics, questions posed and other relevant information submitted to the clearing house)	Invite the clearing house partners to share information on the usage of the clearing house as well as the topics and questions posed and any other relevant information and submit to the Excom for consideration		Excom 7 and Excom 9

8	Invite WMO to provide information on the User Interface Platform and its applications for supporting comprehensive risk management	Enhanced understanding of the User Interface Platform and other tools available through the WMO that support comprehensive risk management	Invitation to the WMO to provide information to the TEG-CRM (or Excom) on the User Interface Platform (and other relevant WMO activities) and its applications for supporting CRM		Excom 8
9	Invite submissions to provide information on tools and instruments addressing the limits of current CRM approaches and actions to facilitate transformational approaches				
10	Invite relevant organizations and agencies, within and outside the Convention, to discuss ways on how national and regional capacities could be enhanced to address L&D, including for risk management approaches to build the capacity of regional, national, sub-national and local governments to address L&D				
11	Facilitate the development of guidance, as appropriate for: <ul style="list-style-type: none"> • Creating comprehensive risk profiles, identifying options for designing and implementing country-driven risk/Risk assessments at the national level including for the preparation of asset inventories; • Risk management strategies and approaches/Good contingency plans and planning; • Climate risk insurance solutions. 				
12	Collect awareness raising strategies, related knowledge products and methodologies to enhance the understanding of CRM approaches to be used by national governments				

Strategic workstream (D): MIGRATION, DISPLACEMENT AND HUMAN MOBILITY, INCLUDING THE TASK FORCE ON DISPLACEMENT					
#	Activities	Expected results	Approach for implementation (Potential modalities)	Indicative inputs needed	Indicative Excom meeting(s) to consider the activity
1	Continue the Task Force on Displacement (TFD) established by the Executive Committee to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change allowing the TFD sufficient time to deliver on all its recommendations	Expert group continued; advice, inputs and recommendations to the Excom	Convene meetings; reports back from expert group	Decisions of the Excom	Excom 8
2	Disseminate the outcomes of the recommendations of the TFD for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change	TFD recommendations are considered by relevant actors	Dissemination of information via appropriate channels, e.g. side event; UNFCCC website	Recommendations from TFD	Excom 9
3	Invite experts and relevant stakeholder organizations to collaborate with the Excom and share information, as appropriate, of scientific knowledge on the impacts of climate change on migration, displacement, human mobility of vulnerable populations	Increased knowledge and understanding	Invitations for collaboration including key issues and guiding questions	Template to structure responses List of experts to be invited	Excom 8/9
4	Through the Excom, enhance the catalytic role of the WIM, building on its mandate and functions, including, <i>inter alia</i> , by: <ul style="list-style-type: none"> Encouraging cooperation among relevant policy areas, and promoting policy dialogue and coherence; Seizing opportunities, as appropriate, to contribute to relevant international policy and normative processes in the areas of migration, displacement and human mobility; Facilitating continuing and well-structured dialogue and engagement among relevant organizations, bodies and networks to foster the sharing of experiences across regions 	Enhanced catalytic role, and increased synergies among relevant stakeholders and actors	Contribute to high-level events Excom member participation in TFD-related events	TFD recommendations Summary of scientific knowledge Information on relevant events outside UNFCCC	Excom 11 and ongoing
5	Invite partners and relevant stakeholders to identify capacity needs and support the efforts of developing countries to address displacement and migration associated with the adverse effects of climate change	Capacity needs identified Capacity enhanced Efforts supported	Consideration of frameworks at regional and national level, as appropriate Invitations for collaboration Input from L&D contact points	List of relevant partners	Excom 12

Strategic workstream (E): ENHANCING ACTION AND SUPPORT

#	Activities	Expected results	Approach for implementation (Potential modalities)	Indicative inputs needed	Indicative Excom meeting(s) to consider the activity
1	Excom supports the secretariat in determining the scope of the technical paper referred to in decision 4/CP.22 paragraphs 2(f) and 2(g) with a view to making the paper available to the Parties by SB 50 (Jun 2019) for consideration in the review of the WIM	Technical paper produced by SB 50	<p>Call for submissions on types and nature of actions to address L&D for which finance may be required</p> <p>Synthesis paper of the submissions and the Excom considers the submissions to determine the scope of the technical paper</p> <p>Invite the SCF to support Excom in defining the scope of the technical paper</p> <p>The scope of the technical paper could include <i>inter alia</i>:</p> <ul style="list-style-type: none"> • Identified gaps related to financial responses/mechanism for addressing SOEs • Financial tools for addressing the needs of the poor in disadvantaged vulnerable regions • Types and levels of finance, technical assistance, and capacity building that may be required to addressing L&D in developing countries • Institutional arrangements/architecture for loss and damage financial support 	<p>Terms of reference for the call for submissions</p> <p>Detailed terms of reference for the technical paper</p> <p>Resources for production of the paper</p>	<p>Call for submissions by sent out before Excom 7</p> <p>Synthesis paper by Excom 8</p> <p>Consultations with SCF at Excom 8</p> <p>Paper produced by June 2019</p>
2	<p>Capacity-building</p> <p>2a. Invite PCCB and other relevant agencies to identify capacity gaps for addressing L&D and recommend ways to address these gaps</p> <p>2b. Invite relevant actors to organize regional stakeholder workshops to build capacity on the use of CRM guidelines, including by feedback from test cases and any pilot projects they have identified</p> <p>2c. Invite the Durban Forum on capacity-building to consider dedicating one of its future annual in-session events which aim at bringing together relevant stakeholders involved in capacity-building, on the issue of L&D and related aspects</p>	Improved state of knowledge, the capacity and technologies to understand, to address, track the impacts, and enable approaches for highlighting L&D associated with the adverse effects of climate change, such as SOEs	<p>Letter to the PCCB</p> <p>Engagement of relevant stakeholders</p> <p>Secretariat and Excom to identify relevant actors</p> <p>Letter to and discussion with relevant actors</p> <p>Replies compiled</p> <p>Letter of invitation to the Chair of the SBI</p>	<p>Letter to the PCCB</p> <p>List of relevant actors, letters produced</p> <p>Letter of invitation to the Chair of the SBI</p>	<p>2a. By Excom 7</p> <p>2b. By Excom 8</p> <p>2c. By Excom 8</p>

3	Engage national governments to establish national-level L&D contact points to facilitate an effective communication with the Excom	Excom products are better known and used Parties able to set out priorities on loss and damage effectively	List of L&D contact points available Invitation sent to contact points inviting them to engage with the Excom Regular communication with contact points in advance of meetings and proactive provision of minutes and other papers to contact points	List of contact points compiled Letters and papers sent out	By Excom 7
4	<p>Stakeholder engagement</p> <p>4a. Engage stakeholders with relevant specialized expertise, including DRR, development aid, and humanitarian aid and risk management to develop knowledge and support the dissemination of best practices to effectively plan, prepare and respond to L&D</p> <p>4b. Invite relevant actors to continue developing insurance mechanisms, as appropriate, embedded in an integrated risk management approach, for example, diversified agricultural insurances as a risk transfer mechanism that can help farmers, in different socioeconomic conditions, to reduce climate risks in the sector</p>	<p>Better understanding of good practices to effectively plan, prepare and respond to L&D</p> <p>Knowledge materials, reports</p> <p>Understanding of various insurance mechanism and instruments for risk transfer</p> <p>Strengthened enabling environment for managing action and support, including insurance mechanism and risk transfer mechanisms</p>	<p>Engage stakeholders in partnership with organisers of relevant events and workshops with stakeholders and experts</p> <p>Regular Excom meetings</p>	<p>Compendium document</p> <p>Related reports and documents</p> <p>Peer reviewed literature and other relevant reports and documentation</p>	By Excom 8
5	Identify follow-up activities on the WIM review, as appropriate				