

**Third meeting of the Executive Committee of the Warsaw International Mechanism for Loss and Damage
associated with Climate Change Impacts
Bonn, Germany, 26–30 April 2016**

Agenda and annotations

Note by the co-chairs

I. Agenda

1. Opening of the meeting.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the meeting.
3. Update on the engagement of the members in external activities.
4. The two-year workplan of the Executive Committee and the delivery of activities:¹
 - (a) Action Area 1: Particularly vulnerable developing countries, populations, ecosystems;
 - (b) Action Area 2: Comprehensive risk management approaches;
 - (c) Action Area 3: Slow onset events;
 - (d) Action Area 4: Non-economic losses;
 - (e) Action Area 5: Resilience, recovery and rehabilitation;
 - (f) Action Area 6: Migration, displacement and human mobility;
 - (g) Action Area 7: Financial instruments and tools;
 - (h) Action Area 8: Complement, draw upon the work of and involve other bodies;
 - (i) Action Area 9: Development of a five-year rolling workplan.
5. Consideration of relevant requests arising from COP21:
 - (a) Establishing a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer;
 - (b) Establishing a task force to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change.
6. Any other matters.
7. Closure of the meeting.

¹ For the full title of each Action Area, see FCCC/SB/2014/4, annex II.



II. Annotations to the agenda

1. Opening of the meeting

1. The co-chairs of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (Excom), Ms. Pepetua Election Latasi and Ms. Shereen D'Souza, will open the 3rd meeting of the Excom (Excom 3) on 26 April 2016.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda for Excom 3 will be presented for adoption.

Excom/2016/3/1 Agenda and annotations for the third meeting of the Executive Committee

(b) Organization of the work of the meeting

3. Excom 3 will open at 14:00 on 26 April 2016 in Bonn, Germany. An initial schedule of the work will be communicated to the Excom members prior to the meeting. In order to best address the heavy work load, the co-chairs propose that all items will initially be addressed in plenary. Items that the Excom cannot conclude within the allocated time slot will be considered in subsequent discussions, including in a parallel working groups setting, and will be concluded later in plenary.
4. With a view to increasing efficiency of the work of the Excom during the meeting, members are kindly requested, to the best extent possible, to share comments and suggestions on the matters included in the provisional agenda prior to the meeting.
5. Observers will be welcome throughout the meeting, including during the informal discussions on the development of a five-year rolling workplan.

3. Update on the engagement of the members in external activities

6. During the intersessional period, the Excom was represented at the discussion on item 6 (SCF Forum) of the 12th meeting of the Standing Committee on Finance (SCF), held on 6 and 7 April 2016.² The presentation given by the representing member, Mr. Gottfried von Gemmingen is available on the Excom Collaboration Space.³

4. The two-year workplan of the Executive Committee and the delivery of activities

(a) **Action Area 1: Particularly vulnerable developing countries, populations, ecosystems**

7. The Excom sent, on 14 October 2015, an initial communication to the AC, CGE, LEG, TEC and SCF, in the context of activity (a) of this Action Area,⁴ inviting to consider making efforts to reduce and avert losses and damages among particularly vulnerable developing countries, vulnerable populations and the ecosystems that they depend on, as these bodies undertake their work, and to share the outcomes with the Excom. To date, the AC, CGE and SCF responded by letter from their chair/co-chairs.⁵
8. Activity (b) of this Action Area⁶ calls for the Excom to develop recommendations for actions and

² For further information on the 12th meeting of the SCF, see <<http://unfccc.int/6881>>.

³ Available at <https://process.unfccc.int/sites/ECP/Shared%20Documents/Participation%20in%20external%20events/2016_04_06-SCF%2012/SCF_12_6_April_1000hrs.pptx>.

⁴ Action Area 1, activity (a): Invite the Adaptation Committee, the LEG, and other relevant constituted bodies under the Convention to consider making efforts to reduce and avert losses and damages among particularly vulnerable developing countries, vulnerable populations and the ecosystems that they depend on, as these bodies undertake their work, and to share the outcomes with the Executive Committee.

⁵ Available at <<http://unfccc.int/9504>>.

⁶ Action Area 1, activity (b): Develop recommendations for actions and next steps, including consideration throughout the implementation of this workplan of how loss and damage associated with the adverse effects of climate change affects particularly vulnerable developing countries, vulnerable populations and the ecosystems that they depend on, and how approaches to address loss and damage associated with the adverse effects of climate change can be designed and implemented to benefit these populations.

next steps, including consideration throughout the implementation of this workplan of the questions:

- (a) How loss and damage associated with the adverse effects of climate change affects particularly vulnerable developing countries, vulnerable populations and the ecosystems that they depend on; and
- (b) How approaches to address loss and damage associated with the adverse effects of climate change can be designed and implemented to benefit these populations.

Action

9. The Excom will be invited to exchange initial views on this matter, and agree on a strategy/modality to develop recommendations for actions and next steps, to be considered for the report of the Excom to the COP, including by establishing a group of champions to advance the work intersessionally, and share its outcome for consideration and adoption at Excom 4.

(b) Action Area 2: Comprehensive risk management approaches

10. Activity (a) of this Action Area⁷ calls for identification of tools, technologies, good practices and lessons learned, including with respect to policies and data standards, instruments such as insurance and social protection, and transformational approaches, to facilitate comprehensive risk management.
11. Activity (b) of this Action Area⁸ calls for identification of gaps and identification or development of methodologies to be used by national governments to enhance knowledge and understanding of comprehensive risk management approaches, including issues related to finance, data, technology, regulatory environments and capacity-building, which may feed into NAPs and other relevant processes, as appropriate.
12. In the context of activities (c) and (d) of this Action Area,⁹ Excom 2 exchanged initial views on preliminary elements for ToRs for a technical expert panel or group,¹⁰ and agreed to continue intersessionally to advance the work based on the initial work by a working group at Excom 2, with a view to sharing outcome of work at Excom 3.

Action

13. The Excom will be invited:
 - (a) To request the secretariat to undertake relevant research as referred to in paragraph 10 above, and share preliminary outputs/outcomes with the Excom at Excom 4;
 - (b) To consider outcomes of the intersessional work on activity (d), and agree on ways forward thereon in regard to establishing a technical expert panel;
 - (c) To exchange views on ways in which a technical expert panel, as referred to in activity (d) of this Action Area and/or relevant organizations could contribute in delivering expected

⁷ Action Area 2, activity (a): Identify tools, technologies, good practices and lessons learned, including with respect to policies and data standards, instruments such as insurance and social protection, and transformational approaches, to facilitate comprehensive risk management.

⁸ Action Area 2, activity (b): Identify gaps and identify or develop methodologies to be used by national governments to enhance knowledge and understanding of comprehensive risk management approaches, including issues related to finance, data, technology, regulatory environments and capacity-building, which may feed into NAPs and other relevant processes, as appropriate.

⁹ Action Area 2, activity (c): Engage United Nations agencies, multilateral financial institutions, bilateral channels and the private sector to identify how to enhance the implementation of comprehensive risk management approaches related to addressing loss and damage associated with the adverse effects of climate change.

Action Area 2, activity (d): Establish a technical expert panel or group, extending invitations to relevant bodies under the Convention and relevant United Nations organizations, the private sector, expert bodies and relevant initiatives that could provide technical support and guidance on comprehensive risk management and transformational approaches (which could include issues related to action areas 5 and 7 of this workplan).

¹⁰ Output of the working group is available at

<http://unfccc.int/files/adaptation/groups_committees/loss_and_damage_executive_committee/application/pdf/reporting_back_expert_panel.pdf>.

results of activity (b) of this Action Area; and

- (d) To consider and agree on any action thereon, including on whether and how this Action Area relates to the work on the establishment of a clearing house for risk transfer that serves as a repository of information on insurance and risk transfer, in order to facilitate the efforts of Parties to develop and implement comprehensive risk management strategies.

(c) Action Area 3: Slow onset events

14. Excom 2 requested the secretariat to enhance the online database, developed in the context of activity (a) of this Action Area,¹¹ which contained information on 151 organizations working on slow onset events and their efforts, and agreed on a template to be used by relevant organizations to provide information.
15. Accordingly, the secretariat invited the mapped organizations to review the online database and update the information as appropriate; and reached out to a wider pool of organizations to request relevant information.¹²
16. In the context of activity (b) of this Action Area,¹³ the Excom requested the Chair of the SBSTA to consider slow onset events as a possible topic for the research dialogue to be held at SBSTA 44 or for future research dialogues. The 8th meeting of the research dialogue (RD8), to take place on 19 May, 15.00–18.00, will feature *risks and impacts of slow onset events as a result of climate change* as one of its themes.
17. The Excom has been invited to participate in the poster session to be held as part of RD8, which would provide an opportunity for collaboration with the Excom as well as among relevant organizations and experts, including in the context of activity (c) of this Action Area.¹⁴ The secretariat developed a draft poster for consideration by the Excom.

Action

18. The Excom will be invited:
- (a) To provide feedback on a draft poster, with a view for the secretariat to, under the guidance of the co-chairs, making available the final version in time for RD8; and
- (b) To exchange views on ways in which the state of knowledge and capacity can be assessed and recommendations can be developed, as referred to in activity (d),¹⁵ and agree on a strategy/modality to advance the work in the lead up to Excom 4.
19. The Excom will be encouraged to participate in RD8 in order to foster the establishment or strengthening of collaborative channels to strengthen dialogue, coordination, coherence and synergies to enhance, share and manage knowledge and understanding of slow onset events and approaches to address them, in the context of activity (b) of this Action Area.

(d) Action Area 4: Non-economic losses

¹¹ Action Area 3, activity (a): Take stock of organizations that are working on slow onset events and the scope of their current efforts.

¹² 30 sets of new or updated information have been received as at 21 April. The information has been incorporated in the online database <<http://www4.unfccc.int/sites/NWP/Pages/soesearch.aspx>>.

¹³ Action Area 3, activity (b): Foster the establishment or strengthening of collaborative channels, building from existing efforts, to strengthen dialogue, coordination, coherence and synergies to enhance, share and manage knowledge and understanding of slow onset events and approaches to address them.

¹⁴ Action Area 3, activity (c): Invite relevant organizations and experts to collaborate with the Executive Committee to facilitate access to information, including through collaborative channels or databases, and technologies to track the impacts, and enable approaches to address loss and damage associated with the adverse effects of climate change, including slow onset events.

¹⁵ Action Area 3, activity (d): Assess and develop recommendations to improve the state of knowledge to understand, and capacity to address, slow onset events and their impacts, including the capacity of regional agencies.

20. In the context of activity (a) of this Action Area,¹⁶ Excom 2 agreed to organize a side event on non-economic losses at the next Bonn Climate Change Conference (SB44/APA1), and agreed to advance the preparation intersessionally. A comprehensive concept note was developed by the group and subsequently endorsed by the Excom intersessionally. The event, titled ‘Shining the light on non-economic losses: challenges, risks and lessons learned for addressing them’, will take place on 18 May, 13:15–14:45. Preparatory work, including confirming speakers proposed by the Excom, is well underway.
21. Excom 2 initiated the development of a draft set of ToRs for an expert group in the context of activity (b) of this Action Area,¹⁷ and agreed to continue its work intersessionally for its finalization. The current draft ToRs incorporates, in a coherent manner, all the views expressed by the Excom during the intersessional period.

Action

22. The Excom will be invited:

- (a) To exchange views on possible use of the outcomes of the side event mentioned in paragraph 20 above, and take any decision as needed thereon.
- (b) To finalize and endorse the ToRs as mentioned in paragraph 21 above, and assign four members, as referred to in the ToRs, to be part of the expert group under activity (b) of this Action Area, with a view towards completing the implementation of this Action Area.

(e) Action Area 5: Resilience, recovery and rehabilitation

23. Excom 2 developed a set of initial documents relating to activity (a) of this Action Area,¹⁸ and agreed to work intersessionally with a view to finalizing the contents of a letter of invitation, a set of questionnaires and the lists of respondents, as part of the implementation of this activity.
24. Activities (b) and (c) of this Action Area¹⁹ call for inviting relevant organizations to collaborate with the Excom in order to: assess the capacity of humanitarian and disaster risk management systems (activity b), prepare a paper (activity c); and, through expert consultation, consolidate experiences and lessons learned, and identify priority areas for increasing capacity and investment (activity d).²⁰

Action

25. The Excom will be invited, in the context of activity (a) of this Action Area:

- (a) To endorse the set of documents prepared intersessionally by the group of champions;

¹⁶ Action Area 4, activity (a): Raise awareness of the nature and extent of non-economic losses and of how to integrate measures to reduce the risk of non-economic losses in comprehensive approaches to addressing loss and damage associated with the adverse effects of climate change.

¹⁷ Action Area 4, activity (b): Establish an expert group to develop inputs and recommendations to enhance data on and knowledge of reducing the risk of and addressing non-economic losses, including how to factor these into the planning and elaboration of measures to address loss and damage associated with the adverse effects of climate change.

¹⁸ Action Area 5, activity (a): Invite relevant national disaster risk management and international humanitarian organizations and their partners, taking into account extreme and slow onset events and with a view toward comprehensive climate risk management: i. To develop and conduct country-specific analyses of climate risk and associated loss and damage associated with the adverse effects of climate change in different sectors; ii. To identify institutional requirements to prevent, minimize or otherwise manage loss and damage associated with the adverse effects of climate change.

¹⁹ Action Area 5, activity (b): Invite relevant organizations at all levels to collaborate with the Executive Committee to assess, including through the use of existing relevant data and knowledge, the capacity of humanitarian and disaster risk management systems for: i. Emergency preparedness and response; ii. Taking anticipatory action to reduce risks; iii. Explicitly increasing resilience during post climate-related disaster recovery, rebuilding and rehabilitation.

Action Area 5, activity (c): Invite relevant institutions to collaborate with the Executive Committee to prepare a paper for activity 5(d) below, based on activities 5(a) and (b) above, including on methods for scenario analysis and stress testing, which can illustrate the potential challenges and requirements for preparedness and response, as well as resilience building.

²⁰ Action Area 5, activity (d): Consult with experts to review the paper mentioned in activity 5(c) above, consolidate experiences and lessons learned, and identify priority areas for increasing capacity and investment.

- (b) To request the secretariat to disseminate the invitation and questionnaires accordingly; and
 - (c) To agree on ways in which the information, to be provided in response to the invitation, can be documented and disseminated.
26. The Excom will also be invited, in the context of activities (b) and (c) of this Action Area, to consider and agree on ways forward thereon:
- (a) To document an assessment of the capacity of humanitarian and disaster risk management systems; and
 - (b) To prepare a paper as referred to in activity (c).
27. The Excom will further be invited to exchange views and agree on ways forward thereon, on a possible modality/strategy for identifying priority areas and recommendations for increasing capacity and investment in preparing for, responding to and building resilience against L&D, including through recovery and rehabilitation in the context of activity (d).²¹

(f) Action Area 6: Migration, displacement and human mobility

28. Excom 2 developed a draft letter of invitation and an initial list of its recipients in the context of activity (a) of this Action Area,²² and agreed to finalize the documents intersessionally with a view to having the letter sent out before Excom 3. Accordingly, the letter was sent out on 30 March, with the deadline for response 16 May.

Action

29. The Excom will be invited to exchange views on:
- (a) Possible collaboration strategy/modalities, with relevant organizations/stakeholders, to distil relevant information, lessons learned and good practices, in the context of activity (b); and
 - (b) Possible strategy/modality to identify follow-up actions, in the context of activity (c).

(g) Action Area 7: Financial instruments and tools

30. As agreed at Excom 2, a call for submissions in the context of activity (d) of this Action Area²³ was issued on 11 February, and 18 sets of input were received.²⁴
31. Excom 2 requested the secretariat to develop an information document based on information submitted in response to this call, and make it available, as needed, to the SCF to serve as input to the organization of the 2016 SCF Forum. The SCF welcomed the document which was shared as an advanced unedited version with limited circulation at the 12th meeting of the SCF.²⁵
32. Excom 2 developed a set of inputs²⁶ for submission to the SCF in response to its call for inputs on

²¹ Action Area 5, activity (d): Consult with experts to review the paper mentioned in activity 5(c) above, consolidate experiences and lessons learned, and identify priority areas for increasing capacity and investment.

²² Action Area 6, activity (a): Invite relevant organizations and experts to provide scientific information on projected migration and displacement based on projected climate and non-climate related impacts in vulnerable populations.

²³ Action Area 7, activity (d): Invite Parties and relevant organizations to provide information on best practices, challenges and lessons learned from existing financial instruments at all levels that address the risk of loss and damage associated with the adverse effects of climate change.

²⁴ Available at <<http://unfccc.int/9404>>.

²⁵ The current edited version of the document is available at

<http://unfccc.int/files/adaptation/groups_committees/loss_and_damage_executive_committee/application/pdf/information_paper_aa7d_april_2016.pdf>.

²⁶ Available at

<http://unfccc.int/files/cooperation_and_support/financial_mechanism/standing_committee/application/pdf/excom_response_on_2016_scf_forum.pdf>.

the 2016 SCF Forum.²⁷ The submission was made on 22 February and served as an input for the consideration at SCF 12 on their 2016 Forum.

Action

33. The Excom will be invited:

- (a) To receive updates from the SCF on the status of the organization of the 2016 SCF Forum and take any action, as needed, thereon, including on whether there is a need for further development of the information paper referred to in paragraph 31 above;
- (b) To exchange initial views on possible ways in which public bilateral and multilateral institutions and funds and private investors can be encouraged to incorporate climate risk and resilience into development projects and into investment criteria and decisions, in the context of activity (a) of this Action Area.

(h) Action Area 8: Complement, draw upon the work of and involve other bodies

34. The Excom will be invited:

- (a) To consider making a request to the secretariat, in the context of activity (a) of this Action Area,²⁸ to analyse relevant mandates and workplans with a view to identifying linkages and areas for dialogue, coordination, coherence and synergy, and share the outputs with the Excom before Excom 4; and
- (b) To continue the implementation of this Action Area in a coherent manner in the overall delivery of its initial two-year workplan, noting the cross-cutting nature of this Action Area.

(i) Action Area 9: Development of a five-year rolling workplan

- 35. Excom 2 initiated the work on this Action Area²⁹ and agreed to continue intersessionally to advance the work based on the elements included in the preliminary output of a working group at Excom 2,³⁰ with a view to sharing outcome of its work at Excom 3.
- 36. Excom 2 also agreed on dedicating one day each at Excom 3 and Excom 4 to the development of a five-year rolling workplan.³¹

Action

- 37. The Excom will be invited to consider this item on 30 April, including establishing a common vision towards its next workplan and strategies to finalize the draft workplan in a timely manner, and with a view to agreeing on the concrete steps in the lead up to Excom 4.

5. Consideration of relevant requests arising from COP 21

- 38. In accordance with the request by COP 21, the Excom 2 initiated its work at the first meeting following COP 21 to establish:
 - (a) A clearing house for risk transfer that serves as a repository for information on insurance and risk transfer, in order to facilitate the efforts of Parties to develop and implement comprehensive risk management strategies;

²⁷ Available at

<http://unfccc.int/files/cooperation_and_support/financial_mechanism/standing_committee/application/pdf/standing_committee_on_finance_in_vites_for_inputs_related_to_its_4th_forum_%28stakeholders%29.pdf>.

²⁸ Action Area 8, activity (a): Analyse relevant mandates and workplans such as those of the Adaptation Committee, the NWP, the CGE, the TEC, the LEG and the SCF with a view to identifying linkages and areas for dialogue, coordination, coherence and synergy.

²⁹ Available at

<http://unfccc.int/files/adaptation/groups_committees/loss_and_damage_executive_committee/application/pdf/reporting_back_5_year_wp.pdf>.

³⁰ Available at

<http://unfccc.int/files/adaptation/groups_committees/loss_and_damage_executive_committee/application/pdf/reporting_back_5_year_wp.pdf>.

³¹ At Excom 3, Saturday 30 April will be fully dedicated to the consideration of this matter.

- (b) A task force to complement, draw upon the work of and involve, as appropriate, existing bodies and expert groups under the Convention including the Adaptation Committee and the Least Developed Countries Expert Group, as well as relevant organizations and expert bodies outside the Convention, to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change.

(a) Establishing a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer

39. Based on the initial consideration at Excom 2,³² a draft roadmap for establishing a clearing house was developed intersessionally.
40. The Excom will be invited to continue its consideration of this matter with a view to establishing a common vision towards the clearing house, and advancing the development of an implementation plan for the clearing house.
41. The Excom will further be invited to exchange views on the relationship, if any, between the clearing house and the technical expert panel or group being established under Action Area 2 of the initial two-year workplan.

(b) Establishing a task force to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change

42. Based on the initial consideration at Excom 2,³³ a draft ToRs, a draft letter of invitation and a list of potential recipients of the invitation, have been developed intersessionally.
43. The Excom will be invited to continue its consideration of this matter with a view to finalizing the initial set of documents necessary for taking concrete steps in the lead up to Excom 4.
44. The Excom will further be invited to exchange views on the linkages with the Action Areas/activities of its initial two-year workplan.

6. Any other matters

45. Any other matters arising during the meeting will be taken up under this item.

7. Closure of the meeting

46. Excom 3 is scheduled to close on Saturday 30 April 2016. All the agenda items except for item 4(i) 'Development of a five-year rolling workplan' will be concluded on Friday 29 April 2016.

³² Available at

<http://unfccc.int/files/adaptation/groups_committees/loss_and_damage_executive_committee/application/pdf/draft_road_map_for_establishing_a_clearinghouse.pdf>.

³³ Available at

<http://unfccc.int/files/adaptation/groups_committees/loss_and_damage_executive_committee/application/pdf/wg_3_slides_040216_tf.pdf>.